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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF LOS ANGELES**

DANIEL ALCARAZ, an individual, on behalf
of herself and others similarly situated,

PLAINTIFF,

v.

GRIFOLS BIOLOGICALS INC.; and DOES
1 thru 50, inclusive,

DEFENDANTS.

CASE NO. 21STCV42719
[Case Assigned for All Purposes to Hon.
Lawrence P. Riff in Dept. 7]

**DECLARATION OF ARI J. STILLER IN
SUPPORT OF PLAINTIFF'S MOTION
FOR FINAL APPROVAL OF CLASS
SETTLEMENT AND MOTION FOR
APPROVAL OF ATTORNEYS' FEES
AND COSTS**

*[Filed concurrently with Motion for Final
Approval; Motion for Approval of Attorneys'
Fees and Costs; Declarations of Daniel
Alcaraz and Jarrod Salinas, Proposed Order,
and Judgment]*

Date: April 9, 2024
Time: 11:00 A.M.
Dept.: 7

Complaint Filed: November 19, 2021
FAC Filed: January 24, 2022
Trial Date: None Set

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1. I am an attorney licensed to practice before all of the courts of the State of California. I practice in the law firm of Kingsley & Kingsley, APC, attorneys of record for Plaintiff Daniel Alcaraz in the above-entitled action. I have personal knowledge of the facts set forth herein, and if called upon as a witness, I could and would testify competently thereto.

3. I have been involved in all of the settlement discussions leading to the present settlement as embodied in the Class Action and PAGA Settlement Agreement (“Agreement”). A true and correct copy of the Agreement is attached hereto as Exhibit “1”.

4. On November 19, 2021, Plaintiff filed the present lawsuit.

6. Also on November 19, 2021, Plaintiff provided written notice to the Labor and Workforce Development Agency (“LWDA”) and Defendant setting forth his intent to pursue claims pursuant to Labor Code section 2699, et seq. (“PAGA”) for these same violations.

8. After engaging in written discovery and Plaintiff's deposition, the Parties filed a Stipulation re: Class Certification and Striking of Remaining Class Allegations, certifying Plaintiff's waiting time penalties claim under Labor Code section 203 and striking Plaintiff's

1 remaining proposed class allegations, leaving only the certified waiting time penalties claim,
2 Plaintiff's individual claims, and the PAGA claim based on waiting time penalties.

3 9. The rationale for this stipulation was that Grifols had retroactively repaid meal
4 and rest period premium wages at the blended rate, leaving only a claim for waiting time
5 penalties on behalf of those workers who had left their job without having been repaid within
6 three days of separation/termination, as required by Labor Code section 203.

7 **THE DISCOVERY PROCESS**

8 10. The Parties have engaged in significant discovery. Prior to negotiating the
9 Settlement and reaching the stipulation to narrow the case, Plaintiff obtained, through formal
10 discovery, Plaintiff's personnel file; timekeeping and pay records for Plaintiff, the Class and
11 Aggrieved Employees; relevant policy documents for Defendant's bonus programs that gave rise
12 to the allegations at issue in the settlement; and the total number of Class Members.

13 11. Defendant also deposed Plaintiff Alcaraz, and Plaintiff's counsel received
14 additional informal discovery for settlement purposes, including pay records for the former
15 employees who Plaintiff alleged to have claims for waiting time penalties, as well as a
16 description of the number of employees affected by the alleged violation at different times.

17 12. Defendant denies any liability or wrongdoing associated with the claims alleged
18 in the Action, disputes the damages and penalties, and further contends that, for any purpose
19 other than settlement, Plaintiff's claims are not appropriate for class or representative action
20 treatment. Defendant contends that it has complied with the California Labor Code, and the
21 Industrial Wage Commission Orders.

22 13. Based on their own independent investigation and evaluation, Class Counsel is of
23 the opinion that the Agreement with Defendant is fair, reasonable and adequate, and in the best
24 interest of the settlement classes in light of all known facts and circumstances, including the risk
25 of significant delay, defenses asserted by Defendant, uncertainties regarding a class and
26 representative action trial on the merits, and numerous potential appellate issues. Although
27 Defendant denies any liability, Defendant is agreeing to this Agreement solely to avoid the cost
28 of litigation. Accordingly, the Parties and their counsel desire to fully, finally, and forever settle,

1 compromise and discharge all disputes and claims arising from or relating to the Action on the
2 terms set forth here.

3 **PRELIMINARY APPROVAL**

4 14. On November 27, 2023, the Court issued an order preliminarily approving the
5 settlement, approving the proposed Notice of Class Action Settlement, ordering that the
6 Administrator send notice to the Class, and setting a date for the Final Approval and Fairness
7 Hearing.

8 **SETTLEMENT**

9 15. For purposes of Agreement, the Settlement Class is defined as: “all former non-
10 exempt employees of Defendant in California who earned incentive compensation and meal or
11 rest premiums in the same workweek and who left employment with Defendant at any point
12 from July 15, 2021 to March 25, 2022.”

13 16. The Class Period is November 19, 2018 through the date of preliminary approval.

14 17. According to the Settlement Administrator, there are 43 Participating Class
15 Members, and all of the Class Members are Aggrieved Employees.

16 18. For purposes of the Agreement, the Aggrieved Employees are defined as: “all
17 former nonexempt employees of Defendant in California who earned incentive compensation
18 and meal or rest premiums in the same workweek and who left employment with Defendant at
19 any point from July 15, 2021 to March 25, 2022.”

20 19. The PAGA Period is November 19, 2020 through preliminary approval.

21 20. There are 43 Aggrieved Employees.

22 21. As detailed fully in the Agreement, the Settlement provides for Defendant to pay
23 a Gross Settlement Amount of \$105,000.00, which includes the sum of the Individual Class
24 Payments, Individual PAGA Payments, the LWDA PAGA Payment, Class Counsel Fees, Class
25 Counsel Expenses, the Class Representative Service Payment, and the Administrator’s Expenses.

26 **CLASS NOTICE**

27 22. The Court approved the proposed Class Notice, and directed the mailing of the
28 Notice by first-class mail to Class Members in accordance with the proposed implementation

1 schedule.

2 23. Any funds that remain uncashed after 180 days shall be turned over by the
3 Administrator, with information for each Class Member who failed to timely cash his/her
4 settlement check, to the Bet Tzedek Legal Services, consistent with Code of Civil Procedure
5 section 384(b). Counsel do not have any interest or involvement in the governance of the Cy Pres
6 Recipient or stand to receive any benefit from it as a result of its selection.

7 **THE COURT SHOULD ORDER FINAL APPROVAL OF THE SETTLEMENT**

8 24. All settlement discussions were made by experienced and informed counsel, who
9 believe that this settlement represents a favorable resolution for the Class Members.

10 25. The settlement negotiations have been, at all times, adversarial and non-collusive,
11 and no self-dealing or other type of misconduct by either side has taken place.

12 26. Moreover, the potential damages were not significant enough to justify the
13 expense of a mediator (often \$10,000 or more) when experienced counsel felt competent to
14 resolve this case through their own adversarial negotiations.

15 27. In formal discovery, Defendant produced Plaintiff's personnel file; timekeeping
16 and pay records for Plaintiff, the Class and Aggrieved Employees; relevant policy documents for
17 Defendant's bonus programs that gave rise to the allegations at issue in the settlement; and the
18 total number of Class Members.

19 28. Defendant also deposed Plaintiff Alcaraz.

20 29. Defendant produced additional data and documents informally for purposes of
21 settlement. This included pay records for the former employees who Plaintiff alleged to have
22 claims for waiting time penalties, as well as a description of the number of employees affected
23 by the alleged violation at different times.

24 30. Receipt of appropriate discovery allowed Class Counsel to fairly evaluate the
25 exposure and risks associated with each claim alleged in the lawsuit.

26 31. Third, Class Counsel have significant experience in cases of this type, including
27 several years prosecuting wage, hour, and working condition violations.

28 32. Class Counsel believes that the settlement is fair, reasonable, adequate, and in the

1 best interests of the class and that the average recovery for each Class Member of \$927.91 is
2 substantial, given the risks inherent in litigation and the fact that there is only one underlying
3 core violation (untimely payment of wages) for each class member.

4 **KULLAR ANALYSIS**

5 33. The proposed settlement satisfies each of the *Kullar* factors.

6 34. Discovery permitted Class Counsel to fairly evaluate the strength of the case and
7 the risks associated with ongoing litigation.

8 35. Class Counsel is experienced in handling wage and hour class actions and, after
9 weighing all of the following factors, supports this Agreement.

10 36. Plaintiff claimed that Defendant failed to blend incentive compensation into the
11 regular rate.

12 37. In the course of litigation, Defendant revealed that, in March 2022, it made
13 retroactive payments to compensate employees at the higher blended rate that Plaintiff alleges
14 was owed.

15 38. The only remaining issue was whether Defendant was liable for waiting time
16 penalties under Labor Code section 203 for not timely compensating the workers who had
17 already left their employment by the time the retro payments issued.

18 39. The former employees who received retro pay for meal and rest periods at the
19 blended rate consists of 43 people—a small subset of the original proposed class.

20 **The Risks Associated with the Waiting Time Penalty**

21 40. Here, Plaintiff contends that Defendant failed to pay a group of former employees
22 the amounts owed for meal and rest period premium wages within the time periods prescribed by
23 Section 203 because it took eight months after *Ferra* to issue retro payments, and the Settlement
24 Class consists of people who left their positions before the retro payments issued.

25 41. The California Supreme Court decided *Ferra* on July 15, 2021 and Defendant
26 issued retro payments on March 25, 2022.

27 42. Plaintiff, on the other hand, argues that eight months taken to issue retro payments
28 is unreasonable and that there are thus triable issues of whether the delayed payment for

employees who left their jobs after *Ferra* was wilfull.

43. Plaintiff recognized risk from Defendant's argument that it possessed a good faith belief that the wages were not owed until *Ferra* was decided, and after that point, it acted diligently to complete retroactive payments.

44. Defendant also argued that the text of Labor Code section 203 cuts off penalties upon the filing of an action for unpaid wages. Plaintiffs disagree that this would cut off the class's penalties because Putative class members still have the right to initiate their own actions and to opt out of any class after the case is filed. The PAGA penalties continue regardless.

45. Despite disagreeing with Defendant's position, Plaintiff still recognized the significant risk posed by its arguments.

The Risks Associated with the PAGA Claim

46. The Agreement's contemplated PAGA payment of \$10,000.00 is appropriate. The Parties discounted the PAGA claim because it is predicated on the same 203 violation as the previously discussed class claims. The same risk on the merits that justifies a settlement of the class 203 claims also supports settlement of PAGA. The 203 claim itself was derivative of an underlying wage claim. It is uncertain whether the Court would award significant PAGA penalties supported only by a derivative violation. Moreover, the court has discretion to award less than the maximum penalty, and it is unlikely to award a significant PAGA penalty for a violation for which damages have already been paid directly to class members.

The Risks, Expense, Complexity, and Likely Duration of Further Litigation

47. Even though the Court granted class certification on October 21, 2022, trial of a case involving over 40 Settlement Class Members would require extensive litigation costs, and a significant time investment by the parties.

48. Given the complexity and unsettled nature of the issues, it is likely that any outcome at trial would have resulted in a lengthy and costly appeal, further delaying any relief to the class.

The Risk of Maintaining Class Action Status through Trial

49. Plaintiff does not believe that decertification is likely.

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51. Plaintiff valued the Waiting Time Claim at \$271,224.98.

53. Finally, Plaintiff calculated the value of the PAGA claim at \$237,900 for Settlement Class Members (using \$100 per pay period).

55. A judge can award the statutory maximum of \$100 for the initial violation and \$200 thereafter or – in theory – one cent per wage statement.

57. Per Plaintiff's assessment, the maximum potential value of all of Plaintiff's and the Settlement Class Members' and Aggrieved Employees' claims was approximately \$509,124.98.

The Extent of Discovery Completed and Stage of the Proceedings

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60. Kingsley & Kingsley is experienced in prosecuting employment litigation, and since 2000 we have focused a substantial percentage of our practice on complex wage, hour, and working condition violations. My firm is extremely well-versed in class action litigation and has diligently and aggressively pursued this action. Kingsley & Kingsley currently serves as class counsel for dozens of pending class action lawsuits throughout California. Below is a small but representative sampling of wage and hour class cases handled by my firm:

- a. *Goodman v. Crystal Ventures, Inc.* (No. BC245561, L.A. Super. Ct.) In January 2002, Hon. Anthony Mohr granted final approval of settlement in the gross amount of \$255,000 and the court approved the attorneys' fee request of 33 1/3%.
- b. *Daniel v. Network Management Corp.* (No. BC274004, L.A. Super. Ct.) In September 2003, the court granted final approval of Settlement in the gross amount of \$475,000 and the court approved the attorneys' fee request of 30%.
- c. *Johnston v. The Cheesecake Factory* (No. BC316180, L.A. Super. Ct.) In December 2005, Honorable Rita Miller granted final approval of Settlement in the gross amount of \$4,000,000 and the court granted attorneys' fees request of 30%.
- d. *Tumino v. HOB Entertainment* (No. BC327962, L.A. Super. Ct.) In May 2006, the Honorable Conrad R. Aragon granted final approval of Settlement in the gross amount of \$1,000,000 and the court granted attorneys' fees request of 30%.
- e. *Ryan v. Hilton Hotels Corporation*, (No. BC364260, L.A. Super. Ct.) In March 2008, the Honorable Mary Thornton House granted final approval of Settlement in the gross amount of \$1,675,000.00 and the court granted attorneys' fees request of 33 1/3%.
- f. *Gerritson v. Styles For Less, Inc.*, (No. BC402921, L.A. Super. Ct.) In September 2010, the Hon. Kenneth R. Freeman granted final approval of Settlement in the gross amount of \$2,000,000.00, and the court granted attorneys' fees request of 33.3%.
- g. *Lewis v. Collabrus, Inc., et al.*, (No. 109CV-142927, Santa Clara Super. Ct.) In

March 2012, the Honorable James P. Kleinberg granted final approval of Settlement in the gross amount of \$2,000,000.00, and the court granted attorneys' fees request of 33.3%.

- h. *Hirschinger v. Blue Cross of California*, Los Angeles Superior Court (No. BC402739, L.A. Super. Ct.) In June 2013, the Honorable Lee Smally Edmund granted final approval of Settlement in the gross amount of \$4,700,000.00, and the court granted attorneys' fees request of 38%.
- i. *Anderson v. Total Renal Care, Inc.*, (No. BC388335, L.A. Super. Ct.) In January 2014, the Honorable William F. Highberger granted final approval of Settlement in the gross amount of \$1,500,000.00 and the court granted attorneys' fees request of 33.33%.
- j. *Westbrook v. International Surfacing Systems*, (No. RG10510015, Alameda Super. Ct.) In August 2015, the Hon. Wynne Carvill granted final approval of Settlement in the gross amount of \$995,000.00, and the court granted attorney's fees request of 33.33%.
- k. *Kane et al. v. Valley Slurry Seal*, (No. CV08-2483, Yolo Super. Ct.) In March 2016 after a class trial with a verdict in the class's favor, the Honorable Daniel P. Maguire, awarded Kingsley & Kingsley and co-counsel \$996,232.72 in attorney's fees.
- l. *Aparacio v. Abercrombie & Fitch Stores, Inc.*, (No. BC499281, L.A. Super. Ct.) In June 2016, the Hon. Kenneth Freeman granted final approval of Settlement in the gross amount of \$2,000,000.00, and the court granted attorney's fees request of 33.33%.
- m. *Pineda, et al. v. Grimmway Enterprises, Inc.*, (No. BCV-15-101333, Kern Super. Ct.) In January 2018, the Hon. Stephen D. Schuett granted final approval of Settlement in the gross amount of \$9,000,000 with attorneys' fees request of 33.33%.

61. Kingsley & Kingsley, APC filed its first motion for class certification in the year

2000 and since that time has been appointed class counsel on dozens of wage and hour class actions that have been approved for class certification. Since 2011, Kingsley & Kingsley has moved for class certification in 16 matters and has successfully obtained class certification in 15 of those cases. A brief sampling of these includes:

- a. *Melendrez v. JK Communications*, No. BC497692, (L.A. Super. Ct., filed December 19, 2012), court granted contested class certification and appointed Kingsley & Kingsley class counsel.
- b. *Amaro et al. v Gerawan Farming, Inc.*, No. 1:14-cv-00147-DAD-SAB (E.D. Cal., filed February 3, 2014), court granted contested class certification and appointed Kingsley & Kingsley co-class counsel.
- c. *Suarez v. Mazatlan, Inc.*, No. 37-2015-00002978-CU-OE-CTL (S.D. Super. Ct., filed January 27, 2015), court granted contested class certification and appointed Kingsley & Kingsley class counsel .
- d. *Ruiz v. Daniel C. Salas Harvesting, Inc.*, Kings County Superior Court (16C0214), contested class certification granted in June 2020.
- e. *Weldon v. Geo Corrections & Detentions, LLC*, Kern County Superior Court (BCV-16-102833), contested class certification granted in 2018.
- f. *Patton v. Skyler Electric*, Nevada County Superior Court, (CU17-082544), contested class certification granted in June 2020.
- g. *Hameister v. Jaco Oil Company*, Kern County Superior Court (BCV-17-102876), contested class certification granted in August 2020.

62. After factoring in the risks explained above, Class Counsel believes that the proposed settlement is fair and reasonable.

63. There is no governmental participation in this action.

64. The LWDA has not responded to the notices provided regarding preliminary approval, and as noted below, Plaintiff is serving the present motion on the LWDA concurrently with this filing.

65. The settlement's plan for allocation of funds distributes funds proportionally to

1 each class member's potential damages and should therefore be approved as fair, adequate, and
2 reasonable.

3 **ATTORNEYS' FEE AWARD**

4 66. As detailed fully in the Agreement and set forth above, the Agreement provides
5 for Defendant to create a Gross Settlement Amount of \$105,000.00. From that fund, Plaintiff
6 requests an award of attorneys' fees in the amount of \$35,000.00, or 1/3 of the Gross Settlement
7 Amount created on behalf of members of the Class.

8 67. This amount is reasonable because Class Counsel's diligent litigation efforts and
9 experience led to an outstanding settlement, with an average net recovery of \$927.91 per Class
10 Member for a single underlying violation that carried risks, as discussed above.

11 68. In addition to the complexity of this case, Class Counsel has borne the entire risk
12 and costs of this litigation during the entire time that it has been pending, all on a pure
13 contingency basis. Through the investment of substantial effort and resources, Class Counsel has
14 secured an outstanding Settlement of behalf of the Class Members. (*Id.*) Defendant vigorously
15 contested liability and the amount of claimed damages. (*Id.*) It is this kind of situation, involving
16 complex issues, that has been recognized as justifying a substantial attorney fee award.

17 69. I am very familiar with the contingent fee market throughout California and in the
18 Los Angeles County area, particularly as it pertains to complex employment, wage and hour, and
19 class action litigation. On behalf of my firm, I have negotiated numerous contingency fee
20 agreements with plaintiffs, both as individuals and as representatives in class action suits. Many
21 of those agreements provide that counsel will receive a fee that is between 33% and 40% of any
22 recovery that is obtained, and, in addition, that counsel will be reimbursed for the costs they
23 incurred out of the recovery amount. The Class Representative in this action signed a
24 contingency fee agreement that stated that counsel would be able to make a fee of up to 40% of
25 any recovery if the case settles. From my observation, these are typical and standard percentages
26 in employment-related contingency agreements throughout California. Indeed, in my opinion,
27 the Class Members in this complex wage and hour case could not have retained competent
28 counsel in California, particularly with the considerable skill and expertise of my firm, for any

1 amount significantly less than the contingency fee percentage provided in this retainer
2 agreement. Class Counsel has never been paid any money for attorneys' fees in this case and has
3 advanced all costs.

4 70. There are always risks attendant to billing cases on a contingency basis. Those
5 risks are even higher in the current economic climate. Kingsley & Kingsley bills the vast
6 majority of its cases on contingency, and has been affected by recent bankruptcy filings. In three
7 separate cases, *Lozoya v. Shore House Café, Inc.*, No. 30-2008-00082103-CU-OE-CJC (Orange
8 Super. Ct. filed Mar. 7, 2008) and *Davis v. Crossfire Marketing Group, LLC*, No.
9 CIVRS1207797 (San Bernardino Super. Ct. filed October 5, 2012), the defendants both filed for
10 bankruptcy protection in June 2011 and January 2015 after years of litigation. My firm advanced
11 costs and incurred thousands of dollars in fees that will never be recovered.

12 71. Additionally, there are cases where Class Counsel takes on the extreme risk of
13 attempting to certify a class case, often turning down class or individual settlement offers that
14 Class Counsel feels are not in the best interest of the class, only to lose class certification and the
15 costs and fees associated with the litigation. *See e.g., Prosad v. Del Taco Restaurant Services,*
16 *Inc.*, No. BC426538 (L.A. Super. Ct. filed Nov. 2009); *Perez v. Safelite Group, Inc.*, CV-10-
17 8653-RGK(FFMx) (C.D. Cal. filed October 5, 2010).

18 72. When Class Counsel's motions for class certification are denied, Class Counsel
19 often appeals the orders. Sometimes, these denials are overturned (*Perez v. Safelite Group, Inc.*,
20 553 Fed.Appx. 667 (9th Cir. 2015); *Anderson v. Total Renal Care, Inc.*, No. B236207 (Cal. Ct.
21 App. 2012)) and other times Class Counsel is unsuccessful (*Prosad v. Del Taco Restaurant*
22 *Services, Inc.*, No. B238605 (CA. Ct. App. 2012)).

23 73. In addition to appealing class certification denials, Kingsley & Kingsley has been
24 involved in numerous lengthy and protracted appeals before the California Court of Appeal, the
25 Ninth Circuit, the Seventh Circuit, and the United States Supreme Court. Our firm specifically
26 has taken and lost some issues on appeal that required the write-off of significant legal fees.
27 Also, our firm has been successful on appeal and overturned unfavorable lower court rulings or
28 successfully fought to have successful rulings affirmed. Below is just a sample of some of these

1 appeals:

- 2 n. *D'Este v. Bayer Corp.*, 565 F.3d 1119 (9th Cir. 2009) (lower court ruling that
3 pharmaceutical sales representatives are exempt employees affirmed);
- 4 o. *Budrow v. Dave & Buster's of California, Inc.*, 171 Cal. App. 4th 875 (2009)
5 (lower court ruling in employer's favor that certain employees can share in
6 mandatory tip pool affirmed);
- 7 p. *Etheridge v. Reins Int'l California, Inc.*, 172 Cal. App. 4th 908 (2009) (lower
8 court ruling in employer's favor that certain employees can share in mandatory tip
9 pool affirmed);
- 10 q. *Areso v. CarMax, Inc.*, 195 Cal. App. 4th 996 (2011) (lower court ruling in
11 employer's favor approving employer's commission pay plan affirmed) ;
- 12 r. *Christopher v. SmithKline Beecham Corp.*, 132 S. Ct. 2156, 183 L. Ed. 2d 153
13 (2012) (lower court ruling that pharmaceutical sales representatives are exempt
14 employees affirmed);
- 15 s. *Schaefer-LaRose v. Eli Lilly & Co.*, 679 F.3d 560 (7th Cir. 2012) (lower court
16 ruling that pharmaceutical sales representatives are exempt employees affirmed);
- 17 t. *Fowler v. Carmax, Inc.*, No. B238426, 2013 WL 1208111 (Cal. Ct. App. Mar. 26,
18 2013), cert. granted, judgment vacated sub nom. *CarMax Auto Superstores*
19 *California, LLC v. Fowler*, 134 S. Ct. 1277, 188 L. Ed. 2d 290 (2014) (lower
20 court granted employer's motion to compel arbitration, Court of Appeal reversed,
21 U.S. Supreme Court affirmed lower court);
- 22 u. *Salazar v. Apple Am. Grp., LLC*, No. E059562, 2015 WL 314703 (Cal. Ct. App.
23 Jan. 26, 2015), review denied (Apr. 22, 2015), cert. denied, 136 S. Ct. 688, 193 L.
24 Ed. 2d 519 (2015) (lower court ruling in employee's favor on motion to compel
25 arbitration affirmed);
- 26 v. *Anaya v. J's Maint. Serv., Inc.*, No. B261662, 2016 WL 519867 (Cal. Ct. App.
27 Feb. 9, 2016) (lower court ruling in employee's favor on motion to compel
28 arbitration affirmed);

w. *Cortez v. Doty Bros. Equipment Company, Inc.* (2017) 15 Cal.App.5th 1
(reversing in part and affirming in part trial courts order compelling arbitration);
x. *Lopez v. Friant & Associates, L.L.C.* (2017) 15 Cal.App.5th 773 (reversing
summary judgement in favor of employer on wage statement claim);
y. *Kane v. Valley Slurry Seal Company*, Case No. C079558, Cal. Ct. App. May 8,
2018) (affirming judgement for employees after bench trial);
z. *Kim v. Reins International California*, Case No. S246911. Oral argument was
held before the California Supreme Court on January 6, 2020 and a decision was
published in March 2020.
aa. *Espinoza v. Warehouse Demo Services, Inc.* (2022) 86 Cal.App.5th 1184
(reversing summary judgment, allowing Plaintiff to move forward on wage and
hour claims and setting precedent regarding the outside sales exemption).

74. Defense firms bill their clients for time spent on a case, unlike plaintiffs' firms,
which bear the risk of loss on contingency cases. As verified by a survey conducted by the
National Law Journal nearly a decade ago, six California firms provided their hourly billing rates
and of the six firms, five regularly charged in excess of \$500 for their partners. In fact, four of
these firms charged as high as \$600, \$620, \$650 and up to \$850 per hour. These firms are located
in Orange County, Los Angeles County, San Francisco County, and San Diego County. This
survey is attached hereto as Exhibit "2."

75. While plaintiffs' firms strive to take on cases that are meritorious (for ethical as
well as monetary reasons), as this Court knows, many of these cases hinge on the decision of
class certification. Recently, there has been a renewed effort by defense counsel and/or their
clients to challenge these cases and to push back, especially on certification motions. In
addition, many novel legal issues have gone up on appeal, which has complicated the landscape
for settlement.

CLASS COUNSEL'S HOURS AND HOURLY RATES ARE REASONABLE

76. Class Counsel's aggregate lodestar amounts to \$117,205.00 (without future

hours),¹ which represents 160.30 hours of attorney work on this litigation incurred from its inception through the filing of Plaintiff's Motion for Final Approval.

77. As such, Class Counsel is not asking for a multiplier. In fact, Class Counsel has billed more in fees than they are collecting, so the multiplier is negative (0.30).

78. The amount of time spent on this case (over 160.30 hours), which culminated in a very favorable proposed Settlement for the Class Members, is reasonable given the complexity and novelty of the issues involved, the vigorous defense, the length and intensity of the litigation, and the exceptional results obtained.

79. Class Counsel litigated this action with great efficiency in light of the amount of work required to achieve the Settlement.

80. The requested hourly rates in this case range from \$450.00 per hour to \$1,100.00.

81. Class Counsel's hourly rates are fully supported by their experience and reputation in handling complex employment litigation, including wage and hour class actions.

82. Mr. Kingsley is a 24-year attorney with expertise in wage and hour class action lawsuits.

83. He has litigated several hundred such cases, including at the appellate level at the U.S. Supreme Court, California Supreme Court, the Ninth Circuit, and the California Court of Appeal.

84. He is also a prolific speaker at various seminars on employment law and has contributed to legal publications on employment topics.

85. My office billed for the following six attorneys for work performed on this case: Eric Kingsley, Kelsey M. Szamet, Shannen Garrett, Jessica Adlouni, Jessica Bulaon, and me.

86. Kelsey M. Szamet is a partner at Kingsley & Kingsley, APC. She received her Bachelor of Arts degree, *cum laude*, from the University of California San Diego and received

¹ Though Kingsley & Kingsley, APC will certainly continue to spend time working on this matter for tasks such as preparing for and attending the final approval hearing, overseeing the administration process, and communicating with Class Members, Plaintiff's Counsel are not including future hours for purposes of the lodestar crosscheck. This means that, if the full fee request is awarded, it will compensate counsel for *even less* than 0.3 of the actual fees incurred.

1 her law degree from UCLA School of Law in 2008, where she was active in the Women's Law
2 Journal and community-based legal clinics. Ms. Szamet has been practicing since 2008 and is
3 billed at \$800 per hour.

4 87. I serve Of Counsel at Kingsley & Kingsley, APC, have a billing rate of \$725 per
5 hour. I am an 11-year attorney whose practice focuses on employment litigation and appeals,
6 including wage and hour class actions, discrimination, harassment, and wrongful termination
7 claims. I graduated Phi Beta Kappa with a B.A. from Colorado College in 2006 and received my
8 J.D. from University of Colorado School of Law in 2013, where I was an associate editor of the
9 law review and president of my class. I formerly practiced on the motions and appeals team at a
10 prominent Los Angeles law firm and served as a judicial fellow to Judge Paul Watford in the
11 U.S. Court of Appeals for the Ninth Circuit. I am a Certified Appellate Specialist and am the
12 principal in my own law firm in addition to serving Of Counsel to Kingsley & Kingsley.

13 88. Jessica Adlouni is an Associate Attorney at Kingsley & Kingsley, APC. She is
14 billed at \$500 per hour. She was formerly a law clerk at Kingsley & Kingsley until her bar
15 admission in December 2019 and was barred at \$400/hour. Ms. Adlouni obtained her Bachelor
16 of Arts from UCLA and her juris doctor from UCLA School of Law in May 2019.

17 89. Jessi Bulaon is an Associate Attorney at Kingsley & Kingsley, APC. Ms. Bulaon
18 is a graduate of UCLA where she obtained her Bachelor of Arts in Sociology. In 2021, she
19 earned her J.D. from Southwestern Law School, where she was a member on the Law Review
20 and the Dean's List. While at Southwestern, she was awarded the Exceptional Achievement
21 Award in Employment Law Survey course and Witkin Award in her Labor Law course. Ms.
22 Bulaon also clerked at a plaintiff-side employment law firm for 1.5 years until she was admitted
23 to practice in December of 2021. She is billed at \$450 per hour.

24 90. Shannen T. Garrett is a former Associate Attorney at Kingsley & Kingsley, APC.
25 Ms. Garrett earned her J.D. from Loyola Law School and was admitted to practice in 2017. She
26 was billed at \$475 per hour.

27 91. An article in the Wall Street Journal on February 9, 2016 titled *Legal Hours Cross*
28 *New Mark: \$1,500 an Hour* states that "a review of filings over the past three months in about

two dozen bankruptcy cases shows that senior partners routinely charge between \$1,200 and \$1,300 an hour, with top rates at several large law firms exceeding \$1,400.” The article also explains that “despite low inflation and weak demand for legal services, rates at large corporate law firms have risen by 3% to 4% a year since the economic downturn.” Finally, the article notes that “the average highest rate paid for law-firm partners was \$875 an hour in 2015.” This article is attached hereto as Exhibit 3.

92. Class Counsel’s charged rate are commensurate with the prevailing market rates in Los Angeles County for attorneys of comparable experience and skill handling complex litigation.

93. Class Counsel made all reasonable attempts to avoid duplication of assignments and to assign tasks to timekeepers at the appropriate billing rates.

94. Finally, Class Counsel’s rates are in line with the 2023-2024 Laffey Matrix, which is a fee scale that courts often consult in determining the reasonableness of hourly rates. Under the adjusted Laffey Matrix, a reasonable rate for an attorney with 20+ years of experience, such as Mr. Kingsley, is \$1,057, a reasonable rate for an attorney with 15 years of experience, such as Ms. Szamet, is \$878, a reasonable rate for an attorney with 10 years of experience, such as Mr. Stiller, is \$777, a reasonable rate for an attorney with 4-7 years of experience, such as Ms. Adlouni and Ms. Garrett, is \$538, and a reasonable rate for an attorney with 3 or less years of experience, such as Ms. Bulaon, is \$437

95. Kingsley & Kingsley’s attorneys’ fees in the present case are broken down as follows:

<u>ATTORNEY</u>	<u>HOURS</u>	<u>HOURLY RATE</u>	<u>TOTALS</u>
Eric B. Kingsley	10.60	\$1,100.00	\$11,660.00
Kelsey M. Szamet	65.00	\$800.00	\$52,000.00
Ari J. Stiller	53.90	\$725.00	\$39,077.50
Shannen Garrett	24.10	\$475.00	\$11,447.50
Jessica Bulaon	6.60	\$450.00	\$2,970.00

Jessica Adlouni	0.10	\$500.00	\$50.00
KINGSLEY TOTAL			
ATTORNEYS' FEES	160.30		\$117,205.00

96. Kingsley & Kingsley, APC has spent significant time extensively investigating, researching, and litigating this matter, including but not limited to the following:

- a. intake and interviewing Plaintiff and witnesses and flushing out facts and issues;
- b. researching employer and related causes of action;
- c. researching other pending and former matters against Defendant;
- d. fact development and investigating Defendant's ownership structure
- e. researching and analyzing relevant claims under B&P 17200;
- f. investigation and discussion regarding job functions, duties, compensation, policies, and procedures, etc.;
- g. regular meetings and or communicating with the Class Representative;
- h. drafting pleadings (Complaints, Motion for Preliminary Approval, various documents related to Preliminary Approval, Motion for Final Approval, various documents related to Final approval, etc.);
- i. researching private right of action and various impact on class actions;
- j. interviewing and obtaining declarations from Class Members;
- k. discussing issues related to damage model calculations;
- l. preparing and analyzing damage models;
- m. negotiating the terms of the settlement;
- n. reviewing and making changes to the Agreement;
- o. drafting and editing all documents for Preliminary and Final Approval;
- p. monitoring Notice and settlement administration procedures;
- q. coordinating and overseeing all aspects of the administration of the Settlement (review documentation, approve form and content, etc.); and
- r. multiple communications with defense counsel.

1 97. Here, Class Counsel is not requesting a multiplier as the total lodestar exceeds the
2 requested fees. If the full request is awarded, Plaintiff's counsel's multiplier would be 0.3
3 (\$35,000 requested / \$117,205 incurred = 0.298).

4 **ATTORNEY COSTS**

5 98. In addition to their request for fees, Class Counsel further request reimbursement
6 of the reasonable out-of-pocket expenses advanced and/or incurred by them in connection with
7 this litigation, in the amount of \$9,600.00.

8 99. To date, Kingsley and Kingsley has incurred \$9,951.32 in costs. The firm expects
9 to incur additional costs of approximately \$200 to file and serve the present motion.

10 100. However, pursuant to the Agreement, Class Counsel shall not seek an amount
11 over \$9,600.00 for litigation costs.

12 101. As such, Class Counsel is requesting a cost reimbursement of \$9,600.00 and will
13 incur a loss for the excess costs.

14 102. All of the requested costs are reasonable in amount and were necessarily incurred
15 by Class Counsel in prosecuting this litigation.

16 103. All of these costs have been incurred in the course of this litigation, and all costs
17 advanced have already been paid by Class Counsel.

18 **THE NAMED PLAINTIFFS' ENHANCEMENT SHOULD BE APPROVED**

19 104. Plaintiff's Counsel request an enhancement of \$5,000.00 to the Class
20 Representative Daniel Alcaraz for his work on behalf of the Class.

21 105. The time spent by Daniel Alcaraz is significant and includes researching and
22 retaining competent counsel; providing information to Class Counsel regarding the nature of the
23 work at Defendant; compiling relevant documents; working closely with Class Counsel to
24 develop the theories of liability and martial relevant evidence; regularly discussing the status of
25 the case with Class Counsel; discussing the case via telephone with many Settlement Class
26 Members; providing formal discovery responses; sitting for his deposition; and responding
27 promptly to Class Counsel's communications regarding settlement.

28 106. Thus, in addition to the sums paid to Settlement Class Members, Plaintiff requests

1 a proposed litigation enhancement in the amount of \$5,000.00, to be paid from the Gross
2 Settlement Amount.

3 107. As set forth in the Agreement, Plaintiff will also be entering into a general release
4 of liability pursuant to Civil Code Section 1542.

5 108. Class Counsel considers this to be a fair and reasonable enhancement.

6 109. The enhancement takes into consideration the time, effort, and expense incurred
7 by Mr. Alcaraz in coming forward to litigate this matter on behalf of all Class Members.

8 110. Additionally, the Class Representative was at risk for paying for Defendant's
9 costs in the event that Defendant prevailed at trial.

10 111. The Class has benefited from Mr. Alcaraz's action in stepping forward on their
11 behalf to bring this lawsuit.

12 112. Further, the Class Notice disclosed the enhancement award. (*See* Agreement Ex.
13 A [class notice], p. 4.)

14 113. As of today, no Class Member has objected to the proposed enhancement.

15 114. Under the circumstances, a representative payment of \$5,000.00 is justified and
16 the Court should award this payment to Daniel Alcaraz from the Gross Settlement Amount as
17 provided in the Agreement.

18 **PROOF OF SUBMISSION TO THE LABOR AND WORKFORCE DEVELOPMENT**

19 **AGENCY**

20 115. As indicated on the Proof of Service, Plaintiff's Motion for Approval of
21 Attorneys' Fees and Costs ("Motion") was uploaded to the Labor and Workforce Development
22 Agency's ("LWDA") website on the date this Motion was filed as required by statute. Proof of
23 submission of Plaintiff's Motion, all related documents, and copy of the email from the LWDA
24 confirming receipt is attached to the Declaration of Ari J. Stiller as Exhibit "6".

25 **EXHIBITS**

26 116. Attached hereto as **Exhibit 1** is a true and correct copy of the fully-executed joint
27 Class Action and PAGA Settlement Agreement that was preliminary approved by this Court on
28 November 27, 2024.

1 117. Attached hereto as **Exhibit 2** is a true and correct copy of the ALM Research
2 2007 NLJ Billing Survey.

3 118. Attached hereto as **Exhibit 3** is a true and correct copy of Wall Street Journal on
4 February 9, 2016 titled *Legal Hours Cross New Mark: \$1,500 an Hour*.

5 119. Attached hereto as **Exhibit 4** is a true and correct copy of the 2023-2024 Adjusted
6 Laffey Matrix.

7 120. Attached hereto as **Exhibit 5** is a true and correct copy of the Kingsley &
8 Kingsley, APC costs ledger reflecting costs incurred in this matter.

9 121. Attached hereto as **Exhibit 6** is a true and correct copy of the proof of submission
10 to the LWDA as referenced above.

11 I declare under penalty of perjury under the laws of the state of California that foregoing
12 is true and correct.

13 This declaration was executed this 13th day of March, 2024, in Los Angeles, California.

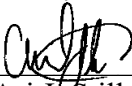
14 
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16 Ari J. Stiller
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EXHIBIT "1"

CLASS ACTION AND PAGA SETTLEMENT AGREEMENT AND CLASS NOTICE

This Class Action and PAGA Settlement Agreement (“Agreement”) is made by and between Plaintiff Daniel Alcaraz (“Plaintiff”) and Defendant Grifols Biologicals LLC (“Defendant” or “Grifols”). The Agreement refers to Plaintiff and Defendant collectively as “Parties,” or individually as “Party.”

1. DEFINITIONS.

- 1.1. “Action” means the Plaintiff’s lawsuit alleging wage and hour violations against GRIFOLS BIOLOGICALS LLC captioned *DANIEL ALCARAZ, an individual, on behalf of himself and others similarly situated, PLAINTIFF v. GRIFOLS BIOLOGICALS INC.; and DOES 1 thru 50, inclusive, DEFENDANTS*, Case No. 21STCV42719 initiated on November 19, 2021, and pending in the Superior Court of the State of California, County of Los Angeles.
- 1.2. “Administrator” means Phoenix Settlement Administrators, the neutral entity the Parties have agreed to appoint to administer the Settlement.
- 1.3. “Administration Expenses Payment” means the amount the Administrator will be paid from the Gross Settlement Amount to reimburse its reasonable fees and expenses in accordance with the Administrator’s “not to exceed” bid submitted to the Court in connection with Preliminary Approval of the Settlement.
- 1.4. “Aggrieved Employee” means Plaintiff Daniel Alcaraz and all former non-exempt employees of Defendant in California who earned incentive compensation and meal or rest premiums in the same workweek and who left employment with Defendant at any point from July 15, 2021, to March 25, 2022.
- 1.5. “Class” means Plaintiff Daniel Alcaraz and all former non-exempt employees of Defendant in California who earned incentive compensation and meal or rest premiums in the same workweek and who left employment with Defendant at any point from July 15, 2021, to March 25, 2022.
- 1.6. “Class Counsel” means Kingsley & Kingsley, APC.
- 1.7. “Class Counsel Fees Payment” and “Class Counsel Litigation Expenses Payment” mean the amounts allocated to Class Counsel for reimbursement of reasonable attorneys’ fees and expenses, respectively, incurred to prosecute the Action.
- 1.8. “Class Data” means Class Member identifying information in Defendant’s possession including the Class Member’s name, last-known mailing address, Social Security number, and number of Class Period Workweeks and PAGA Pay Periods.

- 1.9. “Class Member” or “Settlement Class Member” means a member of the Class, as either a Participating Class Member or Non-Participating Class Member (including a Non-Participating Class Member who qualifies as an Aggrieved Employee).
- 1.10. “Class Member Address Search” means the Administrator’s investigation and search for current Class Member mailing addresses using all reasonably available sources, methods and means including, but not limited to, the National Change of Address database, skip traces, and direct contact by the Administrator with Class Members.
- 1.11. “Class Notice” means the COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL, to be mailed to Class Members in English [with a Spanish translation, if applicable] in the form, without material variation, attached as Exhibit A and incorporated by reference into this Agreement.
- 1.12. “Class Period” means the period from November 19, 2018, to the date of preliminary approval.
- 1.13. “Class Representative” means the named Plaintiff in the operative complaint in the Action seeking Court approval to serve as a Class Representative.
- 1.14. “Class Representative Service Payment” means the payment to the Class Representative for initiating the Action and providing services in support of the Action.
- 1.15. “Court” means the Superior Court of California, County of Los Angeles.
- 1.16. “Defendant” means named Defendant Grifols Biologicals LLC
- 1.17. “Defense Counsel” means Daniel J. McQueen, Nora K. Stilestein, and Tyler J. Johnson of Sheppard, Mullin, Richter & Hampton LLP.
- 1.18. “Effective Date” means the date by when both of the following have occurred: (a) the Court enters a Judgment on its Order Granting Final Approval of the Settlement; and (b) the Judgment is final. The Judgment is final as of the latest of the following occurrences: (a) if no Participating Class Member objects to the Settlement, the day the Court enters Judgment; (b) if one or more Participating Class Members objects to the Settlement, the day after the deadline for filing a notice of appeal from the Judgment; or if a timely appeal from the Judgment is filed, the day after the appellate court affirms the Judgment and issues a remittitur.
- 1.19. “Final Approval” means the Court’s order granting final approval of the Settlement.
- 1.20. “Final Approval Hearing” means the Court’s hearing on the Motion for Final Approval of the Settlement.

- 1.21. “Final Judgment” means the Judgment Entered by the Court upon Granting Final Approval of the Settlement.
- 1.22. “Gross Settlement Amount” means \$105,000.00, which is the total amount Defendant agrees to pay under the Settlement except as provided in Paragraph 8 below. The Gross Settlement Amount will be used to pay Individual Class Payments, Individual PAGA Payments, the LWDA PAGA Payment, Class Counsel Fees, Class Counsel Expenses, Class Representative Service Payment and the Administrator’s Expenses.
- 1.23. “Individual Class Payment” means the Participating Class Member’s pro rata share of the Net Settlement Amount calculated according to the number of Workweeks worked during the Class Period.
- 1.24. “Individual PAGA Payment” means the Aggrieved Employee’s pro rata share of 25% of the PAGA Penalties calculated according to the number of Workweeks worked during the PAGA Period.
- 1.25. “Judgment” means the judgment entered by the Court based upon the Final Approval.
- 1.26. “LWDA” means the California Labor and Workforce Development Agency, the agency entitled, under Labor Code section 2699, subd. (i).
- 1.27. “LWDA PAGA Payment” means the 75% of the PAGA Penalties paid to the LWDA under Labor Code section 2699, subd. (i).
- 1.28. “Net Settlement Amount” means the Gross Settlement Amount, less the following payments in the amounts approved by the Court: Individual PAGA Payments, the LWDA PAGA Payment, Class Representative Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, and the Administration Expenses Payment. The remainder is to be paid to Participating Class Members as Individual Class Payments.
- 1.29. “Non-Participating Class Member” means any Class Member who opts out of the Settlement by sending the Administrator a valid and timely Request for Exclusion.
- 1.30. “PAGA Pay Period” means any Pay Period during which an Aggrieved Employee worked for Defendant for at least one day during the PAGA Period.
- 1.31. “PAGA Period” means the period from November 19, 2020, to the date of preliminary approval.
- 1.32. “PAGA” means the Private Attorneys General Act (Labor Code §§ 2698. et seq.).

- 1.33. “PAGA Notice” means Plaintiff’s November 19, 2021, letter to Defendant and the LWDA providing notice pursuant to Labor Code section 2699.3, subd.(a).
- 1.34. “PAGA Penalties” means the total amount of PAGA civil penalties to be paid from the Gross Settlement Amount, allocated 25% to the Aggrieved Employees (\$2,500) and the 75% to LWDA (\$7,500) in settlement of PAGA claims.
- 1.35. “Participating Class Member” means a Class Member who does not submit a valid and timely Request for Exclusion from the Settlement.
- 1.36. “Plaintiff” means Daniel Alcaraz, the named plaintiff in the Action.
- 1.37. “Preliminary Approval” means the Court’s Order Granting Preliminary Approval of the Settlement.
- 1.38. “Preliminary Approval Order” means the proposed Order Granting Preliminary Approval and Approval of PAGA Settlement.
- 1.39. “Released Class Claims” means the claims being released as described in Paragraph 5.2 below.
- 1.40. “Released PAGA Claims” means the claims being released as described in Paragraph 5.3 below.
- 1.41. “Released Parties” means: Defendant and each of its past, present, and future agents, employees, officers, directors, partners, trustees, representatives, shareholders, attorneys, parents, subsidiaries, related companies/corporations and/or partnerships, assigns, predecessors, successors, insurers, joint employers, alleged joint employers, and affiliated organizations, and all of their respective past, present, and future employees, directors, officers, agents, attorneys, stockholders, fiduciaries, parents, subsidiaries, and assigns.
- 1.42. “Request for Exclusion” means a Class Member’s submission of a written request to be excluded from the Class Settlement signed by the Class Member.
- 1.43. “Response Deadline” means 60 days after the Administrator mails Notice to Class Members and Aggrieved Employees, and shall be the last date on which Class Members may: (a) fax, email, or mail Requests for Exclusion from the Settlement, or (b) fax, email, or mail his or her Objection to the Settlement. Class Members to whom Notice Packets are re-sent after having been returned undeliverable to the Administrator shall have an additional 14 calendar days beyond the Response Deadline has expired.
- 1.44. “Settlement” means the disposition of the Action effected by this Agreement and the Judgment.

- 1.45. “Workweek” means any week during which a Class Member worked for Defendant for at least one day, during the Class Period.

2. RECITALS.

- 2.1. On November 19, 2021, Plaintiff commenced this Action by filing a Complaint alleging causes of action against Defendant for violations of Labor Code §§ 201, 202, 203, 226(a), 226.7, 512, and 2802; Wage Order 1-2001; and California Code of Regulations, Title 8, Section 11010, and violation of Business & Professions Code § 17200. On January 24, 2022, Plaintiff filed a First Amended Class and Representative Action Complaint adding an additional claim for penalties under Labor Code § 2699 et seq., the Private Attorneys General Act (“PAGA”), for the causes of action alleged previously. The First Amended Complaint is the operative complaint in the Action (the “Operative Complaint”). On October 21, 2022, the Parties filed a Stipulation Re: Class Certification and Striking of Remaining Class Allegations, certifying Plaintiff’s waiting time penalties claim and striking Plaintiff’s remaining proposed class allegations without prejudice, leaving only the certified waiting time penalties claim, Plaintiff’s individual claims, and a PAGA claim based on the certified waiting time penalties claim. Defendant denies the allegations in the Operative Complaint, denies any failure to comply with the laws identified in in the Operative Complaint, and denies any and all liability for the causes of action alleged.
- 2.2. Pursuant to Labor Code section 2699.3, subd.(a), Plaintiff gave timely written notice to Defendant and the LWDA by sending the PAGA Notice.
- 2.3. On or around April 21, 2023, the Parties agreed to a settlement in principle.
- 2.4. Prior to negotiating the Settlement, Plaintiff obtained, through formal discovery, Plaintiff’s personnel file; timekeeping and pay records for Plaintiff, the Class and Aggrieved Employees; relevant policy documents for Defendant’s bonus programs that gave rise to the allegations at issue in the settlement; and the total number of Class Members. Defendant also deposed Plaintiff Alcaraz and Plaintiff’s counsel received additional informal discovery for settlement purposes, including pay records for the former employees who Plaintiff alleged to have claims for waiting time penalties, as well as a description of the number of employees affected by the alleged violation at different times. Plaintiff’s investigation was sufficient to satisfy the criteria for court approval set forth in *Dunk v. Foot Locker Retail, Inc.* (1996) 48 Cal.App.4th 1794, 1801 and *Kullar v. Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 129-130 (“*Dunk/Kullar*”).
- 2.5. The Court has granted class certification for Plaintiff’s waiting time penalties claim under Labor Code section 203 on October 25, 2022. The certified class consists of “all former employees working for Defendant from December 7, 2018 to October 18, 2022 who earned incentive compensation and meal or rest period premiums in the same workweek.”

- 2.6. The Parties, Class Counsel and Defense Counsel represent that they are not aware of any other pending matter or action asserting claims that will be extinguished or affected by the Settlement.

3. MONETARY TERMS.

- 3.1. Gross Settlement Amount. Except as otherwise provided by Paragraph 8 below, Defendant promises to pay \$105,000.00 and no more as the Gross Settlement Amount. Defendant has no obligation to pay the Gross Settlement Amount prior to the deadline stated in Paragraph 4.3 of this Agreement. The Administrator will disburse the entire Gross Settlement Amount without asking or requiring Participating Class Members or Aggrieved Employees to submit any claim as a condition of payment. None of the Gross Settlement Amount will revert to Defendant.

- 3.2. Payments from the Gross Settlement Amount. The Administrator will make and deduct the following payments from the Gross Settlement Amount, in the amounts specified by the Court in the Final Approval:

- 3.2.1. To Plaintiff: Class Representative Service Payment to the Class Representative of not more than \$5,000.00 (in addition to any Individual Class Payment and any Individual PAGA Payment the Class Representative is entitled to receive as a Participating Class Member). Defendant will not oppose Plaintiff's request for a Class Representative Service Payment that does not exceed this amount. As part of the motion for Class Counsel Fees Payment and Class Litigation Expenses Payment, Plaintiff will seek Court approval for any Class Representative Service Payments no later than 16 court days prior to the Final Approval Hearing. If the Court approves a Class Representative Service Payment less than the amount requested, the Administrator will retain the remainder in the Net Settlement Amount. The Administrator will pay the Class Representative Service Payment using IRS Form 1099. Plaintiff assumes full responsibility and liability for employee taxes owed on the Class Representative Service Payment.

- 3.2.2. To Class Counsel: A Class Counsel Fees Payment of not more than 33.33%, which is currently estimated to be \$35,000.00 and a Class Counsel Litigation Expenses Payment of not more than \$9,600.00. Defendant will not oppose requests for these payments provided that do not exceed these amounts. Plaintiff and/or Class Counsel will file a motion for Class Counsel Fees Payment and Class Litigation Expenses Payment no later than 16 court days prior to the Final Approval Hearing. If the Court approves a Class Counsel Fees Payment and/or a Class Counsel Litigation Expenses Payment less than the amounts requested, the Administrator will allocate the remainder to the Net Settlement Amount. Released Parties shall have no liability to Class Counsel or any other Plaintiff's Counsel arising from any claim to any portion any Class Counsel Fee Payment and/or Class Counsel Litigation Expenses Payment. The Administrator will pay the Class Counsel Fees Payment and Class Counsel Expenses Payment using one or more IRS

1099 Forms. Class Counsel assumes full responsibility and liability for taxes owed on the Class Counsel Fees Payment and the Class Counsel Litigation Expenses Payment and holds Defendant harmless, and indemnifies Defendant, from any dispute or controversy regarding any division or sharing of any of these Payments.

3.2.3. To the Administrator: An Administrator Expenses Payment not to exceed \$5,500.00 except for a showing of good cause and as approved by the Court. To the extent the Administration Expenses are less or the Court approves payment less than \$5,500.00, the Administrator will retain the remainder in the Net Settlement Amount.

3.2.4. To Each Participating Class Member: An Individual Class Payment calculated by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Workweeks.

3.2.4.1. Tax Allocation of Individual Class Payments. Because this matter concerns claims for waiting time, wage statement, and PAGA penalties, each Participating Class Member's Individual Class Payment will be allocated 100% to settlement of claims for penalties and any other non-taxable items, and reported on IRS 1099 Forms as necessary. Participating Class Members assume full responsibility and liability for any employee taxes owed on their Individual Class Payment.

3.2.4.2. Effect of Non-Participating Class Members on Calculation of Individual Class Payments. Non-Participating Class Members will not receive any Individual Class Payments. The Administrator will retain amounts equal to their Individual Class Payments in the Net Settlement Amount for distribution to Participating Class Members on a pro rata basis.

3.2.5. To the LWDA and Aggrieved Employees: PAGA Penalties in the amount of \$10,000.00 to be paid from the Gross Settlement Amount, with 75% (\$7,500) allocated to the LWDA PAGA Payment and 25% (\$2,500) allocated to the Individual PAGA Payments.

3.2.5.1. The Administrator will calculate each Individual PAGA Payment by (a) dividing the amount of the Aggrieved Employees' 25% share of PAGA Penalties (\$2,500) by the total number of PAGA Period Pay Periods worked by all Aggrieved Employees during the PAGA Period and (b) multiplying the result by each Aggrieved Employee's PAGA Period Pay Periods. Aggrieved Employees assume full responsibility and liability for any taxes owed on their Individual PAGA Payment.

3.2.5.2. If the Court approves PAGA Penalties of less than the amount requested, the Administrator will allocate the remainder to the Net Settlement

Amount. The Administrator will report the Individual PAGA Payments on IRS 1099 Forms.

4. SETTLEMENT FUNDING AND PAYMENTS.

- 4.1. Class Workweeks and Aggrieved Employee Pay Periods. Based on a review of its records to date, Defendant estimates there are 40 Class Members and Aggrieved Employees.
- 4.2. Class Data. Not later than 15 days after the Court grants Preliminary Approval of the Settlement, Defendant will simultaneously deliver the Class Data to the Administrator, in the form of a Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must maintain the Class Data in confidence, use the Class Data only for purposes of this Settlement and for no other purpose, and restrict access to the Class Data to Administrator employees who need access to the Class Data to effect and perform under this Agreement. Defendant has a continuing duty to immediately notify Class Counsel if it discovers that the Class Data omitted class member identifying information and to provide corrected or updated Class Data as soon as reasonably feasible. Without any extension of the deadline by which Defendant must send the Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts, in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class Data.
- 4.3. Funding of Gross Settlement Amount. Defendant shall fully fund the Gross Settlement Amount by transmitting the funds to the Administrator no later than 14 days after the Effective Date.
- 4.4. Payments from the Gross Settlement Amount. Within 14 days after Defendant funds the Gross Settlement Amount, the Administrator will mail checks for all Individual Class Payments, all Individual PAGA Payments, the LWDA PAGA Payment, the Administration Expenses Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, and the Class Representative Service Payment. Disbursement of the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment and the Class Representative Service Payment shall not precede disbursement of Individual Class Payments and Individual PAGA Payments.
 - 4.4.1. The Administrator will issue checks for the Individual Class Payments and/or Individual PAGA Payments and send them to the Class Members via First Class U.S. Mail, postage prepaid. The face of each check shall prominently state the date (not less than 180 days after the date of mailing) when the check will be voided. The Administrator will cancel all checks not cashed by the void date. The Administrator will send checks for Individual Settlement Payments to all Participating Class Members (including those for whom Class Notice was returned undelivered). The Administrator will send checks for Individual PAGA Payments to all Aggrieved Employees including Non-Participating Class Members who qualify as Aggrieved Employees (including those for whom Class Notice was

returned undelivered). The Administrator may send Participating Class Members a single check combining the Individual Class Payment and the Individual PAGA Payment. Before mailing any checks, the Settlement Administrator must update the recipients' mailing addresses using the National Change of Address Database.

4.4.2. The Administrator must conduct a Class Member Address Search for all other Class Members whose checks are returned undelivered without USPS forwarding address. Within 7 days of receiving a returned check the Administrator must re-mail checks to the USPS forwarding address provided or to an address ascertained through the Class Member Address Search. The Administrator need not take further steps to deliver checks to Class Members whose re-mailed checks are returned as undelivered. The Administrator shall promptly send a replacement check to any Class Member whose original check was lost or misplaced, requested by the Class Member prior to the void date.

4.4.3. For any Class Member whose Individual Class Payment check or Individual PAGA Payment check is uncashed and cancelled after the void date, the Administrator shall transmit the funds represented by such checks to Bet Tzedek Legal Services ("Cy Pres Recipient") consistent with Code of Civil Procedure Section 384, subd. (b). The Administrator will not send the enhancement amount to the Cy Pres Recipient but will instead notify Class Counsel if the enhancement is uncashed or canceled after the void date. The Parties, Class Counsel and Defense Counsel represent that they have no interest or relationship, financial or otherwise, with the intended Cy Pres Recipient.

4.4.4. The payment of Individual Class Payments and Individual PAGA Payments shall not obligate Defendant to confer any additional benefits or make any additional payments to Class Members (such as 401(k) contributions or bonuses) beyond those specified in this Agreement.

5. RELEASES OF CLAIMS. Effective on the date when Defendant fully funds the entire Gross Settlement Amount, Plaintiff, Class Members, and Class Counsel will release claims against all Released Parties as follows:

Plaintiff's Release. Plaintiff releases Defendant and each of its past, present, and future agents, employees, officers, directors, partners, trustees, representatives, shareholders, attorneys, parents, subsidiaries, related companies/corporations and/or partnerships, assigns, predecessors, successors, insurers, joint employers, alleged joint employers, and affiliated organizations, and all of their respective past, present, and future employees, directors, officers, agents, attorneys, stockholders, fiduciaries, parents, subsidiaries, and assigns (collectively, the "Released Parties"), from any and all claims, known and unknown, under federal, state, and/or local law, statute, ordinance, regulation, common law, or other source of law, including but not limited to claims arising from or related to his employment with Defendant and his compensation while an employee of Defendant ("Plaintiff's Released Claims"). Plaintiff's Released Claims include, but are not limited to, all claims arising from or related to the Action. Plaintiff's Released Claims

include all claims for unpaid wages including, but not limited to, failure to pay minimum wages, straight time compensation, overtime, double-time, and interest; the calculation of the regular rate of pay; missed meal period and rest period premiums, including failure to pay premiums at the regular rate of compensation; reimbursement for all necessary business expenses; payment for all hours worked, including off-the-clock work; statements; deductions; failure to keep accurate records; unfair business practices; penalties, including, but not limited to, civil penalties, statutory penalties, recordkeeping penalties, wage statement penalties, minimum-wage penalties, and waiting-time penalties; and attorneys' fees and costs. Plaintiff's Released Claims include all claims arising under the California Labor Code (including, but not limited to, sections 201, 202, 203, 204, 213, 218.5, 221, 223, 226, 226.3, 226.7, 510, 512, 558, 558.1, 1174, 1174.5, 1185, 1194, 1194.2, 1197, 1197.1, 1198, 1199, 2698 et seq., 2800, and 2802); all claims arising under: the Wage Orders of the California Industrial Welfare Commission; California Business and Professions Code sections 17200, et seq.; the California Civil Code, to include but not limited to, sections 3287, 3336, and 3294; California Code of Civil Procedure § 1021.5; the California common law of contract; the FLSA; federal common law; and the Employee Retirement Income Security Act ("ERISA"). Plaintiff's Released Claims also include all claims for emotional distress, retaliation, punitive damages, and attorneys' fees and costs arising under federal, state, or local laws for discrimination, harassment, retaliation, and wrongful termination, such as, by way of example only, 42 U.S.C. section 1981, Title VII of the Civil Rights Act of 1964, the Americans With Disabilities Act, the Age Discrimination in Employment Act, and the California Fair Employment and Housing Act; and the law of contract and tort. This release excludes the release of claims not permitted by law.

Plaintiff's Released Claims include all claims, whether known or unknown. Even if Plaintiff discovers facts in addition to or different from those that he now knows or believes to be true with respect to the subject matter of Plaintiff's Released Claims, those claims will remain released and forever barred.

The above release does not include claims that cannot be released as a matter of law, including but not limited to Plaintiff's right to file a charge with or participate in a charge by the California Civil Rights Department or any other federal, state, or local administrative body or government agency authorized to enforce laws related to employment (with the understanding that Plaintiff's release of claims bars Plaintiff from recovering monetary relief from any Releasee in connection with such charge), claims for Workers' Compensation, unemployment compensation, or state disability insurance benefits pursuant to the terms of applicable state law.

5.1.1 Plaintiff's Waiver of Rights Under California Civil Code Section 1542.

For purposes of Plaintiff's Release, Plaintiff expressly waives and relinquishes the provisions, rights, and benefits, if any, of section 1542 of the California Civil Code, which reads:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of

executing the release, and that if known by him or her would have materially affected his or her settlement with the debtor or Released Party.

5.2 Release by Participating Class Members Who Are Not Aggrieved Employees:

All Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, release Released Parties from (i) all claims that were alleged, or reasonably could have been alleged, based on the Settlement Class Period facts for waiting time penalties under Labor Code section 203 as stated in the Operative Complaint, including any associated wage statement penalties, penalties under the Private Attorneys General Act (“PAGA”), and claims for restitution or other relief available under California Business and Professions Code sections 17200, et seq. Except as set forth in Paragraph 5.3, Participating Class Members do not release any other claims including claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing Act, unemployment insurance, disability, social security, workers’ compensation, or claims based on facts occurring outside the Class Period.

5.3 Release by Non-Participating Class Members Who Are Aggrieved Employees: All Non-Participating Class Members who are Aggrieved Employees are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, the Released Parties from all claims for PAGA penalties that were alleged, or reasonably could have been alleged, based on the PAGA Period facts for waiting time penalties under Labor Code section 203 stated in the Operative Complaint and PAGA notice letter to the LWDA.

6. MOTION FOR PRELIMINARY APPROVAL. The Parties agree to jointly prepare and file a motion for preliminary approval (“Motion for Preliminary Approval”) that complies with the Court’s current checklist for Preliminary Approvals.

6.1 Defendant’s Declaration in Support of Preliminary Approval. Within 14 days of the full execution of this Agreement, Defendant will prepare and deliver to Class Counsel a signed Declaration from Defendant and Defense Counsel disclosing all facts relevant to any actual or potential conflicts of interest with the Administrator and Cy Pres Recipient. In their Declarations, Defense Counsel and Defendant shall aver that they are not aware of any other pending matter or action asserting claims that will be extinguished or adversely affected by the Settlement.

6.2 Plaintiff’s Responsibilities. Plaintiff will prepare and deliver to Defense Counsel all documents necessary for obtaining Preliminary Approval, including: (i) a draft of the notice, and memorandum in support, of the Motion for Preliminary Approval that includes an analysis of the Settlement under *Dunk/Kullar* and a request for approval of the PAGA Settlement under Labor Code Section 2699, subd. (f)(2)); (ii) a draft proposed Order Granting Preliminary Approval and Approval of PAGA Settlement; (iii) a draft proposed Class Notice; (iv) a signed declaration from the Administrator attaching its “not to exceed” bid for administering the Settlement and attesting to its willingness to

serve; competency; operative procedures for protecting the security of Class Data; amounts of insurance coverage for any data breach, defalcation of funds or other misfeasance; all facts relevant to any actual or potential conflicts of interest with Class Members and the proposed Cy Pres; and the nature and extent of any financial relationship with Plaintiff, Class Counsel or Defense Counsel; (v) a signed declaration from Plaintiff confirming willingness and competency to serve and disclosing all facts relevant to any actual or potential conflicts of interest with Class Members, and the Administrator and the proposed Cy Pres; (v) a signed declaration from each Class Counsel firm attesting to its competency to represent the Class Members; its timely transmission to the LWDA of all necessary PAGA documents (initial notice of violations (Labor Code section 2699.3, subd. (a)), Operative Complaint (Labor Code section 2699, subd. (1)(1)), this Agreement (Labor Code section 2699, subd. (1)(2)); and all facts relevant to any actual or potential conflict of interest with Class Members, the Administrator and/or the Cy Pres Recipient. In their Declarations, Plaintiff and Class Counsel shall aver that they are not aware of any other pending matter or action asserting claims that will be extinguished or adversely affected by the Settlement.

- 6.3 Responsibilities of Counsel. Class Counsel and Defense Counsel are jointly responsible for expeditiously finalizing and filing the Motion for Preliminary Approval no later than 30 days after the full execution of this Agreement; obtaining a prompt hearing date for the Motion for Preliminary Approval; and for appearing in Court to advocate in favor of the Motion for Preliminary Approval. Class Counsel is responsible for delivering the Court's Preliminary Approval to the Administrator.
- 6.4 Duty to Cooperate. If the Parties disagree on any aspect of the proposed Motion for Preliminary Approval and/or the supporting declarations and documents, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to resolve the disagreement. If the Court does not grant Preliminary Approval or conditions Preliminary Approval on any material change to this Agreement, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to modify the Agreement and otherwise satisfy the Court's concerns.

7. SETTLEMENT ADMINISTRATION.

- 7.1 Selection of Administrator. The Parties have jointly selected Phoenix Settlement Administrators to serve as the Administrator and verified that, as a condition of appointment, Phoenix Settlement Administrators agrees to be bound by this Agreement and to perform, as a fiduciary, all duties specified in this Agreement in exchange for payment of Administration Expenses. The Parties and their Counsel represent that they have no interest or relationship, financial or otherwise, with the Administrator other than a professional relationship arising out of prior experiences administering settlements.

- 7.2 Employer Identification Number. The Administrator shall have and use its own Employer Identification Number for purposes of calculating any payroll tax withholdings and providing reports state and federal tax authorities.
- 7.3 Qualified Settlement Fund. The Administrator shall establish a settlement fund that meets the requirements of a Qualified Settlement Fund (“QSF”) under US Treasury Regulation section 468B-1.
- 7.4 Notice to Class Members.
- 7.4.1 No later than three (3) business days after receipt of the Class Data, the Administrator shall notify Class Counsel that the list has been received and state the number of Class Members in the Class Data.
- 7.4.2 Using best efforts to perform as soon as possible, and in no event later than 14 days after receiving the Class Data, the Administrator will send to all Class Members identified in the Class Data, via first-class United States Postal Service (“USPS”) mail, the Class Notice substantially in the form attached to this Agreement as Exhibit “A.” The first page of the Class Notice shall prominently estimate the dollar amounts of any Individual Class Payment and/or Individual PAGA Payment payable to the Class Member, and the number of Workweeks and PAGA Pay Periods (if applicable) used to calculate these amounts. Before mailing Class Notices, the Administrator shall update Class Member addresses using the National Change of Address database.
- 7.4.3 Not later than 3 business days after the Administrator’s receipt of any Class Notice returned by the USPS as undelivered, the Administrator shall re-mail the Class Notice using any forwarding address provided by the USPS. If the USPS does not provide a forwarding address, the Administrator shall conduct a Class Member Address Search, and re-mail the Class Notice to the most current address obtained. The Administrator has no obligation to make further attempts to locate or send Class Notice to Class Members whose Class Notice is returned by the USPS a second time.
- 7.4.4 The deadlines for Class Members’ written objections, Challenges to Workweeks and/or Pay Periods, and Requests for Exclusion will be extended an additional 14 days beyond the 60 days otherwise provided in the Class Notice for all Class Members whose notice is re-mailed. The Administrator will inform the Class Member of the extended deadline with the re-mailed Class Notice.
- 7.4.5 If the Administrator, Defendant or Class Counsel is contacted by or otherwise discovers any persons who believe they should have been included in the Class Data and should have received Class Notice, the Parties will expeditiously meet and confer in person or by telephone, and in good faith in an effort to agree on whether to include them as Class Members. If the Parties agree, such persons will be Class Members entitled to the same rights as other Class

Members, and the Administrator will send, via email or overnight delivery, a Class Notice requiring them to exercise options under this Agreement not later than 14 days after receipt of Class Notice, or the deadline dates in the Class Notice, which ever are later.

7.5 Requests for Exclusion (Opt-Outs).

7.5.1 Class Members who wish to exclude themselves (opt-out of) the Class Settlement must send the Administrator, by fax, email, or mail, a signed written Request for Exclusion not later than 60 days after the Administrator mails the Class Notice (plus an additional 14 days for Class Members whose Class Notice is re-mailed). A Request for Exclusion is a letter from a Class Member or his/her representative that reasonably communicates the Class Member's election to be excluded from the Settlement and includes the Class Member's name, address and email address or telephone number. To be valid, a Request for Exclusion must be timely faxed, emailed, or postmarked by the Response Deadline.

7.5.2 The Administrator may not reject a Request for Exclusion as invalid because it fails to contain all the information specified in the Class Notice. The Administrator shall accept any Request for Exclusion as valid if the Administrator can reasonably ascertain the identity of the person as a Class Member and the Class Member's desire to be excluded. The Administrator's determination shall be final and not appealable or otherwise susceptible to challenge. If the Administrator has reason to question the authenticity of a Request for Exclusion, the Administrator may demand additional proof of the Class Member's identity. The Administrator's determination of authenticity shall be final and not appealable or otherwise susceptible to challenge.

7.5.3 Every Class Member who does not submit a timely and valid Request for Exclusion is deemed to be a Participating Class Member under this Agreement, entitled to all benefits and bound by all terms and conditions of the Settlement, including the Participating Class Members' Releases under Paragraphs 5.2 and 5.3 of this Agreement, regardless whether the Participating Class Member actually receives the Class Notice or objects to the Settlement.

7.5.4 Every Class Member who submits a valid and timely Request for Exclusion is a Non-Participating Class Member and shall not receive an Individual Class Payment or have the right to object to the class action components of the Settlement. Because future PAGA claims are subject to claim preclusion upon entry of the Judgment, Non-Participating Class Members who are Aggrieved Employees are deemed to release the claims identified in Paragraph 5.3 of this Agreement and are eligible for an Individual PAGA Payment.

7.6 Challenges to Calculation of Workweeks. Each Class Member shall have 60 days after the Administrator mails the Class Notice (plus an additional 14 days for Class Members whose Class Notice is re-mailed) to challenge the number of Class Workweeks

and PAGA Pay Periods (if any) allocated to the Class Member in the Class Notice. The Class Member may challenge the allocation by communicating with the Administrator via fax, email or mail. The Administrator must encourage the challenging Class Member to submit supporting documentation. In the absence of any contrary documentation, the Administrator is entitled to presume that the Workweeks contained in the Class Notice are correct so long as they are consistent with the Class Data. The Administrator's determination of each Class Member's allocation of Workweeks and/or Pay Periods shall be final and not appealable or otherwise susceptible to challenge. The Administrator shall promptly provide copies of all challenges to calculation of Workweeks and/or Pay Periods to Defense Counsel and Class Counsel.

7.7 Objections to Settlement.

7.7.1 Only Participating Class Members may object to the class action components of the Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Class Representative Service Payment.

7.7.2 Participating Class Members may send written objections to the Administrator, by fax, email, or mail. In the alternative, Participating Class Members may appear in Court (or hire an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A Participating Class Member who elects to send a written objection to the Administrator must do so not later than 60 days after the Administrator's mailing of the Class Notice (plus an additional 14 days for Class Members whose Class Notice was re-mailed).

7.7.3 Non-Participating Class Members have no right to object to any of the class action components of the Settlement.

7.8 Administrator Duties. The Administrator has a duty to perform or observe all tasks to be performed or observed by the Administrator contained in this Agreement or otherwise.

7.8.1 Email Address and Toll-Free Number. The Administrator will establish and maintain an email address and a toll-free telephone number to receive Class Member calls, faxes and emails.

7.8.2 Requests for Exclusion (Opt-outs) and Exclusion List. The Administrator will promptly review on a rolling basis Requests for Exclusion to ascertain their validity. Not later than 5 days after the expiration of the deadline for submitting Requests for Exclusion, the Administrator shall email a list to Class Counsel and Defense Counsel containing (a) the names and other identifying information of Class Members who have timely submitted valid Requests for Exclusion ("Exclusion List"); (b) the names and other identifying information of Class

Members who have submitted invalid Requests for Exclusion; (c) copies of all Requests for Exclusion from Settlement submitted (whether valid or invalid).

- 7.8.3 Weekly Reports. The Administrator must, on a weekly basis, provide written reports to Class Counsel and Defense Counsel that, among other things, tally the number of: Class Notices mailed or re-mailed, Class Notices returned undelivered, Requests for Exclusion (whether valid or invalid) received, objections received, challenges to Workweeks and/or Pay Periods received and/or resolved, and checks mailed for Individual Class Payments and Individual PAGA Payments ("Weekly Report"). The Weekly Reports must provide the Administrator's assessment of the validity of Requests for Exclusion and attach copies of all Requests for Exclusion and objections received.
- 7.8.4 Workweek and/or Pay Period Challenges. The Administrator has the authority to address and make final decisions consistent with the terms of this Agreement on all Class Member challenges over the calculation of Workweeks and/or Pay Periods. The Administrator's decision shall be final and not appealable or otherwise susceptible to challenge.
- 7.8.5 Administrator's Declaration. Not later than 14 days before the date by which Plaintiff is required to file the Motion for Final Approval of the Settlement, the Administrator will provide to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its due diligence and compliance with all of its obligations under this Agreement, including, but not limited to, its mailing of Class Notice, the Class Notices returned as undelivered, the re-mailing of Class Notices, attempts to locate Class Members, the total number of Requests for Exclusion from Settlement it received (both valid or invalid), the number of written objections and attach the Exclusion List. The Administrator will supplement its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible for filing the Administrator's declaration(s) in Court.
- 7.8.6 Final Report by Settlement Administrator. Within 10 days after the Administrator disburses all funds in the Gross Settlement Amount, the Administrator will provide Class Counsel and Defense Counsel with a final report detailing its disbursements by employee identification number only of all payments made under this Agreement. At least 15 days before any deadline set by the Court, the Administrator will prepare, and submit to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its disbursement of all payments required under this Agreement. Class Counsel is responsible for filing the Administrator's declaration in Court.

8. **CLASS SIZE ESTIMATES and ESCALATOR CLAUSE** Based on its records, Defendant estimates that, as of the date of this Settlement Agreement, (1) there are 40 Class Members during the Class period and (2) there were 40 Aggrieved Employees who worked during the PAGA Period.

8.1 Increase in Class Members. To the extent the number of Class Members increases beyond 40 by more than 10%, Defendant shall have the option to increase the Gross Settlement Amount proportionately. For example, if the number of Settlement Class Members increases by 17%, Defendant shall have the option to increase the Gross Settlement Amount by 7%. If Defendant elects not to increase the Gross Settlement Amount proportionately, Defendant shall notify Class Counsel of its decision in writing within ten (10) days of receiving the number of Class Members from the Administrator. Within ten (10) days of receipt of such written notice from Defendant, Plaintiff shall have the option to terminate this Settlement Agreement via written notice to Defense Counsel and the Parties will be restored to their positions prior to the Settlement and the Settlement shall be null and void.

9. **DEFENDANT'S RIGHT TO WITHDRAW.** If the number of valid Requests for Exclusion identified in the Exclusion List exceeds 10% of the total of all Class Members, Defendant may elect to withdraw from the Settlement. The Parties agree that, if Defendant withdraws, the Settlement shall be void ab initio, have no force or effect whatsoever, and that neither Party will have any further obligation to perform under this Agreement; provided, however, Defendant will remain responsible for paying all Settlement Administration Expenses incurred to that point. Defendant must notify Class Counsel and the Court of its election to withdraw not later than seven days after the Administrator sends the final Exclusion List to Defense Counsel; late elections will have no effect.

10. **MOTION FOR FINAL APPROVAL.** Not later than 16 court days before the calendared Final Approval Hearing, Plaintiff will file in Court, a motion for final approval of the Settlement that includes a request for approval of the PAGA settlement under Labor Code section 2699, subd. (l), a Proposed Final Approval Order and a proposed Judgment (collectively "Motion for Final Approval"). Plaintiff shall provide drafts of these documents to Defense Counsel not later than seven days prior to filing the Motion for Final Approval. Class Counsel and Defense Counsel will expeditiously meet and confer in person or by telephone, and in good faith, to resolve any disagreements concerning the Motion for Final Approval.

10.1 Response to Objections. Each Party retains the right to respond to any objection raised by a Participating Class Member, including the right to file responsive documents in Court no later than five court days prior to the Final Approval Hearing, or as otherwise ordered or accepted by the Court.

10.2 Duty to Cooperate. If the Court does not grant Final Approval or conditions Final Approval on any material change to the Settlement (including, but not limited to, the scope of release to be granted by Class Members), the Parties will expeditiously work together in good faith to address the Court's concerns by revising the Agreement as necessary to obtain Final Approval. The Court's decision to award less than the amounts requested for the Class Representative Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Administrator Expenses Payment

shall not constitute a material modification to the Agreement within the meaning of this paragraph.

10.3 Continuing Jurisdiction of the Court. The Parties agree that, after entry of Judgment, the Court will retain jurisdiction over the Parties, Action, and the Settlement solely for purposes of (i) enforcing this Agreement and/or Judgment, (ii) addressing settlement administration matters, and (iii) addressing such post-Judgment matters as are permitted by law.

10.4 Waiver of Right to Appeal. Provided the Judgment is consistent with the terms and conditions of this Agreement, specifically including the Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment reflected set forth in this Settlement, the Parties, their respective counsel, and all Participating Class Members who did not object to the Settlement as provided in this Agreement, waive all rights to appeal from the Judgment, including all rights to post-judgment and appellate proceedings, the right to file motions to vacate judgment, motions for new trial, extraordinary writs, and appeals. The waiver of appeal does not include any waiver of the right to oppose such motions, writs or appeals. If an objector appeals the Judgment, the Parties' obligations to perform under this Agreement will be suspended until such time as the appeal is finally resolved and the Judgment becomes final, except as to matters that do not affect the amount of the Net Settlement Amount.

10.5 Appellate Court Orders to Vacate, Reverse, or Materially Modify Judgment. If the reviewing Court vacates, reverses, or modifies the Judgment in a manner that requires a material modification of this Agreement (including, but not limited to, the scope of release to be granted by Class Members), this Agreement shall be null and void. The Parties shall nevertheless expeditiously work together in good faith to address the appellate court's concerns and to obtain Final Approval and entry of Judgment, sharing, on a 50-50 basis, any additional Administration Expenses reasonably incurred after remittitur. An appellate decision to vacate, reverse, or modify the Court's award of the Class Representative Service Payment or any payments to Class Counsel shall not constitute a material modification of the Judgment within the meaning of this paragraph, as long as the Gross Settlement Amount remains unchanged.

11. AMENDED JUDGMENT. If any amended judgment is required under Code of Civil Procedure section 384, the Parties will work together in good faith to jointly submit a proposed amended judgment.

12. ADDITIONAL PROVISIONS.

12.1 No Admission of Liability, Class Certification or Representative Manageability for Other Purposes. This Agreement represents a compromise and settlement of highly disputed claims. Nothing in this Agreement is intended or should be construed as an admission by Defendant that any of the allegations in the Operative Complaint have merit or that Defendant has any liability for any claims asserted; nor should it be intended or construed as an admission by Plaintiff that Defendant's defenses in the

Action have merit. The Parties agree that class certification and representative treatment is for purposes of this Settlement only. If, for any reason the Court does grant Preliminary Approval, Final Approval or enter Judgment, Defendant reserves the right to contest certification of any class for any reasons, and Defendant reserves all available defenses to the claims in the Action, and Plaintiff reserves the right to move for class certification on any grounds available and to contest Defendant's defenses. The Settlement, this Agreement and Parties' willingness to settle the Action will have no bearing on, and will not be admissible in connection with, any litigation (except for proceedings to enforce or effectuate the Settlement and this Agreement).

- 12.2 Confidentiality Prior to Preliminary Approval. Plaintiff, Class Counsel, Defendant and Defense Counsel separately agree that, until the Motion for Preliminary Approval of Settlement is filed, they and each of them will not disclose, disseminate and/or publicize, or cause or permit another person to disclose, disseminate or publicize, any of the terms of the Agreement directly or indirectly, specifically or generally, to any person, corporation, association, government agency, or other entity except: (1) to the Parties' attorneys, accountants, or spouses, all of whom will be instructed to keep this Agreement confidential; (2) counsel in a related matter; (3) to the extent necessary to report income to appropriate taxing authorities; (4) in response to a court order or subpoena; or (5) in response to an inquiry or subpoena issued by a state or federal government agency. Each Party agrees to immediately notify each other Party of any judicial or agency order, inquiry, or subpoena seeking such information. Plaintiff, Class Counsel, Defendant and Defense Counsel separately agree not to, directly or indirectly, initiate any conversation or other communication, before the filing of the Motion for Preliminary Approval, with any with third party regarding this Agreement or the matters giving rise to this Agreement except to respond only that "the matter was resolved," or words to that effect. This paragraph does not restrict Class Counsel's communications with Class Members in accordance with Class Counsel's ethical obligations owed to Class Members.
- 12.3 No Solicitation. The Parties separately agree that they and their respective counsel and employees will not solicit any Class Member to opt out of or object to the Settlement, or appeal from the Judgment. Nothing in this paragraph shall be construed to restrict Class Counsel's ability to communicate with Class Members in accordance with Class Counsel's ethical obligations owed to Class Members.
- 12.4 Integrated Agreement. Upon execution by all Parties and their counsel, this Agreement together with its attached exhibits shall constitute the entire agreement between the Parties relating to the Settlement, superseding any and all oral representations, warranties, covenants, or inducements made to or by any Party.
- 12.5 Attorney Authorization. Class Counsel and Defense Counsel separately warrant and represent that they are authorized by Plaintiff and Defendant, respectively, to take all appropriate action required or permitted to be taken by such Parties pursuant to this Agreement to effectuate its terms, and to execute any other documents reasonably

required to effectuate the terms of this Agreement including any amendments to this Agreement.

- 12.6 Cooperation. The Parties and their counsel will cooperate with each other and use their best efforts, in good faith, to implement the Settlement by, among other things, modifying the Settlement Agreement, submitting supplemental evidence and supplementing points and authorities as requested by the Court. In the event the Parties are unable to agree upon the form or content of any document necessary to implement the Settlement, or on any modification of the Agreement that may become necessary to implement the Settlement, the Parties will seek the assistance of a mediator and/or the Court for resolution.
- 12.7 No Prior Assignments. The Parties separately represent and warrant that they have not directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or encumber to any person or entity and portion of any liability, claim, demand, action, cause of action, or right released and discharged by the Party in this Settlement.
- 12.8 No Tax Advice. Neither Plaintiff, Class Counsel, Defendant nor Defense Counsel are providing any advice regarding taxes or taxability, nor shall anything in this Settlement be relied upon as such within the meaning of United States Treasury Department Circular 230 (31 CFR Part 10, as amended) or otherwise.
- 12.9 Modification of Agreement. This Agreement, and all parts of it, may be amended, modified, changed, or waived only by an express written instrument signed by all Parties or their representatives, and approved by the Court.
- 12.10 Agreement Binding on Successors. This Agreement will be binding upon, and inure to the benefit of, the successors of each of the Parties.
- 12.11 Applicable Law. All terms and conditions of this Agreement and its exhibits will be governed by and interpreted according to the internal laws of the state of California, without regard to conflict of law principles.
- 12.12 Cooperation in Drafting. The Parties have cooperated in the drafting and preparation of this Agreement. This Agreement will not be construed against any Party on the basis that the Party was the drafter or participated in the drafting.
- 12.13 Confidentiality. To the extent permitted by law, all agreements made, and orders entered during Action and in this Agreement relating to the confidentiality of information shall survive the execution of this Agreement.
- 12.14 Use and Return of Class Data. Information provided to Class Counsel pursuant to Cal. Evid. Code §1152, and all copies and summaries of the Class Data provided to Class Counsel by Defendant in connection with the settlement negotiations and in connection with the Settlement, may be used only with respect to this Settlement, and no other purpose, and may not be used in any way that violates any existing contractual

agreement, statute, or rule of court. Not later than 90 days after the date when the Court discharges the Administrator's obligation to provide a Declaration confirming the final pay out of all Settlement funds, Plaintiff shall destroy, all paper and electronic versions of Class Data received from Defendant unless, prior to the Court's discharge of the Administrator's obligation, Defendant makes a written request to Class Counsel for the return, rather than the destructions, of Class Data.

12.15 Headings. The descriptive heading of any section or paragraph of this Agreement is inserted for convenience of reference only and does not constitute a part of this Agreement.

12.16 Calendar Days. Unless otherwise noted, all reference to "days" in this Agreement shall be to calendar days. In the event any date or deadline set forth in this Agreement falls on a weekend or federal legal holiday, such date or deadline shall be on the first business day thereafter.

12.17 Notice. All notices, demands or other communications between the Parties in connection with this Agreement will be in writing and deemed to have been duly given as of the third business day after mailing by United States mail, or the day sent by email or messenger, addressed as follows:

To Plaintiff:

Kingsley & Kingsley, APC
Eric B. Kingsley
Ari J. Stiller
16133 Ventura Blvd., Suite 1200
Encino, CA 91436

To Defendant:

Sheppard, Mullin, Richter & Hampton LLP
Daniel J. McQueen
Nora K. Stilestein
Tyler J. Johnson
333 South Hope Street, 43rd Floor
Los Angeles, CA 90071-1422

12.18 Execution in Counterparts. This Agreement may be executed in one or more counterparts by facsimile, electronically (i.e. DocuSign), or email which for purposes of this Agreement shall be accepted as an original. All executed counterparts and each of them will be deemed to be one and the same instrument if counsel for the Parties will exchange between themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove the existence and contents of this Agreement.

12.19 . Stay of Litigation. The Parties agree that upon the execution of this Agreement the litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further agree that upon the signing of this Agreement that pursuant to CCP section 583.330 to extend the date to bring a case to trial under CCP section 583.310 for the entire period of this settlement process.

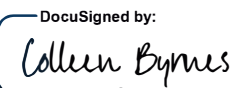
DATED: July 18, 2023

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By: _____
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PLAINTIFF Daniel Alcaraz

DATED: 7/17/2023 | 09:26:54 PDT



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By: _____
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DEFENDANT Grifols Biologicals LLC

APPROVED AS TO FORM AND CONTENT:

DATED: July 18, 2023

KINGSLEY & KINGSLEY, APC


By: _____
Ari J. Stiller
Attorneys for Plaintiff DANIEL ALCARAZ and the
Proposed Class

DATED: July 17, 2023

SHEPPARD, MULLIN, RICHTER & HAMPTON
LLP


By: _____
Daniel J. McQueen
Nora K. Stiles
Tyler J. Johnson
Attorneys for Defendant

EXHIBIT “A”

COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL

*DANIEL ALCARAZ, an individual, on behalf of himself and others similarly situated,
PLAINTIFF v. GRIFOLS BIOLOGICALS INC.; and DOES 1 thru 50, inclusive, DEFENDANTS,
Case No. 21STCV42719*

***The Superior Court for the State of California authorized this Notice. Read it carefully!
It's not junk mail, spam, an advertisement, or solicitation by a lawyer. You are not being sued.***

You may be eligible to receive money from an employee class action lawsuit (“Action”) against Grifols Biologicals LLC (“Grifols” or “Defendant”) for alleged wage and hour violations. The Action was filed by a former Grifols employee, Daniel Alcaraz (“Plaintiff”), and seeks payment of penalties and other relief for a class of all former non-exempt employees (“Class Members”) who earned incentive compensation and meal or rest period premiums in the same workweek and who left employment with Grifols at any point from July 15, 2021 to March 25, 2022.

The proposed Settlement has two main parts: (1) a Class Settlement requiring Grifols to fund Individual Class Payments, and (2) a PAGA Settlement requiring Grifols to fund Individual PAGA Payments and pay penalties to the California Labor and Workforce Development Agency (“LWDA”).

Based on Grifols’ records, and the Parties’ current assumptions, **your Individual Class Payment is estimated to be \$_____ and your Individual PAGA Payment is estimated to be \$_____**. The actual amount you may receive likely will be different and will depend on a number of factors. (If no amount is stated for your Individual PAGA Payment, then according to Grifols’ records you are not eligible for an Individual PAGA Payment under the Settlement because you didn’t work during the PAGA Period.)

The above estimates are based on Grifols’ records showing that **you worked _____ workweeks** during the Class Period and **you worked _____ pay periods** during the PAGA Period. If you believe that you worked more workweeks during either period, you can submit a challenge by the deadline date. See Section 4 of this Notice.

The Court has already preliminarily approved the proposed Settlement and approved this Notice. The Court has not yet decided whether to grant final approval. Your legal rights are affected whether you act or not. Read this Notice carefully. You will be deemed to have carefully read and understood it. At the Final Approval Hearing, the Court will decide whether to finally approve the Settlement and how much of the Settlement will be paid to Plaintiff and Plaintiff’s attorneys (“Class Counsel”). The Court will also decide whether to enter a judgment that requires Grifols to make payments under the Settlement and requires Class Members and Aggrieved Employees to give up their rights to assert certain claims against Grifols.

If you worked for Grifols during the Class Period and/or the PAGA Period, you have two basic options under the Settlement:

- (1) **Do Nothing.** You don't have to do anything to participate in the proposed Settlement and be eligible for an Individual Class Payment and/or an Individual PAGA Payment. As a Participating Class Member, though, you will give up your right to assert Class Period wage claims and PAGA Period penalty claims against Grifols.
- (2) **Opt-Out of the Class Settlement.** You can exclude yourself from the Class Settlement (opt-out) by submitting the written Request for Exclusion or otherwise notifying the Administrator in writing. If you opt-out of the Settlement, you will not receive an Individual Class Payment. You will, however, preserve your right to personally pursue Class Period wage claims against Grifols, and, if you are an Aggrieved Employee, remain eligible for an Individual PAGA Payment. You cannot opt-out of the PAGA portion of the proposed Settlement.

Grifols will not retaliate against you for any actions you take with respect to the proposed Settlement.

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT

You Don't Have to Do Anything to Participate in the Settlement	If you do nothing, you will be a Participating Class Member, eligible for an Individual Class Payment and an Individual PAGA Payment (if any). In exchange, you will give up your right to assert the wage claims against Grifols that are covered by this Settlement (Released Claims).
You Can Opt Out of the Class Settlement But Not the PAGA Settlement The Opt-out Deadline is [date]	If you don't want to fully participate in the proposed Settlement, you can opt out of the Class Settlement by sending the Administrator a written Request for Exclusion. Once excluded, you will be a Non-Participating Class Member and no longer eligible for an Individual Class Payment. Non-Participating Class Members cannot object to any portion of the proposed Settlement. See Section 6 of this Notice. You cannot opt-out of the PAGA portion of the proposed Settlement. Grifols must pay Individual PAGA Payments to all Aggrieved Employees and the Aggrieved Employees must give up their rights to pursue Released Claims (defined below).
Participating Class Members Can Object to the Class Settlement But Not the PAGA Settlement	All Class Members who do not opt out ("Participating Class Members") can object to any aspect of the proposed Settlement. The Court's decision whether to finally approve the Settlement will include a determination of how much will be paid to Class Counsel and Plaintiff who pursued the Action on behalf of the Class. You are not personally responsible for any payments to Class Counsel or Plaintiff, but every dollar paid to Class Counsel and Plaintiff

Written Objections Must be Submitted by [date]	reduces the overall amount paid to Participating Class Members. You can object to the amounts requested by Class Counsel or Plaintiff if you think they are unreasonable. See Section 7 of this Notice.
You Can Participate in the [date] Final Approval Hearing	The Court's Final Approval Hearing is scheduled to take place on [date]. You don't have to attend but you do have the right to appear (or hire an attorney to appear on your behalf at your own cost), in person, by telephone or by using the Court's virtual appearance platform. Participating Class Members can verbally object to the Settlement at the Final Approval Hearing. See Section 8 of this Notice.
You Can Challenge the Calculation of Your Workweeks/Pay Periods Written Challenges Must be Submitted by [date]	The amount of your Individual Class Payment and PAGA Payment (if any) depend on how many workweeks you worked at least one day during the Class Period and how many Pay Periods you worked at least one day during the PAGA Period, respectively. The number Class Period Workweeks and number of PAGA Period Pay Periods you worked according to Grifols' records is stated on the first page of this Notice. If you disagree with either of these numbers, you must challenge it by [date]. See Section 4 of this Notice.

1. WHAT IS THE ACTION ABOUT?

Plaintiff is a former Grifols employee. The Action accuses Grifols of violating California labor laws by failing to pay wages due upon termination. . Based on the same violations, Plaintiff has also asserted a claim for civil penalties under the California Private Attorneys General Act (Labor Code §§ 2698, et seq.) ("PAGA"). Plaintiff is represented by attorneys in the Action: Kingsley & Kingsley, APC ("Class Counsel.")

Grifols strongly denies violating any laws or failing to pay any wages and contends it complied with all applicable laws.

2. WHAT DOES IT MEAN THAT THE ACTION HAS SETTLED?

So far, the Court has made no determination whether Grifols or Plaintiff is correct on the merits. In the meantime, Plaintiff and Defendant, in an effort to resolve the Action, negotiated an to end the case by agreement (settle the case) rather than continuing the expensive and time-consuming process of litigation. The negotiations were successful. By signing a lengthy written settlement agreement ("Agreement") and agreeing to jointly ask the Court to enter a judgment ending the Action and enforcing the Agreement, Plaintiff and Defendant have negotiated a proposed Settlement that is subject to the Court's Final Approval. Both sides agree the proposed Settlement is a compromise of disputed claims. By agreeing to settle, Defendant does not admit any violations or concede the merit of any claims.

Plaintiff and Class Counsel strongly believe the Settlement is a good deal for you because they believe that: (1) Defendant has agreed to pay a fair, reasonable and adequate amount considering

the strength of the claims and the risks and uncertainties of continued litigation; and (2) Settlement is in the best interests of the Class Members and Aggrieved Employees. The Court preliminarily approved the proposed Settlement as fair, reasonable and adequate, authorized this Notice, and scheduled a hearing to determine Final Approval.

3. WHAT ARE THE IMPORTANT TERMS OF THE PROPOSED SETTLEMENT?

1. Grifols Will Pay \$105,000.00 as the Gross Settlement Amount (Gross Settlement). Grifols has agreed to deposit the Gross Settlement into an account controlled by the Administrator of the Settlement. The Administrator will use the Gross Settlement to pay the Individual Class Payments, Individual PAGA Payments, Class Representative Service Payment, Class Counsel's attorney's fees and expenses, the Administrator's expenses, and penalties to be paid to the California Labor and Workforce Development Agency ("LWDA"). Assuming the Court grants Final Approval, Grifols will fund the Gross Settlement not more than 14 days after the Judgment entered by the Court becomes final. The Judgment will be final on the date the Court enters Judgment, or a later date if Participating Class Members object to the proposed Settlement or the Judgment is appealed.
2. Court Approved Deductions from Gross Settlement. At the Final Approval Hearing, Plaintiff and/or Class Counsel will ask the Court to approve the following deductions from the Gross Settlement, the amounts of which will be decided by the Court at the Final Approval Hearing:
 - A. Up to \$ 35,000.00 (33.33% of the Gross Settlement) to Class Counsel for attorneys' fees and up to \$9,600.00 for their litigation expenses. To date, Class Counsel have worked and incurred expenses on the Action without payment.
 - B. Up to \$5,000.00 as a Class Representative Award for filing the Action, working with Class Counsel and representing the Class. A Class Representative Award will be the only monies Plaintiff will receive other than Plaintiff's Individual Class Payment and any Individual PAGA Payment.
 - C. Up to \$5,500.00 to the Administrator for services administering the Settlement.
 - D. Up to \$10,000.00 for PAGA Penalties, allocated 75% to the LWDA PAGA Payment and 25% in Individual PAGA Payments to the Aggrieved Employees based on their PAGA Period Pay Periods.

Participating Class Members have the right to object to any of these deductions. The Court will consider all objections.

3. Net Settlement Distributed to Class Members. After making the above deductions in amounts approved by the Court, the Administrator will distribute the rest of the Gross Settlement (the "Net Settlement") by making Individual Class Payments to Participating Class Members based on their Class Period Workweeks.

4. Taxes Owed on Payments to Class Members. Plaintiff and Defendant are asking the Court to approve an allocation of 100% of each Individual Class Payment to penalties and any other non-taxable items.. The Individual PAGA Payments are counted as penalties rather than wages for tax purposes. The Administrator will report the Individual PAGA Payments and Individual Class Payments on IRS 1099 Forms.

Although Plaintiff and Defendant have agreed to these allocations, neither side is giving you any advice on whether your Payments are taxable or how much you might owe in taxes. You are responsible for paying all taxes (including penalties and interest on back taxes) on any Payments received from the proposed Settlement. You should consult a tax advisor if you have any questions about the tax consequences of the proposed Settlement.

5. Need to Promptly Cash Payment Checks. The front of every check issued for Individual Class Payments and Individual PAGA Payments will show the date when the check expires (the void date). If you don't cash it by the void date, your check will be automatically cancelled, and the monies will be irrevocably lost to you because they will be paid to a non-profit organization or foundation ("Cy Pres").
6. Requests for Exclusion from the Class Settlement (Opt-Outs). You will be treated as a Participating Class Member, participating fully in the Class Settlement, unless you notify the Administrator in writing, not later than [date], that you wish to opt-out. The easiest way to notify the Administrator is to send a written and signed Request for Exclusion by the [date] Response Deadline. The Request for Exclusion should be a letter from a Class Member or his/her representative setting forth a Class Member's name, present address, telephone number, and a simple statement electing to be excluded from the Settlement. Excluded Class Members (i.e., Non-Participating Class Members) will not receive Individual Class Payments, but will preserve their rights to personally pursue wage and hour claims against Grifols.

You cannot opt out of the PAGA portion of the Settlement. Class Members who exclude themselves from the Class Settlement (Non-Participating Class Members) remain eligible for Individual PAGA Payments and are required to give up their right to assert PAGA claims against Grifols based on the PAGA Period facts alleged in the Action.

7. The Proposed Settlement Will be Void if the Court Denies Final Approval. It is possible the Court will decline to grant Final Approval of the Settlement or decline enter a Judgment. It is also possible the Court will enter a Judgment that is reversed on appeal. Plaintiffs and Grifols have agreed that, in either case, the Settlement will be void: Grifols will not pay any money and Class Members will not release any claims against Grifols.
8. Administrator. The Court has appointed a neutral company, Phoenix Settlement Administrators (the "Administrator"), to send this Notice, calculate and make payments, and process Class Members' Requests for Exclusion. The Administrator will also decide Class Member Challenges over Workweeks, mail and re-mail settlement checks and tax forms, and perform other tasks necessary to administer the Settlement. The Administrator's contact information is contained in Section 9 of this Notice.

9. Participating Class Members' Release. After the Judgment is final and Defendant has fully funded the Gross Settlement, Participating Class Members will be legally barred from asserting any of the claims released under the Settlement. This means that unless you opted out by validly excluding yourself from the Class Settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendant or related entities for wages based on the Class Period facts and PAGA penalties based on PAGA Period facts, as alleged in the Action and resolved by this Settlement.

The Participating Class Members will be bound by the following release:

All Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, release Defendant and each of its past, present, and future agents, employees, officers, directors, partners, trustees, representatives, shareholders, attorneys, parents, subsidiaries, related companies/corporations and/or partnerships, assigns, predecessors, successors, insurers, joint employers, alleged joint employers, and affiliated organizations, and all of their respective past, present, and future employees, directors, officers, agents, attorneys, stockholders, fiduciaries, parents, subsidiaries, and assigns (the "Released Parties") from (i) all claims that were alleged, or reasonably could have been alleged, based on the Settlement Class Period facts for waiting time penalties under Labor Code section 203 stated in the Operative Complaint, including any associated wage statement penalties, penalties under the Private Attorneys General Act ("PAGA"), and claims for restitution or other relief available under California Business and Professions Code sections 17200, et seq. Except for the PAGA Release, Participating Class Members do not release any other claims, including claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing Act, unemployment insurance, disability, social security, workers' compensation, or claims based on facts occurring outside the Settlement Class Period.

10. Aggrieved Employees' PAGA Release. After the Court's judgment is final, and Defendant has paid the Gross Settlement, all Aggrieved Employees will be barred from asserting PAGA claims against the Release Parties, whether or not they exclude themselves from the Settlement. This means that all Aggrieved Employees, including those who are Participating Class Members and those who opt-out of the Class Settlement, cannot sue, continue to sue, or participate in any other PAGA claim against the Released Parties or its related entities based on the PAGA Period facts alleged in the Action and resolved by this Settlement.

The Aggrieved Employees' Releases for Participating and Non-Participating Class Members are as follows:

All Participating and Non-Participating Class Members who are Aggrieved Employees are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and

assigns, the Released Parties, from all claims for PAGA penalties that were alleged, or reasonably could have been alleged, based on the PAGA Period facts for waiting time penalties under Labor Code section 203 stated in the Operative Complaint and PAGA notice letter to the LWDA.

4. HOW WILL THE ADMINISTRATOR CALCULATE MY PAYMENT?

1. Individual Class Payments. The Administrator will calculate Individual Class Payments by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members, and (b) multiplying the result by the number of Workweeks worked by each individual Participating Class Member.
2. Individual PAGA Payments. The Administrator will calculate Individual PAGA Payments by (a) dividing \$2,500.00 by the total number of PAGA Pay Periods worked by all Aggrieved Employees and (b) multiplying the result by the number of PAGA Period Pay Periods worked by each individual Aggrieved Employee.
3. Workweek/Pay Period Challenges. The number of Class Workweeks you worked during the Class Period and the number of PAGA Pay Periods you worked during the PAGA Period, as recorded in Grifols' records, are stated in the first page of this Notice. You have until [date] to challenge the number of Workweeks and/or Pay Periods credited to you. You can submit your challenge by signing and sending a letter to the Administrator via mail, email or fax. Section 9 of this Notice has the Administrator's contact information.

You need to support your challenge by sending copies of pay stubs or other records. The Administrator will accept Defendant's calculation of Workweeks and/or Pay Periods based on Defendant's records as accurate unless you send copies of records containing contrary information. You should send copies rather than originals because the documents will not be returned to you. The Administrator will resolve Workweek and/or Pay Period challenges based on your submission and on input from Class Counsel (who will advocate on behalf of Participating Class Members) and Defendant's Counsel. The Administrator's decision is final. You can't appeal or otherwise challenge its final decision.

5. HOW WILL I GET PAID?

1. Participating Class Members. The Administrator will send, by U.S. mail, a single check to every Participating Class Member (i.e., every Class Member who doesn't opt out) including those who also qualify as Aggrieved Employees. The single check will combine the Individual Class Payment and the Individual PAGA Payment.
2. Non-Participating Class Members. The Administrator will send, by U.S. mail, a single Individual PAGA Payment check to every Aggrieved Employee who opts out of the Class Settlement (i.e., every Non-Participating Class Member).

Your check will be sent to the same address as this Notice. If you change your address, be sure to notify the Administrator as soon as possible. Section 9 of this Notice has the Administrator's contact information.

6. HOW DO I OPT OUT OF THE CLASS SETTLEMENT?

Submit a written and signed letter with your name, present address, telephone number, and a simple statement that you do not want to participate in the Settlement. The Administrator will exclude you based on any writing communicating your request be excluded. Be sure to personally sign your request, identify the Action as *Daniel Alcaraz v. Grifols Biologicals, Inc.*, and include your identifying information (full name, address, telephone number, approximate dates of employment, and social security number for verification purposes). You must make the request yourself. If someone else makes the request for you, it will not be valid. **The Administrator must be sent your request to be excluded by [date], or it will be invalid.** Section 9 of the Notice has the Administrator's contact information.

7. HOW DO I OBJECT TO THE SETTLEMENT?

Only Participating Class Members have the right to object to the Settlement. Before deciding whether to object, you may wish to see what Plaintiff and Defendant are asking the Court to approve. At least [insert] days before the [date] Final Approval Hearing, Class Counsel and/or Plaintiff will file in Court (1) a Motion for Final Approval that includes, among other things, the reasons why the proposed Settlement is fair, and (2) a Motion for Fees, Litigation Expenses and Service Award stating (i) the amount Class Counsel is requesting for attorneys' fees and litigation expenses; and (ii) the amount Plaintiff is requesting as a Class Representative Service Award. Upon reasonable request, Class Counsel (whose contact information is in Section 9 of this Notice) will send you copies of these documents at no cost to you. You can also view them on the Court's website at <https://www.lacourt.org/documentimages/civilimages/publicmain.aspx>.

A Participating Class Member who disagrees with any aspect of the Agreement, the Motion for Final Approval and/or Motion for Fees, Litigation Expenses and Service Award may wish to object, for example, that the proposed Settlement is unfair, or that the amounts requested by Class Counsel or Plaintiff are too high or too low. **The deadline for sending written objections to the Administrator is [date].** Be sure to tell the Administrator what you object to, why you object, and any facts that support your objection. Make sure you identify the Action as *Daniel Alcaraz v. Grifols Biologicals, Inc.* and include your name, current address, telephone number, and approximate dates of employment for Grifols and sign the objection. Section 9 of this Notice has the Administrator's contact information.

A Participating Class Member can also object (or personally retain a lawyer to object at your own cost) by attending the Final Approval Hearing. You (or your attorney) should be ready to tell the Court what you object to, why you object, and any facts that support your objection. See Section 8 of this Notice (immediately below) for specifics regarding the Final Approval Hearing.

8. CAN I ATTEND THE FINAL APPROVAL HEARING?

You can, but don't have to, attend the Final Approval Hearing on [date] at [time] in Department 7 of the Los Angeles Superior Court, located at 312 North Spring Street, Los Angeles, CA 90012. At the Hearing, the judge will decide whether to grant Final Approval of the Settlement and how much of the Gross Settlement will be paid to Class Counsel, Plaintiff, and the Administrator. The Court will invite comment from objectors, Class Counsel and Defense Counsel before making a decision. You can attend (or hire a lawyer to attend) either personally or virtually via LACourtConnect at <https://www.lacourt.org/lacc>. Check the Court's website for the most current information.

It's possible the Court will reschedule the Final Approval Hearing. You should check the Court's website (<https://www.lacourt.org/casesummary/ui/>) beforehand or contact Class Counsel to verify the date and time of the Final Approval Hearing.

9. HOW CAN I GET MORE INFORMATION?

The Agreement sets forth everything Grifols and Plaintiff have promised to do under the proposed Settlement. The easiest way to read the Agreement, the Judgment or any other Settlement documents is to go to Los Angeles County Superior Court's website at <https://www.lacourt.org/casesummary/ui/>. You can also telephone or send an email to Class Counsel or the Administrator using the contact information listed below, or consult the Superior Court website by going to <http://www.lacourt.org/casesummary/ui/index.aspx> and entering the Case Number for the Action, Case No. 21STCV42719. You can also make an appointment to personally review court documents in the Clerk's Office at the Stanley Mosk Courthouse by calling (213) 830-0800.

DO NOT TELEPHONE THE SUPERIOR COURT TO OBTAIN INFORMATION ABOUT THE SETTLEMENT.

Class Counsel:

Kingsley & Kingsley, APC

Eric B. Kingsley

Ari J. Stiller

eric@kingsleykingsley.com

ari@kingsleykingsley.com

16133 Ventura Blvd., Suite 1200

Encino, CA 91436

Tel: (818) 990-8300

Settlement Administrator:

[Name of Company]

[Email Address]

[Mailing Address]

[Telephone]

[Fax Number]

10. WHAT IF I LOSE MY SETTLEMENT CHECK?

If you lose or misplace your settlement check before cashing it, the Administrator will replace it as long as you request a replacement before the void date on the face of the original check.

11. WHAT IF I CHANGE MY ADDRESS?

To receive your check, you should immediately notify the Administrator if you move or otherwise change your mailing address.

Certificate Of Completion

Envelope Id: 8F56FAC23BC243588B2F9CB58F4B96DD

Status: Completed

Subject: 2023-07-12 Alcaraz - Class and PAGA Action Settlement Agreement Final -...

Source Envelope:

Document Pages: 33

Signatures: 1

Envelope Originator:

Certificate Pages: 2

Initials: 0

Tanya Gospodinov

AutoNav: Enabled

Stamps: 1

Avinguda de la Generalitat, 152

Enveloped Stamping: Enabled

Sant Cugat del Vallès, Barcelona 08174

Time Zone: (UTC-08:00) Pacific Time (US & Canada)

Tanya.Gospodinov@grifols.com

IP Address: 163.116.140.143

Record Tracking

Status: Original

Holder: Tanya Gospodinov

Location: DocuSign

7/13/2023 3:33:03 PM

Tanya.Gospodinov@grifols.com

Signer Events**Signature****Timestamp**

Tanya Gospodinov

tanya.gospodinov@grifols.com

Legal Services Supervisor

Grifols Shared Srv.NA Inc

Security Level: Email, Account Authentication (Required), Login with SSO



Sent: 7/13/2023 3:39:42 PM

Viewed: 7/13/2023 3:40:06 PM

Signed: 7/13/2023 3:41:00 PM

Using IP Address: 163.116.140.143

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

Colleen Byrnes

colleen.byrnes@grifols.com

VP & SITE HEAD, GRIFOLS BIOLOGICALS

Grifols Biologicals LLC

Security Level: Email, Account Authentication (Required), Login with SSO

DocuSigned by:

A stylized signature of Colleen Byrnes in blue ink.

A26C3D9E982E42B...

Sent: 7/13/2023 3:41:02 PM

Viewed: 7/17/2023 9:04:56 AM

Signed: 7/17/2023 9:26:54 AM

Signature Adoption: Pre-selected Style

Using IP Address: 163.116.140.63

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

In Person Signer Events**Signature****Timestamp****Editor Delivery Events****Status****Timestamp****Agent Delivery Events****Status****Timestamp****Intermediary Delivery Events****Status****Timestamp****Certified Delivery Events****Status****Timestamp****Carbon Copy Events****Status****Timestamp**

NA Record Management

NA-record.management@grifols.com

Management

NA Record

Security Level: Email, Account Authentication (Required)

A blue rectangular stamp with the word "COPIED" in bold, blue, capital letters.

Sent: 7/17/2023 9:26:56 AM

Electronic Record and Signature Disclosure:

Not Offered via DocuSign

Witness Events**Signature****Timestamp**

Notary Events	Signature	Timestamp
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Envelope Summary Events	Status	Timestamps
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Envelope Sent	Hashed/Encrypted	7/13/2023 3:39:42 PM
Certified Delivered	Security Checked	7/17/2023 9:04:56 AM
Signing Complete	Security Checked	7/17/2023 9:26:54 AM
Completed	Security Checked	7/17/2023 9:26:56 AM

Payment Events	Status	Timestamps
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EXHIBIT "2"

	A	C	D	E	F	G	H	I	J	K	L
1	ALM RESEARCH										
2	2007 NLJ Billing Survey										
3	Fiscal Year - 2007										
4	Billing Source-National Law Journal 12/11/2007										
5	Copyright © 2007, ALM Properties, Inc., All Rights Reserved										
6	Firm Name	Partner Billing Rate High	Partner Billing Rate Low	Associate Billing Rate High	Associate Billing Rate Low	Associate Billing Rate Average	Partner Billing Rate Average	Firmwide Billing Rate Average	Associate Billing Rate Med	Partner Billing Rate Med	Firmwide Billing Rate Med
7	Adams and Reese	\$500	\$225	\$255	\$165	\$198	\$305	\$278	\$197	\$300	\$275
8	Andrews Kurth	\$795	\$400	\$460	\$210						
9	Arent Fox	\$675	\$395	\$440	\$240						
10	Armstrong Teasdale	\$450	\$295	\$295	\$165						
11	Baker, Donelson, Bearman, Caldwell & Berkowitz	\$525	\$230	\$315	\$160	\$205	\$325	\$280	\$200	\$320	\$270
12	Barnes & Thornburg	\$485	\$265	\$295	\$180	\$225	\$350	\$302	\$220	\$355	\$310
13	Bass, Berry & Sims PLC	\$575	\$300	\$285	\$180						
14	Best Best & Krieger	\$495	\$290	\$350	\$160	\$203	\$275	\$212	\$235	\$390	\$265
15	Bond, Schoenack & King	\$435	\$200	\$250	\$140	\$179	\$294	\$253	\$175	\$295	\$300
16	Bradley Arant Rose & White	\$520	\$240	\$280	\$180	\$211	\$335	\$258	\$205	\$330	\$260
17	Briggs and Morgan	\$500	\$250	\$325	\$195	\$233	\$391	\$344	\$230	\$395	\$350
18	Brinks Hofer Gilson & Lione	\$650	\$300	\$410	\$180	\$273	\$479	\$365	\$250	\$485	\$350
19	Broad and Cassel	\$450	\$250	\$310	\$165	\$232	\$361	\$294	\$225	\$360	\$275
20	Brownstein Hyatt Farber Schreck	\$675	\$260	\$270	\$170	\$218	\$373	\$308	\$218	\$390	\$325
21	Bryan Cave	\$695	\$320	\$495	\$165	\$295	\$493	\$394	\$295	\$490	\$375
22	Buchanan Ingersoll & Rooney	\$750	\$320	\$460	\$150						
23	Bullivant Houser Bailey	\$500	\$225	\$350	\$150	\$240	\$285	\$260	\$220	\$275	\$250
24	Burr & Forman	\$470	\$250	\$305	\$175	\$235	\$340				
25	Butzel Long	\$575	\$210	\$350	\$155						
26	Carlton Fields	\$600	\$280	\$375	\$190	\$243	\$406	\$312	\$245	\$405	\$300
27	Cooley Godward Kronish	\$875	\$470	\$555	\$250						
28	Covington & Burling	\$800	\$510	\$525	\$240						
29	Cozen O'Connor	\$750	\$230	\$530	\$155	\$283	\$420	\$350	\$280	\$425	\$340
30	Curtis, Mallet-Prevost, Colt & Mosie	\$735	\$635	\$560	\$280	\$361	\$666	\$396	\$360	\$685	\$480
31	Davis Wright Tremaine	\$695	\$300	\$390	\$170	\$257	\$430	\$375	\$250	\$423	\$375
32	Day Pitney	\$650	\$350	\$525	\$210	\$306	\$472	\$374	\$310	\$465	\$375
33	Dickinson Wright	\$530	\$260	\$275	\$170						
34	Dickstein Shapiro Morin & Oshinsky	\$825	\$425	\$440	\$225	\$336	\$552	\$438	\$360	\$550	\$425
35	Dinsmore & Shohl	\$475	\$235	\$285	\$155	\$198	\$342	\$275	\$190	\$340	\$250
36	Dorsey & Whitney	\$750	\$220	\$470	\$145	\$274	\$463	\$375	\$260	\$463	\$365
37	Duane Morris	\$705	\$315	\$465	\$150	\$310	\$475	\$416	\$305	\$470	\$415
38	Dykema Gossett	\$625	\$245	\$390	\$185	\$272	\$403				
39	Edwards Angell Palmer & Dodge	\$700	\$350	\$450	\$170	\$293	\$515	\$407	\$280	\$513	\$400
40	Epstein Becker & Green	\$675	\$280	\$440	\$155	\$290	\$471	\$380	\$280	\$475	\$395
41	Fenwick & West	\$775	\$500	\$500	\$245	\$360	\$590	\$395	\$370	\$600	\$410
42	Foley & Lardner	\$855			\$185	\$387	\$550	\$476	\$375	\$550	\$475
43	Ford & Harrison	\$560	\$325	\$395	\$210						
44	Fowler White Boggs Banker	\$500	\$230	\$325	\$150	\$217	\$345	\$303	\$210	\$340	\$300
45	Fox Rothschild	\$595	\$240	\$440	\$190						

	A	C	D	E	F	G	H	I	J	K	L
	Firm Name	Partner Billing Rate High	Partner Billing Rate Low	Associate Billing Rate High	Associate Billing Rate Low	Associate Billing Rate Average	Partner Billing Rate Average	Firmwide Billing Rate Average	Associate Billing Rate Med	Partner Billing Rate Med	Firmwide Billing Rate Med
46											
47	Frost Brown Todd	\$455	\$225	\$255	\$145	\$180	\$303	\$256	\$170	\$295	\$255
48	Gardere Wynne Sewell	\$715	\$350	\$425	\$220	\$292	\$472	\$405	\$265	\$475	\$405
49	GrayRobinson, PA	\$500	\$175	\$250	\$250	\$198	\$319	\$224			
50	Greenberg Traurig, PA	\$850	\$300	\$505	\$175	\$311	\$490	\$407	\$310	\$500	\$415
51	Harris Beach	\$475	\$250	\$275	\$140						
52	Hiscock & Barclay LLP	\$375	\$220	\$250	\$160	\$185	\$278	\$245	\$180	\$280	\$250
53	Hodgson Russ	\$645	\$215	\$395	\$155	\$219	\$337	\$254	\$205	\$350	\$250
54	Hogan & Hartson	\$850	\$300	\$525	\$150	\$385	\$600	\$490	\$370	\$590	
55	Holland & Hart	\$585	\$275	\$345	\$165	\$269	\$388	\$335	\$275	\$385	\$335
56	Holme Roberts & Owen	\$635	\$285	\$420	\$195	\$284	\$412	\$353	\$265	\$410	\$345
57	Howard, Rice, Nemerovski, Canady, Falk & Rabkin	\$775	\$495	\$485	\$275						
58	Hughes Hubbard & Reed	\$825	\$595	\$560	\$240						
59	Husch & Eppenberger	\$425	\$190	\$260	\$130	\$179	\$297	\$248	\$175	\$295	\$245
60	Jackson Lewis	\$575	\$235	\$450	\$210						
61	Jenner & Block LLP	\$900	\$450	\$425	\$275	\$327	\$562		\$325	\$525	
62	Jones, Walker, Waechter, Poitevent, Carrere & Denegre	\$600	\$210	\$225	\$150						
63	Kelley Drye & Warren	\$800	\$400	\$500	\$255						
64	Knobbe, Martens, Olson & Bear	\$645	\$340	\$420	\$215						
65	Lane Powell Moss & Miller	\$515	\$300	\$300	\$205	\$255	\$370	\$345	\$255	\$370	\$345
66	Lathrop & Gage	\$450	\$225	\$300	\$140	\$185	\$300	\$215	\$185	\$300	\$260
67	Leonard, Street and Deinard	\$500	\$300	\$300	\$185						
68	Lewis, Rice & Fingersh	\$425	\$240	\$295	\$130						
69	Lindquist & Vennum	\$500	\$260	\$260	\$165						
70	Locke, Lord, Bissell & Liddell	\$900	\$375	\$390	\$190	\$281	\$488	\$399	\$280	\$490	\$425
71	Loeb & Loeb	\$875	\$425	\$500	\$240	\$384	\$606	\$505	\$400	\$600	\$500
72	Lord, Bissell & Brook	\$690	\$335	\$410	\$200	\$299	\$473	\$410	\$300	\$475	\$415
73	Lowenstein Sandler	\$725	\$380	\$395	\$205						
74	Luce, Forward, Hamilton & Scripps	\$725	\$325	\$450	\$220	\$281	\$465	\$381	\$280	\$475	\$395
75	Manatt, Phelps & Phillips	\$785	\$520	\$480	\$265	\$395	\$600	\$518	\$415	\$590	\$550
76	Marshall, Dennehey, Warner, Coleman & Goggin	\$400	\$135	\$300	\$120						
77	McCarter & English LLP	\$650	\$325	\$395	\$205						
78	McElroy Deutsch, Mulvaney & Carpenter	\$450	\$295	\$225	\$135	\$180	\$250	\$195	\$165	\$235	\$215
79	McKee Nelson	\$920	\$635	\$595	\$375	\$446	\$760	\$489	\$455	\$755	\$475
80	Michael Best & Friedrich	\$550	\$225	\$300	\$185	\$234	\$363	\$321	\$235	\$350	\$325
81	Miles & Stockbridge	\$535	\$315	\$405	\$205	\$260	\$397				
82	Miller & Martin	\$580	\$230	\$295	\$160	\$201	\$338	\$306	\$195	\$340	\$320
83	Miller, Canfield, Paddock and Stone, PLC	\$595	\$265	\$340	\$160	\$225	\$400	\$285	\$220	\$400	\$335
84	Montgomery, McCracken, Walker & Rhoads	\$575	\$320	\$320	\$195	\$260	\$419	\$360			
85	Moore & Van Allen	\$765	\$265	\$330	\$175						
86	Morgan, Lewis & Bockius	\$850	\$375	\$575	\$200						
87	Morris, Manning & Martin	\$625	\$350	\$400	\$180	\$285	\$436	\$380	\$310	\$395	\$440
88	Nexsen Pruet Jacobs & Pollard	\$420	\$220	\$250	\$150						
89	Ogletree, Deakins, Nash, Smoak & Stewart	\$575	\$270	\$360	\$200	\$256	\$348	\$312			
90	Patton Boggs	\$920	\$320	\$520	\$205	\$375	\$536	\$456	\$385	\$525	\$455
91	Pepper Hamilton	\$750	\$335	\$425	\$200						
92	Perkins Cole	\$805	\$205	\$500	\$145						

	A	C	D	E	F	G	H	I	J	K	L
	Firm Name	Partner Billing Rate High	Partner Billing Rate Low	Associate Billing Rate High	Associate Billing Rate Low	Associate Billing Rate Average	Partner Billing Rate Average	Firmwide Billing Rate Average	Associate Billing Rate Med	Partner Billing Rate Med	Firmwide Billing Rate Med
93											
94	Phelps Dunbar	\$450	\$170	\$205	\$130	\$174	\$243	\$184	\$175		
95	Phillips Lytle	\$435	\$245	\$300	\$140	\$205	\$309	\$223	\$205	\$300	\$215
96	Polsinelli Shalton Welte Suelthaus	\$600	\$250	\$250	\$175						
97	Quarles & Brady	\$575	\$260	\$335	\$185	\$233	\$377	\$319	\$235	\$375	\$325
98	Reed Smith	\$825	\$350	\$510	\$200	\$374	\$558	\$395	\$350	\$525	\$385
99	Robinson & Cole	\$575	\$340	\$325	\$200	\$295	\$424	\$328	\$280	\$420	\$330
100	Roetzel & Andress	\$460	\$210	\$275	\$110	\$198	\$302	\$264	\$200	\$300	\$260
101	Rutan & Tucker	\$600	\$335	\$350	\$210						
102	Saul Ewing	\$750	\$315	\$485	\$180	\$273	\$426	\$357	\$255	\$415	\$365
103	Schnader Harrison Segal & Lewis	\$750	\$240	\$385	\$175						
104	Schulte Roth & Zabel	\$850	\$650	\$585	\$235	\$435	\$724		\$440	\$745	
105	Sheppard, Mullin, Richter & Hampton	\$795	\$425	\$550	\$260						
106	Shughart Thomson & Kilroy	\$475	\$235	\$225	\$160						
107	Shumaker, Loop & Kendrick	\$465	\$200	\$360	\$180	\$221	\$311	\$289	\$220	\$305	\$300
108	Sills Cummis Epstein & Gross	\$650	\$350	\$375	\$195						
109	Smith, Gambrell & Russell	\$575	\$250	\$345	\$175						
110	Snell & Wilmer	\$675	\$275	\$390	\$170	\$248	\$390				
111	Steptoe & Johnson PLLC	\$325	\$200	\$250	\$170						
112	Stinson Morrison Hecker	\$600	\$230	\$250	\$175	\$207	\$333	\$295	\$225	\$365	\$285
113	Stoel Rives	\$500	\$275	\$350	\$160	\$231	\$379	\$324	\$230	\$375	\$330
114	Strasburger & Price	\$560	\$225	\$395	\$200	\$234	\$367	\$326	\$223	\$363	\$322
115	Sullivan & Worcester	\$700	\$415	\$420	\$245	\$309	\$550	\$438	\$290	\$540	\$440
116	Sutherland Asbill & Brennan	\$715	\$375	\$415	\$215	\$292	\$511	\$335	\$280	\$500	\$305
117	Thacher Proffitt & Wood	\$785	\$525	\$495	\$275	\$353	\$674	\$428	\$365	\$700	\$395
118	Thompson & Knight	\$730	\$370	\$370	\$205	\$295	\$496	\$415	\$310	\$485	\$400
119	Thompson Coburn	\$580	\$265	\$370	\$170						
120	Ulmer & Berne	\$470	\$240	\$290	\$165	\$212	\$343	\$280			
121	Vedder, Price, Kaufman & Kammholz	\$650	\$335	\$410	\$205	\$281	\$430	\$372	\$370	\$425	\$365
122	Wiggin and Dana	\$590	\$295	\$350	\$195						
123	Williams Mullen	\$600	\$300	\$425	\$205						
124	Wilmer Cutler Pickering Hale and Dorr	\$1,000	\$475	\$495	\$215						
125	Winstead Sechrest & Minick	\$620	\$345	\$360	\$180						
126	Winston & Strawn	\$845	\$400	\$590	\$200	\$365	\$608	\$455	\$395	\$640	\$523
127	Womble Carlyle Sandridge & Rice	\$575	\$290	\$370	\$195	\$268	\$426	\$355	\$265	\$425	\$360

EXHIBIT "3"

THE WALL STREET JOURNAL.

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<http://www.wsj.com/articles/legal-fees-reach-new-pinnacle-1-500-an-hour-1454960708>

BUSINESS | LAW

Legal Fees Cross New Mark: \$1,500 an Hour

Billing rates for partners at elite corporate law firms keep rising, despite low inflation, weak demand



Documents filed in chapter 11 bankruptcy cases offer a rare public glimpse at the mounting hourly fees of law partners at elite corporate law firms. Above, the U.S. Bankruptcy Court in New York. PHOTO: BRENDAN MCDERMID/REUTERS

By **SARA RANDAZZO** and **JACQUELINE PALANK**

Updated Feb. 9, 2016 10:11 a.m. ET

The day of the \$1,500-an-hour lawyer has arrived.

Partners at some of the nation's top law firms are approaching—and, in a few cases, surpassing—that watershed billing rate, making the \$1,000-an-hour legal fees that once seemed so steep look quaint by comparison.

Despite low inflation and weak demand for legal services, rates at large corporate law firms have risen by 3% to 4% a year since the economic downturn, according to Citi Private Bank's Law Firm Group.

“We just raise them every year,” said John Altorelli, a finance lawyer at DLA Piper LLP in New York, who says the firm has set his rate at more than \$1,500 an hour. Mr. Altorelli, who filed for personal bankruptcy in 2014 to halt a legal battle with the estate of his former law firm, Dewey & LeBoeuf LLP, cracked the \$1,000-an-hour mark a decade ago. His fees have risen steadily ever since.

To soften the blow to clients, Mr. Altorelli does more than half his work on some kind of fixed-fee basis. “Using hourly rates is really anachronistic, but we still do it,” he said.

Raising rates ensures that law firms keep up with the competition and helps them wrest more money from clients, who routinely demand discounts for all but the most sensitive work.

“If you think of the rules of supply and demand, how in the world can they keep raising their rates?” said Jeff Carr, a former general counsel of oil-and-gas services and equipment company FMC Technologies who for years has been an outspoken opponent of hourly legal rates.

The rate creep has boosted law firms’ revenue at a time when many of them are under pressure from lower-cost legal-service providers and corporate clients that are keeping more legal work in-house. Revenue at law firms rose 4% last year, according to Wells Fargo Private Bank’s Legal Specialty Group, though demand rose just 0.5%.

“Lots of law firms will charge whatever the market can bear,” said the head of one of the nation’s 200 largest law firms.

Documents filed in chapter 11 bankruptcy cases offer a rare public glimpse at mounting fees. These court filings show the rates of partners specializing in corporate restructuring, as well as those with specialties like tax, litigation and corporate law.

A review of filings over the past three months in about two dozen bankruptcy cases shows that senior partners routinely charge between \$1,200 and \$1,300 an hour, with top rates at several large law firms exceeding \$1,400.

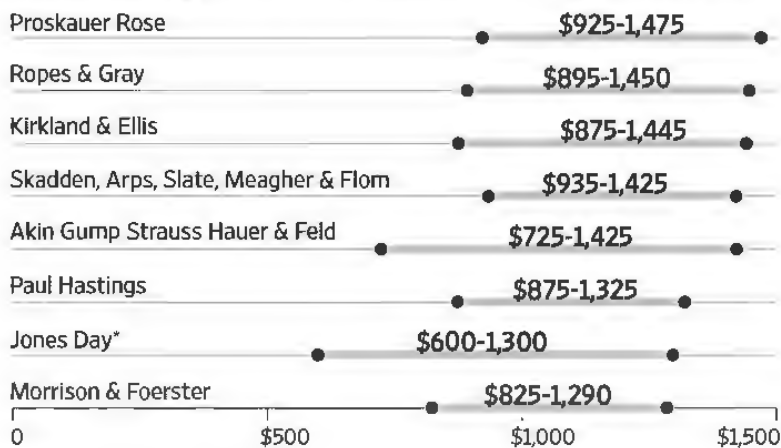
Proskauer Rose LLP’s hourly partner billing rate has climbed as high as \$1,475, while Ropes & Gray LLP’s tops out at \$1,450, court papers show.

Kirkland & Ellis LLP’s top hourly billing rate is now \$1,445. And rates at two firms—Akin Gump Strauss Hauer & Feld LLP and Skadden, Arps, Slate, Meagher & Flom LLP—peak at \$1,425 an hour.

Representatives of the law firms declined to comment.

Top Billers

Hourly rates of partners at top U.S. law firms now approach \$1,500.



*Includes partners and of counsel

Source: Bankruptcy court filings

THE WALL STREET JOURNAL.

Martin Bienenstock, who leads Proskauer's restructuring practice, called the \$1,225 an hour he billed clients last year "a market rate similar to my peers at other firms," and said he charges the same rate for nonbankruptcy work.

"The clients have kept coming back and growing during my 38-year career," he

said in an email, adding that clients generally prefer dealing directly with senior lawyers versus younger associates with lower rates.

In disclosing their firmwide rate increases, many firms tell the courts it is a standard way of keeping pace with "economic and other conditions." These include rising fixed costs, such as real estate and salaries.

In theory, a law-firm partner's pay rises and falls with the success of the firm. But, as competition for top talent increases, many firms feel the need to guarantee salaries or ensure a partner's pay doesn't fall, even in down years.

Still, only elite lawyers can charge \$1,400 an hour or more. Such rates are found almost exclusively in New York and other major markets, and only in the least price-sensitive fields like mergers and acquisitions, restructuring, tax, antitrust and high-stakes litigation and appeals.

For lawyers at the very top of those fields, hourly rates can hit \$1,800 or even \$1,950.

"You have a very few people at the very top where price is almost no object," said legal consultant Bruce MacEwen, who likens it to the way celebrities, sports stars and best-selling authors are paid. "It is a talent market."

Most lawyers in the U.S. fall well below those high marks. In a survey of in-house legal departments by BTI Consulting Group, the average highest rate paid for

law-firm partners was \$875 an hour in 2015, up more than 27% from three years earlier. Of the respondents, 38% had paid more than \$1,000 an hour for a lawyer, and the highest rate those in the survey paid was \$1,600 an hour.

For many firms, the stated rate is simply a starting point in discussions with corporate law departments. As a result, said legal consultant Ward Bower of Altman Weil, “sophisticated” law firms tend to implement annual rate increases to offset clients’ requests for discounts.

Such discounts are becoming more commonplace. A decade ago, law firms could typically get clients to pay around 92% of their stated rates, according to Thomson Reuters Peer Monitor. Last year, that fell to less than 83%.

Smaller companies can get squeezed the most on fees, because they don’t send enough work to any one law firm to get the best deals.

Companies with \$4 billion or more in annual revenue were twice as likely as those with less than \$100 million in revenue to use some form of alternative fee, according to a survey from industry trade group the Association of Corporate Counsel.

Some industry watchers view the raise-and-discount approach with skepticism.

“If clients are pushing back on rates, the answer isn’t to raise them” and then ask for a discount, Mr. MacEwen said. “The answer is to provide better total value.”

Write to Sara Randazzo at sara.randazzo@wsj.com and Jacqueline Palank at jacqueline.palank@wsj.com

EXHIBIT "4"

LAFFEY MATRIX

History

Basic Law

See the Matrix

Contact us

Home

			Years Out of Law School *				
Year	Adjustmt Factor**	Paralegal/ Law Clerk	1-3	4-7	8-10	11-19	20 +
6/01/23- 5/31/24	1.059295	\$239	\$437	\$538	\$777	\$878	\$1057
6/01/22- 5/31/23	1.085091	\$225	\$413	\$508	\$733	\$829	\$997
6/01/21- 5/31/22	1.006053	\$208	\$381	\$468	\$676	\$764	\$919
6/01/20- 5/31/21	1.015894	\$206	\$378	\$465	\$672	\$759	\$914
6/01/19- 5/31/20	1.0049	\$203	\$372	\$458	\$661	\$747	\$899
6/01/18- 5/31/19	1.0350	\$202	\$371	\$455	\$658	\$742	\$894
6/01/17- 5/31/18	1.0463	\$196	\$359	\$440	\$636	\$717	\$864
6/01/16- 5/31/17	1.0369	\$187	\$343	\$421	\$608	\$685	\$826
6/01/15- 5/31/16	1.0089	\$180	\$331	\$406	\$586	\$661	\$796
6/01/14- 5/31/15	1.0235	\$179	\$328	\$402	\$581	\$655	\$789
6/01/13- 5/31/14	1.0244	\$175	\$320	\$393	\$567	\$640	\$771
6/01/12- 5/31/13	1.0258	\$170	\$312	\$383	\$554	\$625	\$753
6/01/11- 5/31/12	1.0352	\$166	\$305	\$374	\$540	\$609	\$734
6/01/10- 5/31/11	1.0337	\$161	\$294	\$361	\$522	\$589	\$709
6/01/09- 5/31/10	1.0220	\$155	\$285	\$349	\$505	\$569	\$686
6/01/08- 5/31/09	1.0399	\$152	\$279	\$342	\$494	\$557	\$671
6/01/07-5/31/08	1.0516	\$146	\$268	\$329	\$475	\$536	\$645
6/01/06-5/31/07	1.0256	\$139	\$255	\$313	\$452	\$509	\$614
6/1/05-5/31/06	1.0427	\$136	\$249	\$305	\$441	\$497	\$598
6/1/04-5/31/05	1.0455	\$130	\$239	\$293	\$423	\$476	\$574
6/1/03-6/1/04	1.0507	\$124	\$228	\$280	\$405	\$456	\$549
6/1/02-5/31/03	1.0727	\$118	\$217	\$267	\$385	\$434	\$522
6/1/01-5/31/02	1.0407	\$110	\$203	\$249	\$359	\$404	\$487
6/1/00-5/31/01	1.0529	\$106	\$195	\$239	\$345	\$388	\$468
6/1/99-5/31/00	1.0491	\$101	\$185	\$227	\$328	\$369	\$444
6/1/98-5/31/99	1.0439	\$96	\$176	\$216	\$312	\$352	\$424
6/1/97-5/31/98	1.0419	\$92	\$169	\$207	\$299	\$337	\$406
6/1/96-5/31/97	1.0396	\$88	\$162	\$198	\$287	\$323	\$389
6/1/95-5/31/96	1.032	\$85	\$155	\$191	\$276	\$311	\$375

6/1/94-5/31/95	1.0237	\$82	\$151	\$185	\$267	\$301	\$363
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The methodology of calculation and benchmarking for this Updated Laffey Matrix has been approved in a number of cases. See, e.g., *DL v. District of Columbia*, 267 F.Supp.3d 55, 69 (D.D.C. 2017)

* $\frac{1}{2}$ Years Out of Law School $\frac{1}{2}$ is calculated from June 1 of each year, when most law students graduate. $\frac{1}{2}$ 1-3" includes an attorney in his 1st, 2nd and 3rd years of practice, measured from date of graduation (June 1). $\frac{1}{2}$ 4-7" applies to attorneys in their 4th, 5th, 6th and 7th years of practice. An attorney who graduated in May 1996 would be in tier $\frac{1}{2}$ 1-3" from June 1, 1996 until May 31, 1999, would move into tier $\frac{1}{2}$ 4-7" on June 1, 1999, and tier $\frac{1}{2}$ 8-10" on June 1, 2003.

** The Adjustment Factor refers to the nation-wide Legal Services Component of the Consumer Price Index produced by the Bureau of Labor Statistics of the United States Department of Labor.

EXHIBIT "5"

Grifols Biologicals Inc. adv Alcaraz, Daniel

Daniel Alcaraz • (626) 247-1931 • danny3alcaraz@gmail.com

Historic Cost

			\$9,951.32
Court Cost			\$141.00
Created: 1/31/2024 at 3:26 PM			
Trialworks TaskCode costs Date Incurred 1/31/2024	Payee Case Anywhere Description CAINV#311417 Grifols paid 1.31.24	Docs  CAINV#311417 Grifols paid 1.31.24.pdf ▾	
Court Cost			\$5.40
Created: 11/30/2023 at 9:35 AM			
Trialworks TaskCode Costs Date Incurred 11/29/2023	Payee doc retrieval online Description Superior Court Online Web Payment Receipt - Fee paid 11.29.23	Docs  Superior Court Online Web Payment Receipt - Fee paid 11.29.23 Grifols.pdf ▾	
Filing Fee			\$51.48
Created: 1/4/2024 at 11:45 AM			
Trialworks TaskCode filing fee Date Incurred 11/28/2023	Payee One Legal Description OLINV#05529972 Grifols 11.28.23	Docs  OLINV#05529972 Grifols 11.28.23.pdf ▾	
Filing Fee			\$21.77
Created: 1/4/2024 at 11:32 AM			
Trialworks TaskCode filing fee Date Incurred 11/22/2023	Payee One Legal Description OLINV#05521165 Grifols 11.22.23	Docs  OLINV#05521165 Grifols 11.22.23.pdf ▾	
Filing Fee			\$61.77
Created: 12/21/2023 at 1:15 PM			
Trialworks TaskCode filing fee Date Incurred 11/15/2023	Payee One Legal Description OLINV#05494651 Grifols 11.15.23	Docs  OLINV#05494651 Grifols 11.15.23.pdf ▾	
Court Cost			\$220.00
Created: 11/10/2023 at 10:18 AM			
Trialworks TaskCode costs Date Incurred 11/10/2023	Payee Ilym Group, Inc. Description Ilym receipt re class notice Grifols paid 11.10.23	Docs  Ilym receipt re class notice Grifols paid 11.10.23.pdf ▾	
Filing Fee			\$18.69
Created: 11/10/2023 at 3:29 PM			
Trialworks TaskCode filing fee Date Incurred 11/6/2023	Payee One Legal Description OLINV#05472905 Grifols 11.6.23	Docs  OLINV#05472905 Grifols 11.6.23.pdf ▾	
Court Cost			\$165.00
Created: 10/19/2023 at 10:09 AM			
Trialworks TaskCode costs Date Incurred 10/19/2023	Payee Case Anywhere Description CAINV#301531 Grifols 10.19.23	Docs  CAINV#301531 Grifols 10.19.23.pdf ▾	
Filing Fee			\$61.77
Created: 8/24/2023 at 12:48 PM			
Trialworks TaskCode filing fee Date Incurred 8/22/2023	Payee One Legal Description OLINV#05250928 Grifols 8.22.23	Docs  OLINV#05250928 Grifols 8.22.23.pdf ▾	
Filing Fee			\$80.46
Created: 8/24/2023 at 11:21 AM			
Trialworks TaskCode filing fee Date Incurred 8/18/2023	Payee One Legal Description OLINV#05242642 Grifols 8.18.23	Docs  OLINV#05242642 Grifols 8.18.23.pdf ▾	
Postage Cost			\$5.80
Created: 8/1/2023 at 4:35 PM			
			↑ Jump to Top

3/13/24, 10:27 AMGrifols Biologicals Inc. adv Alcaraz, Daniel | Filevine

Trialworks TaskCode Postage Cost		Date Incurred 7/18/2023	Payee DocuSign	Notes Class and PAGA Action Settlement Agreement Final
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Court Cost\$147.00

Created: 7/10/2023 at 1:13 PM

Trialworks TaskCode costs	Payee Case Anywhere	Docs  CAINV#292124 Grifols paid 7.10.23.pdf ▾
Date Incurred 7/10/2023	Description CAINV#292124 Grifols paid 7.10.23	

Filing Fee\$17.66

Created: 5/25/2023 at 3:57 PM

Trialworks TaskCode filing fee	Payee One Legal	Docs  OLINV#05004646 Grifols 5.19.23.pdf ▾
Date Incurred 5/19/2023	Description OLINV#05004646 Grifols 5.19.23	

Filing Fee\$41.95

Created: 5/25/2023 at 3:34 PM

Trialworks TaskCode filing fee	Payee One Legal	Docs  OLINV#05007583 Grifols 5.19.23.pdf ▾
Date Incurred 5/19/2023	Description OLINV#05007583 Grifols 5.19.23	

Filing Fee\$42.21

Created: 5/18/2023 at 2:41 PM

Trialworks TaskCode filing fee	Payee One Legal	Docs  OLINV#04981708 Grifols 5.10.23.pdf ▾
Date Incurred 5/10/2023	Description OLINV#04981708 Grifols 5.10.23	

Filing Fee\$17.66

Created: 5/18/2023 at 10:29 AM

Trialworks TaskCode filing fee	Payee One Legal	Docs  OLINV#04975689 Grifols 5.9.23.pdf ▾
Date Incurred 5/9/2023	Description OLINV#04975689 Grifols 5.9.23	

Court Cost\$15.00

Created: 5/19/2023 at 12:08 PM

Trialworks TaskCode costs	Payee VCourt	Notes HD: 5/17/23
Date Incurred 5/5/2023	Description VCourt	

Filing Fee\$141.00

Created: 5/4/2023 at 11:38 AM

Trialworks TaskCode filing fee	Payee One Legal	Docs  CAINV#283073 Grifols 5.3.23.pdf ▾
Date Incurred 5/3/2023	Description CAINV#283073 Grifols 5.3.23	

Research Cost\$2.80

Created: 7/12/2023 at 9:56 AM

Trialworks TaskCode costs	Date Incurred 4/28/2023	Payee Thomson Reuters	Description billing period 4/1/23-4/30/23
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Court Cost\$15.00

Created: 3/10/2023 at 11:57 AM

Trialworks TaskCode costs	Payee VCourt	Notes HD: 3/6/23
Date Incurred 3/1/2023	Description VCourt	

Filing Fee\$18.69

Created: 3/2/2023 at 1:11 PM

Trialworks TaskCode filing fee	Payee One Legal	Docs  OLINV#04782777 Grifols 2.27.23.pdf ▾
Date Incurred 2/27/2023	Description OLINV#04782777 Grifols 2.27.23	

Filing Fee\$42.21

Created: 3/2/2023 at 11:25 AM

Trialworks TaskCode filing fee	Payee One Legal	Docs  OLINV#04781133 Grifols 2.24.23.pdf ▾
Date Incurred 2/24/2023	Description OLINV#04781133 Grifols 2.24.23	

Court Cost			\$165.00
Created: 1/10/2023 at 8:42 AM			
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Filing Fee			\$43.75
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Court Cost			\$159.00
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Research Cost			\$351.98
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Docs

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Created: 6/22/2022 at 2:23 PM

\$5.80

Trialworks TaskCode Postage Cost	Date Incurred 6/22/2022	Payee DocuSign
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Filing Fee

Created: 7/6/2022 at 4:20 PM

\$42.98

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Created: 5/4/2022 at 3:34 PM

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Research Cost

Created: 7/11/2022 at 9:58 AM

\$91.87

Trialworks TaskCode cost	Date Incurred 4/26/2022	Payee Thomson Reuters	Description 4/1/22-4/30/22
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Consultant Cost

Created: 3/17/2022 at 3:18 PM

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Trialworks TaskCode costs	Payee Ilym Group, Inc.	Notes Check number 14569	Docs  llym Inv. re Grifols adv. Alcaraz paid 3.17.22.pdf
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Postage Cost

Created: 2/18/2022 at 2:16 PM

\$5.80

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Filing Fee

Created: 2/9/2022 at 12:15 PM

\$48.39

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\$22.85

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3/13/24, 10:27 AM

Grifols Biologicals Inc. adv Alcaraz, Daniel | Filevine

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Filing Fee

\$18.74

Created: 12/16/2021 at 8:10 AM

Trialworks TaskCode

Filing Fee

Date Incurred

11/29/2021

Payee

One Legal

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Filing Fee

\$1,494.01

Created: 12/15/2021 at 1:25 PM

Trialworks TaskCode

Filing Fee

Date Incurred

11/19/2021

Payee

One Legal

Description

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\$0.80

Created: 11/19/2021 at 2:56 PM

Trialworks TaskCode

Copies

Date Incurred

11/19/2021

Payee

Paper Cut

Postage Cost

\$14.66

Created: 11/19/2021 at 2:55 PM

Trialworks TaskCode

Postage Cost

Date Incurred

11/19/2021

Filing Fee

\$75.00

Created: 11/19/2021 at 2:20 PM

Trialworks TaskCode

Filing Fee

Date Incurred

11/19/2021

Payee

LWDA

Description

State of California - Department of Industrial Relations Customer Receipt _ Purchase Confirmation Grifols

Docs

 State of California - Department of Industrial Relations Customer Receipt ...

Postage Cost

\$5.80

Created: 11/12/2021 at 11:39 AM

Trialworks TaskCode

Date Incurred

Payee

(Proof of Submission to the LWDA)

EXHIBIT "6"

(PROOF OF SERVICE)
[CCP 1013(a)(3)]
STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of 18 years and not a party to the within action. My business address is 16133 Ventura Boulevard, Suite 1200, Encino, California 91436.

On March 13, 2024, I served all interested parties in this action the following documents described as: **DECLARATION OF ARI J. STILLER IN SUPPORT OF PLAINTIFF'S MOTION FOR FINAL APPROVAL OF CLASS SETTLEMENT and MOTION FOR APPROVAL OF ATTORNEYS' FEES AND COSTS** by placing a true copy thereof enclosed in a sealed envelope addressed as follows:

Daniel J. McQueen, Esq.
daniel.mcqueen@afslaw.com
ArentFox Schiff
555 W 5th St Fl 48
Los Angeles, CA 90013

[] (BY MAIL) I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with U.S. postal service on that same day with postage fully prepaid at Encino, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

[XX] BY ELECTRONIC SERVICE: I caused a true and correct copy thereof to be electronically filed using the Labor and Workforce Development Agency Electronic Filing ("EF") System (<https://dir.tfaforms.net/315>) and service was completed by electronic means by transmittal of the documents referenced herein on the EF System.

[XX] BY ELECTRONIC MAIL TRANSMISSION: I caused the document to be send to the persons at the e-mail address(es) listed on the attached service list. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful. A pdf copy of which was sent via email to the below email address(es).

[XX] (STATE) I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

Executed on March 13, 2024, at Chino, California.



Leslie Sanchez