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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF ORANGE**

CAMERON SANTA CRUZ, individually, and
on behalf of aggrieved employees pursuant to
the Private Attorneys General Act ("PAGA");

Plaintiff,

v.

SUN WEST MORTGAGE COMPANY, INC.,
a California corporation; and DOES 1 through
100, inclusive;

Defendant.

Case No.: 30-2022-01239675-CU-OE-CXC

Assigned for All Purposes to:
Honorable Melissa R. McCormick
Department CX-105

**ORDER GRANTING APPROVAL OF
PRIVATE ATTORNEYS GENERAL
ACT (LABOR CODE SECTIONS 2698,
ET SEQ.) SETTLEMENT AND
ENTERING JUDGMENT**

Complaint Filed: January 4, 2022

ORDER AND JUDGMENT

1 This matter has come before the Honorable Melissa R. McCormick in Department CX-
2 105 of the Orange County Superior Court located at 751 West Santa Ana Boulevard, Santa Ana,
3 California 92701 on Plaintiffs Cameron Santa Cruz and Farroz (Michael) Zamani's Motion for
4 Approval of Private Attorneys General Act (Labor Code sections 2698, *et seq.*) Settlement
5 Agreement. The Court reviewed the papers submitted by Plaintiffs in support of approval of the
6 Amended Settlement Agreement and Release and Amendment to the Amended Settlement
7 Agreement and Release (collectively known as the "Settlement Agreement," "Settlement," and
8 "Agreement") pursuant to Labor Code sections 2698, *et seq.* (Private Attorneys General Act of
9 2004 ("PAGA")). The Amended Settlement Agreement and Release and Amendment to the
10 Amended Settlement Agreement and Release are attached hereto as **Exhibit 1** and **Exhibit 2**
11 respectively. Based upon this review, and the findings below, the Court finds good cause to enter
12 this Order and Judgment.

13 **FINDINGS:**

14 Unless otherwise specified, defined terms in this Order and Judgment have the same
15 definition as the terms in the Settlement Agreement.

16 On February 1, 2022 and October 26, 2021, Plaintiff Zamani and Plaintiff Santa Cruz
17 served written notices to the California Labor and Workforce Development Agency ("LWDA")
18 and Defendant Sun West Mortgage Company, Inc. ("Defendant") respectively, pursuant to Labor
19 Code section 2699.3, to notify the LWDA and Defendant of the Labor Code violations.

20 On January 4, 2022 and April 15, 2022, Plaintiff Santa Cruz and Plaintiff Zamani filed
21 their representative PAGA actions against Defendant respectively, individually and on behalf of
22 the State of California.

23 Plaintiffs and Defendant (collectively referred to as the "Parties") expressly acknowledge
24 the Settlement Agreement is entered into solely for the purpose of compromising the
25 significantly disputed PAGA claim and that nothing contained therein is an admission of liability
26 or wrongdoing by Defendant.

27 The Court finds the Settlement was entered in good faith and that the Parties have
28 satisfied the standards and applicable requirements for approval of a settlement of the PAGA
claim.

1 The Court finds, pursuant to Labor Code sections 2699(1)(2) and (1)(4), the LWDA was
2 given timely notice of the Agreement. On June 4, 2024, Plaintiffs submitted the Settlement to the
3 LWDA. Plaintiffs' submission complied with the requirements of Labor Code sections
4 2699(1)(2) and (1)(4).

5 The Court, having reviewed the evidence and papers submitted, and considered any
6 argument of counsel, hereby grants the motion and approves the terms of the settlement as set
7 forth in the Settlement under Labor Code section 2699(1)(2) as fair, adequate, and reasonable.

8 **IT IS HEREBY ORDERED THAT:**

9 1. The "Settlement Group" is defined as all current or former employees of
10 Defendant who worked with loans, including, but not limited to, the following: Area Manager,
11 Assistant Branch Manager, Assistant Manager, Loan Officer, Assistant Sales Manager,
12 Assistant Vice President - Sales Finance, Associate Loan Officer, Branch Business
13 Development Associate, Branch Manager, Branch Manager Assistant, Branch Marketing
14 Assistant, Branch Operations Assistant, Branch Operations Manager, Branch Sales Coordinator,
15 Business Development, Business Development Manager, Business Development Officer,
16 Business Development Partner, Call Center Manager, Call Center Mortgage Loan Officer,
17 Client Relations Manager, Client Relations Specialist, Client Services Specialist, Client Support
18 Specialist, Customer Care Level II, Customer Service Specialist, DE Underwriter, Division
19 Underwriting Manager, Field Mortgage Loan Officer, Funder, Insuring, Insuring Officer, Junior
20 Processor, Level 1 Support Analyst, Loan Coordinator, Loan Coordinator Team
21 Lead/Relationship Manager, Loan Coordinator/Processor Assistant, Loan Officer, Loan Officer
22 Assistant, Loan Partner, Loan Processor, Loan Production Manager, Loan Support, Loss
23 Mitigation Underwriter, MLO, Renovation Specialist, MLO/Business Development Officer,
24 Market Manager, Mortgage Consultant, Mortgage Loan Officer, Mortgage Loan Originator,
25 Non Producing Sales Manager, Non-Producing Branch Manager, Operations Manager, Part
26 Time - Client relations Specialist, Part Time Mortgage Loan Officer, Part time Senior Mortgage
27 Loan Originator, Pipeline Analyst, Pipeline Manager, Post Closing Analyst, Pricing Expert
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1 Processor, Producing Branch Manager, Production – Team Lead, Production Assistant,
2 Production Manager, Production Manager – Senior Loan Officer, Production Manager /
3 Escalations Specialist, QC Analyst, Recruiter & Call Center MLO, Regional Manager, Regional
4 Operations Manager, Regional Sales Manager, Relationship Manager, Relationship Manager /
5 Loan Partner, Risk Management Specialist, Sales Manager, Senior Loan Officer, Senior
6 Manager, Senior Mortgage Loan Officer, Senior Mortgage Trainer, Senior Processor, Senior
7 Underwriter, Senior Vice President – Business Development, Senior Vice President Production
8 Management, Subject Matter Specialist – DE/VA/USDA Underwriter, Trailing Docs Associate,
9 Transaction Assistant, Underwriter, Underwriter – Level 1, Underwriting Supervisor, VA
10 Underwriter, Vendor Order Support, Western Region Sales Manager, Western Regional
11 Manager, Wholesale Production Supervisor, and Wholesale Sales Manager, excluding executive
12 positions and administrative positions, within the State of California at any time during the
13 period from January 4, 2021, through May 19, 2024 (“Settlement Group,” “Settlement Group
14 Member,” and “Settlement Period”).

15 2. The “Released Parties” are defined as Defendant and its parents,
16 subsidiaries, affiliates, predecessors, successors in interest, agents, insurers, employees (current
17 and former), officers, directors, and attorneys (“Released Parties”).

18 3. Phoenix Class Action Administration Solutions is hereby appointed as the
19 Settlement Administrator.

20 4. Defendant shall pay the Gross Settlement Amount of \$900,000 in
21 accordance with the Settlement Agreement.

22 5. The Court approves the Attorneys’ Fees Award to Plaintiffs’ Counsel of
23 33.33% of the Gross Settlement Amount (\$299,970), Litigation Costs Award to Plaintiffs’
24 Counsel of \$24,189.86 to Justice Law Corporation and \$2,105.78 to Keegan & Baker, LLP
25 (totaling \$26,295.64), Enhancement Payment to Plaintiff Santa Cruz of \$5,000 and to Plaintiff
26 Zamani of \$5,000 (totaling \$10,000), and Administration Costs to the Settlement Administrator
27 of \$7,500, all payable from the Gross Settlement Amount.
28

1 6. After deducting the above-mentioned payments, the balance of the
2 settlement amount will be \$556,234.36 ("PAGA Penalties Fund"). Under the terms of the
3 Settlement Agreement, seventy-five (75%) of the PAGA Penalties Fund (\$417,175.77) will be
4 paid to the LWDA ("LWDA Payment"), and the remaining twenty-five percent (25%) of the
5 PAGA Penalties Fund (\$139,058.59) ("Net Settlement Amount") will be paid to the Settlement
6 Group on a pro rata basis ("Individual Settlement Awards") using the formula set forth in the
7 Settlement Agreement.

8 7. The Court authorizes the Settlement Administrator to calculate and pay the
9 Individual Settlement Awards in accordance with the terms in the Settlement.

10 8. Within twenty-one (21) business days of the Effective Date (as defined in
11 the Settlement Agreement), Defendant shall provide to the Settlement Administrator a list of
12 Settlement Group Members that identifies each of their: (a) Social Security Numbers; (b) last
13 known addresses; (c) telephone numbers; and (d) number of pay periods worked for Defendant
14 during the Settlement Period ("Settlement Group Members' List").

15 9. In no event later than fourteen (14) calendar days after receiving the
16 Settlement Group Members' List, the Settlement Administrator will provide notice of entry of
17 judgment to the Settlement Group Members by sending the explanatory Notice of PAGA
18 Settlement in English and Spanish, attached hereto as **Exhibit 3** (along with a certified Spanish
19 translation), via regular First-Class U.S. Mail to all Settlement Group Members that are
20 identified in the list.

21 10. Each Settlement Group Member shall have sixty (60) calendar days after
22 the Settlement Administrator mails the Notice of PAGA Settlement (plus an additional 14
23 calendar days for the Settlement Group Members whose Notice of PAGA Settlement is
24 remailed) to challenge the number of pay periods allocated to them in their Notice of PAGA
25 Settlement ("Response Deadline").

26 11. Within fourteen (14) calendar days of the Response Deadline, Defendant
27 shall deposit the Gross Settlement Amount into the qualified settlement fund created by the
28 Settlement Administrator.

1 12. Within fourteen (14) calendar days of the funding of the Gross Settlement
2 Amount by Defendant, the Settlement Administrator will issue the Attorneys' Fees Award,
3 Litigation Costs Award, Enhancement Payment, Administration Costs, LWDA Payment, and
4 Individual Settlement Awards to the appropriate entities or persons.

5 13. Individual Settlement Award checks will remain negotiable for one
6 hundred twenty (120) calendar days after the date of their issuance ("Check Stale Date"). Any
7 Individual Settlement Award checks that are not cashed, deposited, or negotiated on or before
8 the Check Stale Date will be void, and the amount of those checks will be directed to the
9 California State Controller for deposit in the Unclaimed Property Fund in the name of the
10 employee.

11 14. Any checks to the Settlement Group returned as undeliverable on or
12 before the Check Stale Date will be sent promptly via regular First-Class U.S. Mail to the
13 forwarding address affixed thereto. If no forwarding address is provided, the Settlement
14 Administrator will attempt to determine the correct address using a skip trace or other
15 reasonable method and will then perform a single remailing.

16 15. The Court hereby approves, as to form and content, the Notice of PAGA
17 Settlement.

18 16. Effective on the date when Defendant fully funds the Gross Settlement
19 Amount, the Agreement and judgment to be entered by the Court following the Agreement will
20 forever bar Plaintiffs, all Settlement Group Members, LWDA, and any and all allegedly
21 aggrieved employees, from pursuing civil penalties available under PAGA against the Released
22 Parties based on the facts alleged in the Operative Complaints and PAGA Notices and that arose
23 during the Settlement Period ("Released Claims").

24 17. Pursuant to Code of Civil Procedure section 664.6, the Court shall retain
25 continuing jurisdiction to enforce the terms of the Agreement.

26 18. Within ten (10) calendar days of entry of this Order and Judgment,
27 Plaintiffs shall provide a copy of this Order and Judgment to the LWDA, pursuant to Labor
28 Code sections 2699(1)(3) and (1)(4).

1 19. The Court sets a Final Accounting Hearing on May 14, 2026 at 9:00 a.m.
2 in Department CX-105. Within nine (9) court days before this hearing, Plaintiffs shall file a
3 compliance status report, including the actual amounts paid to the Settlement Group Members
4 and the other amounts distributed under the Settlement Agreement, including any uncashed
5 checks.

6 **IT IS SO ORDERED, ADJUDGED, AND DECREED.**

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8
9 Dated: July 17, 2025



MELISSA R. MCCORMICK
JUDGE OF THE SUPERIOR COURT

EXHIBIT 1

AMENDED SETTLEMENT AGREEMENT AND RELEASE

Subject to approval by the Superior Court of California, County of Orange ("Court"), this Amended Settlement Agreement and Release ("Agreement") is made and entered by and between Plaintiffs Cameron Santa Cruz and Farroz (Michael) Zamani ("Plaintiffs," "Plaintiff Santa Cruz," and "Plaintiff Zamani"), on behalf of themselves and as representative aggrieved employees pursuant to Labor Code sections 2698 *et seq.* (Private Attorneys General Act of 2004 ("PAGA")), and Defendant Sun West Mortgage Company, Inc. ("Defendant") to settle the civil action entitled *Santa Cruz v. Sun West Mortgage Company, Inc.* (Case No. 30-2022-01239675-CU-OE-CXC) (related to Case No. 30-2022-01255275-CU-OE-CXC) ("Lawsuit"). Plaintiffs and Defendant are collectively referred to herein as the "Parties," and each may be individually referred to herein as a "Party".

RECITALS

This Agreement is made in consideration of the following facts:

- A. Plaintiffs are former exempt employees of Defendant.
- B. Plaintiffs contend that they suffered one or more violations of the Labor Code during their employment with Defendant and that they are aggrieved employees under PAGA. Pursuant to PAGA, an aggrieved employee may, after exhausting administrative remedies with the California Labor and Workforce Development Agency ("LWDA"), act as an agent of the State of California to bring an action to recover civil penalties from their former employer on behalf of themselves and other current or former employees for violations of the Labor Code.
- C. Pursuant to PAGA, on February 1, 2022 and October 26, 2021, Plaintiff Zamani and Plaintiff Santa Cruz submitted letters to the LWDA ("PAGA Notices") alleging that Defendant subjected them and other current and former hourly-paid, commission-based, salaried, non-exempt, and/or exempt employees of Defendant within the State of California to certain violations under the Labor Code, including Labor Code sections 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800, and 2802.
- D. The above-mentioned violations were premised upon Defendant's alleged: (1) failure to compensate their hourly-paid, commission-based, salaried, non-exempt, and/or exempt employees for all hours worked; (2) failure to properly pay all wages owed; (3) failure to provide, authorize, and/or permit meal and rest breaks (or pay premiums in lieu thereof); (4) failure to keep and provide complete and accurate wage statements; (5) failure to reimburse employees for all necessary business expenses and related costs; (6) failure to timely pay all wages due during employment and upon termination; (7) failure to maintain accurate and complete payroll records, and (8) misclassification of exempt employees ("PAGA Claim").
- E. The Lawsuit includes the complaint Plaintiff Santa Cruz filed on January 4, 2022 and the complaint filed by Plaintiff Zamani on April 15, 2022 ("Operative Complaints") that seek to recover civil penalties and attorneys' fees based on the PAGA Claims.

F. After evaluating the strengths and weaknesses of their positions, considering the time, expense, and risk associated with litigating the Lawsuit to judgment, and engaging in arm's-length settlement negotiations at an all-day mediation on February 19, 2024 with the mediator Paul Grossman, the Parties agreed to fully and finally settle any and all claims in the Lawsuit ("Settlement") up to and through the Settlement Period (as defined herein).

G. Defendant denies all the allegations in the Lawsuit and any contention that Defendant committed any misconduct, wrongdoing, or any other actionable conduct of any kind, including any violation of the Labor Code.

H. The Parties have each independently analyzed and investigated the facts and law relevant to the Lawsuit through discovery. Defendant has produced, and Plaintiffs have reviewed, a substantial amount of documents and data, including, but not limited to, information pertaining to the employment policies, practices, and procedures implicated by the PAGA Claims. This included meal and rest breaks, overtime, timekeeping, and payroll policies, sampling of timekeeping and payroll records, wage statements for Plaintiffs and other hourly-paid, commission-based, salaried, non-exempt, and/or exempt employees, and summaries of data pertaining to the number of employees allegedly affected by the PAGA Claims. The Parties have had ample opportunity to evaluate their positions on the merits of the claims asserted.

I. The Parties agree to cooperate and to take all steps necessary and appropriate to effectuate all aspects of this Agreement and to obtain the Court's approval of this Settlement and entry of judgment.

J. The Parties, Plaintiffs' Counsel, and Defendant's counsel represent that they are not aware of any other pending matter or action asserting claims that will be extinguished or affected by the Settlement Agreement.

NOW, THEREFORE, in consideration of the promises and agreements contained herein, the Parties agree to, and, pursuant to Labor Code section 2699(1)(2), seek approval of, the following settlement terms.

SETTLEMENT TERMS

1. **Court Approval of the Agreement.** In accordance with Labor Code section 2699(1)(2), the Parties will present this Agreement to the Court for its review and approval via a stipulation or noticed motion.

2. **Delivery of Agreement to the LWDA.** As required by Labor Code section 2699(1)(2), Plaintiffs will cause this Agreement to be submitted to the LWDA at the same time that this Agreement is submitted to the Court for approval.

3. **Effective Date.** This Agreement shall become fully effective the later of either: (a) the Court has granted the motion for approval of the Settlement and approved amounts for Attorneys' Fees Award, Litigation Costs Award, Administration Costs, Enhancement Payments, and PAGA Penalties Fund (terms as defined herein), the Released Claims, and all the other

material terms of this Agreement and has entered the Final Judgment consistent with this Agreement; or (b) the date there is a final resolution of the objection or appeal if the LWDA files an objection.

4. **No Admission of Liability.**

(a) The Parties acknowledge and agree this Agreement is the result of, and evidences, a compromise and settlement of disputed claims in the Lawsuit. Defendant denies and continues to deny all the allegations made by Plaintiffs in the Lawsuit. Defendant also denies and continues to deny that they are liable or owe any damages, penalties, or other compensation or remedies to anyone with respect to the alleged facts or claims asserted in the Lawsuit. Defendant denies any liability or wrongdoing of any kind in connection with the claims, and contends that, during all relevant time periods, it complied in all respects with applicable state and federal laws and regulations. Without admitting or conceding any liability or damages, and without admitting that a representative PAGA action is appropriate except for settlement purposes alone, Defendant has agreed to settle the Lawsuit on the terms and conditions set forth in this Agreement to avoid the burden, expense, and uncertainty of continued litigation.

(b) The Parties understand and agree that this Agreement and any exhibit thereto are settlement documents and shall be inadmissible for any purpose in any proceeding, except an action or proceeding to approve, interpret, or enforce the terms of this Agreement. The Parties agree that, to the extent permitted by law, this Agreement may be pleaded as a full and complete defense to and may be used as the basis for an injunction against, any action, suit, or other proceeding that may be instituted, prosecuted, or attempted in breach of this Agreement.

5. **Persons and Entities Covered by this Agreement.**

(a) **The Settlement Period.** This Agreement shall cover the period from January 4, 2021 through May 19, 2024 ("Settlement Period").

(b) **The Settlement Group.** This Agreement shall cover all current or former employees of Defendant who worked with loans, including, but not limited to, the following: Area Manager, Assistant Branch Manager, Assistant Manager, Loan Officer, Assistant Sales Manager, Assistant Vice President - Sales Finance, Associate Loan Officer, Branch Business Development Associate, Branch Manager, Branch Manager Assistant, Branch Marketing Assistant, Branch Operations Assistant, Branch Operations Manager, Branch Sales Coordinator, Business Development, Business Development Manager, Business Development Officer, Business Development Partner, Call Center Manager, Call Center Mortgage Loan Officer, Client Relations Manager, Client Relations Specialist, Client Services Specialist, Client Support Specialist, Customer Care Level II, Customer Service Specialist, DE Underwriter, Division Underwriting Manager, Field Mortgage Loan Officer, Funder, Insuring, Insuring Officer, Junior Processor, Level 1 Support Analyst, Loan Coordinator, Loan Coordinator Team Lead/Relationship Manager, Loan Coordinator/Processor Assistant, Loan Officer, Loan Officer Assistant, Loan Partner, Loan Processor, Loan Production Manager, Loan Support, Loss Mitigation Underwriter, MLO, Renovation Specialist, MLO/Business Development Officer, Market Manager, Mortgage Consultant, Mortgage Loan Officer, Mortgage Loan Originator, Non Producing Sales Manager,

Non-Producing Branch Manager, Operations Manager, Part Time - Client relations Specialist, Part Time Mortgage Loan Officer, Part time Senior Mortgage Loan Originator, Pipeline Analyst, Pipeline Manager, Post Closing Analyst, Pricing Expert, Processor, Producing Branch Manager, Production – Team Lead, Production Assistant, Production Manager, Production Manager – Senior Loan Officer, Production Manager / Escalations Specialist, QC Analyst, Recruiter & Call Center MLO, Regional Manager, Regional Operations Manager, Regional Sales Manager, Relationship Manager, Relationship Manager / Loan Partner, Risk Management Specialist, Sales Manager, Senior Loan Officer, Senior Manager, Senior Mortgage Loan Officer, Senior Mortgage Trainer, Senior Processor, Senior Underwriter, Senior Vice President – Business Development, Senior Vice President Production Management, Subject Matter Specialist – DE/VA/USDA Underwriter, Trailing Docs Associate, Transaction Assistant, Underwriter, Underwriter – Level 1, Underwriting Supervisor, VA Underwriter, Vendor Order Support, Western Region Sales Manager, Western Regional Manager, Wholesale Production Supervisor, and Wholesale Sales Manager, excluding executive positions and administrative positions, within the State of California at any time during the Settlement Period. Such employees shall be collectively referred to herein as the “Settlement Group”. Each employee within the Settlement Group may be individually referred to herein as a “Settlement Group Member”.

(i) The Parties agree there will be no right of Settlement Group Members to opt out of or object to the Settlement. There will also be no claims process and no reversion. Defendant estimates there are 666 Settlement Group Members that worked 12,000 pay periods during the Settlement Period.

6. Settlement Administration.

(a) The Parties will retain Phoenix Class Action Administration Solutions to act as the “Settlement Administrator” with respect to this Agreement.

(b) The Settlement Administrator shall be responsible for establishing a qualified settlement fund pursuant to 26 U.S.C. section 486B, making all payments required by this Agreement, handling all required tax withholding and reporting related thereto to the extent necessary, and issuing all appropriate tax forms to Plaintiffs, Plaintiffs’ Counsel, the Settlement Group, and LWDA resulting from the settlement payments under this Agreement.

(c) Any disputes concerning administration of the Agreement may be submitted to the mediator who facilitated the negotiation of this Agreement. If the Parties cannot resolve their dispute with the mediator’s assistance, the dispute may be submitted to and resolved by the Court.

7. Settlement Payments.

(a) **Gross Settlement Amount.** In consideration of, and subject to, the promises, terms, and conditions set forth in this Agreement – including the releases set forth in Section 9 of this Agreement – Defendant shall pay the maximum gross sum of \$900,000 (“Gross Settlement Amount”), in the manner and method set forth in this Agreement, to fully, finally, and completely settle the Lawsuit and PAGA Claims alleged therein, including any and all associated

claims for civil penalties, attorneys' fees, interest, and litigation costs and expenses. Nothing in this Agreement, aside from the conditions set forth in Section 10, shall require Defendant to pay, or make Defendant liable for, more than the Gross Settlement Amount to fully, finally, and completely settle the Lawsuit and all claims alleged therein.

(b) **Allocation of Gross Settlement Amount.** The Gross Settlement Amount shall consist of the following categories of payments: proposed award of attorneys' fees to Plaintiffs' Counsel ("Attorneys' Fees Award"), proposed award of litigation costs and expenses to Plaintiffs' Counsel ("Litigation Costs Award"), proposed payment of settlement administration costs to the Settlement Administrator ("Administration Costs"), proposed enhancement payments to Plaintiffs ("Enhancement Payments"), and proposed payments of civil penalties to the LWDA and Settlement Group ("PAGA Penalties Fund"). Subject to approval by the Court, these amounts shall be paid and allocated as set forth below in Sections 7(b)(i) through 7(b)(v) of this Agreement.

(i) **Attorneys' Fees Award.**

(A) Plaintiffs' Counsel in the Lawsuit – Douglas Han and Shunt Tatavos-Gharajeh of Justice Law Corporation and Jason E. Baker and John Weber of Keegan & Baker, LLP ("Plaintiffs' Counsel") – may submit a combined application to the Court for an award of attorneys' fees in an amount not to exceed the gross sum of thirty-five percent (35%) of the Gross Settlement Amount. Defendant will not oppose or object to this application. Defendant shall have no liability for attorneys' fees except as provided for in this Agreement.

(B) Any Attorneys' Fees Award approved by the Court will be paid out of the Gross Settlement Amount and shall constitute a full and complete satisfaction of all claims Plaintiffs may have for attorneys' fees arising out of the Lawsuit through the Effective Date of this Agreement, including work not yet performed.

(C) This Agreement is not contingent upon the Court approving an Attorneys' Fees Award in an amount equal to the maximum amount authorized by Section 7(b)(i)(A) of this Agreement. This Agreement and its terms shall remain fully effective and enforceable notwithstanding any decision by the Court to approve an Attorneys' Fees Award that is less than the amount authorized by Section 7(b)(i)(A) of this Agreement or the amount requested by Plaintiffs' Counsel. The Court's refusal to approve the Attorneys' Fees Award requested by Plaintiffs' Counsel does not give Plaintiffs, Settlement Group, or Plaintiffs' Counsel any basis to abrogate this Settlement.

(D) If any portion of the Attorneys' Fees Award authorized by Section 7(b)(i)(A) of this Agreement is not approved by the Court, only the approved amount shall be paid, and the payment of that amount shall constitute satisfaction of Defendant's obligations with respect to the Attorneys' Fees Award. Additionally, any difference between the Attorneys' Fees Award authorized by Section 7(b)(i)(A) and Attorneys' Fees Award approved by the Court will become part of the PAGA Penalties Fund.

(ii) **Litigation Costs Award.**

(A) Plaintiffs' Counsel may submit an application to the Court for an award for reimbursement of litigation costs and expenses incurred by Plaintiffs' Counsel in connection with the Lawsuit that is currently estimated not to exceed the combined gross sum of \$27,000 ("Litigation Costs Award"). Defendant will not oppose or object to this application. Defendant shall have no liability for attorneys' costs except as provided for in this Agreement.

(B) Any Litigation Costs Award approved by the Court will be paid out of the Gross Settlement Amount and shall constitute a full and complete satisfaction of all claims Plaintiffs may have for litigation costs and expenses arising out of the Lawsuit through the Effective Date of this Agreement, including litigation costs and expenses not yet incurred.

(C) This Agreement is not contingent upon the Court approving a Litigation Costs Award in an amount equal to the maximum amount authorized by Section 7(b)(ii)(A) of this Agreement. This Agreement and its terms shall remain fully effective and enforceable notwithstanding any decision by the Court to approve a Litigation Costs Award that is less than the amount authorized by Section 7(b)(ii)(A) of this Agreement or the amount requested by Plaintiffs' Counsel. The Court's refusal to approve the Litigation Costs Award requested by Plaintiffs' Counsel does not give Plaintiffs, Settlement Group, or Plaintiffs' Counsel any basis to abrogate this Settlement.

(D) If any portion of the Litigation Costs Award authorized by Section 7(b)(ii)(A) of this Agreement is not approved by the Court, only the approved amount shall be paid, and the payment of that amount shall constitute satisfaction of Defendant's obligations with respect to the Litigation Costs Award. Additionally, any difference between the Litigation Costs Award authorized by Section 7(b)(ii)(A) and Litigation Costs Award approved by the Court will become part of the PAGA Penalties Fund.

(iii) **Administration Costs.**

(A) Subject to approval by the Court, an amount currently estimated at \$7,500 will be paid to the Settlement Administrator out of the Gross Settlement Amount to cover the costs of the settlement administration process ("Administration Costs"). If the actual costs of the settlement administration process are less than the amount approved by the Court, the balance will become part of the PAGA Penalties Fund.

(B) Any Administration Costs approved by the Court will be paid out of the Gross Settlement Amount and shall constitute a full and complete satisfaction of any settlement administration costs.

(C) This Agreement is not contingent upon the Court approving Administration Costs in an amount equal to the maximum amount authorized by Section 7(b)(iii)(A) of this Agreement. This Agreement and its terms shall remain fully effective and enforceable notwithstanding any decision by the Court to approve Administration Costs in an amount that is less than the amount authorized by Section 7(b)(iii)(A) of this Agreement. The

Court's refusal to approve the Administration Costs requested does not give Plaintiffs, Settlement Group, or Plaintiffs' Counsel any basis to abrogate this Settlement.

(D) If any portion of the Administration Costs authorized by Section 7(b)(iii)(A) of this Agreement is not approved by the Court, no more than the approved amount shall be paid to the Settlement Administrator out of the Gross Settlement Amount. Additionally, the difference between the Administration Costs authorized by Section 7(b)(iii)(A) and Administration Costs approved by the Court will become part of the PAGA Penalties Fund.

(iv) **Enhancement Payments.**

(A) Plaintiffs may submit an application to the Court for an award of an Enhancement Payments in an amount not to exceed \$10,000 to Plaintiff Santa Cruz and \$5,000 to Plaintiff Zamani for the time and effort and for the risk undertaken in bringing and prosecuting the Lawsuit. Defendant shall not oppose any requests by Plaintiffs for the Enhancement Payments, which will be paid out of the Gross Settlement Amount.

(B) This Agreement is not contingent upon the Court approving the Enhancement Payments in an amount equal to the maximum amount authorized by Section 7(b)(iv)(A). This Agreement and its terms shall remain fully effective and enforceable notwithstanding any decision by the Court to approve the Enhancement Payments in an amount less than that authorized by Section 7(b)(iv)(A). The Court's refusal to approve the Enhancement Payments requested by Plaintiffs' Counsel does not give Plaintiffs, Settlement Group, or Plaintiffs' Counsel any basis to abrogate this Settlement.

(C) If any portion of the Enhancement Payments authorized by Section 7(b)(iv)(A) is not approved by the Court, no more than the approved amount shall be paid to Plaintiffs from the Gross Settlement Amount. Additionally, any difference between the Enhancement Payments authorized by Section 7(b)(iv)(A) and Enhancement Payments approved by the Court will become part of the PAGA Penalties Fund.

(v) **PAGA Penalties Fund.** The portion of the Gross Settlement Amount remaining after the Attorneys' Fees Award, Litigation Costs Award, Enhancement Payments, and Administration Costs are paid shall be designated the "PAGA Penalties Fund," from which payments deemed to constitute a full and complete satisfaction of any and all claims in the Lawsuit for civil penalties under PAGA shall be made. Subject to approval by the Court, the PAGA Penalties Fund shall be allocated and paid as follows:

(A) **The LWDA Payment.** Seventy-five percent (75%) of the PAGA Penalties Fund will be paid to the LWDA for enforcement of labor laws, including the administration of PAGA, and for education of employers and employees about their rights and responsibilities under the Labor Code.

(B) **Individual Settlement Awards.** Twenty-five percent (25%) of the PAGA Penalties Fund shall be designated as the "Net Settlement Amount" and will be paid to the Settlement Group on a pro rata basis. Each Settlement Group Member will receive a pro-rated share of the Net Settlement Amount based on the total number of pay periods the Settlement Group Member worked for Defendant in California during the Settlement Period. The maximum amount that each Settlement Group Member is entitled to receive ("Individual Settlement Award") is determined by multiplying the Net Settlement Amount by a ratio that is determined by dividing the total number of pay periods worked by the Settlement Group Member for Defendant in California during the Settlement Period by the total number of pay periods worked by all Settlement Group Members for Defendant in California during the Settlement Period, as expressed in the following formula:

(1) Individual Settlement Award = Net Settlement Amount x (total number of pay periods worked by the Settlement Group Member ÷ total number of pay periods worked by all Settlement Group Members).

(2) The Parties intend the Net Settlement Amount shall be sufficient to pay all valid claims of Settlement Group Members in accordance with the formula set forth above. If for any reason the total value of payments to Settlement Group Members exceeds this amount, then payments to each Settlement Group Member will be adjusted on a pro-rata basis so that the total payout to Settlement Group Members does not exceed the Net Settlement Amount.

(c) **No Effect on Employee Benefits.** The payments made to Settlement Group Members pursuant to this Agreement shall not be utilized to calculate any additional benefits under any benefit plans to which any Settlement Group Members may be eligible, including, but not limited to, any profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, or other benefit plans available to the Settlement Group Members. It is the Parties' intention that this Agreement will not affect any rights, contributions, or amounts to which any Settlement Group Members may be entitled under any benefit plans.

(i) **Payments to Plaintiffs' Counsel.** The Attorneys' Fees Award and Litigation Costs Award shall be treated as IRS Form 1099 income. Accordingly, the Settlement Administrator shall issue an IRS Form 1099 to Justice Law Corporation in connection with its Attorneys' Fees Award and Litigation Costs Award.

(ii) **Payments to the LWDA.** The seventy-five percent (75%) of the PAGA Penalties Fund payable to the LWDA under Section 7(b)(v)(A) of this Agreement shall be deemed to consist entirely of civil penalties recovered under PAGA and subject to treatment as IRS Form 1099 income.

(iii) **Payments to the Settlement Group.** Individual Settlement Awards shall be deemed to consist entirely of civil penalties recovered under PAGA and subject to treatment as IRS Form 1099 income. An IRS Form 1099 will be issued to each Settlement Group Member in connection with their Individual Settlement Award if it is \$600 or more.

(iv) **Payment of Enhancement Payments to Plaintiffs.** The Enhancement Payments to Plaintiffs shall be treated as IRS Form 1099 income. Accordingly, the Settlement Administrator shall issue an IRS Form 1099 to Plaintiffs.

(d) **Tax Indemnification.** Plaintiffs, Plaintiffs' Counsel, Settlement Group, and applicable governmental authorities (including the LWDA) are solely responsible for all tax obligations, including all reporting and payment obligations, attributable to them that may arise as a consequence of this Agreement and the payment of the amounts set forth above in Section 7(b). Neither Defendant nor Defendant's counsel make any express or implied promise, representation, or warranty regarding the tax consequences or tax treatment under federal or state tax laws for any sum paid pursuant to this Agreement.

8. **Notice of Settlement and Distribution of Settlement Payments.**

(a) **Settlement Group Members' List.** Within twenty-one (21) business days of the Effective Date, Defendant shall provide to the Settlement Administrator a list of Settlement Group Members that identifies each of their: (i) Social Security Numbers; (ii) last known addresses; (iii) telephone numbers; and (iv) number of pay periods worked for Defendant during the Settlement Period. Defendant agrees to consult with the Settlement Administrator as required to provide the list in a format reasonably acceptable for the performance of the duties of the Settlement Administrator. The Settlement Administrator will keep the list confidential, use it only for the purposes described herein, take adequate safeguards to protect confidential or private information and return or certify the destruction of the information upon completion of the Settlement Administration process. The list of Settlement Group Members shall not be shared with Plaintiffs or Plaintiffs' Counsel.

(b) **Mailing of the Explanatory Notice Letter.** Before mailing the explanatory notice letter in the form attached hereto as **Exhibit A**, the Settlement Administrator shall update the Settlement Group Members' addresses using the National Change of Address Database ("NCOA"). Using best efforts to perform as soon as possible, and in no event later than fourteen (14) calendar days after receiving the Settlement Group Members' List, the Settlement Administrator will send the explanatory notice letter via regular First-Class U.S. Mail in English and Spanish to all Settlement Group Members identified in the list.

(c) **Returned Explanatory Notice Letter.** No later than three (3) business days after the Settlement Administrator's receipt of any explanatory notice letter by the USPS as undelivered, the Settlement Administrator shall remail the explanatory notice letter using any forwarding address provided by the USPS. If the USPS does not provide a forwarding address, the Settlement Administrator shall use the NCOA and skip tracing to find the most current address and remail the explanatory notice letter to the most current address obtained.

(d) **Challenges to the Allocation of Pay Periods.** Each Settlement Group Member shall have sixty (60) calendar days after the Settlement Administrator mails the explanatory notice letter (plus an additional 14 calendar days for the Settlement Group Members whose explanatory notice letter are remailed) to challenge the number of pay periods allocated to them in their explanatory notice letter ("Response Deadline"). The Settlement Group Members

may challenge the allocation of pay periods by communicating with the Settlement Administrator via fax, email, or mail on or before the Response Deadline. The Settlement Administrator must encourage the challenging Settlement Group Member to submit supporting documentation. In the absence of any contrary documentation, the Settlement Administrator is entitled to presume that the pay periods contained in the explanatory notice letter are correct so long as they are consistent with the list provided by Defendant. The Settlement Administrator may make the initial decisions regarding claim disputes, but the Court may review any decision made by the Settlement Administrator regarding a claim dispute.

(e) **Funding of Settlement by Defendant.** Within fourteen (14) calendar days of the Response Deadline, Defendant shall deposit the Gross Settlement Amount into the qualified settlement fund created by the Settlement Administrator.

(f) **Timing of Payment by Settlement Administrator.** Within fourteen (14) calendar days of the funding of the Gross Settlement Amount by Defendant, the Settlement Administrator will issue the payments required by Section 7 of this Agreement to the appropriate entities or persons. The Settlement Administrator shall obtain approval from all counsel of the calculations before mailing Individual Settlement Awards.

(g) **Payment of Individual Settlement Awards.**

(i) Individual Settlement Awards will be paid in the form of a check delivered to each Settlement Group Member at the last known address on file with Defendant or as updated by the Settlement Administrator.

(ii) Individual Settlement Award checks will remain negotiable for one hundred twenty (120) calendar days after the date of their issuance ("Check Stale Date"). Any Individual Settlement Award checks that are not cashed, deposited, or negotiated on or before the Check Stale Date will be void, and the amount of those checks will be directed to the California State Controller for deposit in the Unclaimed Property Fund in the name of the employee.

(iii) Any checks to the Settlement Group returned as undeliverable on or before the Check Stale Date will be sent promptly via regular First-Class U.S. Mail to the forwarding address affixed thereto. If no forwarding address is provided, the Settlement Administrator will attempt to determine the correct address using a skip trace or other reasonable method and will then perform a single remailing.

(iv) Any Settlement Group Member who cannot be located after the above procedures are followed will continue to be bound by this Agreement. But the funds associated with such Settlement Group Member's Individual Settlement Award will be directed to the California State Controller for deposit in the Unclaimed Property Fund in their name.

9. **Releases.**

(a) **Release of PAGA Claims.** Effective on the date when Defendant fully funds the Gross Settlement Amount, this Agreement and judgment to be entered by the Court following this Agreement will forever bar Plaintiffs, all Settlement Group Members, LWDA, and any and all allegedly aggrieved employees, from pursuing civil penalties available under PAGA against Defendant and its parents, subsidiaries, affiliates, predecessors, successors in interest, agents, insurers, employees (current and former), officers, directors, and attorneys ("Released Parties") based on, or related to, the facts alleged, or could have been alleged, in the Operative Complaints and PAGA Notices and that arose during the Settlement Period ("Released Claims").

(b) **Plaintiffs' General Release and Waiver of Rights Under Civil Code Section 1542.** Effective on the date when Defendant fully funds the entire Gross Settlement Amount, in consideration of the amount of the Enhancement Payments approved by the Court, Plaintiffs agree for themselves and their spouses, heirs, successors, and assigns, to forever generally release the Released Parties from any and all charges, complaints, claims, liabilities, obligations, promises, agreements, controversies, damages, actions, causes of action, suits, rights, demands, costs, losses, debts, penalties and expenses of any nature whatsoever, from the beginning of time through the Effective Date, known or unknown, suspected or unsuspected, whether in tort, contract, equity, or otherwise, for violation of any federal, state or local statute, rule, ordinance or regulation, including, but not limited to, all claims arising out of, based upon, or relating to their employment with Defendant or remuneration for, or termination of, such employment, whether known or unknown, arising under any federal, state, or local law, or statute. Plaintiffs acknowledge that they may discover facts or law different from, or in addition to, the facts or law Plaintiffs now know or believe to be true. But Plaintiffs agree that this general release shall be and remain effective in all respects, notwithstanding such different or additional facts or his discovery of them.

For purposes of this general release, Plaintiffs expressly waive and relinquish the provisions, rights, and benefits, if any, of Civil Code section 1542, which provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release, and that if known by him or her would have materially affected his or her settlement with the debtor or Released Party.

10. **Plaintiffs' Option to Cancel the Agreement.** This Agreement was reached based on a total number of approximately 12,000 pay periods worked by Settlement Group Members in the Settlement Period. If it is determined the number of pay periods within the Settlement Period exceeds ten percent (10%) or more of 12,000 (*i.e.*, more than 13,200 pay periods), Plaintiffs shall have the option to cancel the Agreement.

11. **Waiver of Appeal Rights.** By accepting this Settlement, and upon the Court granting approval of the settlement, Plaintiffs and Plaintiffs' Counsel hereby waive any and all rights they may have to appeal any judgment, ruling, or order made by the Court in the Lawsuit including, without limitation, any order granting approval of this Settlement or dismissing the Lawsuit with prejudice. This includes all rights to any post-judgment proceeding and appellate

proceeding, such as, but not limited to, a motion to vacate judgment, a motion for new trial, a motion for relief, and any extraordinary writ, and the approval, therefore, will become non-appealable at the time it is entered. The waiver of appeal does not include any waiver of the right to oppose any appeal, appellate proceedings, or post-judgment proceedings. If an appeal is taken from the approval, the time for consummation of the Settlement (including making payments under the Settlement) will be suspended until such time as the appeal is finally resolved and the approval becomes final.

12. **Acknowledgement of Fair and Reasonable Settlement.** The Parties have arrived at this Agreement after arm's-length negotiations between experienced counsel. Each of the Parties acknowledges, agrees, and believes this Agreement is a fair, adequate, and reasonable settlement of the Lawsuit. The Parties further acknowledge they are each represented by competent counsel and that they have had an opportunity to consult with their counsel regarding the fairness and reasonableness of this Agreement. Each Party to this Agreement agrees and represents it has investigated the facts relevant to this Agreement, and all matters pertaining thereto, to the full extent that each Party deems necessary. The Parties agree this Agreement reflects their good faith compromise of the claims raised in the Lawsuit based on their assessment of the mutual risks and costs of further litigation and the assessments of their counsel.

13. **Authority to Enter the Agreement.** Each Party represents and warrants it has the right and authority to execute this Agreement. It is agreed the Settlement Group Members are so numerous that it is impossible or impractical to have each member execute this Agreement. It is agreed this Agreement may be executed on behalf of the Settlement Group Members by Plaintiffs and Plaintiffs' Counsel.

14. **Ownership of Claims.** The Parties represent they have not transferred or assigned, or purported to transfer or assign, to any person or entity any portion of any liability, claim, demand, action, cause of action or right herein released and discharged.

15. **Authorization of Counsel.** The Parties' counsel warrant and represent they are authorized by the Parties whom they represent to negotiate this Agreement and to take all appropriate action required or permitted to be taken by such Parties pursuant to this Agreement to effectuate its terms and to execute any other documents required to effectuate its terms.

16. **Attorneys' Fees.** Except as set forth in this Agreement, each side shall bear its own costs and attorneys' fees.

17. **Confidentiality.** Except as provided below to the extent necessary to effectuate the settlement or as required by court or legal process, the Parties and their counsel will keep confidential the facts relating to the existence of this Agreement, negotiations leading to the execution of this Agreement, terms of this Agreement, and alleged factual basis for Plaintiffs' claims, if any. Neither the Parties nor their counsel shall issue a press release, hold a press conference, publish information about the settlement on any website or through any social media, or otherwise publicize the settlement or communicate its terms in public or in private, unless ordered by the Court. However, for the limited purpose of establishing adequacy of counsel in future actions, Plaintiffs' Counsel may reference the Action only by name and case number in a

declaration establishing adequacy as class or representative counsel. Breach of this provision by Plaintiffs or Plaintiffs' Counsel shall entitle Defendant, in the exercise of their sole discretion, to nullify the Settlement at any time before approval by the Court. Should Plaintiffs at any time breach this provision, Plaintiffs shall forfeit to Defendant the full amount of the Enhancement Payments. Without limitation by the foregoing, Defendant also may enforce this provision through an action for injunctive relief. Plaintiffs waive any obligation by Defendant to file a bond in connection with any such action.

18. **Duty to Cooperate.** Each Party agrees it will abide by this Agreement and that the Parties and their counsel will cooperate with each other to affect the implementation of this Agreement. The Parties pledge their good faith and fair dealing in supporting the approval of this Agreement by the Court, including, but not limited to, fully documenting the settlement, completing or filing any other documents or pleadings, arguing that the terms and conditions agreed upon should be enforced fully, commencing any required action (either in court or in arbitration), jointly appealing and/or otherwise exhausting judicial review of any adverse ruling, etc. If the Parties are unable to reach agreement on the form or content of any document needed to implement the Agreement or on any supplemental provisions that may become necessary to effectuate the terms of this Agreement, the Parties may seek the assistance of the mediator who facilitated the negotiation of this Agreement. If the Parties cannot resolve their dispute with the assistance of the mediator, the Parties may seek the assistance of the Court.

19. **Nullification of Agreement.** Defendant's payment of the Gross Settlement Amount shall be subject to the Court granting approval. If the Court fails to grant approval, the Parties shall be returned to their original positions as though this Agreement had never been entered into at all, with the exception that if any settlement administration costs are due and payable, the Parties agree to split those costs.

20. **Defendant's Option to Revoke.** If the settlement, or any terms therein, is modified, vacated, altered, or becomes otherwise subject to challenge by law, including, but not limited to, any law that became effective after the execution of this Agreement, so that this Agreement is not approved by the Court in its entirety and the intent of the Parties cannot be accomplished after jointly taken efforts to enforce it have been exhausted, Defendant shall have the option to revoke this Agreement at its sole discretion.

21. **Severability.** If any clause or provision of this Agreement is declared illegal or unenforceable, it shall be modified as minimally as necessary to be enforceable. If the provision cannot be modified to be enforceable, such provision shall immediately become null and void, leaving the remainder of this Agreement in full force and effect.

22. **Governing Law and Interpretation.** This Agreement shall be governed in accordance with the laws of the State of California. The Agreement shall be interpreted in accordance with the plain meaning of its terms and shall not be strictly interpreted for or against any of the Parties to this Agreement. Given that all Parties hereto had the opportunity to draft, review, and edit the language of this Agreement, the Parties expressly waive the benefit of any legal principle or rule providing that in cases of uncertainty the language of a contract should be interpreted against the Party who caused the uncertainty to exist.

23. **Continuing Jurisdiction of the Court.** Pursuant to Code of Civil Procedure section 664.6, the Court shall retain continuing jurisdiction over the Lawsuit and Parties to the fullest extent necessary to enforce and effectuate the terms and intent of this Agreement.

24. **Admissibility of Agreement.** The Parties agree this Agreement is admissible for the purposes of proving up and or enforcing the terms of the Agreement, as set forth herein, pursuant to Evidence Code section 1123.

25. **Amendment and Modification.** This Agreement may not be modified, altered, or changed, except by a written instrument signed by either the Parties or their successors-in-interest or counsel for all Parties.

26. **Discovery of Documents and Information.** Plaintiffs and Plaintiffs' Counsel agree that they will destroy all documents and information provided to them by Defendant during this Lawsuit within sixty (60) calendar days after the completion of the administration of the Settlement. Plaintiffs' Counsel agree that none of the documents and information provided to them by Defendant shall be used for any purpose other than Settlement of the Lawsuit.

27. **Binding on Successors and Assigns.** This Agreement will be binding upon, and inure to the benefit of, the Parties hereto and their agents, employees, representatives, officers, directors, parents, subsidiaries, assigns, heirs, executors, administrators, insurers, and successors in interest.

28. **No Representations.** The Parties acknowledge, except as expressly set forth herein, no representation of any kind or character has been made to induce the execution of this Agreement.

29. **Counterparts.** This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. Signatures sent by facsimile transmission or scanned e-mail transmission have the same force, validity, and effect as original signatures.

* * *

IN WITNESS WHEREOF, the Parties knowingly and voluntarily accept the terms of this Amended Settlement Agreement and Release by affixing their signatures below.

Dated: 02/13/2025

Cameron Santa Cruz

By: *Cameron Santa Cruz*

Dated: 2/13/2025

Justice Law Corporation [Approving as to Form Only]

By: *D. Han*
Douglas Han, Esq.
Shunt Tatavos-Gharajeh, Esq.
Attorneys for Plaintiff Cameron Santa Cruz

Dated: _____

Farroz (Michael) Zamani

By: _____

Dated: _____

Keegan & Baker, LLP [Approving as to Form Only]

By: _____
Jason E. Baker, Esq.
John J. Weber, Esq.
Attorneys for Plaintiff Farroz (Michael) Zamani

IN WITNESS WHEREOF, the Parties knowingly and voluntarily accept the terms of this Amended Settlement Agreement and Release by affixing their signatures below.

Dated: _____

Cameron Santa Cruz

By: _____

Dated: _____

Justice Law Corporation [Approving as to Form Only]

By: _____

Douglas Han, Esq.

Shunt Tatavos-Gharajeh, Esq.

Attorneys for Plaintiff Cameron Santa Cruz

Dated: 2/27/2025

Farroz (Michael) Zamani

By: _____

DocuSigned by:

FARROZ M. ZAMANI

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Dated: 2/27/2025

Keegan & Baker, LLP [Approving as to Form Only]

By: _____

Signed by:

John J. Weber

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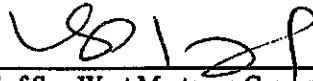
Jason E. Baker, Esq.

John J. Weber, Esq.

Attorneys for Plaintiff Farroz (Michael) Zamani

Dated: 2/26/2025

Sun West Mortgage Company, Inc.

By: 
On behalf of Sun West Mortgage Company, Inc.

Dated: 02/27/2025

**Early Sullivan Wright Gizer & McRae LLP [Approving as to
Form Only]**

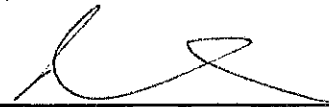
By: 
Scott E. Gizer, Esq.
Diane M. Luczon, Esq.
Padideh Zargari, Esq.
Attorneys for Defendant

EXHIBIT A

NOTICE OF PAGA SETTLEMENT

Santa Cruz v. Sun West Mortgage Company, Inc.
Superior Court of California, County of Orange
Case No. 30-2022-01239675-CU-OE-CXC
(Related to Case No. 30-2022-01255275-CU-OE-CXC)

Dear [Settlement Group Member's Name]:

Enclosed in this letter is the information regarding the settlement of the lawsuit filed in the Superior Court of California, County of Orange, entitled *Santa Cruz v. Sun West Mortgage Company, Inc.*, Case Number 30-2022-01239675-CU-OE-CXC (related to Case No. 30-2022-01255275-CU-OE-CXC). Both the *Santa Cruz* lawsuit (Case Number 30-2022-01239675-CU-OE-CXC) and *Zamani* lawsuit (Case No. 30-2022-01255275-CU-OE-CXC) are collectively known as the "Action." This settlement is settling both lawsuits. The purpose of this letter is to provide you with basic information about the Action, pay periods allocated to you that will impact your settlement check, and effect of the settlement on your legal rights.

I. What is a Representative PAGA Action

A representative Private Attorneys General Act of 2004 ("PAGA") action is a type of representative lawsuit authorized by the Labor Code section 2698 (PAGA), which allows aggrieved employees to file lawsuits to recover civil penalties on behalf of themselves, other employees, and the State of California for violations of the Labor Code. An aggrieved employee is any person who was employed by the subject employer, and against whom one or more of the alleged Labor Code violations was committed. An employee who files a representative PAGA action is acting as an agent of California's labor law enforcement agencies, who have the power to initiate an enforcement action directly.

A representative PAGA action is different from a class action in that an employee filing a representative PAGA action does not resolve the claims of other similarly situated employees. Instead, the employee is acting as an agent of the State of California to obtain penalties for themselves and aggrieved employees for Labor Code violations allegedly committed against those employees pursuant to PAGA. Penalties awarded in a representative PAGA action are measured by the number of Labor Code violations committed by the employer, a portion of which (75%) must be paid to the State of California. Finally, aggrieved employees do not have the right to opt out of (or object to) a representative PAGA action.

II. The Action

On February 1, 2022 and October 26, 2021, Plaintiff Farroz (Michael) Zamani and Plaintiff Cameron Santa Cruz (“Plaintiffs,” “Plaintiff Santa Cruz,” and “Plaintiff Zamani”), former employees of Defendant Sun West Mortgage Company, Inc. (“Defendant”), submitted letters to the California Labor and Workforce Development Agency (“LWDA”) alleging that Defendant subjected them and other current and former hourly-paid, commission-based, salaried, non-exempt, and/or exempt employees of Defendant within the State of California to certain violations under the Labor Code, including Labor Code sections 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800, and 2802 (“PAGA Notices”).

The above-mentioned violations were premised upon the alleged: (1) failure to compensate their hourly-paid, commission-based, salaried, non-exempt, and/or exempt employees for all hours worked; (2) failure to properly pay all wages owed; (3) failure to provide, authorize, and/or permit meal and rest breaks (or pay premiums in lieu thereof); (4) failure to keep and provide complete and accurate wage statements; (5) failure to reimburse employees for all necessary business expenses and related costs; (6) failure to timely pay all wages due during employment and upon termination; (7) failure to maintain accurate and complete payroll records, and (8) misclassification of exempt employees (“PAGA Claim”).

The Action includes the complaint Plaintiff Santa Cruz filed on January 4, 2022 and the complaint filed by Plaintiff Zamani on April 15, 2022 (“Operative Complaints”) that seek to recover civil penalties and attorneys’ fees based on the PAGA Claims.

In the Action, Plaintiffs claimed Defendant owed civil penalties under PAGA to Plaintiffs and the Settlement Group Members for alleged violations of the Labor Code. Defendant denies it violated the Labor Code, denies the allegations in the Operative Complaints, and denies all liability under PAGA to Plaintiffs, Settlement Group Members, and State of California.

The merits of the Action have not been determined. However, on [REDACTED], the Superior Court of California, County of Orange, approved a settlement of the Action that Defendant entered to avoid the costs and disruption of litigation.

III. Who are the Settlement Group Members

The Settlement Group, Settlement Group Members, and Settlement Period are defined as:

All current or former employees of Defendant who worked with loans, including, but not limited to, the following: Area Manager, Assistant Branch Manager, Assistant Manager, Loan Officer, Assistant Sales Manager, Assistant Vice President - Sales Finance, Associate Loan Officer, Branch Business Development Associate, Branch Manager, Branch Manager Assistant, Branch Marketing Assistant, Branch Operations Assistant, Branch Operations Manager, Branch Sales Coordinator, Business Development, Business Development Manager, Business Development Officer, Business Development Partner, Call Center Manager, Call Center Mortgage Loan Officer, Client Relations Manager, Client Relations Specialist, Client Services Specialist, Client Support Specialist, Customer Care Level II, Customer Service Specialist, DE Underwriter, Division

Underwriting Manager, Field Mortgage Loan Officer, Funder, Insuring, Insuring Officer, Junior Processor, Level 1 Support Analyst, Loan Coordinator, Loan Coordinator Team Lead/Relationship Manager, Loan Coordinator/Processor Assistant, Loan Officer, Loan Officer Assistant, Loan Partner, Loan Processor, Loan Production Manager, Loan Support, Loss Mitigation Underwriter, MLO, Renovation Specialist, MLO/Business Development Officer, Market Manager, Mortgage Consultant, Mortgage Loan Officer, Mortgage Loan Originator, Non Producing Sales Manager, Non-Producing Branch Manager, Operations Manager, Part Time - Client relations Specialist, Part Time Mortgage Loan Officer, Part time Senior Mortgage Loan Originator, Pipeline Analyst, Pipeline Manager, Post Closing Analyst, Pricing Expert, Processor, Producing Branch Manager, Production – Team Lead, Production Assistant, Production Manager, Production Manager – Senior Loan Officer, Production Manager / Escalations Specialist, QC Analyst, Recruiter & Call Center MLO, Regional Manager, Regional Operations Manager, Regional Sales Manager, Relationship Manager, Relationship Manager / Loan Partner, Risk Management Specialist, Sales Manager, Senior Loan Officer, Senior Manager, Senior Mortgage Loan Officer, Senior Mortgage Trainer, Senior Processor, Senior Underwriter, Senior Vice President – Business Development, Senior Vice President Production Management, Subject Matter Specialist – DE/VA/USDA Underwriter, Trailing Docs Associate, Transaction Assistant, Underwriter, Underwriter – Level 1, Underwriting Supervisor, VA Underwriter, Vendor Order Support, Western Region Sales Manager, Western Regional Manager, Wholesale Production Supervisor, and Wholesale Sales Manager, excluding executive positions and administrative positions, within the State of California at any time during the period from January 4, 2021 through May 19, 2024.

IV. Why This Letter Is Being Sent to You

The settlement approved by the Superior Court of California, County of Orange, involves payment of civil penalties. In accordance with PAGA, seventy-five percent (75%) of the civil penalties paid under the settlement goes to the California Labor and Workforce Development Agency. The remaining twenty-five percent (25%) of the civil penalties paid under the settlement will be distributed to all Settlement Group Members. This letter is being sent to you because you have been identified as a Settlement Group Member.

V. Summary of the Settlement Payments

After engaging in discovery, investigations, and negotiations, the Parties negotiated a settlement for this Action after attending mediation to avoid the burden and uncertainty of further litigation. Pursuant to the settlement, Defendant agreed to pay \$900,000 (“Gross Settlement Amount”). Since you have been determined to be a Settlement Group Member, you will receive your portion of the settlement after the following payments have been deducted from the Gross Settlement Amount:

- 1) 33.33% of the Gross Settlement Amount as the Attorneys’ Fees Award to Plaintiffs’ Counsel and \$27,000 as the Litigation Costs Award to Plaintiffs’ Counsel for their time and effort expended on this Action;
- 2) \$7,500 as the Administration Costs to the Settlement Administrator for assisting the Parties with administering this Action; and

- 3) \$5,000 to Plaintiff Santa Cruz and \$5,000 to Plaintiff Zamani for the time and effort and for the risk undertaken in bringing and prosecuting this Action.

The portion of the Gross Settlement Amount remaining after the Attorneys' Fees Award, Litigation Costs Award, Enhancement Payments, and Administration Costs are paid shall be designated the PAGA Penalties Fund. Seventy-five percent (75%) of the PAGA Penalties Fund will be paid to the LWDA for enforcement of labor laws, and twenty-five percent (25%) of the PAGA Penalties Fund shall be designated as the Net Settlement Amount and will be paid to the Settlement Group on a pro rata basis. The portion of the settlement that the Settlement Group Members are entitled to receive is known as the Individual Settlement Awards.

VI. How the Amount of Your Settlement Payment Was Determined

Based on Defendant's records, it was determined that you worked [REDACTED] pay periods during the period from January 4, 2021 through May 19, 2024. Based on the total number of pay periods allocated to you, your settlement payment is calculated to be \$ [REDACTED].

Each Settlement Group Member's Individual Settlement Award will be determined by multiplying the Net Settlement Amount by a ratio that is determined by dividing the total number of pay periods worked by the Settlement Group Member for Defendant in California during the Settlement Period by the total number of pay periods worked by all Settlement Group Members for Defendant in California during the Settlement Period, as expressed in the following formula:

- 1) Individual Settlement Award = Net Settlement Amount x (total number of pay periods worked by the Settlement Group Member ÷ total number of pay periods worked by all Settlement Group Members).

If you believe that the number of pay periods allocated to you is incorrect, you may dispute this information by faxing, emailing, or mailing a written dispute along with supporting documentation providing contrary information to the Settlement Administrator at [REDACTED] on or before [REDACTED]. The Settlement Administrator may make the initial decisions regarding claim disputes, but the Court may review any decision made by the Settlement Administrator regarding a claim dispute.

The settlement check that will be mailed to you following the expiration of the above-mentioned deadline or at the conclusion of any dispute and will remain negotiable for one hundred twenty (120) calendar days after the date of its issuance ("Check Stale Date"). If your settlement check is not cashed, deposited, or negotiated on or before the Check Stale Date, it will be voided and directed to the California State Controller's Unclaimed Property Fund in your name.

VII. Consequences of the Settlement

The Action was filed exclusively as a representative action under PAGA, which functions as a substitute for an enforcement action by the State of California. As stated above, you cannot exclude yourself from this settlement.

The Court's order and judgment approving the settlement of the Action binds all persons, including nonparty employees, who would be bound by a judgment in an action brought by California itself. As a result, although you are not a "party" to the Action, the judgment entered upon settlement of the Action is binding on you with respect to any claims for civil penalties under PAGA encompassed by the settlement. That is true regardless of whether you choose to cash, deposit, or negotiate your settlement check. In other words, even if you do not cash your settlement check, you will still be bound by the release set forth below.

Effective on the date when Defendant fully funds the Gross Settlement Amount, the Settlement Agreement and judgment to be entered by the Court following the Settlement Agreement will forever bar Plaintiffs, all Settlement Group Members, LWDA, and any and all allegedly aggrieved employees, from pursuing civil penalties available under PAGA against Defendant and its parents, subsidiaries, affiliates, predecessors, successors in interest, agents, insurers, employees (current and former), officers, directors, and attorneys ("Released Parties") based on the facts alleged in the Operative Complaints and PAGA Notices and that arose during the Settlement Period ("Released Claims").

VIII. Taxation of Your Individual Settlement Award and Your Tax Responsibilities

You will be issued an IRS Form 1099 in connection with the funds paid to you via your settlement check if the amount is \$600 or more. You are solely responsible for all tax obligations attributable to you that may arise because of receiving your settlement check. If you have any tax-related questions regarding your settlement check, you should direct them to your own tax advisor.

IX. Where to Get More Information

Do not call or contact the Superior Court of California, County of Orange, about this letter, settlement, your settlement check, or Action in general. If you have questions, you may contact [REDACTED], the Settlement Administrator, at [REDACTED].

Dated: **INSERT DATE**

EXHIBIT 2

DOUGLAS HAN (SBN 232858)
SHUNT TATAVOS-GHARAJEH (SBN 272164)
JUSTICE LAW CORPORATION
751 N. Fair Oaks Avenue, Suite 101
Pasadena, California 91103
Telephone: (818) 230-7502
Facsimile: (818) 230-7259

Attorneys for Plaintiff Cameron Santa Cruz

[Counsel for Farroz (Michael) Zamani on the next page]

SCOTT E. GIZER (SBN 221962)
DIANE LUCZON (SBN 235059)
PADIDEH ZARGARI (SBN 307409)
EARLY SULLIVAN WRIGHT GIZER & McRAE LLP
6420 Wilshire Boulevard, 17th Floor
Los Angeles, California 90048
Telephone: (323) 301-4660
Facsimile: (323) 301-4676

Attorneys for Defendant

SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF ORANGE

CAMERON SANTA CRUZ, individually,
and on behalf of aggrieved employees
pursuant to the Private Attorneys General
Act ("PAGA");

Plaintiff,

v.

SUN WEST MORTGAGE COMPANY,
INC., a California corporation; and DOES 1
through 100, inclusive;

Defendants.

Case No.: 30-2022-01239675-CU-OE-CXC

Assigned for All Purposes to:
Honorable Melissa McCormick
Department CX-104

**AMENDMENT TO THE AMENDED
SETTLEMENT AGREEMENT AND
RELEASE**

Complaint Filed: January 4, 2022
Trial Date: None Set

1 JASON E. BAKER (SBN 197666)
2 JOHN J. WEBER (SBN 313824)
3 **KEEGAN & BAKER, LLP**
4 5820 Oberlin Drive, Suite 205
5 San Diego, California 92121
6 Telephone: (858) 558-9402/7
7 Facsimile: (858) 558-9401

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Attorneys for Plaintiff Farroz (Michael) Zamani in Case No. 30-2022-01255275-CU-OE-CXC

1 This Amendment to the Amended Settlement Agreement and Release is entered by
2 Plaintiffs Cameron Santa Cruz and Farroz (Michael) Zamani ("Plaintiffs," "Plaintiff Santa
3 Cruz," and "Plaintiff Zamani") on behalf of themselves and as representative aggrieved
4 employees pursuant to Labor Code sections 2698, *et seq.* (Private Attorneys General Act of
5 2004 ("PAGA")) and Defendant Sun West Mortgage Company, Inc. ("Defendant").

6 **RECITALS**

7 1. On February 27, 2025, the Parties fully executed the Amended Settlement
8 Agreement and Release ("Amended Settlement Agreement") representing the long-form
9 agreement submitted to the Court for approval.

10 2. On March 4, 2025, Plaintiff submitted the Amended Settlement Agreement to
11 the Court for approval, which was set for hearing on March 20, 2025.

12 3. On March 20, 2025, the Court issued a tentative ruling instructing the Parties to
13 modify portions of the Amended Settlement Agreement (and exhibit), as well as provide
14 supplemental information for the Court's review, and continued the Motion for PAGA
15 Approval hearing to July 17, 2025.

16 4. Thereafter, the Parties met and conferred and agreed to modify certain portions
17 of the Amended Settlement Agreement (and exhibit) consistent with the Court's order and
18 hereby submit this Amendment to the Amended Settlement Agreement and Release.

19 **STIPULATION**

20 **1. Newly added Section 30 shall read as follows:**

21 The Parties expressly intend for this settlement to settle the *Zamani* lawsuit (Case No.
22 30-2022-01255275-CU-OE-CXC) alongside the *Santa Cruz* lawsuit (Case No. 30-2022-
23 01239675-CU-OE-CXC). Relatedly, the Parties will dismiss both the *Santa Cruz* lawsuit and
24 *Zamani* lawsuit once the following have occurred: (a) Defendant has funded the Gross
25 Settlement Amount; (b) the settlement payments have been mailed to the appropriate entities
26 and persons; (c) the Check Stale Date has expired; and (4) the Parties have appeared before the
27 Court at a compliance hearing to confirm that the Gross Settlement Amount has been funded
28 and the settlement payments have been successfully disbursed.

1 **2. Section 9(a) previously read as follows:**

2 **Release of PAGA Claims.** Effective on the date when Defendant fully funds the Gross
3 Settlement Amount, this Agreement and judgment to be entered by the Court following this
4 Agreement will forever bar Plaintiffs, all Settlement Group Members, LWDA, and any and all
5 allegedly aggrieved employees, from pursuing civil penalties available under PAGA against
6 Defendant and its parents, subsidiaries, affiliates, predecessors, successors in interest, agents,
7 insurers, employees (current and former), officers, directors, and attorneys (“Released Parties”)
8 based on, or related to, the facts alleged, or could have been alleged, in the Operative
9 Complaints and PAGA Notices and that arose during the Settlement Period (“Released
10 Claims”).

11 **Section 9(a) shall now read as follows:**

12 **Release of PAGA Claims.** Effective on the date when Defendant fully funds the Gross
13 Settlement Amount, this Agreement and judgment to be entered by the Court following this
14 Agreement will forever bar Plaintiffs, all Settlement Group Members, LWDA, and any and all
15 allegedly aggrieved employees, from pursuing civil penalties available under PAGA against
16 Defendant and its parents, subsidiaries, affiliates, predecessors, successors in interest, agents,
17 insurers, employees (current and former), officers, directors, and attorneys (“Released Parties”)
18 based on the facts alleged in the Operative Complaints and PAGA Notices and that arose
19 during the Settlement Period (“Released Claims”).

20 **3. Section 7(b)(iv)(A) previously read as follows:**

21 Plaintiffs may submit an application to the Court for an award of an Enhancement
22 Payments in an amount not to exceed \$10,000 to Plaintiff Santa Cruz and \$5,000 to Plaintiff
23 Zamani for the time and effort and for the risk undertaken in bringing and prosecuting the
24 Lawsuit. Defendant shall not oppose any requests by Plaintiffs for the Enhancement Payments,
25 which will be paid out of the Gross Settlement Amount.

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Section 7(b)(iv)(A) shall now read as follows:

Plaintiffs may submit an application to the Court for an award of an Enhancement Payments in an amount not to exceed \$5,000 to Plaintiff Santa Cruz and \$5,000 to Plaintiff Zamani for the time and effort and for the risk undertaken in bringing and prosecuting the Lawsuit. Defendant shall not oppose any requests by Plaintiffs for the Enhancement Payments, which will be paid out of the Gross Settlement Amount.

4. Section 7(b)(i)(A) previously read as follows:

Plaintiffs' Counsel in the Lawsuit – Douglas Han and Shunt Tatavos-Gharajeh of Justice Law Corporation and Jason E. Baker and John Weber of Keegan & Baker, LLP (“Plaintiffs’ Counsel”) – may submit a combined application to the Court for an award of attorneys’ fees in an amount not to exceed the gross sum of thirty-five percent (35%) of the Gross Settlement Amount. Defendant will not oppose or object to this application. Defendant shall have no liability for attorneys’ fees except as provided for in this Agreement.

Section 7(b)(i)(A) shall now read as follows:

Plaintiffs' Counsel in the Lawsuit – Douglas Han and Shunt Tatavos-Gharajeh of Justice Law Corporation and Jason E. Baker and John Weber of Keegan & Baker, LLP (“Plaintiffs’ Counsel”) – may submit a combined application to the Court for an award of attorneys’ fees in an amount not to exceed the gross sum of 33.33% of the Gross Settlement Amount. Defendant will not oppose or object to this application. Defendant shall have no liability for attorneys’ fees except as provided for in this Agreement.

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Dated: 06/24/2025

CAMERON SANTA CRUZ

Cameron Santa Cruz

Dated: _____

FARROZ (MICHAEL) ZAMANI

Dated: _____

SUN WEST MORTGAGE COMPANY, INC.

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Dated: _____

CAMERON SANTA CRUZ

Dated: 6/20/2025

FARROZ (MICHAEL) ZAMANI

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Farroz Michael Zamani
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Dated: _____

SUN WEST MORTGAGE COMPANY, INC.

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Dated: _____

CAMERON SANTA CRUZ

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FARROZ (MICHAEL) ZAMANI

Dated: 6/16/2025

SUN WEST MORTGAGE COMPANY, INC.

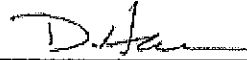
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Name: JENNIFER VALLINAYAGAM
Title: CDO

APPROVED AS TO FORM

Dated: June 24, 2025

JUSTICE LAW CORPORATION

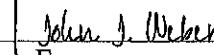


Douglas Han, Esq.
Shunt Tatavos-Gharajeh, Esq.
Attorneys for Plaintiff Cameron Santa Cruz

Dated: 6/18/2025

KEAGAN & BAKER, LLP

Signed by:



Jason E. Baker, Esq.
John J. Weber, Esq.
Attorneys for Plaintiff Farroz (Michael) Zamani

Dated: _____

**EARLY SULLIVAN WRIGHT GIZER & McRAE
LLP**

Scott E. Gizer, Esq.
Diane M. Luczon, Esq.
Padideh Zargari, Esq.
Attorneys for Defendant

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Dated: _____

JUSTICE LAW CORPORATION

Douglas Han, Esq.
Shunt Tatavos-Gharajeh, Esq.
Attorneys for Plaintiff Cameron Santa Cruz

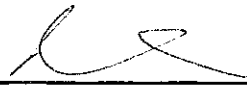
Dated: _____

KEAGAN & BAKER, LLP

Jason E. Baker, Esq.
John J. Weber, Esq.
Attorneys for Plaintiff Farroz (Michael) Zamani

Dated: 06/16/2025

**EARLY SULLIVAN WRIGHT GIZER & McRAE
LLP**



Scott E. Gizer, Esq.
Diane M. Luczon, Esq.
Padideh Zargari, Esq.
Attorneys for Defendant

EXHIBIT 3

NOTICE OF PAGA SETTLEMENT

Santa Cruz v. Sun West Mortgage Company, Inc.
Superior Court of California, County of Orange
Case No. 30-2022-01239675-CU-OE-CXC
(Related to Case No. 30-2022-01255275-CU-OE-CXC)

Dear [Settlement Group Member's Name]:

Enclosed in this letter is the information regarding the settlement of the lawsuit filed in the Superior Court of California, County of Orange, entitled *Santa Cruz v. Sun West Mortgage Company, Inc.*, Case Number 30-2022-01239675-CU-OE-CXC (related to Case No. 30-2022-01255275-CU-OE-CXC). Both the *Santa Cruz* lawsuit (Case Number 30-2022-01239675-CU-OE-CXC) and *Zamani* lawsuit (Case No. 30-2022-01255275-CU-OE-CXC) are collectively known as the "Action." This settlement is settling both lawsuits. The purpose of this letter is to provide you with basic information about the Action, pay periods allocated to you that will impact your settlement check, and effect of the settlement on your legal rights.

I. What is a Representative PAGA Action

A representative Private Attorneys General Act of 2004 ("PAGA") action is a type of representative lawsuit authorized by the Labor Code section 2698 (PAGA), which allows aggrieved employees to file lawsuits to recover civil penalties on behalf of themselves, other employees, and the State of California for violations of the Labor Code. An aggrieved employee is any person who was employed by the subject employer, and against whom one or more of the alleged Labor Code violations was committed. An employee who files a representative PAGA action is acting as an agent of California's labor law enforcement agencies, who have the power to initiate an enforcement action directly.

A representative PAGA action is different from a class action in that an employee filing a representative PAGA action does not resolve the claims of other similarly situated employees. Instead, the employee is acting as an agent of the State of California to obtain penalties for themselves and aggrieved employees for Labor Code violations allegedly committed against those employees pursuant to PAGA. Penalties awarded in a representative PAGA action are measured by the number of Labor Code violations committed by the employer, a portion of which (75%) must be paid to the State of California. Finally, aggrieved employees do not have the right to opt out of (or object to) a representative PAGA action.

II. The Action

On February 1, 2022 and October 26, 2021, Plaintiff Farroz (Michael) Zamani and Plaintiff Cameron Santa Cruz (“Plaintiffs,” “Plaintiff Santa Cruz,” and “Plaintiff Zamani”), former employees of Defendant Sun West Mortgage Company, Inc. (“Defendant”), submitted letters to the California Labor and Workforce Development Agency (“LWDA”) alleging that Defendant subjected them and other current and former hourly-paid, commission-based, salaried, non-exempt, and/or exempt employees of Defendant within the State of California to certain violations under the Labor Code, including Labor Code sections 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800, and 2802 (“PAGA Notices”).

The above-mentioned violations were premised upon the alleged: (1) failure to compensate their hourly-paid, commission-based, salaried, non-exempt, and/or exempt employees for all hours worked; (2) failure to properly pay all wages owed; (3) failure to provide, authorize, and/or permit meal and rest breaks (or pay premiums in lieu thereof); (4) failure to keep and provide complete and accurate wage statements; (5) failure to reimburse employees for all necessary business expenses and related costs; (6) failure to timely pay all wages due during employment and upon termination; (7) failure to maintain accurate and complete payroll records, and (8) misclassification of exempt employees (“PAGA Claim”).

The Action includes the complaint Plaintiff Santa Cruz filed on January 4, 2022 and the complaint filed by Plaintiff Zamani on April 15, 2022 (“Operative Complaints”) that seek to recover civil penalties and attorneys’ fees based on the PAGA Claims.

In the Action, Plaintiffs claimed Defendant owed civil penalties under PAGA to Plaintiffs and the Settlement Group Members for alleged violations of the Labor Code. Defendant denies it violated the Labor Code, denies the allegations in the Operative Complaints, and denies all liability under PAGA to Plaintiffs, Settlement Group Members, and State of California.

The merits of the Action have not been determined. However, on [REDACTED], the Superior Court of California, County of Orange, approved a settlement of the Action that Defendant entered to avoid the costs and disruption of litigation.

III. Who are the Settlement Group Members

The Settlement Group, Settlement Group Members, and Settlement Period are defined as:

All current or former employees of Defendant who worked with loans, including, but not limited to, the following: Area Manager, Assistant Branch Manager, Assistant Manager, Loan Officer, Assistant Sales Manager, Assistant Vice President - Sales Finance, Associate Loan Officer, Branch Business Development Associate, Branch Manager, Branch Manager Assistant, Branch Marketing Assistant, Branch Operations Assistant, Branch Operations Manager, Branch Sales Coordinator, Business Development, Business Development Manager, Business Development Officer, Business Development Partner, Call Center Manager, Call Center Mortgage Loan Officer, Client Relations Manager, Client Relations Specialist, Client Services Specialist, Client Support Specialist, Customer Care Level II, Customer Service Specialist, DE Underwriter, Division

Underwriting Manager, Field Mortgage Loan Officer, Funder, Insuring, Insuring Officer, Junior Processor, Level 1 Support Analyst, Loan Coordinator, Loan Coordinator Team Lead/Relationship Manager, Loan Coordinator/Processor Assistant, Loan Officer, Loan Officer Assistant, Loan Partner, Loan Processor, Loan Production Manager, Loan Support, Loss Mitigation Underwriter, MLO, Renovation Specialist, MLO/Business Development Officer, Market Manager, Mortgage Consultant, Mortgage Loan Officer, Mortgage Loan Originator, Non Producing Sales Manager, Non-Producing Branch Manager, Operations Manager, Part Time - Client relations Specialist, Part Time Mortgage Loan Officer, Part time Senior Mortgage Loan Originator, Pipeline Analyst, Pipeline Manager, Post Closing Analyst, Pricing Expert, Processor, Producing Branch Manager, Production – Team Lead, Production Assistant, Production Manager, Production Manager – Senior Loan Officer, Production Manager / Escalations Specialist, QC Analyst, Recruiter & Call Center MLO, Regional Manager, Regional Operations Manager, Regional Sales Manager, Relationship Manager, Relationship Manager / Loan Partner, Risk Management Specialist, Sales Manager, Senior Loan Officer, Senior Manager, Senior Mortgage Loan Officer, Senior Mortgage Trainer, Senior Processor, Senior Underwriter, Senior Vice President – Business Development, Senior Vice President Production Management, Subject Matter Specialist – DE/VA/USDA Underwriter, Trailing Docs Associate, Transaction Assistant, Underwriter, Underwriter – Level 1, Underwriting Supervisor, VA Underwriter, Vendor Order Support, Western Region Sales Manager, Western Regional Manager, Wholesale Production Supervisor, and Wholesale Sales Manager, excluding executive positions and administrative positions, within the State of California at any time during the period from January 4, 2021 through May 19, 2024.

IV. Why This Letter Is Being Sent to You

The settlement approved by the Superior Court of California, County of Orange, involves payment of civil penalties. In accordance with PAGA, seventy-five percent (75%) of the civil penalties paid under the settlement goes to the California Labor and Workforce Development Agency. The remaining twenty-five percent (25%) of the civil penalties paid under the settlement will be distributed to all Settlement Group Members. This letter is being sent to you because you have been identified as a Settlement Group Member.

V. Summary of the Settlement Payments

After engaging in discovery, investigations, and negotiations, the Parties negotiated a settlement for this Action after attending mediation to avoid the burden and uncertainty of further litigation. Pursuant to the settlement, Defendant agreed to pay \$900,000 ("Gross Settlement Amount"). Since you have been determined to be a Settlement Group Member, you will receive your portion of the settlement after the following payments have been deducted from the Gross Settlement Amount:

- 1) 33.33% of the Gross Settlement Amount as the Attorneys' Fees Award to Plaintiffs' Counsel and \$27,000 as the Litigation Costs Award to Plaintiffs' Counsel for their time and effort expended on this Action;
- 2) \$7,500 as the Administration Costs to the Settlement Administrator for assisting the Parties with administering this Action; and

- 3) \$5,000 to Plaintiff Santa Cruz and \$5,000 to Plaintiff Zamani for the time and effort and for the risk undertaken in bringing and prosecuting this Action.

The portion of the Gross Settlement Amount remaining after the Attorneys' Fees Award, Litigation Costs Award, Enhancement Payments, and Administration Costs are paid shall be designated the PAGA Penalties Fund. Seventy-five percent (75%) of the PAGA Penalties Fund will be paid to the LWDA for enforcement of labor laws, and twenty-five percent (25%) of the PAGA Penalties Fund shall be designated as the Net Settlement Amount and will be paid to the Settlement Group on a pro rata basis. The portion of the settlement that the Settlement Group Members are entitled to receive is known as the Individual Settlement Awards.

VI. How the Amount of Your Settlement Payment Was Determined

Based on Defendant's records, it was determined that you worked [REDACTED] pay periods during the period from January 4, 2021 through May 19, 2024. Based on the total number of pay periods allocated to you, your settlement payment is calculated to be \$[REDACTED].

Each Settlement Group Member's Individual Settlement Award will be determined by multiplying the Net Settlement Amount by a ratio that is determined by dividing the total number of pay periods worked by the Settlement Group Member for Defendant in California during the Settlement Period by the total number of pay periods worked by all Settlement Group Members for Defendant in California during the Settlement Period, as expressed in the following formula:

- 1) Individual Settlement Award = Net Settlement Amount x (total number of pay periods worked by the Settlement Group Member ÷ total number of pay periods worked by all Settlement Group Members).

If you believe that the number of pay periods allocated to you is incorrect, you may dispute this information by faxing, emailing, or mailing a written dispute along with supporting documentation providing contrary information to the Settlement Administrator at [REDACTED] on or before [REDACTED]. The Settlement Administrator may make the initial decisions regarding claim disputes, but the Court may review any decision made by the Settlement Administrator regarding a claim dispute.

The settlement check that will be mailed to you following the expiration of the above-mentioned deadline or at the conclusion of any dispute and will remain negotiable for one hundred twenty (120) calendar days after the date of its issuance ("Check Stale Date"). If your settlement check is not cashed, deposited, or negotiated on or before the Check Stale Date, it will be voided and directed to the California State Controller's Unclaimed Property Fund in your name.

VII. Consequences of the Settlement

The Action was filed exclusively as a representative action under PAGA, which functions as a substitute for an enforcement action by the State of California. As stated above, you cannot exclude yourself from this settlement.

The Court's order and judgment approving the settlement of the Action binds all persons, including nonparty employees, who would be bound by a judgment in an action brought by California itself. As a result, although you are not a "party" to the Action, the judgment entered upon settlement of the Action is binding on you with respect to any claims for civil penalties under PAGA encompassed by the settlement. That is true regardless of whether you choose to cash, deposit, or negotiate your settlement check. In other words, even if you do not cash your settlement check, you will still be bound by the release set forth below.

Effective on the date when Defendant fully funds the Gross Settlement Amount, the Settlement Agreement and judgment to be entered by the Court following the Settlement Agreement will forever bar Plaintiffs, all Settlement Group Members, LWDA, and any and all allegedly aggrieved employees, from pursuing civil penalties available under PAGA against Defendant and its parents, subsidiaries, affiliates, predecessors, successors in interest, agents, insurers, employees (current and former), officers, directors, and attorneys ("Released Parties") based on the facts alleged in the Operative Complaints and PAGA Notices and that arose during the Settlement Period ("Released Claims").

VIII. Taxation of Your Individual Settlement Award and Your Tax Responsibilities

You will be issued an IRS Form 1099 in connection with the funds paid to you via your settlement check if the amount is \$600 or more. You are solely responsible for all tax obligations attributable to you that may arise because of receiving your settlement check. If you have any tax-related questions regarding your settlement check, you should direct them to your own tax advisor.

IX. Where to Get More Information

Do not call or contact the Superior Court of California, County of Orange, about this letter, settlement, your settlement check, or Action in general. If you have questions, you may contact [REDACTED], the Settlement Administrator, at [REDACTED].

Dated: **INSERT DATE**



CONTACT INFO: 1-888-999-8628

EMAIL: INFO@TRANSAPTION.COM

Certification of Translation Accuracy

Translation of Legal Document from English to Spanish

As an authorized representative of TransapTION, a professional translation services agency, I hereby certify that the above-mentioned document has been translated by an experienced, qualified, and competent professional translator, fluent in the above-mentioned language pair and that, in our best judgment, the translated text truly reflects the content, meaning, and style of the original text and constitutes in every respect a complete and accurate translation of the original document. This document has not been translated for a family member, friend, or business associate.

This is to certify the correctness of the translation only. We do not make any claims or guarantees about the authenticity or content of the original document. Further, TransapTION assumes no liability for the way in which the translation is used by the customer or any third party, including end-users of the translation.

A copy of the translation is attached to this certification.

Translation reference to **2_025_06_12_Exhibit 4 to Second Supp Han Decl, Santa Cruz v. Sun West Mortgage Company, Inc, Case No - 30-2022-01239675-CU-OE-CXC**

Order Date: June 16, 2025

Name: Christina Guerrero Harmon

ATA Member#: 487584

Signature: Christina Harmon



TRANSAPTION



3 Germay Drive, Unit 4, Suite A#9999, Wilmington, DE 19804. United States.

TRANSLATION CERTIFICATION

I, Christina Guerrero Harmon, a California ATA-Certified Spanish Translator (ATA Member ID: 487584), am competent to translate from English into Spanish, and have reviewed and edited the translation of **2_025_06_12_Exhibit 4 to Second Supp Han Decl**, in the case Santa Cruz v. Sun West Mortgage Company, Inc, Case No - 30-2022-01239675-CU-OE-CXC, and certify that it is true and correct, to the best of my abilities.

I certify this in Santa Rosa, CA, on JUN 16, 2025.

Christina Harmon

Christina Guerrero Harmon

16/06/2025

Date

Certification Credentials may be verified online at
<https://www.atanet.org/member-directory/christina-guerrero-harmon/>

AVISO DE ACUERDO DE PAGA
Santa Cruz contra Sun West Mortgage Company, Inc.
Tribunal Superior de California, Condado de Orange
Causa n° 30-2022-01239675-CU-OE-CXC
(Relacionado con la causa n° 30-2022-01255275-CU-OE-CXC)



Estimado [Settlement Group Member's Name]:

Adjuntamos a esta carta la información relativa al Acuerdo de la Demanda entablada en el Tribunal Superior de California, Condado de Orange, titulada *Santa Cruz contra Sun West Mortgage Company, Inc.*, número de causa 30-2022-01239675-CU-OE-CXC (relacionado con la causa número 30-2022-01255275-CU-OE-CXC). Tanto la demanda de Santa Cruz (número de caso 30-2022-01239675-CU-OE-CXC) como la demanda de Zamani (número de caso 30-2022-01255275-CU-OE-CXC) se conocen colectivamente como la “Demanda”. Este acuerdo resuelve ambas demandas. El propósito de esta carta es proporcionarle información básica sobre la Acción, los periodos de pago que se le han asignado y que afectarán su cheque de acuerdo, y el efecto del acuerdo sobre sus derechos legales.

I. Qué es una demanda PAGA representativa

Una demanda representativa de la Ley General de Abogados Privados de 2004 (“PAGA” por sus siglas en inglés) es un tipo de demanda representativa autorizada por el artículo 2698 del Código Laboral (PAGA), que autoriza que los empleados agraviados establezcan demandas para recuperar sanciones civiles en su nombre, en nombre de otros empleados y en nombre del estado de California por incumplimientos del Código Laboral. Un empleado agraviado es cualquier persona que haya sido empleada por el empleador en cuestión, y contra quien se cometieron una o más de los supuestos incumplimientos del Código Laboral. Un empleado que entabla una demanda PAGA representativa está actuando como agente de los organismos de aplicación de la legislación laboral de California, que tienen la facultad de iniciar directamente una acción de cumplimiento.

Una demanda PAGA representativa se diferencia de una demanda colectiva en que un empleado que entabla una demanda PAGA representativa no resuelve las reclamaciones de otros empleados en situación similar. En lugar de ello, el empleado actúa como agente del estado de California para obtener sanciones para sí mismo y para los empleados perjudicados por los incumplimientos del Código Laboral supuestamente cometidas contra esos empleados según PAGA. Las sanciones concedidas en una demanda PAGA representativa se miden por el número de incumplimientos del Código Laboral cometidas por el empleador, una parte de las cuales (75%) debe pagarse al estado de California. Finalmente, los empleados no tienen el derecho de no participar (u objetar) una acción PAGA representativa.

II. La demanda

El 1 de febrero de 2022 y el 26 de octubre de 2021, el Demandante Farroz (Michael) Zamani y el Demandante Cameron Santa Cruz (“Demandantes”, “Demandante Santa Cruz” y “Demandante Zamani”), ex empleados del Demandado Sun West Mortgage Company, Inc. (“Demandada”), presentaron cartas a la Agencia de Trabajo y Desarrollo de la Fuerza Laboral de California (“LWDA” por sus siglas en inglés) alegando que la Demandada los sometió a ellos y a otros empleados actuales y anteriores de la Demandada pagados por hora, a comisión, asalariados, no exentos y/o exentos dentro del estado de California a ciertos incumplimientos según el Código Laboral, incluyendo los artículos 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800 y 2802 del Código Laboral (“Notificaciones PAGA”).

Las infracciones mencionadas se basaron en el supuesto: (1) no compensar a sus empleados pagados por horas, a comisión, asalariados, no exentos y/o exentos por todas las horas trabajadas; (2) no pagar adecuadamente todos los salarios debidos; (3) no proporcionar, autorizar y/o permitir pausas para comer y descansar (o pagar primas en su lugar); (4) no mantener y proporcionar declaraciones salariales completas y precisas; (5) falta de reembolso a los empleados de todos los gastos empresariales necesarios y costes relacionados; (6) falta de pago puntual de todos los salarios debidos durante el empleo y en el momento del despido; (7) falta de mantenimiento de registros de nóminas precisos y completos, y (8) clasificación errónea de empleados exentos (“Reclamación PAGA”).

La demanda incluye a la demanda entablada por el Demandante Santa Cruz el 4 de enero de 2022 y la demanda entablada por el Demandante Zamani el 15 de abril de 2022 (“Demanda Operativa”) que pretenden recuperar las sanciones civiles y los honorarios de abogados basados en las demandas PAGA.

En la demanda, los Demandantes alegaron que el Demandado adeudaba sanciones civiles según PAGA a los Demandantes y a los Miembros de la Clase del Acuerdo por supuestos incumplimientos del Código Laboral. El Demandado niega haber incumplido el Código Laboral, niega las alegaciones en la Demanda Operativa, y niega toda responsabilidad bajo PAGA hacia los Demandantes, los Miembros de la Clase del Acuerdo y el estado de California.

No se ha determinado el fondo de la demanda. Sin embargo, en [REDACTED], el Tribunal Superior de California, Condado de Orange, aprobó un Acuerdo de la demanda que entabló el Demandado para evitar los costes y trastornos del litigio.



III. Quiénes son los miembros de la Clase de Acuerdo

La Clase de Acuerdo, los Miembros de la Clase de Acuerdo y el Período de Acuerdo se definen como:

Todos los empleados actuales o anteriores del Demandado que trabajaron con préstamos, incluidos, entre otros, los siguientes: Gerente de Área, Subgerente de Sucursal, Asistente de Gerente, Oficial de Préstamos, Asistente de Gerente de Ventas, Vicepresidente Asistente - Finanzas de Ventas, Oficial de Préstamos Asociado, Asociado de Desarrollo de Negocios de Sucursal, Gerente de Sucursal, Asistente de Gerente de Sucursal, Asistente de Marketing de Sucursal, Asistente de Operaciones de Sucursal, Gerente de Operaciones de Sucursal, Coordinador de Ventas de Sucursal, Desarrollo de Negocios, Gerente de Desarrollo de Negocios, Oficial de Desarrollo de Negocios, Socio de Desarrollo de Negocios, Gerente de Centro de Llamadas, Oficial de Préstamos Hipotecarios de Centro de Llamadas, Gerente de Relaciones con Clientes, Especialista en Relaciones con Clientes, Especialista en Servicios al Cliente, Especialista en Soporte al Cliente, Atención al Cliente Nivel II, Especialista en Servicio al Cliente, Asegurador DE, Gerente de Suscripción de División, Oficial de Préstamos Hipotecarios de Campo, Financiador, Asegurador, Oficial de Aseguramiento, Procesador Junior, Analista de Soporte Nivel 1, Coordinador de Préstamos, Líder de Equipo de Coordinación de Préstamos / Gerente de Relaciones, Coordinador de Préstamos / Asistente de Procesador, Oficial de Préstamos, Asistente de Oficial de Préstamos, Socio de Préstamos, Procesador de Préstamos, Gerente de Producción de Préstamos, Soporte de Préstamos, Asegurador de Mitigación de Pérdidas, MLO, Especialista en Renovación, MLO / Oficial de Desarrollo de Negocios, Gerente de Mercado, Consultor Hipotecario, Oficial de Préstamos Hipotecarios, Originador de Préstamos Hipotecarios, Gerente de Ventas No Productivo, Gerente de Sucursal No Productivo, Gerente de Operaciones, Especialista en Relaciones con Clientes a Tiempo Parcial, Oficial de Préstamos Hipotecarios a Tiempo Parcial, Originador Senior de Préstamos Hipotecarios a Tiempo Parcial, Analista de Flujo de Trabajo, Gerente de Flujo de Trabajo, Analista de Cierre Posterior, Experto en Precios, Procesador, Gerente de Sucursal Productivo, Producción – Líder de Equipo, Asistente de Producción, Gerente de Producción, Gerente de Producción – Oficial Senior de Préstamos, Gerente de Producción / Especialista en Escalamientos, Analista de Control de Calidad, Reclutador y MLO de Centro de Llamadas, Gerente Regional, Gerente de Operaciones Regional, Gerente de Ventas Regional, Gerente de Relaciones, Gerente de Relaciones / Socio de Préstamos, Especialista en Gestión de Riesgos, Gerente de Ventas, Oficial Senior de Préstamos, Gerente Senior, Oficial Senior de Préstamos Hipotecarios, Entrenador Senior en Hipotecas, Procesador Senior, Asegurador Senior, Vicepresidente Senior – Desarrollo de Negocios, Vicepresidente Senior de Gestión de Producción, Especialista en Materia – Asegurador DE/VA/USDA, Asociado de Documentos Finales, Asistente de Transacciones, Asegurador, Asegurador – Nivel 1, Supervisor de Aseguramiento, Asegurador VA, Soporte de Órdenes de Proveedores, Gerente de Ventas de la Región Oeste, Gerente Regional del Oeste, Supervisor de Producción al por Mayor y Gerente de Ventas al por Mayor, excluyendo cargos ejecutivos y administrativos, dentro del Estado de California en cualquier momento durante el período comprendido entre el 4 de enero de 2021 y el 19 de mayo de 2024.

IV. El motivo por el cual le estamos enviando esta carta

El Acuerdo aprobado por el Tribunal Superior de California, Condado de Orange, implica el pago de sanciones civiles. De conformidad con PAGA, el setenta y cinco por ciento (75%) de las sanciones civiles pagadas según el Acuerdo se destinará a la Agencia de Desarrollo Laboral y de la Fuerza de Trabajo de California. El veinticinco por ciento (25%) restante de las sanciones civiles pagadas según el Acuerdo se distribuirá entre todos los miembros de la Clase del Acuerdo. Se le envía esta carta porque ha sido identificado como Miembro de la Clase del Acuerdo.

V. Resumen de los pagos del Acuerdo

Después de involucrarse en descubrimiento, investigaciones y negociaciones, las Partes negociaron un Acuerdo respecto de esta Acción después de asistir a mediación para evitar la carga y la incertidumbre de más litigios. De conformidad con el Acuerdo, el Demandado aceptó pagar \$900,000 ("Importe bruto del Acuerdo"). Dado que se ha determinado que usted es un Miembro de la Clase del Acuerdo, recibirá su parte del Acuerdo una vez que se hayan deducido los siguientes pagos del Importe Bruto del Acuerdo:

- 1) 33% del Monto Bruto del Acuerdo como Adjudicación de Honorarios de Abogados a los Abogados de los Demandantes y hasta \$27,000 como Adjudicación de Costas del Litigio a los Abogados de los Demandantes por su tiempo y esfuerzo invertidos en esta Demanda;
- 2) \$7,500 como Costes de Administración al Administrador del Acuerdo por asistir a las Partes en la administración de esta Demanda; y
- 3) \$5,000 a la Demandante Santa Cruz y \$5,000 a la Demandante Zamani por el tiempo y esfuerzo y por el riesgo asumido en la interposición y tramitación de esta Demanda.

La porción del Monto Bruto del Acuerdo que quede después de que se paguen la Adjudicación de Honorarios de Abogados, la Adjudicación de Costas del Litigio, los Pagos de Mejora y los Costos de Administración se designará Fondo de Sanciones PAGA. El setenta y cinco por ciento (75%) del Fondo de Sanciones PAGA se pagará a la LWDA para el cumplimiento de las leyes laborales, y el veinticinco por ciento (25%) del Fondo de Sanciones PAGA se designará como Monto Neto del Acuerdo y se pagará a la Clase del

Acuerdo en forma prorrateada. La porción del Acuerdo que los Miembros de la Clase del Acuerdo tienen derecho a recibir se conoce como las Compensaciones Individuales del Acuerdo.

VI. Cómo fue que se determinó el importe del pago que le corresponde según el Acuerdo

Con base en los registros del Demandado, se determinó que usted trabajó [REDACTED] períodos de pago durante el período comprendido entre el 4 de enero de 2021 y el 19 de mayo de 2024. Sobre la base del número total de períodos de pago asignados a usted, se calcula que el pago que le corresponde según el Acuerdo será de \$ [REDACTED].

La Adjudicación Individual del Acuerdo de cada Miembro de la Clase del Acuerdo se determinará multiplicando el Monto Neto del Acuerdo por una proporción que se determina dividiendo el número total de períodos de pago trabajados por el Miembro de la Clase del Acuerdo para el Demandado en California durante el Período del Acuerdo por el número total de períodos de pago trabajados por todos los Miembros de la Clase del Acuerdo para el Demandado en California durante el Período del Acuerdo, como se expresa en la siguiente fórmula:

- 1) Adjudicación individual del Acuerdo = Importe neto del Acuerdo x (número total de períodos de pago trabajados por el miembro de la Clase del Acuerdo ÷ número total de períodos de pago trabajados por todos los miembros de la Clase del Acuerdo).

Si cree que el número de períodos de pago que se le han asignado es incorrecto, puede disputar esta información enviando por fax, correo electrónico o correo postal una disputa por escrito junto con la documentación de apoyo que proporcione la información contraria al Administrador del Acuerdo a [REDACTED] en o antes de [REDACTED]. El Administrador del Acuerdo podrá tomar las decisiones iniciales en relación con las disputas sobre reclamaciones, pero el Tribunal podrá revisar cualquier decisión tomada por el Administrador del Acuerdo en relación con una disputa sobre reclamaciones.

El cheque resultante del Acuerdo que se le enviará por correo tras la expiración del plazo antes mencionado, o a la conclusión de cualquier disputa y seguirá siendo negociable durante ciento veinte (120) días naturales después de la fecha de su emisión ("Fecha de caducidad del cheque"). Si su cheque de Acuerdo no es cobrado, depositado o negociado antes de la Fecha de Caducidad del Cheque, será anulado y dirigido al Fondo de Propiedad No Reclamada del Controlador del estado de California a su nombre.

VII. Consecuencias del Acuerdo

La demanda se entabló exclusivamente como una acción representativa según PAGA, que funciona como sustituto de una demanda de cumplimiento por parte del estado de California. Como se ha indicado anteriormente, usted no puede excluirse de este Acuerdo.

La orden y la sentencia del Tribunal que aprueban el Acuerdo de la Demanda vinculan a todas las personas, incluidos los empleados que no son parte, que estarían vinculados por una sentencia en una acción iniciada por la propia California. Como resultado, aunque usted no sea una "parte" en la Demanda, la sentencia dictada tras el Acuerdo de la Demanda es vinculante para usted con respecto a cualquier reclamación por sanciones civiles según PAGA englobada en el Acuerdo. Esto es así independientemente de si decide cobrar, depositar o negociar su cheque del Acuerdo. En otras palabras, incluso si no cobra su cheque del Acuerdo, seguirá estando obligado por la exoneración que se establece a continuación.

A partir de la fecha en que el Demandado financie integralmente el Importe Bruto del Acuerdo, el Acuerdo de Conciliación y la sentencia que dicte el Tribunal tras el Acuerdo de Conciliación impedirán para siempre a los Demandantes, a todos los Miembros de la Clase del Acuerdo, a la LWDA y a todos y cada uno de los empleados presuntamente perjudicados, reclamar las sanciones civiles previstas en la PAGA contra el Demandado y sus matrices, filiales, afiliadas, predecesores, sucesores en interés, agentes, aseguradoras, empleados (actuales y antiguos), directores, consejeros y abogados ("Partes Exoneradas") sobre la base de los hechos alegados en las Demandas Operativas y las Notificaciones PAGA y que surgieron durante el Período de Conciliación ("Reclamaciones Ex directores y abogados ("Partes Exoneradas") basándose en los hechos alegados en las Demandas Operativas y las Notificaciones PAGA y que surgieron durante el Período de Acuerdo ("Reclamaciones Exoneradas").

VIII. Tributación de su indemnización por el Acuerdo individual y sus responsabilidades fiscales

Se le emitirá un formulario 1099 del IRS en relación con los fondos que se le paguen a través de su cheque resultante del Acuerdo si la cantidad es de \$600 o más. Usted es el único responsable de todas las obligaciones fiscales atribuibles a usted que puedan surgir debido a la recepción de su cheque de Acuerdo. Si tiene alguna pregunta relacionada con los impuestos en relación con su cheque de Acuerdo, debe dirigirla a su propio asesor fiscal.



IX. Dónde obtener más información

No llame ni se ponga en contacto con el Tribunal Superior de California, Condado de Orange, en relación con esta carta, el Acuerdo, su cheque del Acuerdo o la demanda en general. Si tiene alguna pregunta, puede ponerse en contacto con [REDACTED], el administrador del Acuerdo, en [REDACTED].

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