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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF BUTTE**

BRANDON BARNES, individually, and on
behalf of other members of the general public
similarly situated;

Plaintiff,

v.

UST SELECT, INC., a South Carolina
corporation; and DOES 1 through 100,
inclusive;

Defendants.

Case No.: 22CV02939

Assigned for All Purposes to:
Honorable Stephen E. Benson
Department 6

CLASS ACTION

**PLAINTIFF'S NOTICE OF MOTION
AND MOTION FOR PRELIMINARY
APPROVAL OF CLASS ACTION
SETTLEMENT, CONDITIONAL
CERTIFICATION, APPROVAL OF
CLASS NOTICE, SETTING OF FINAL
APPROVAL HEARING DATE;
MEMORANDUM OF POINTS AND
AUTHORITIES IN SUPPORT THEREOF**

[Declaration of Proposed Class Counsel
(Douglas Han); and [Proposed] Order filed
concurrently herewith]

Hearing Date: September 27, 2023
Hearing Time: 9:00 a.m.
Hearing Place: Department 6

Complaint Filed: December 14, 2022
Trial Date: None Set

1 **PLEASE TAKE NOTICE** that on September 27, 2023 at 9:00 a.m., or as soon as the
2 matter may be heard, before the Honorable Stephen E. Benson in Department 6 of the Butte County
3 Superior Court (North Butte County Courthouse) located at located at 1775 Concord Avenue,
4 Chico, California 95928, Plaintiff Brandon Barnes (“Plaintiff”) will and hereby does move for an
5 order:

- 6 • Granting Preliminary Approval of the class action settlement described herein and
7 as set forth in the Class Action and PAGA Settlement Agreement (“Settlement
8 Agreement,” “Settlement,” or “Agreement”), attached as **Exhibit 2** to the
9 declaration of Douglas Han, including, and not limited to, the means of allocation
10 and distribution of funds;
- 11 • Conditionally certifying the Class for settlement purposes only;
- 12 • Appointing Plaintiff as the class representative;
- 13 • Appointing Justice Law Corporation as Class Counsel;
- 14 • Approving the Court Approved Notice of Class Action Settlement and Hearing
15 Date for Final Court Approval (“Class Notice”) attached as **Exhibit A** to the
16 Settlement Agreement;
- 17 • Directing the mailing of the Class Notice with a postage-paid return envelope to
18 the Class;
- 19 • Approving the proposed deadlines for the settlement administration process;
- 20 • Approving ILYM Group, Inc. as the Administrator; and
- 21 • Scheduling a hearing to consider whether to grant Final Approval of the Settlement
22 Agreement, at which time the Court will also consider whether to grant Final
23 Approval of the requests for the Class Counsel Fees Payment, Class Counsel
24 Litigation Expenses Payment, Class Representative Service Payment, and
25 Administration Expenses Payment, and approval of the allocation of the Private
26 Attorney General Act of 2004 (“PAGA”) Penalties.

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1 This motion is based upon the following memorandum of points and authorities;
2 Declaration of Proposed Class Counsel (Douglas Han); [Proposed] Order filed concurrently with
3 this motion; pleadings and other records on file with the Court in this matter; and such documentary
4 evidence and oral argument as may be presented at the hearing on this motion.

5
6 Dated: August 9, 2023

JUSTICE LAW CORPORATION

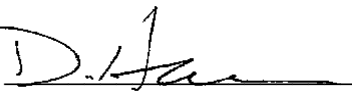
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8 By: 
9 Douglas Han
10 *Attorneys for Plaintiff*
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1 **I. INTRODUCTION**

2 This motion seeks preliminary approval of a non-reversionary proposed wage-and-hour
3 class action settlement by Plaintiff on behalf of himself and all current and former hourly-paid or
4 non-exempt employees of Defendant UST Select, Inc. (“Defendant”) within the State of California
5 at any time during the period from December 14, 2019, through May 15, 2023 (“Class,” “Class
6 Members,” and “Class Period”). At the time of this filing, the number of Class Members is
7 estimated to be one hundred sixty-five (165), which was confirmed by Defendant. (Declaration of
8 Douglas Han In Support of Plaintiff’s Motion for Preliminary Approval of Class Action Settlement
9 (“Han Decl.”), ¶¶ 8-9.)

10 **II. BACKGROUND**

11 On April 12, 2022, Plaintiff provided written notice to the California Labor and Workforce
12 Development Agency (“LWDA”) and Defendant. (Han Decl., *supra*, at ¶ 10; Exhibit 3.)

13 On December 14, 2022, Plaintiff filed a wage-and-hour class action lawsuit in the Superior
14 Court of California, County of Butte, alleging nine (9) causes of action. (Han Decl., *supra*, at ¶
15 11; Exhibit 4.)

16 After engaging in discovery, investigations, and negotiations, the Parties remotely attend
17 mediation with the mediator Judge Howard R. Broadman (Ret.) on May 8, 2023, resulting in a
18 settlement via a mediator’s proposal. (Han Decl., *supra*, at ¶ 12.)

19 **III. INVESTIGATION/ LITIGATION HISTORY**

20 **a. Discovery, Investigation, and the Parties’ Staunchly Conflicting Positions**

21 After initiating this lawsuit, the Parties engaged in discovery. (Han Decl., *supra*, at ¶ 14.)
22 Specifically, the Parties met and conferred and agreed to engage in an informal exchange of
23 information and then eventually remotely attended mediation. (*Ibid.*)

24 Prior to the mediation, Defendant produced several documents relating to its policies,
25 practices, and procedures. (Han Decl., *supra*, at ¶ 15.) As part of Defendant’s production, Plaintiff
26 also reviewed time records, pay records, and information relating to the size and scope of the Class.
27 (*Ibid.*) Putative class members were also located and interviewed to attain a better understanding
28 of the extent and frequency of the alleged day-to-day violations. (*Ibid.*)

1 Based on the information provided by Defendant and interviews with putative class
2 members, Plaintiff contends – and Defendant denies – Defendant: (1) failed to provide employees
3 with legally mandated meal and rest breaks; (2) failed to pay employees for all hours worked; (3)
4 failed to include non-discretionary bonuses and incentives in employees’ regular rate of pay for
5 purposes of overtime compensation and sick leave pay; (4) failed to reimburse employees for
6 necessary business expenses; (5) issued noncompliant wage statements; and (6) is liable for
7 waiting time penalties. (Han Decl., *supra*, at ¶¶ 17-24.)

8 **b. The Parties Were Able to Reach an Agreement on Settlement of the Action**

9 **i. The Parties Attended Mediation Which Led to the Settlement**

10 The Parties attended mediation with an experienced mediator on May 8, 2023. (Han Decl.,
11 *supra*, at ¶ 25.) Under the auspices of the mediator, the Parties reached a settlement via a mediator’s
12 proposal, the terms were memorialized in the Settlement Agreement. (*Id.* at ¶ 25; Exhibits 2, 5.)

13 **ii. The Settlement Was Reached as a Result of Arm’s-Length Negotiations**

14 The Settlement was reached because of arm’s-length negotiations. (Han Decl., *supra*, at ¶
15 28.) Though cordial and professional, the settlement negotiations have always been adversarial
16 and non-collusive in nature. (*Ibid.*) At the mediation, the Parties’ counsel conducted extensive
17 arm’s-length settlement negotiations until an agreement was ultimately reached. (*Ibid.*)

18 Plaintiff and Class Counsel recognize the expense and length of additional proceedings
19 necessary to continue the litigation through trial and through any possible appeals. (Han Decl.,
20 *supra*, at ¶ 29.) Plaintiff and Class Counsel also considered the uncertainty and risk of further
21 litigation, potential outcome, and difficulties and delays inherent in such litigation. (*Ibid.*) Based
22 on the foregoing, Plaintiff and Class Counsel believe the Settlement is a fair, adequate, and
23 reasonable settlement and is in the best interests of Class Members. (*Ibid.*)

24 **iii. The Settlement Is the Result of Thorough Investigation and Discovery**

25 The Parties investigated and evaluated the strengths and weaknesses of the claims and
26 defenses before reaching the Settlement and engaged in research and discovery to support the
27 Settlement. (Han Decl., *supra*, at ¶ 30.) The Settlement was only possible following significant
28 investigation and evaluation of the relevant policies and practices, permitting Class Counsel to

engage in a comprehensive analysis of liability and potential damages. (Han Decl., *supra*, at ¶ 30.) This case has reached the stage where the Parties understand “the strength of the case; the reasonableness of the settlement in light of the attendant risks of litigation, and in light of the best possible recovery” sufficient to support the Settlement’s reasonableness, adequacy, and fairness. (Han Decl., *supra*, at ¶ 30; *Boyd v. Bechtel Corp.* (N.D.Cal. 1979) 485 F.Supp. 610, 617.)

c. Terms of the Proposed Settlement

i. Deductions from the Settlement

The Parties agreed (subject to the Court’s approval) this action be settled and compromised for the non-reversionary total sum of \$400,000 (“Gross Settlement Amount”) which includes: (1) Class Counsel Fees Payment not to exceed \$140,000; (2) Class Counsel Litigation Expenses Payment not to exceed \$15,000; (3) Class Representative Service Payment not to exceed \$10,000; (4) Administration Expenses Payment not to exceed \$10,000; and (5) PAGA Penalties not to exceed \$20,000. (Han Decl., *supra*, at ¶ 26.)

ii. Calculating Settlement Payments

After all Court-approved deductions from the Gross Settlement Amount, it is estimated \$205,000 (“Net Settlement Amount”) will be paid to all Class Members who do not submit valid and timely Requests for Exclusion from the Settlement (“Participating Class Members”) – with a gross *average* Individual Class Payment estimated at \$1,242.42. (Han Decl., *supra*, at ¶ 27.)

Participating Class Member will receive a proportionate share of the Net Settlement Amount using the formula set forth in the Settlement Agreement. (Han Decl., *supra*, at ¶ 25; Exhibit 2, *supra*, at § C(2)(d).) Individual Class Payments will be allocated twenty percent (20%) to the settlement of wages claims and eighty percent (80%) to the settlement of claims for interest and penalties. (*Id.* at § C(2)(d)(i).)

In addition, the portion of the PAGA Penalties that is to be paid to each Aggrieved Employee shall be determined using the formula set forth in the Settlement Agreement. (Han Decl., *supra*, at ¶ 25; Exhibit 2, *supra*, at § C(2)(e)(i).) Aggrieved Employees’ portion of the PAGA Penalties will be allocated as one hundred percent (100%) penalties. (*Id.* at § C(2)(e)(ii).)

///

1 **iii. Notice to the Class**

2 No later than fourteen (14) calendar days after the Court grants Preliminary Approval of
3 the Settlement, Defendant will deliver the Class Data to the Administrator. (Han Decl., *supra*, at
4 ¶ 25; Exhibit 2, *supra*, at § G(4)(a).) No later than fourteen (14) days after receiving the Class
5 Data, the Administrator will send the Class Notice to all Class Members identified in the Class
6 Data via first-class United States Postal Service mail. (*Id.* at § G(4)(c).)

7 **iv. Distribution of Funds**

8 The Gross Settlement Amount will be funded pursuant to the timeline and manner set forth
9 in the Settlement. (Han Decl., *supra*, at ¶ 25; Exhibit 2, *supra*, at §§ D(2), D(3).) Uncashed
10 settlement checks will be canceled and paid to the California Controller's Unclaimed Property
11 Fund. (*Id.* at § D(3)(c).)

12 **v. Release of Claims**

13 Effective on the date when Defendant fully funds the entire Gross Settlement Amount and
14 funds all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, all
15 Participating Class Members, on behalf of themselves and their former and present representatives,
16 agents, attorneys, heirs, administrators, successors, and assigns, release the Released Parties from
17 the Released Class Claims. (Han Decl., *supra*, at ¶ 25; Exhibit 2, *supra*, at § E(2).)

18 Effective on the date when Defendant fully funds the entire Gross Settlement Amount and
19 funds all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, all
20 Participating and Non-Participating Class Members, who are Aggrieved Employees, on behalf of
21 themselves and their former and present representatives, agents, attorneys, heirs, administrators,
22 successors, and assigns, are deemed to release the Released Parties from the Released PAGA
23 Claims. (Han Decl., *supra*, at ¶ 25; Exhibit 2, *supra*, at § E(3).)

24 Effective on the date when Defendant fully funds the entire Gross Settlement Amount and
25 funds all employer payroll taxes owed on the Wage Portion of the Individual Class Payments,
26 Plaintiff and his former and present spouses, representatives, agents, attorneys, heirs,
27 administrators, successors, and assigns generally release and discharge Released Parties from
28 Plaintiff's Release. (Han Decl., *supra*, at ¶ 25; Exhibit 2, *supra*, at § E(1).) Plaintiff also expressly

1 waives and relinquishes the provisions, rights, and benefits, if any, of section 1542 of the Civil
2 Code. (Han Decl., *supra*, at ¶ 25; Exhibit 2, *supra*, at § E(1).)

3 With regards to class action releases, “[A] court may release not only those claims alleged
4 in the complaint and before the court, but also claims which ‘could have been alleged by reason of
5 or in connection with any matter or fact set forth or referred to in’ the complaint.”” (*Amaro v.*
6 *Anaheim Arena Management, LLC* (2021) 69 Cal.App.5th 521, 537.) The scope of the releases in
7 this case are acceptable because they are limited to the scope of the allegations in the operative
8 complaints. Moreover, the released claims are ““based on *the identical factual predicate* as that
9 underlying the claims in the settled class action.”” (*Ibid.*) In other words, the released claims do
10 not ““go beyond the scope of the allegations in the operative complaint”” (*Ibid.*)

11 **d. Counsel for Both Parties Are Experienced in Similar Litigation**

12 The Parties’ counsel are experienced in wage-and-hour employment law and class actions.
13 (Han Decl., *supra*, at ¶¶ 2-7; Exhibit 1.) Class Counsel have prosecuted numerous cases on behalf
14 of employees for Labor Code violations and are experienced and qualified to evaluate the class
15 claims, settlement versus trial on a fully informed basis, and viability of the defenses. (*Ibid.*) This
16 experience instructed Class Counsel on the risks and uncertainties of further litigation and guided
17 their determination to endorse the Settlement.¹ (*Ibid.*)

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27 ¹ The final factor mentioned in *Dunk* – the number of objectors – is not determinable until
28 the Class Notice has been provided to the Class, and they have had an opportunity to respond. This
information will be provided to the Court in conjunction with the Motion for Final Approval of
Class Action Settlement.

1 **IV. ARGUMENT**

2 **a. Class Action Settlements Are Subject to Court Review**

3 California Rules of Court, rule 3.769 requires court approval for class action settlements.²
4 “Before final approval, the court must conduct an inquiry into the fairness of the proposed
5 settlement.” (Cal. Rules of Court, rule 3.769(g).) Rule 3.769 further requires a noticed motion for
6 preliminary approval of class settlements:

7 (a) A settlement or compromise of an entire class action, or a cause of action in
8 a class action, or as to a party, requires the approval of the court after
9 hearing.

10 (c) Any party to a settlement agreement may serve and file a written notice of
11 motion for preliminary approval of the settlement. The settlement
12 agreement and proposed notice to class members must be filed with the
13 motion, and the proposed order must be lodged with the motion.

14 Courts have discretion to approve settlements that are fair, not collusive, and consider “all
15 the normal perils of litigation as well as the additional uncertainties inherent in complex class
16 actions.” (*In re Beef Industry Antitrust Litigation* (5th Cir. 1979) 607 F.2d 167, 179, cert. den. *sub*
17 *nom. Iowa Beef Processors, Inc. v. Meat Price Investigators Ass’n* (1981) 452 U.S. 905.)

18 **b. The Proposed Settlement Is a Reasonable Compromise of Claims**

19 An understanding of the amount in controversy is an important factor in whether the
20 settlement “of the class members’ claims is reasonable in light of the strengths and weaknesses of
21 the claims and the risks of the particular litigation.” (*Kullar v. Foot Locker Retail, Inc.* (2008) 168
22 Cal.App.4th 116, 129; see also *Munoz v. BCI Coca-Cola Bottling Co. of Los Angeles* (2010) 186
23 Cal.App.4th 399, 409.) The most important factor in this regard is ““the strength of the case for
24 plaintiffs on the merits, balanced against the amount offered in settlement.”” (*Kullar*, at p. 130;
25 see also *Munoz*, at p. 409.)

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28 ² The California Supreme Court has also authorized California’s trial courts to use Federal Rule 23 and cases applying it for guidance in considering class issues. (See *Vasquez v. Superior Court* (1971) 4 Cal.3d 800, 821; see *Green v. Obledo* (1981) 29 Cal.3d 126, 145-146.) Where appropriate, the Parties cite Federal Rule 23 and federal case law in addition to California law.

1 Kullar instructs the court is not to “decide the merits of the case or to substitute its
2 evaluation of the most appropriate settlement for that of the attorneys.” (*Kullar v. Foot Locker*
3 *Retail, Inc.*, *supra*, 168 Cal.App.4th at p. 133.) Kullar does not require a statement of the maximum
4 amount the class could recover if plaintiff prevailed on all his claims, provided there is a record
5 that allows “an understanding of the amount that is in controversy and the realistic range of
6 outcomes of the litigation.” (*Munoz v. BCI Coca-Cola Bottling Co. of Los Angeles*, *supra*, 186
7 Cal.App.4th at p. 409.) “[A]s the court does when it approves a settlement as in good faith under
8 Code of Civil Procedure § 877.6, the court must at least satisfy itself that the class settlement is
9 within the ‘ballpark’ of reasonableness.” (*Kullar*, at p. 133.)

10 **i. The Settlement Amount of \$400,000 Is Fair and Reasonable**

11 The Settlement Agreement was only possible following significant investigation and
12 evaluation of the relevant policies and procedures, as well as the data produced, as referenced in
13 Section III above, permitting Class Counsel to engage in a comprehensive analysis of liability and
14 potential damages. (Han Decl., *supra*, at ¶ 30.)

15 The claims are predicated on the purported: (1) failure to properly calculate and pay
16 overtime wages; (2) failure to pay minimum wages; (3) failure to provide meal and rest breaks and
17 pay applicable premium wages; (4) failure to timely pay wages; (5) failure to issue compliant wage
18 statements; (6) failure to reimburse business expenses; (7) violation of PAGA; and (8) violation
19 of Business & Professions Code section 17200, *et seq.* (Han Decl., *supra*, at ¶ 31.) Defendant
20 vehemently denies the theories of liability. (*Id.* at ¶ 32.)

21 While Plaintiff believes the case is suitable for certification, uncertainties with respect to
22 certification are always present. (Han Decl., *supra*, at ¶ 33.) As the California Supreme Court
23 ruled in *Sav-On Drug Stores, Inc. v. Superior Court* (2004) 34 Cal.4th 319, class certification is
24 always a matter of the trial court’s sound discretion. (*Ibid.*) Decisions following *Sav-On Drug*
25 *Stores, Inc.* have reached different conclusions concerning certification of wage-and-hour claims.³
26 (*Ibid.*) Thus, the calculations for potential damages were discounted.

27
28 ³ (See, e.g., *Harris v. Superior Court* (2007) 154 Cal.App.4th 164 [reversing decertification
of class claiming misclassification and ordering summary adjudication in favor of employees],

1 **ii. The PAGA Penalties of \$20,000 Is Reasonable**

2 The provisions of the Labor Code potentially triggering PAGA penalties in this case
3 include Labor Code sections 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5,
4 510, 512(a), 551, 552, 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800, and 2802. (Han Decl., *supra*,
5 at ¶ 42.) Defendant asserted, regardless of the results of the underlying causes of action, PAGA
6 penalties are not mandatory but permissive and discretionary. (*Ibid.*) Defendant also maintained it
7 had a strong argument it would be unjust to award maximum PAGA penalties given the law's
8 current unsettled state concerning PAGA penalties. (Han Decl., *supra*, at ¶ 42; *Thurman v.*
9 *Bayshore Transit Mgmt.* (2012) 203 Cal.App.4th 1112 [reducing penalties by 30% under this
10 authority].) Furthermore, Defendant argued without stacking and limited to the initial violation,
11 the PAGA penalties would be limited to about **\$13,700** (137 employees x \$100 penalty for initial
12 violation) on the low end and **\$82,200** (137 employees x \$100 penalty for initial violation x 6
13 theories of recovery) on the high end. (Han Decl., *supra*, at ¶¶ 43-45.)

14 Plaintiff recognized the risk that any PAGA award could be reduced. (Han Decl., *supra*,
15 at ¶ 46.) Many of the causes of action brought were also duplicative of the statutory claims. (*Ibid.*)
16 Allocating \$20,000 to PAGA civil penalties was reasonable given that Defendant is also paying
17 an additional \$380,000 in the class settlement. (*Ibid.*) When PAGA penalties are negotiated in
18 good faith and “there is no indication that [the] amount was the result of self-interest at the expense
19 of other Class Members,” such amounts are reasonable.⁴ (*Ibid.*)

20 Considering Defendant's defenses, supporting evidence, and position that the case is not
21 suitable for class treatment, the Settlement Agreement is reasonable, adequate, and fair.

22 _____
23 review granted Nov. 28, 2007, (2007) 171 P.3d 545 [not cited as precedent, but rather for
24 illustrative purposes only]; *Walsh v. IKON Office Solutions, Inc.* (2007) 148 Cal.App.4th 1440
25 [affirming decertification of class claiming misclassification]; *Aguiar v. Cintas Corp. No. 2* (2006)
26 144 Cal.App.4th 121 [reversing denial of certification]; *Dunbar v. Albertson's Inc.* (2006) 141
27 Cal.App.4th 1422 [affirming denial of certification].)

28 ⁴ (*Hopson v. Hanesbrands Inc.* (N.D.Cal. Apr. 3, 2009, No. CV-08-0844 EDL) 2009
U.S.Dist.LEXIS 33900, at *24; see, e.g., *Nordstrom Com. Cases* (2010) 186 Cal.App.4th 576,
579, “[T]rial court did not abuse its discretion in approving a settlement which does not allocate
any damages to the PAGA claims”).)

c. Discount Analysis Justifies the Settlement

Excluding the civil penalties, which could be completely discretionary, the total estimated potential exposure, assuming certification and prevailing at trial, would be about **\$1,460,169.45** on the low end and around **\$1,525,673.64** on the high end. (Han Decl., *supra*, at ¶ 47.)

Category	Potential Exposure	Certification Risk	Merits Risk	Realistic Exposure
Rest Break Premiums	\$110,694.47	70%	60%	\$13,283.34
Meal Break Premiums	\$142,332.06	60%	50%	\$28,466.41
Overtime/Minimum Wage: Off-the-Clock Work	\$130,948.33 to \$196,452.52	50%	50%	\$32,737.08 to \$49,113.13
Regular Rate	\$3,489.39	30%	40%	\$1,465.54
Unreimbursed Business Expenses	\$60,720	20%	70%	\$14,572.80
Wage Statement Penalty	\$184,950	40%	40%	\$66,582
Waiting Time Penalty	\$827,035.20	40%	40%	\$297,732.67
MAXIMUM TOTAL EXPOSURE	\$1,460,169.45 to \$1,525,673.64⁵			\$454,839.84 to \$471,215.89⁶

Thus, the realistic recovery for this case is about **\$454,839.84** on the low end and **\$471,215.89** on the high end. (Han Decl., *supra*, at ¶ 55.) The Gross Settlement Amount is about twenty-six percent (26.22%) of the maximum potential exposure and around eighty-five percent (84.89%) of the maximum realistic exposure at trial, which is an excellent settlement. (*Ibid.*)

The only question at preliminary approval is whether the settlement is within the range of possible approval. (*In re General Motors Corp. Engine Interchange Litigation* (7th Cir. 1979) 594 F.2d 1106, 1124; *Acosta v. TransUnion, LLC* (C.D. Cal. 2007) 240 F.R.D. 564, 575.) “The fact that a proposed settlement may only amount to a fraction of the potential recovery does not, in and of itself, mean that the proposed settlement is grossly inadequate and should be disapproved.” (*Detroit v. Grinnell Corp.* (2d Cir. 1974) 495 F.2d 448, 455; see also *Linney v. Ceullar Alaska Partnership* (9th Cir. 1998) 151 F.3d 1234, 1242, “[I]t is the very uncertainty of outcome in litigation and avoidance of wasteful and expensive litigation that induce consensual settlements.

⁵ (Han Decl., *supra*, at ¶¶ 34-41.)

⁶ (*Id.* at ¶¶ 48-54.)

1 The proposed settlement is not to be judged against a hypothetical or speculative measure of what
2 might have been achieved by the negotiators”). This settlement is in line with the realistic exposure
3 if Plaintiff prevailed at trial and provides a significant recovery for Class Members.

4 **d. Conditional Certification of the Class Is Appropriate**

5 Code of Civil Procedure section 382 “authorizes class actions ‘when the question is one of
6 a common or general interest, of many persons, or when the parties are numerous, and it is
7 impracticable to bring them all before the court.’” (*Sav-On Drug Stores, Inc. v. Superior Court*,
8 *supra*, 34 Cal.4th at p. 326.) California courts certify class actions if plaintiff identifies “both [1]
9 an ascertainable class and [2] a well-defined community of interest among class members.” (*Ibid.*)

10 The Class is ascertainable and numerous as to make it impracticable to join all Class
11 Members, and there are common questions of law and fact that predominate over any questions
12 affecting any individual Class Member. (Han Decl., *supra*, at ¶ 56.) Plaintiff contends his claims
13 are typical of the claims of the Class, and Class Counsel will fairly and adequately protect the
14 interests of the Class. (*Ibid.*) Plaintiff asserts the prosecution of separate actions by individual
15 Class Members would create the risk of inconsistent or varying adjudications. (*Ibid.*)

16 **i. The Proposed Class Is Ascertainable and Sufficiently Numerous**

17 “Ascertainability is required in order to give notice to putative class members as to whom
18 the judgment in the action will be res judicata.” (*Hicks v. Kaufman & Broad Home Corp.* (2001)
19 89 Cal.App.4th 908, 914.) “A class is ascertainable if it identifies a group of unnamed plaintiffs
20 by describing a set of common characteristics sufficient to allow a member of that group to identify
21 himself or herself as having a right to recover based on the description.” (*Bartold v. Glendale*
22 *Federal Bank* (2000) 81 Cal.App.4th 816, 828.) The proposed class must also be sufficiently
23 numerous. (*Linder v. Thrifty Oil Co.* (2000) 23 Cal.4th 429, 435.)

24 This case involves approximately one hundred sixty-five (165) Class Members, meaning
25 the Class is sufficiently numerous. (Han Decl., *supra*, at ¶ 57; *Ghazaryan v. Diva Limousine, Ltd.*
26 (2008) 169 Cal.App.4th 1524, 1531, n.5 [finding a proposed class of “as many as 190 current and
27 former employees” is sufficiently numerous].)

28 ///

1 **ii. Class Members Share a Well-defined Community of Interest**

2 The community of interest requirement “embodies three factors: (1) predominant common
3 questions of law or fact; (2) class representatives with claims or defenses typical of the class; and
4 (3) class representatives who can adequately represent the class.” (*Sav-On Drug Stores, Inc. v.*
5 *Superior Court, supra*, 34 Cal.4th at p. 326.) “[T]he community of interest requirement for
6 certification *does not mandate that class members have uniform or identical claims.*” (*Capitol*
7 *People First v. Department of Developmental Services* (2007) 155 Cal.App.4th 676, 692 (emphasis
8 in original).) Rather, courts focus on the defendant’s internal policies and “pattern and practice . .
9 . in order to assess whether that common behavior toward similarly situated plaintiffs renders class
10 certification appropriate.” (*Ibid.*) The application of each of these factors is discussed below.

11 **1. Common Issues Predominate**

12 The “common issues” requirement “involves analysis of whether the proponent’s ‘theory
13 of recovery’ is likely to prove compatible with class treatment.” (*Capitol People First v.*
14 *Department of Developmental Services, supra*, 155 Cal.App.4th at p. 690.) In other words, courts
15 determine whether the elements necessary to establish liability are susceptible to common proof,
16 even if the class members must individually prove their damages. (*Brinker Restaurant Corp. v.*
17 *Superior Court* (2012) 53 Cal.4th 1004, 1024). These types of claims are regularly granted class
18 certification when the plaintiff can present evidence of common policies. (See, e.g., *Jones v. JGC*
19 *Dallas LLC* (N.D.Tex. Nov. 29, 2012, Civil Action No. 3:11-CV-2743-O) 2012 U.S.Dist.LEXIS
20 185042 [certified collective action involving 190 dancers]; *Espinoza v. Galardi South Enters.*
21 (S.D.Fla. Jan. 11, 2016, No. 14-21244-CIV-GOODMAN) 2016 U.S.Dist.LEXIS 2904 [court
22 certified class of dancers on state law claims].)

23 Plaintiff asserts common issues of fact and law predominate as to each of the claims
24 alleged. (Han Decl., *supra*, at ¶ 58.) Plaintiff contends all Class Members were subject to the same
25 or similar employment practices, policies, and procedures described in detail above. (*Ibid.*)

26 **2. Plaintiff’s Claims Are Typical of the Class Claims**

27 Typical claims rely on legal theories and facts that are substantially like those of other class
28 members. (*Classen v. Weller* (1983) 145 Cal.App.3d 27, 46.)

1 Plaintiff is a former employee of Defendant and alleges he and Class Members were employed
2 by the same company and injured by the common policies and practices related to the claims
3 described above. (Han Decl., *supra*, at ¶ 59.) Plaintiff seeks relief for these claims and derivative
4 claims on behalf of the Class. (*Ibid.*) Thus, the claims arise from the same employment practices
5 and are based on the same legal theories applicable to the Class. (*Ibid.*)

6 **3. Plaintiff Is Adequate to Represent the Class**

7 Plaintiff has proven to be an adequate class representative. (Han Decl., *supra*, at ¶ 60.)
8 Plaintiff conducted himself diligently and responsibly in representing the Class in this litigation,
9 understands the fiduciary obligations, and actively participated in the prosecution of this case.
10 (*Ibid.*) Plaintiff spent time in meetings and conferences with Class Counsel to provide them with
11 a complete understanding of the work experience and environment. (*Ibid.*) Plaintiff has no interest
12 averse to the interests of the other Class Members. (*Ibid.*)

13 **4. Class Action Is Superior for the Fair and Efficient Adjudication** 14 **of this Controversy**

15 A class action is superior to other available means for the fair and efficient adjudication of
16 this controversy. Plaintiff contends the joinder of all Class Members is impractical and that class
17 treatment will permit many similarly situated persons to prosecute their common claims for
18 settlement purposes simultaneously in a single forum without the duplication of effort and expense
19 that numerous individual actions would necessitate. Because several Class Members are also
20 current employees, Plaintiff believes fear of retaliation further supports the superiority of class-
21 wide relief as this fear often discourages current employees from seeking legal redress.

22 **e. The Settlement Is Fair, Reasonable, and Adequate**

23 In deciding whether to approve a proposed class action settlement under Code of Civil
24 Procedure section 382, the Court must find a proposed settlement is “fair, adequate and
25 reasonable.” (*Dunk v. Ford Motor Co.* (1996) 48 Cal.App.4th 1794, 1801.) A proposed class action
26 settlement is presumed fair under the following circumstances: (1) parties reached settlement after
27 arm’s-length negotiations; (2) investigation and discovery were sufficient to allow counsel and the
28 court to act intelligently; (3) counsel is experienced in similar litigation; and (4) percentage of

objectors is small. (*Dunk v. Ford Motor Co.* (1996) 48 Cal.App.4th at p. 1802.) All these elements are present here.

f. Notice to the Class Complies with California Rules of Court, Rule 3.769(f)

California Rules of Court, rule 3.769(f), provides:

If the court has certified the action as a class action, notice of the final approval hearing must be given to class members in the manner specified by the court. The notice must contain an explanation of the proposed settlement and procedures for class members to follow in filing written objections to it and in arranging to appear at the settlement hearing and state any objections to the proposed settlement.

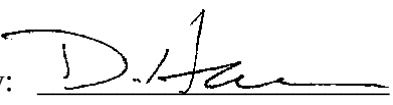
The Class Notice meets all these requirements. The Class Notice advises Class Members of their right to participate in the Settlement, how and when to object to or request exclusion from the Settlement, and date, time, and location of the Final Approval Hearing.

V. CONCLUSION

Plaintiff submits the Settlement is in the Class's best interests. Under the applicable class action criteria and guidelines, the Settlement should be preliminarily approved by the Court, Class should be conditionally certified for settlement purposes, and Class Notice should be approved.

Dated: August 9, 2023

JUSTICE LAW CORPORATION

By: 
Douglas Han
Attorneys for Plaintiff

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PROOF OF SERVICE
1013A(3) CCP

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 751 N. Fair Oaks Avenue, Suite 101, Pasadena, California 91103 and my electronic service address is manderson@justicelawcorp.com.

On August 9, 2023, I served the foregoing document described as

**PLAINTIFF'S NOTICE OF MOTION AND MOTION FOR PRELIMINARY
APPROVAL OF CLASS ACTION SETTLEMENT, CONDITIONAL CERTIFICATION,
APPROVAL OF CLASS NOTICE, SETTING OF FINAL APPROVAL HEARING DATE;
MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF**

for the following case: **Barnes v. UST Select, Inc.**
LWDA/Court Case No.: **LWDA Case No.: LWDA-CM-878285-22**
Court Case No.: 22CV02939
Butte County Superior Court

on interested parties in this action by electronically submitting as follows:

State of California
Labor & Workforce Development Agency
800 Capitol Mall, MIC-55
Sacramento, California 95814

[X] BY ELECTRONIC SUBMISSION

Pursuant to California Senate Bill No. 836, I caused the documents described above to be electronically submitted by and through the procedure stated on the website of the State of California Labor and Workforce Development Agency.

[X] STATE

I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

Executed on August 9, 2023, at Pasadena, California.



Mariah Anderson