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individually, and on behalf of all other similarly
situated employees

**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF ORANGE COUNTY – CIVIL COMPLEX CENTER**

CELIA MARTINEZ, as an individual, and
on behalf of other similarly situated
employees,

Plaintiff,

vs.

RUBY BROTHERS III, a California
corporation; RUBY CORP., a California
corporation, MCRU, INC., a California
corporation, RUBY BROTHERS, a
California corporation, RUBY BROTHERS
TOO, a California corporation, E RUBY
PARTNERS, LLC, a California corporation,
and DOES 1-50, inclusive,

Defendant.

Case No.: 30-2021-01204252-CU-OE-CXC

Assigned for all purposes to:
Hon. Peter Wilson
Dept. CX 102

**PLAINTIFF'S MOTION FOR
PRELIMINARY APPROVAL OF CLASS
ACTION SETTLEMENT**

Date: September 1, 2022
Time: 2:00 p.m.
Place: Department CX 102

1 **TO THE HONORABLE COURT, ALL PARTIES, AND THEIR ATTORNEYS OF RECORD:**

2 **PLEASE TAKE NOTICE** that on September 1, 2022 at 2:00 p.m., or as soon thereafter as
3 counsel may be heard, in Department CX 102 of the above-captioned court, located at 751 W Santa Ana
4 Blvd, Santa Ana, CA 92701, the Honorable Peter Wilson, presiding, Plaintiff Celia Martinez will, and
5 hereby does, move this Court to:

- 6 1. Preliminarily approve the settlement described in the Stipulation of Class Action
7 Settlement (attached as **Exhibit A** to the Declaration of Armond M. Jackson), which contains the
8 principal terms of the class action settlement between Plaintiff Celia Martinez and Defendant Ruby
9 Brothers III, Defendant Ruby Corp., Defendant MCRU, Inc., Defendant Ruby Brothers, Defendant
10 Ruby Brothers Too, and Defendant E. Ruby Partners, LLC (collectively referred to as “Defendants”);
- 11 2. Conditionally certify the proposed Settlement Class;
- 12 3. Approve distribution of the proposed Notice of Class Action and Exclusion Form.
- 13 4. Appoint Plaintiff Celia Martinez as the class representative;
- 14 5. Appoint Jackson Law, APC as class counsel;
- 15 6. Appoint ILYM Group, Inc. as the settlement administrator; and
- 16 7. Set a hearing date for final approval of the settlement.

17 This Motion is based upon: (1) this Notice of Motion and Motion; (2) the Memorandum of
18 Points and Authorities in Support of Motion for Preliminary Approval of Class Action Settlement; (3)
19 the Declaration of Armond M. Jackson; (4) the declaration of Celia Martinez; (5) the Notice of Class
20 Action Settlement; (6) the [Proposed] Order Granting Preliminary Approval of the Stipulation of Class
21 Action Settlement; (7) the records, pleadings, and papers filed in this action; and (8) such other
22 documentary and oral evidence or argument as may be presented to the Court at or prior to the hearing of
23 this Motion.

24 Dated: August 4, 2022

Respectfully submitted,

25 By: /s/ Armond M. Jackson

26 Armond M. Jackson, Esq.

Andrea M. Fernandez-Jackson, Esq.

27 Anthony S. Filer Jr., Esq.

JACKSON LAW, APC

28 Attorneys for Plaintiff Celia Martinez and all other
similarly situated employees

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MEMORANDUM OF POINTS AND AUTHORITIES

I. INTRODUCTION

Plaintiff Celia Martinez (“Plaintiff”) seeks preliminary approval of the Parties’ Stipulation of Class Action and PAGA Settlement (hereinafter “Stipulation” or “Settlement”), which if approved by the Court, would provide valuable monetary relief for approximately current and former employees of Defendants Ruby Brothers III, Ruby Corp., MCRU, Inc., Ruby Brothers, Ruby Brothers Too and E. Ruby Partners, LLC (“Defendants”), (collectively with Plaintiff, the “Parties”). The Parties jointly prepared and executed the formal long-form Stipulation, attached as **Exhibit A** to the Declaration of Armond M. Jackson (“Jackson Decl.”), the basic terms of which provide for:

- (1) Conditional certification of the Settlement on behalf of Class Members defined as: All hourly paid Employees employed by Defendant in the State of California during the Class Period, which is from June 4, 2017 through June 17, 2022.
- (2) A non-reversionary Class Settlement Amount of \$1,290,000.00 in addition to employer payroll taxes which will be paid separately by Defendant. The Maximum Settlement Amount includes:
 - (a) A Net Settlement Amount of approximately \$777,543 (the Maximum Settlement Amount minus the requested Attorneys’ Fees and Costs, Settlement Administration Costs, the payment to the California Labor and Workforce Development Agency [“LWDA”], and the requested Class Representative Enhancement Payment), which will be allocated to Participating Class Members on a pro-rata basis according to the Qualified Pay Periods worked by each Class Member during the Class Period. The entire Net Settlement Amount will be paid to all Class Members who do not opt out of the Settlement Class (“Participating Class Members”), and without the need to submit claims for payment.
 - (b) Attorneys’ fees in the amount of one-third of the Class Settlement Amount (or \$429,957.00) and litigation costs and expenses not to exceed \$20,000.00, to Jackson Law, APC (“Class Counsel”).
 - (c) Settlement Administration Costs, currently estimated at \$20,000, to be paid to the jointly selected settlement administrator, ILYM Group, Inc.
 - (d) A \$37,500.00 payment of the Maximum Settlement Amount has been set aside by the Parties as PAGA civil penalties. \$12,500.00 will be a payment to the LWDA pursuant to the Private Attorneys General Act (“PAGA”).
 - (e) Class Representative Enhancement Payments of \$5,000.00 to Plaintiff Celia Martinez for their service on behalf of the Settlement Class.

An objective evaluation of the Settlement confirms that the relief negotiated on the Class Members’ behalf is fair, reasonable, and valuable. The Stipulation was negotiated by the parties at arm’s-length with helpful guidance from mediator Carl J. West, Esq., an experienced, well-respected class action

mediator, and the Stipulation confers substantial benefits to Class Members. Indeed, by settling now rather than proceeding to trial, Class Members will not have to wait (possibly years) for relief, nor will they have to bear the risk of class certification being denied or of Defendant prevailing at trial.

As discussed below, the proposed Stipulation satisfies all criteria for preliminary settlement approval under California law and falls within the range of reasonableness. Moreover, the proposed group of Class Members is appropriate for provisional certification. Accordingly, the Parties respectfully request that this Court grant preliminary approval of the Settlement.

II. FACTS AND PROCEDURE

A. Summary of the Litigation.

Defendant is a company that owns and operates fast-food franchises, including but not limited to the McDonald's restaurant where Plaintiff worked. Defendant has facilities in Costa Mesa, California. Plaintiff Martinez was employed by Defendant as a non-exempt Employee at the Defendants. [Jackson Decl. ¶ 3.] This Stipulation is limited to Defendants' employees in the State of California, during the Class Period. [*Id.*]

On June 4, 2021, Plaintiff filed a Class Action Complaint in the Orange County Superior Court alleging violations of the California Labor Code and applicable wage orders for the following claims: (1) failure to provide meal periods; (2) failure to provide rest breaks; (3) failure to pay timely wages upon termination; (4) failure to provide and maintain accurate itemized wage statements; (5) failure to pay for necessary business expenses; as well as an addition claim for (6) unlawful business practices under Business and Professions Code section 17200 et. seq. in order to extend the claims back four years to June 4, 2017. Plaintiff Martinez also submitted a PAGA notice letter to the LWDA on May 21, 2021, and amended their Complaint to add that claim on or around December 14, 2021. [ROA No. 32.]

Thereafter, Plaintiff served a set of written discovery, including a Request for Production of Documents and Special Interrogatories. In its responses, Defendant agreed to produce informal discovery and engage in mediation. [Jackson Decl. ¶¶ 7-17.] Defendant also agreed to produce the time records and wage statements for a 15% sample of the putative class members. (*Id.*)

Defendant produced such data for 15% of the class, including Plaintiff Celia Martinez. Prior to the mediation and for purposes of the Settlement, Plaintiff analyzed the time and pay data. [*Id.*]

Plaintiff's primary theories of liability are as follows:

- **Unlawful Meal Period Claim:** Plaintiff alleges that Defendant failed to provide compliant meal periods by staffing employees too thinly in light of the work demands to allow them to take meal periods on time and for at least 30 minutes. [Jackson Decl. ¶ 11.]
- **Unlawful Rest Break Claim:** Plaintiff alleges that Defendant failed to permit and authorize rest breaks for the same reason they could not take their meal periods. [Jackson Decl. ¶ 12.]
- **Wage Statement Claim:** Plaintiff alleges that Defendant failed to provide complete and accurate itemized wage statements in compliance with Labor Code section 226(a) by issuing wage statements to Plaintiff and Class Members that failed to reflect the correct total number of hours worked and therefore, the correct wages earned, on every wage statement. [Jackson Decl. ¶ 14.]
- **2802 Claim:** Plaintiff alleges that Defendant failed to reimburse Plaintiff to business related expenses. [Jackson Decl. ¶ 15.]

After litigating this case for some time, the Parties agreed to mediate and scheduled mediation for April 18, 2022. Prior to the mediation, Defendant produced Employee Handbooks, time and pay data, relevant wage and hour policies and other information for purposes of evaluation. Plaintiff's counsel thoroughly reviewed the foregoing documents prior to mediation. [Jackson Decl. ¶¶ 7-17.]

B. The Parties Settled After the Mediation.

On April 18, 2022, the Parties participated in a full day of mediation with mediator Hon. Carl J. West, (Ret.), an experienced mediator of wage and hour class actions. Mediator Hon. West helped to manage the Parties' expectations and provided a useful, neutral analysis of the issues and risks to both sides. At the conclusion of the mediation, the continued to engage Mediator West that ultimately resulted in the resolution of this case. [Jackson Decl. ¶ 18.] Thereafter, the Parties jointly drafted and executed the Stipulation. [Jackson Decl., ¶ 2, **Exhibit A.**] At all times, the Parties' negotiations were adversarial and non-collusive, and the Stipulation thus constitutes a fair, adequate, and reasonable compromise of the claims at issue. [Jackson Decl. ¶ 4-18.]

C. The Proposed Settlement Fully Resolves Plaintiff's Claims.

1. Composition of the Settlement Class and Settlement Consideration

The proposed group of Class Members consists of all hourly paid employees employed by

Defendant in California during the Class Period, which is from June 4, 2017 through June 17, 2022.

Plaintiff and Defendant have agreed to settle the underlying class claims in exchange for the non-reversionary Maximum Settlement Amount of \$1,290,000.00. The Maximum Settlement Amount includes: (1) settlement payments to Participating Class Members; (2) \$429,957.00.00 in requested attorneys' fees and up to \$20,000.00 in requested litigation costs to Plaintiff's Counsel; (3) Settlement Administration Costs capped at \$20,000; (4) an allocation of \$37,500.00.00 to the LWDA; and (5) a Class Representative Enhancement Payment of \$5,000.00.00 to Plaintiff Martinez for their service on behalf of the Class Members. [Jackson Decl., ¶ 2, **Exhibit A**.]

Subject to the Court approving Attorneys' Fees and Costs, Settlement Administration Costs, the payment to the LWDA, and the Class Representative Enhancement Payment, the Net Settlement Amount will be distributed to Participating Class Members. The Net Settlement Amount will be paid to Participating Class Members, and without the need to submit claims for payment.

2. Formula for Calculating Settlement Payments

Each Class Member's share of the Net Settlement will be proportional to the Workweeks that he or she worked during the Class Period. The Settlement Administrator will calculate Individual Settlement Payments as follows:

- Defendant will calculate the "Qualifying Workweeks" worked by each Class Member during the Class Period and the aggregate Eligible Workweeks worked by all Class Members during the Class Period, which is from June 4, 2017 June 17, 2022.
- To determine each Class Member's settlement payment, the Settlement Administrator will use the following formula: the Net Settlement Amount will be divided b the total number of Qualifying Workweeks by all Class members during the Class period and then allocated on a pro rata basis.

[Jackson Decl., ¶ 2, **Exhibit A** (Section 3.06, pgh. f.)]

The **average payment will be approximately \$362.49** per full-time Class Member, assuming no Class Members opt out. If there are any opt-outs, that average will increase. This average net recovery is significantly higher than many wage and hour class action settlements approved by California state and federal courts. *See, e.g., Fukuchi v. Pizza Hut*, Case No. BC302589 (L.A. County Super. Ct.) (average net recovery of approximately \$120); *Ressler v. Federated Dept. Stores, Inc.*, Case No. BC335018 (L.A. County Super. Ct.) (average net recovery of approximately \$90); *Doty v. Costco Wholesale Corp.*, Case

No. CV05-3241 FMC-JWJx (C.D. Cal. May 14, 2007) (average net recovery of about \$65); and *Gomez v. Amadeus Salon, Inc.*, Case No. BC392297 (L.A. County Super. Ct.) (average net recovery of about \$20).

3. Release by the Participating Class Members

In exchange for the Maximum Settlement Amount, Plaintiff and Class Members will agree to release the following Released Claims that accrue from June 4, 2017 through June 17, 2022:

“any and all claims, demands, rights, liabilities and causes of action alleged in Plaintiff’s Operative Complaints, or which could have been alleged in Plaintiff’s Operative Complaints based on the factual allegations therein, that arose during the Class Period, including but not limited to causes of action for failure to provide meal periods, and applicable provisions of the relevant Industrial Wage Order, failure to provide accurate itemized wage statements, and applicable provisions of the relevant Industrial Wage Order; failure to pay all wages due upon separation of employment, and applicable provisions of the relevant Industrial Wage Order, failure to pay for unreimbursed business expenses, and applicable provisions of the relevant Industrial Wage Order; violation of California Business and Professions Code section 17200, et seq., based on the preceding claims (“Released Claims”); and all claims for attorneys’ fees and costs relating to the Released Claims by Class Members, that could have been premised on the facts, claims, causes of action or legal theories described above of that could have been reimbursed on the facts, claims, causes of action or legal theories described above.”

[Jackson Decl., ¶ 2, **Exhibit A** (Section 5.01, p. 19)]

III. ARGUMENT

A. The Court Should Preliminarily Approve the Proposed Settlement.

1. Courts Review Class Action Settlements to Ensure that the Terms Are Fair, Adequate, and Reasonable.

California Rule of Court (“Rule”), Rule 3.769 requires litigants to obtain court approval of class action settlements. Approval occurs in two steps: (1) an early “preliminary” review by the trial court; and (2) a subsequent “final” review after notice of the settlement has been distributed to class members for their comments and objections. [Rules 3.769(c)-(g); *Cellphone Termination Fee Cases*, 180 Cal. App. 4th 1110, 1118 (2009) (the court first “preliminarily approves the settlement” and later “conducts a final approval hearing to inquire into the fairness of the proposed settlement”).] The motion for preliminary approval must be accompanied by (1) the settlement agreement, (2) proposed notice to the class, (3) the proposed order, and (4) must identify any agreement regarding attorneys’ fees. [Rule 3.769(b) and (c).]

If the trial court grants preliminary approval of a settlement, Rule 3.769(f) requires the court to (1) schedule a final approval hearing and (2) approve the method by which the parties are to provide notice to the class of the hearing and “an explanation of the proposed settlement.” The court must also approve a

1 procedure for class members to file objections to the settlement. [Rule 3.769(f).] At the final approval
2 hearing, the court must conduct an inquiry into the fairness of the proposed settlement. [Rule 3.769(g).]

3 Although Rule 3.769 does not provide detail as to the scope of review of the proposed settlement,
4 preliminary approval is warranted if the settlement falls within a “reasonable range.” [See *North County*
5 *Contractor’s Ass’n, Inc. v. Touchstone Ins. Servs.*, 27 Cal. App. 4th 1085, 1089–90 (1994); Conte &
6 Newberg, *Newberg on Class Actions*, § 11.26 (4th ed. 2002) (“Newberg”). Reasonableness and fairness
7 are presumed where: (1) the settlement is reached through “arms-length bargaining;” (2) investigation and
8 discovery are “sufficient to allow counsel and the court to act intelligently;” (3) counsel is “experienced in
9 similar litigation;” and (4) the percentage of objectors “is small.” [*Dunk v. Ford Motor Co.*, 48 Cal. App.
10 4th 1794, 1802 (1996).] The presumption of fairness is consistent with California’s public policy goal of
11 favoring settlements. [*Stambaugh v. Super. Ct.*, 62 Cal. App. 3d 231, 236 (1976) (“the law wisely favors
12 settlements”); *Cellphone Termination Fee Cases*, 180 Cal. App. 4th at 1118 (“public policy generally
13 favors the compromise of complex class action litigation”); *7-Eleven Owners for Fair Franchising v.*
14 *Southland Corp.*, 85 Cal. App. 4th 1135, 1151 (2000) (“voluntary conciliation and settlement are the
15 preferred means of dispute resolution . . . [t]his is especially true in complex class action litigation”).]

16 In reviewing the fairness of a class action settlement, due regard should be given to what is
17 “otherwise a private consensual agreement between the parties.” [*Cellphone Termination Fee Cases*, 186
18 Cal. App. 4th at 1389.] The inquiry “must be limited to the extent necessary to reach a reasoned judgment
19 that the agreement is not the product of fraud or overreaching by, or collusion between, the negotiating
20 parties, and that the settlement, taken as a whole, is fair, reasonable and adequate to all concerned.” [*Id.*]

21 **2. Plaintiff’s Counsel Conducted A Thorough Investigation of the Factual and**
22 **Legal Issues and Objectively Assessed the Settlement’s Reasonableness.**

23 By engaging in a thorough investigation and evaluation of Plaintiff’s claims, Plaintiff’s Counsel
24 can opine that the Settlement, for the consideration and on the terms set forth in the Stipulation, is fair,
25 reasonable, an adequate, and is in the best interests of the Class Members in light of all known facts and
26 circumstances, including the risk of significant delay and uncertainty associated with litigation, various
27 defenses asserted by Defendant. [Jackson Decl. ¶¶ 7-17.]

28 Prior to the outset of the litigation, Plaintiff provided his Counsel with detailed accounts of his

1 experience working for Defendant and the circumstances that gave rise to the alleged Labor Code
2 violations. In addition to informing Plaintiff's Counsel's initial evaluation of the lawsuit, the information
3 that Plaintiff provided also influenced Plaintiff's Counsel's discovery efforts. [Jackson Decl. ¶ 4-17.]

4 Plaintiff's discovery requests led to the production of considerable information relied upon for the
5 Settlement, including a statistically significant sampling of time and pay data, and policies and practices
6 directly pertaining to the alleged Labor Code violations at issue. Plaintiff's Counsel reviewed Defendant's
7 written policies provided in its Employee Handbook, and reviewed the entire set of thousands of pages of
8 data and documents produced by Defendant. From this analysis, Plaintiff's Counsel was able to analyze
9 Labor Code issues, theories and alleged violations that would have provided direct evidence for use at trial
10 in a class and PAGA action. Plaintiff's Counsel analyzed the timekeeping and payroll data, in order to
11 assess, *inter alia*, the incidence of meal and rest period violations, and premiums paid for said violations,
12 the accuracy of the wage statements, and the amount of waiting time penalties under Cal. Labor Code §
13 203. [Jackson Decl. ¶¶ 13-15.]

14 Plaintiff's Counsel interviewed Plaintiff who provided accounts of his own experience, which
15 enabled Plaintiff's Counsel to understand the theories explaining the respective frequencies of the alleged
16 violations borne out in the data and records produced and to learn more about the day-to-day
17 circumstances giving rise to the alleged violations. [Jackson Decl. ¶ 7-17.] Overall, Plaintiff's Counsel
18 performed a searching investigation into the claims at issue, which included: (1) determining Plaintiff's
19 suitability as a class representative through interviews, background investigations, and analyses of his
20 employment files and related records; (2) researching similar wage and hour class actions as to the claims
21 brought, the nature of the positions, and the type of employer; (3) analyzing employee time and wage
22 records; (4) analyzing Defendant's labor policies and practices; (5) researching settlements in similar
23 cases; (6) researching Plaintiff's novel theories of liability; (7) interviewing Plaintiff regarding the alleged
24 claims; (8) evaluating Plaintiff's claims and estimating Defendant's liability for purposes of settlement; (9)
25 drafting the mediation brief; and (10) participating in the mediation. [Jackson Decl. ¶ 8.]

26 **3. The Settlement Was Reached Through Arm's-Length Bargaining in Which**
27 **All Parties Were Represented by Experienced Counsel.**

28 "[W]hat transpires in settlement negotiations is highly relevant to the assessment of a proposed

1 settlement's fairness." [State v. Levi Strauss & Co., 41 Cal. 3d 460, 482 (1986).] Courts presume the
2 absence of fraud or collusion in the negotiation of a settlement, unless evidence to the contrary is offered;
3 thus, there is a presumption that settlement negotiations are conducted in good faith. [Newberg, § 11.51.]

4 On April 18, 2022, the Parties participated in a mediation with mediator Carl J. West, Esq., who
5 helped manage the Parties' expectations and provided a useful, neutral analysis of the issues and risks to
6 both sides. [In re Apple Computer, Inc. Derivative Litig., No. C 06-4128 JF (HRL), 2008 U.S. Dist.
7 LEXIS 108195 (N.D. Cal. Nov. 5, 2008) (mediator's participation weighs considerably against any
8 inference of a collusive settlement); D'Amato v. Deutsche Bank, 236 F.3d 78, 85 (2d Cir. 2001) (same).]
9 At all times, the Parties' negotiations were adversarial and non-collusive. After a full day of mediation,
10 the Parties reached a settlement in principal and executed a detailed Memorandum of Understanding,
11 before entering in the long form Settlement. [Jackson Decl. ¶ 18.]

12 Experienced class action counsel represented both Parties throughout the case and settlement
13 negotiations. Plaintiff is represented by Armond M. Jackson of Jackson Law, APC, who regularly litigates
14 wage and hour claims through certification and on the merits, and has considerable experience settling
15 wage and hour class actions. [Jackson Decl. ¶¶ 19-20, 25.] Defendants are represented by Lewis Brisbois
16 Bisgaard & Smith LLP who operates a large, respected wage and hour defense practice.

17 **4. The Proposed Settlement Is Reasonable Given the Strengths of Defendant's**
18 **Defenses and the Risks and Expense of Continued Litigation.**

19 In seeking approval, a settling party must provide sufficient information to "enable the court to
20 make an independent assessment of the adequacy of the settlement terms." [Kullar v. Foot Locker Retail,
21 Inc., 168 Cal. App. 4th 116, 131-32 (2008).] However, "[i]t is well-settled law that a cash settlement
22 amounting to only a fraction of the potential recovery will not per se render the settlement inadequate or
23 unfair." [See Officers for Justice v. Civil Serv. Comm'n of City & Cty. of San Francisco, 688 F.2d 615,
24 628 (9th Cir. 1982).] "The proposed settlement is not to be judged against a hypothetical or speculative
25 measure of what might have been achieved by the negotiators." [Id. at 625.] "Estimates of a fair
26 settlement figure are tempered by factors such as the risk of losing at trial, the expense of litigating the
27 case, and the expected delay in recovery (often measured in years)." [In re Toys R Us-Delaware, Inc.—
28 Fair & Accurate Credit Transactions Act (FACTA) Litig., 295 F.R.D. 438, 453 (C.D. Cal. 2014).] In

1 other words, in valuing the claims within a realistic *range* of outcomes, the settling plaintiff should
2 discount the value of the settled claims for risks such as changes in the law, the probability of success, and
3 other factors.¹

4 Settling parties are not however required to partake in a hypothetical accounting exercise: [*Kullar*
5 does not . . . require any such explicit statement of [maximum] value; it requires a record which allows “an
6 understanding of the amount that is in controversy and the realistic range of outcomes of the litigation.”
7 [*Munoz v. BCI Coca-Cola Bottling Co.*, 186 Cal. App. 4th 399, 409–10 (2010) (quoting *Kullar* 168 Cal.
8 App. 4th at 120 (emphasis added)).] Overall, the most important factor in determining whether a
9 settlement is fair and reasonable is the strength of the case for plaintiffs on the merits balanced against the
10 amount offered in the settlement. [*Id.* at 409 n.6 (quoting *Kullar*, 168 Cal. App. 4th at 130).]

11 Based on the information and evidence provided by Defendant in discovery, the analysis of the
12 time data and pay data, and Plaintiff’s Counsel’s own independent investigation, Plaintiff’s Counsel
13 determined an appropriate range of recovery for settlement purposes by offsetting Defendant’s maximum
14 theoretical exposure by: (i) the strength of Defendant’s affirmative defenses; (ii) the risk of losing a
15 motion for class certification on some or all of the claims; (iii) the risk of the Court finding that a class and
16 PAGA trial would be unmanageable;² (iv) the risk of losing on various dispositive or quasi-dispositive
17 motions that Defendant will bring before trial (e.g., motion to strike class and representative allegations,
18 motion to decertify a class action, and/or motions for summary judgment) which may eliminate all or
19 some of Plaintiff’s claims, or bar evidence necessary to prove such claims; (v) the risk of losing some or
20 all of the claims at trial; (vi) the chances a Court may find Defendant did not willfully refuse to pay
21 discharged or terminated employees (i.e. a good faith failure) under Cal. Lab. Code section 203; (vii) the
22 chances a Court may find Defendant did not willfully and intentionally refuse to comply (i.e, a good faith
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24 ¹ Federal district courts also recognize that there is an inherent “range of reasonableness” in determining
25 whether to approve a settlement “which recognizes the uncertainties of law and fact in any particular case
26 and the concomitant risks and costs necessarily inherent in taking any litigation to completion.” [*Id.* at 188
27 (quoting *Newman v. Stein*, 464 F.2d 689, 693 (2d Cir.)). See *Nat’l Rural Telecomm. Coop. v. Directv,*
28 *Inc.*, 221 F.R.D. 523, 527 (C.D. Cal. 2004) (“well settled law that a proposed settlement may be
acceptable even though it amounts to only a fraction of the potential recovery”).

² Similar to class actions, California trial courts will strike PAGA claims where too many allegedly
aggrieved employees would be required to show that they were, in fact, aggrieved, rendering the claim
unmanageable because countless mini-trials would be necessary before the aggrieved employees were
known and the claim could proceed. [*Wesson v. Staples the Office Superstore, LLC* (September 9, 2021)
2021 WL 4099059.]

failure) with the wage statement requirements under Cal. Labor Code section 226(e); (viii) the chances of the Court exercising its discretion to reduce PAGA penalties (*see* Cal. Lab. Code section 2699(e); (ix) the delay in payment to class members due to years of protracted litigation in certifying a class, obtaining a favorable verdict at trial, upholding that verdict on appeal, and administering class notice and payments to the class at that later stage instead of now; (x) the chances of a favorable verdict being reversed on appeal; and (xi) the difficulties attendant to collecting on a judgment (collectively, the “Discount Factors”).

[Jackson Decl. ¶¶ 8-9.]

Based on the preceding and considering the aggregate value of the claims, Plaintiff’s Counsel valued the claims for settlement purposes at **\$2,097,439**. [Jackson Decl. ¶¶ 11-17.] It must be underscored, however, that the reasonableness of a settlement is not dependent upon its actual value approaching the potential recovery Plaintiff might have received had he succeeded at trial. Indeed, “[t]he determination whether a settlement is reasonable does not involve the use of a ‘mathematical equation yielding a particularized sum.’” [*Frank v. Eastman Kodak Co.*, 228 F.R.D. 174, 186 (W.D.N.Y. 2005) (quoting *In re Michael Milken and Assoc. Sec. Litig.*, 150 F.R.D. 57, 66 (S.D.N.Y. 1993)).]

i. Wage Statement Data and Range of Damages

Review of the wage statements for the sampling revealed the following: (1) approximately 135,733 workweeks since June 4, 2017; and (2) approximately 2145 class members. The following is a table of potential damages:

Claim	Damages and Penalties
Meal Period Violations	\$260,588
Rest Period Violations	\$657,419
Wage Statement Violations	\$219,200
Business Expense Reimbursement Violations	\$224,880
Final Pay Violations	\$335,352
PAGA Exposure	\$400,000
TOTAL	\$2,097,439

[Jackson Decl. ¶ 11-17.]

ii. Meal and Rest Period Claims

An employer’s only obligation is to provide meal periods and authorize and permit rest periods, not to police its employees to ensure that they take their lunches and breaks. (See *Brinker, supra*, 53 Cal.4th at 1028, 1034.)

1 Plaintiff alleges that Defendant understaffed shifts and scheduled meal periods such that
2 employees did not take their meal periods within the first five hours of a shift, were less than thirty
3 minutes, or did not take a meal period at all, on some occasions. Similarly, Plaintiff alleges employees
4 were not authorized to take rest periods due to understaffing. Analysis of the sample time records
5 Defendant produced 15% sampling.

6 Although Defendant's time records may create a rebuttable presumption that it did not provide
7 meal periods on those occasions, in compliance with California law, *see, e.g., Donahue v. AMN Services,*
8 *LLC* (2021) 11 Cal.5th 58, other evidence could potentially rebut the presumption or minimizes the
9 potential value of the claims.

10 First, Defendant alleged it provided the employees with its Employee Handbook, which sets forth
11 meal and rest period policies consistent with California law. To the extent Plaintiff may allege she felt
12 pressured by her duties or schedule, this evidence is rebuttable.

13 The other alternative interpretation anticipated by Defendant is that it demonstrates that
14 Defendant, in fact, does provide employees with complete meal periods which could potentially
15 undermine any claim of a systemic pattern or practice of failing to provide meal periods since employees
16 choose to take them a few minutes late or short on their own volition at other times. Furthermore, since
17 employees are not required in California to record their rest periods times, this claim may present
18 difficulties when proving the extent of any rest break violations.

19 Third, the analysis revealed evidence of an approximate 4.1% violation rate for meal periods and
20 6.5% violation rate for rest breaks. Moreover, regarding short meal periods (i.e. less than 30 minutes) and
21 potentially "late" meal periods (i.e. started after the fifth hour), but most of those "meal period violations"
22 are just a few minutes short or late. While there may be a limited "de minimis" defense in California for
23 meal period claims, *see Troester v. Starbucks Corp.* (2018) 5 Cal.5th 829, such evidence cuts both ways as
24 it underscores the likelihood of personal choice by employees electing to delay their breaks for a few
25 minutes or knock off a minute or two early and get back to work on their own, as opposed to an express
26 policy that schedules breaks late or the presence of express work deadlines that effectively forces
27 employees to cut breaks short. [Jackson Decl. ¶¶ 11-12.] In conclusion, it was analyzed that 16,598 meal
28 break violations occurred and 33,001 rest break violations occurred and applying an average rate of pay of

1 \$15.70. [Id.]

2 **iii. Reimbursement Claim**

3 Plaintiff alleged itself, aggrieved employees and class members were subjected to California
4 Labor Code section 2802 violations for failure to reimburse class members and aggrieved employees
5 when purchasing masks. (See Cal. Lab. Code section 2802.) It was estimated that 44,976 (24 months *
6 1874 pay periods per month* \$5 per mask) pay periods were subjected to potential face mask violations
7 amounting to \$224,880. [Jackson Decl. ¶ 15.]

8 **iv. Wage Statement Claim**

9 Plaintiff alleges that Defendant failed to provide Plaintiff with timely and accurate wage
10 statements as a derivative claim of the foregoing allegations for failure to provide breaks, pay for all hours
11 worked, and improper overtime premium pay. [Jackson Decl. ¶ 14]

12 Labor Code section 226 requires that employers provide wage statements that accurately set forth
13 a variety of items including, but not limited to, gross wages earned, total hours worked, deductions, net
14 wages earned, the periods of employment, and hourly rates and corresponding number of hours at each
15 rate. Labor Code section 226 further provides, in relevant part:

16 (2)(B) An employee is deemed to suffer injury for purposes of this subdivision if
17 the employer fails to provide accurate and complete information as required by any
18 one or more of items (1) to (9), inclusive, of subdivision (a) and the employee
19 **cannot promptly and easily determine** from the wage statement alone one or more
of the following:

20 (i) The **amount of the gross wages or net wages** paid to the employee during the
21 pay period or any of the other information required to be provided on the itemized
22 wage statement pursuant to **items (2) to (4), inclusive, (6), and (9)** of subdivision (a)
...

23 (C) For purposes of this paragraph, **“promptly and easily determine” means** a
24 reasonable person would be able to readily ascertain the information without
reference to other documents or information.

25 Defendant provided wage statements with the itemized information, as required under Cal. Lab.
26 Code section 226, to its Employees, including Plaintiff, on a semi-monthly basis. To the extent this claim
27 is derivative of the claims set forth above - that Plaintiff’s wage statements were not accurate because
28 Plaintiff was not paid an additional hour of pay when he was not provided meal and rest periods. [Jackson

Decl. ¶ 14.]

It was analyzed that 2,192 pay periods were subjected to potential wage statement violations based upon meal break or rest break violations that could potentially be entitled to wage statement violations amounting to \$219,200. [Jackson Decl. ¶ 14.]

v. Waiting Time Penalties

This claim is based on Plaintiff's allegations that an extra hour of pay was not provided when meal or rest breaks were not provided, and that Employees were not paid all minimum and overtime premium wages. It was analyzed that approximately 89 employees were terminated during the class period that could potentially be entitled to wages upon termination that were subjected to meal and rest period violations which amounting to \$335,352 (89 * 8 hours* 15.7 *30 days). [Jackson Decl. ¶ 13.]

5. The Settlement Amount Is Fair and Reasonable Compensation for the Class

As the above analysis shows, Plaintiff's Counsel objectively and knowledgeably balanced the strengths and weaknesses of the claims against the risk of continued litigation and was able to determine the realistic range of settlement recovery of \$2,097,439. Therefore, in this case, the settlement value of \$1,290,000.00 falls well within the range of reasonableness, and is thus fair, adequate, and reasonable.

B. The Proposed Notice Properly Informs Class Members About the Settlement.

Class members are entitled to the best practical notice under the circumstances. [*Kass v. Young*, 67 Cal. App. 3d 100, 106 (1977) (“*Kass*”).] The purpose of the notice is to give class members sufficient information to decide whether to accept the benefits offered, opt out and pursue their own remedies, or object to the settlement. [*Trotsky v. Los Angeles Fed. Savings & Loan Ass’n*, 48 Cal. App. 3d 143, 151–52 (1975).] The notice must advise class members “that they may be excluded from the class if they so request and that they will be bound by the judgment, whether favorable or not, if they do not request exclusion.” [*Kass*, 67 Cal. App. 3d at 106.] In a case such as this involving a significant number of class members, California authorities generally require service of the class notice by mail or similar reliable means. [*Chance v. Super. Ct.*, 58 Cal. 2d 275, 290 (1962); *Cartt v. Super. Ct.*, 50 Cal. App. 3d 960, 972 (1975).]³

³ Due process does not require that each class member actually receive a notice, but rather a procedure reasonably certain to reach class members. [Wright, A. Miller & M. Kane, *Federal Practice and Procedure* § 1789, at 253 (2d ed. 1986).]

1 The proposed Class Notice will be mailed to the Class by First Class mail and will include
2 information regarding the nature of the lawsuit, a summary of the Settlement's terms, the class definition,
3 the procedure and time period within which to opt out, exclude, or object to the Settlement, the date for the
4 final approval hearing, the formula used to calculate settlement payments, and the terms and scope of the
5 Released Claims. In the event Class Notices are returned as undeliverable, the Administrator will attempt
6 to locate a current address through the use of skip tracing or another automated search. [Jackson Decl., ¶
7 2, Ex. A, Section 3.03, p. 8, ¶ 9, Exhibit B.]) This method is designed to maximize the Class Member
8 response rate while ensuring cost effective administration of the settlement. In past cases, similar notice
9 methods to class members have resulted in strong response rates. Accordingly, both the method of
10 disseminating the Class Notice and content of the Class Notice satisfy due process and the requirements of
11 California law. [See C.R.C. 3.776(e)-(f); *State of Cal. v. Levi Strauss*, 41 Cal. 3d 460, 485 (1986) (The
12 class notice must "fairly apprise the class members of the terms of the proposed compromise and of the
13 options open to dissenting class members.".)]

14 **C. The Court Should Preliminarily Approve the Enhancement Payment.**

15 Enhancement payments "are fairly typical in class action cases." [*Cellphone Termination Fee*
16 *Cases*, 186 Cal. App. 4th 1380, 1393 (2010) (affirming incentive awards of \$5,000.00); *Rodriguez v. West*
17 *Publishing Corp.*, 563 F.3d 948, 958 (9th Cir. 2009), citing 4 Newberg on Class Actions (4th ed. 2002) §
18 11:38, and Eisenberg & Miller, *Incentive Awards to Class Action Plaintiff: An Empirical Study*, 53 UCLA
19 L. Rev. 1303 (2006).] Enhancement payments "are intended to compensate class representative for work
20 done on behalf of the class, to make up for financial or reputational risk undertaken in bringing the action,
21 and, sometimes, to recognize their willingness to act as a private attorney general." [*Rodriguez*, 563 F.3d
22 at 958–59; see also *Clark v. American Residential Svcs., LLC*, 175 Cal. App. 4th 785 (2009).]

23 Subject to Court approval, therefore, the Settlement provides for a Class Representative
24 Enhancement Payment of \$5,000.00 for Plaintiff. The enhancement payment is fair and reasonable
25 compensation for Plaintiff's efforts in representing the putative Class and the interests of the State of
26 California, for assisting counsel with the litigation, at mediation, and settlement, regularly conferring with
27 counsel on the status of the case and the strategies for prosecuting the claims, reviewing the proposed
28 settlement to ensure that its terms are fair and provide adequate relief for the Settlement Class.

[Declaration of Celia Martinez, ¶¶ 1-7.]

Plaintiff will file a motion seeking award of the negotiated Class Representative Enhancement Payment if preliminary approval of the Settlement is granted. Plaintiff respectfully submits that the above showing is sufficient for preliminary approval of the Class Representative Enhancement Payment.

D. The Court Should Preliminarily Approve the Negotiated Attorneys' Fees and Costs.

The purpose of an attorneys' fee award in class action litigation is to reward counsel who took the risk of non-payment and invested in a case that achieved a substantial positive result for the class. Plaintiffs will seek Court approval of attorneys' fees of \$429,957.00.00 which is 33.33% of the Maximum Settlement Amount, and reasonable and necessary litigation costs and expenses according to proof at the time of the Final Approval Hearing.

California courts routinely award attorneys' fees equaling one-third or more of the potential value of the common fund. *See Chavez v. Netflix, Inc.* 162 Cal. App. 4th 43, 66 n. 11 (2008) ("Empirical studies show that, regardless whether the percentage method or the lodestar method is used, fee awards in class actions average around one-third of the recovery."); Theodore Eisenberg & Geoffrey P. Miller, Attorney Fees in Class Action Settlements: An Empirical Study, *J. of Empirical Legal Studies*, Vol. 1, Issue 1, 27-78, March 2004, at 35 (independent studies of class action litigation nationwide have come to a similar conclusion that a one-third fee is consistent with market rates). At final approval, Class Counsel will fully brief the merits of its request for attorneys' fees and costs.

E. California Law Authorizes Provisional Certification for Settlement Purposes.

1. The California Standard for Class Certification

Class actions are statutorily authorized "when the question is one of common or general interest, of many persons, or when the parties are numerous, and it is impracticable to bring them all before the court." [Cal. Civ. Proc. Code § 382; *Lee v. Dynamex, Inc.*, 166 Cal. App. 4th 1325, 1332 (2008) ("*Lee*").] Class certification is appropriate when there is an "ascertainable class and a well-defined community of interest among class members." [*Sav-On Drug Stores, Inc. v. Super. Ct.*, 34 Cal. 4th 319, 326 (2004) ("*Sav-On*").] Class treatment is appropriate even if class members at some point may be required to make an individual showing as to eligibility for recovery. [*Bufil v. Dollar Financial Group, Inc.*, 162 Cal. App. 4th 1193, 1207 (2008); *Sav-On*, 34 Cal. 4th at 333.]

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1 contends that Defendant's policies and practices apply class wide and liability can be determined by facts
2 common to all class members, i.e., common policies or de facto common practices that deny Class
3 Members' meal and rest periods, and pay for all hours worked. The wage and hour issues are numerous
4 and substantial, and a class action is the most advantageous method of adjudicating the claims of the
5 Class. For example, Plaintiff contends that his meal and rest period claims are readily certifiable because
6 whether Defendant's policies and practices violate the law "is by its nature a common question eminently
7 suited for class treatment." [*Brinker*, 53 Cal. 4th at 1033; see *Faulkinbury v. Boyd & Assocs., Inc.*, 216
8 Cal. App. 220, 237 (2013) ("[Defendant's] liability, if any, would arise upon a finding that its uniform rest
9 break policy, or lack of policy, was unlawful."); *Benton v. Telecom Network Specialists, Inc.*, 200 Cal.
10 App. 4th 701 (2013) (reversing denial of class certification and remanding where employer failed to adopt
11 any policy authorizing and permitting meal and rest breaks).]

12 Plaintiff also contends his wage and hour claims are typical of the proposed group of Class
13 Members because they arise from the same factual basis and are based on the same legal theories
14 applicable to the Class. Likewise, Plaintiff's interests are coextensive with the interests of the Class and he
15 alleges that he was injured by the same company-wide practices to which the proposed Settlement Class
16 was subject and seeks the same relief. Plaintiff has already demonstrated his ability to advocate for the
17 interests of the Class by initiating this litigation and evaluating the fairness of the proposed settlement.

18 Additionally, Plaintiff is represented by competent counsel who has extensive experience in
19 litigating wage and hour class action cases. [Jackson Decl. ¶¶ 19-20, 25.] Plaintiff's Counsel has
20 successfully briefed, argued, certified, and gained state court settlement approval of the same or similar
21 class-wide causes of action that are at issue in this case. Plaintiff's Counsels' wage and hour class action
22 expertise establishes that they are well qualified to represent the interests of this Class. [*Id.*] A class action
23 is superior to a multitude of individual lawsuits. Given the size and amount of each individual Class
24 Member's claim, Class Members likely have little incentive to litigate their claims on an individual basis
25 because the out-of-pocket expense and personal commitment necessary to litigate each claim outweighs
26 any potential recovery. In sum, class treatment is superior to individual, case-by-case adjudication.

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IV. CONCLUSION

The Parties have negotiated a fair, reasonable settlement and presented the required for preliminary approval, and as such, request that the Court preliminarily approve the settlement.

Dated: August 4, 2022

JACKSON LAW, APC

By: /s/ Armond M. Jackson
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