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**UNITED STATES DISTRICT COURT**  
**CENTRAL DISTRICT OF CALIFORNIA**

JUAN GARCIA, on behalf of himself  
and all others similarly situated and the  
general public,

Plaintiff,

v.

WAL-MART STORES, INC., a  
Delaware corporation; and DOES 1 to  
100, inclusive,

Defendants.

Case No.: 5:16-cv-01645 TJH (RAOx)  
Hon. Terry J. Hatter  
Courtroom: 9C, 9th Floor

CLASS ACTION

**MEMORANDUM OF POINTS AND  
AUTHORITIES IN SUPPORT OF  
MOTION FOR PRELIMINARY  
APPROVAL OF CLASS ACTION  
SETTLEMENT**

Under Submission  
Courtroom 9B, 9<sup>th</sup> Fl.

Action Filed: May 17, 2016  
Removal Date: July 28, 2016  
Trial Date: May 30, 2023

**MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT OF  
MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION  
SETTLEMENT**

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1                   **MEMORANDUM OF POINTS AND AUTHORITIES**

2           This Memorandum is submitted in support of Plaintiff’s Motion for  
3 Preliminary Approval of Class Action Settlement. The proposed settlement before  
4 this Court will dispose of the action as to Defendant Walmart Stores, Inc. k/n/a  
5 Walmart Inc. (hereinafter “Defendant”) and the Released Parties (as defined in the  
6 Settlement Agreement), except as to any Settlement Class Members who elect to  
7 exclude themselves (“opt-out”) from the Settlement Class.

8           Although the proposed settlement also resolves the PAGA claim, that  
9 portion of the settlement is not the subject of this motion because there is no right  
10 or procedure to opt out of a PAGA settlement—notice of which goes to the  
11 California Labor and Workforce Development Agency and not to the collective  
12 action group of employees. Accordingly, consistent with Federal Rule of Civil  
13 Procedure 23(e), this Motion seeks preliminary approval only of a class  
14 settlement. After preliminary approval of the class settlement and notice to the  
15 class members, final approval of the class settlement would follow, along with this  
16 Court’s consideration at that time of approval for the PAGA settlement.

17                   **INTRODUCTION<sup>1</sup>**

18           As detailed in the Settlement Agreement, Plaintiff filed his initial putative  
19 class action complaint on May 17, 2016, alleging various wage and hour theories.  
20 Following various motion practice, Plaintiff amended his complaint multiple time,  
21 culminating in a Fourth Amended Complaint (FAC) filed June 13, 2022, which  
22 complaint also included a claim under the Private Attorney General Act

23 \_\_\_\_\_  
24 <sup>1</sup> Walmart does not concede the Plaintiff’s allegations, nor does it concede all of  
25 the factual statements or characterizations of legal positions set forth herein. For  
26 purposes of this Settlement, however, Walmart does not oppose the filing of this  
27 Motion or the granting of preliminary approval.

1 (“PAGA”). The specific claims alleged in the initial Complaint and various  
2 Amended Complaints are summarized in the Settlement Agreement. (Declaration  
3 of Janelle Carney (hereinafter “**Decl. Carney**”), Ex. 1 at ¶¶ 3.1 – 3.7.)

4 On February 14, 2019, the parties attended a mediation with Hon. Carl West,  
5 an experienced mediator and retired judge. The matter did not settle. On August  
6 17, 2021, the Parties participated in a Mandatory Settlement Conference before  
7 Magistrate Judge Rozella A. Oliver. Again, the case did not settle. Then, on the eve  
8 of trial that was set to start on May 30, 2023, the parties attended a second private  
9 mediation with Hon. Carl West. The settlement discussions were conducted at  
10 arm’s length, as this case has been hotly litigated since its inception. The  
11 Settlement is the result of an informed and detailed analysis of the risks facing all  
12 Parties in trying this case, including but not limited to, careful consideration of the  
13 legal and factual obstacles to Plaintiff’s prospects of prevailing on the merits at the  
14 trial, and Defendant’s defenses, as well as Defendant’s potential exposure and the  
15 additional costs of litigating the Action. The settlement represents a fair and  
16 reasonable recovery as set forth in the Declaration of Janelle Carney, ¶ 7  
17 (hereinafter “**Decl. Carney**”).

18 **I. THE CLASS REPRESENTED**

19 The settlement proposes the following Settlement Class:

20 All current and former hourly non-exempt employees who work or worked  
21 for Defendant at Defendant’s Apple Valley Distribution Center facility from May  
22 17, 2012, through September 28, 2018 (“Settlement Class Period”).

23 **II. THE TWO-STEP APPROVAL PROCESS**

24 Any settlement of class litigation must be reviewed and approved by the  
25  
26  
27

1 Court.<sup>2</sup> This is done in two steps: (1) an early (preliminary) review by the trial  
2 court, and (2) a final review after notice has been distributed to the Class  
3 Members for their comment or objections. The Manual for Complex Litigation  
4 Second states at §30.44 (1985),

5 A two-step process is followed when considering class settlements ...  
6 if the proposed settlement appears to be the product of serious,  
7 informed, non-collusive negotiations, has no obvious deficiencies,  
8 does not improperly grant preferential treatment to Class  
9 Representatives or segments of the class, and falls within the range of  
10 possible approval, then the court should direct that notice be given to  
the Class Members of a formal fairness hearing, at which evidence  
may be presented in support of and in opposition to the settlement.

11 Thus, the preliminary approval of the trial court is simply a conditional  
12 finding that the settlement appears to be within the range of acceptable  
13 settlements. As Professor Newberg comments, “The strength of the findings made  
14 by a judge at a preliminary hearing or conference concerning a tentative  
15 settlement proposal may vary. The court may find that the settlement proposal  
16 contains some merit, is within the range of reasonableness required for a  
17

---

18  
19 <sup>2</sup> Unlike class actions where claims belong to individual class members that  
20 necessitates classwide notice, PAGA settlements do not require a two-part  
21 approval process, as there is no need to notify aggrieved employees of pending  
22 PAGA settlements because those aggrieved employees cannot opt out of or object  
23 to the PAGA settlement. *See, e.g., Robinson v. S. Ctys. Oil Co., supra*, 53 Cal.  
24 App. 5th at 483 (aggrieved employees bound by PAGA settlement because they do  
25 not have the right to opt out). Those rights instead lie only with the State of  
26 California, which is provided with a copy of proposed PAGA settlements so that it  
can assess their sufficiency. Cal. Labor Code § 2699(1)(2). Thus, the PAGA  
portion of the settlement is not part of this motion for preliminary approval. Later,  
the PAGA portion of the settlement will be considered for approval when the Court  
holds the eventual Final Approval hearing on the class portion of the settlement.



1 settlement offer, or is presumptively valid subject only to any objections that may  
2 be raised at a final hearing.” *Newberg on Class Actions*, 3<sup>rd</sup> Ed., 11.26.

3 The procedures for submission of a proposed settlement for preliminary  
4 approval are discussed at *Newberg on Class Actions*, 3<sup>rd</sup> Ed., §11.24 – 11.26.  
5 *Newberg* observes at §11.24:

6 When the Parties to an action reach a monetary settlement, they will usually  
7 prepare and execute a joint stipulation of settlement, which is submitted to the  
8 court for preliminary approval. The stipulation should set forth the central terms  
9 of the agreement, including but not limited to, the amount of the settlement, form  
10 of payment, manner of determining the effective date of settlement, and any  
11 recapture clause.

12 The details of the recovery for each Class Member are set forth in the  
13 proposed Class and PAGA Settlement Agreement filed with the Declaration of  
14 Janelle Carney in Support of Preliminary Approval, ¶7, Exhibit 1. The settlement  
15 for each Participating Class Member is fair, reasonable and adequate, given the  
16 inherent risk of litigation, the risk relative to trial and the costs of litigation (*See*  
17 **Decl. Carney ¶7**).

18 To prevent fraud, collusion or unfairness to the class, the settlement or  
19 dismissal of a class action requires court approval. In order to protect those class  
20 members, including the named Plaintiff, whose rights may not have been given  
21 due attention by the negotiating parties, the court must determine whether the  
22 settlement is fair, adequate and reasonable. (*Dunk v. Ford Motor Co.* (1996) 48  
23 C.A.4th 1794) See also: *Hanlon v. Chrysler Corp.*, 150 F.3d 1011, 1026 (9th Cir.  
24 1998), *Staton v. Boeing Co.*, 327 F.3d 938, 959-60 (9th Cir. 2003) (Rule 23); *In re*  
25 *Heritage Bond Litig. v. U.S. Trust Corp.*, 546 F.3d 667, 673-674  
26

1 The Court must look to the admissible evidence regarding the following  
2 factors specified in *Dunk, supra*, at 1800-1801:

- 3 A. Strength of Plaintiff's case;
- 4 B. The risk, expense, complexity and likely duration of further litigation;
- 5 C. The risk of maintaining class action status through trial;
- 6 D. With respect to the amount of the settlement, the number of "cents on  
7 the dollar" or percentage of total damages the settlement represents; and
- 8 E. Presence (or lack thereof) of a governmental participant.

9 Further, the Court must take into consideration the Dunk factors and the  
10 additional *Kullar* factor which is "the most important factor is the strength of the  
11 case for the Plaintiff on the merits, balanced against the amount offered in  
12 settlement." (*Kullar v. Footlocker Retail Inc.* (2008) 168 Cal.App.4th 116, 118),  
13 (*See Decl. Carney ¶10*). The settlement represents a fair and reasonable value of  
14 all claims (*See Decl. Carney ¶ 7, 10*). There is no claim form required so all class  
15 members will be paid unless they opt out and there is no reversion to Defendant.  
16 Finally, the only governmental involvement in this case is the existence of a  
17 PAGA claim and the Plaintiff has notified the Labor and Workforce Development  
18 Agency ("LWDA") of this settlement (*See Decl. Carney, ¶11*) The settlement  
19 amount balanced against the merits of the Plaintiff's case is reasonable as set forth  
20 in detail in the Declaration of Janelle Carney filed concurrently herewith (*See*  
21 *Decl. Carney ¶ 10*).

### 22 **III. THE PRESUMPTION OF FAIRNESS**

23 Courts presume the absence of fraud or collusion in the negotiation of a  
24 settlement unless evidence to the contrary is offered. In short, there is a  
25 presumption that the negotiations were conducted in good faith. *Newberg*, §11.51;  
26 *In re Chicken Antitrust Litigation*, 560 F.Supp 957, 962 (N.D. Ga. 1980); *Priddy*

1 *v. Edelman*, 883 F.2d 438, 447 (6<sup>th</sup> Cir. 1989); *Mars Steel Corp. v. Continental*  
2 *Illinois National Bank and Trust Co.*, 834 F.2d 677, 682 (7<sup>th</sup> Cir. 1987). Courts do  
3 not substitute their judgment for that of the proponents, particularly where, as  
4 here, settlement has been reached with the participation of experienced counsel  
5 familiar with the litigation. *Hammon v. Barry*, 752 F.Supp 1087 (D.D.C. 1990);  
6 *Steinberg v. Carey*, 470 F.Supp. 471 (S.D.N.Y. 1979); *In re Armored Car*  
7 *Antitrust Litigation*, 472 F.Supp 1357 (N.D. Ga. 1979), *aff'd in part, rev'd in part*  
8 *in 645 F.2d 488* (5<sup>th</sup> Cir. 1981); *Sommers v. Abraham Lincoln Federal Savings &*  
9 *Loan Association*, 79 F.R.D. 571 (E.D. Pa. 1978). This is especially true where, as  
10 here, a very experienced, highly regarded neutral mediator, one very familiar with  
11 this type of action in its substance and procedure, presided over the mediation  
12 session.

13 While the recommendations of counsel proposing the settlement are not  
14 conclusive, the Court can properly take them into account, particularly where, as  
15 here, they have been involved in litigation for over six years, appear to be  
16 competent, have experience with this type of litigation, and have obtained  
17 substantial evidence from the opposing party. (*See Newberg*, §11.47.) Here, the  
18 Plaintiff's firms involved in the case have a great deal of experience in wage and  
19 hour class action litigation. The five (5) firms advanced as Class Counsel have  
20 substantial experience in wage and hour class action cases and have been  
21 approved as Class Counsel in numerous other wage and hour class actions (*See*  
22 **Decl. Carney ¶¶ 3-5; Declaration of Joseph Antonelli ¶¶ 2-4; Declaration of**  
23 **Kevin Barnes ¶¶ 2-7; Declaration of Anna Salusky ¶¶ 2-6**)

24 Plaintiff's attorneys and the Class Representative have vigorously litigated  
25 this matter for over seven (7) years, and the settlement was reached after extensive  
26 factual and legal research, formal discovery including propounding and  
27

1 responding to written interrogatories, and the production of documents and  
2 numerous filings including class certification, motions for partial summary,  
3 motion to dismiss, motion for judgment on the pleadings, and motions in limine.  
4 Further, the parties were prepared to try the case on May 30, 2023.

5 On February 14, 2019, the parties attended a mediation with Hon. Carl  
6 West, an experienced mediator and retired judge, but the case did not settle. On  
7 August 17, 2021, the Parties participated in a Mandatory Settlement Conference  
8 before Magistrate Judge Rozella A. Oliver. The settlement conference did not  
9 result in a settlement, so the Parties continued to litigate the Lawsuit. On May 10,  
10 2023, the Parties participated in a second private mediation session before Judge  
11 West. As a result of those negotiations, the Parties reached an agreement to  
12 resolve the Lawsuit.

13 The Parties in this matter have agreed to the settlement amount of Two  
14 Million, Two Hundred Fifty-Thousand Dollars (\$2,250,000.00) on a class wide  
15 basis with no claim form requirement and with no residual to revert to the  
16 Defendant. The settlement represents a fair and reasonable recovery as set forth in  
17 the Declaration of Janelle Carney filed concurrently herewith (*See Decl. Carney ¶*  
18 *¶ 7, 10*).

#### 19 **IV. PROPOSED SHARE PER CLASS MEMBER**

20 1) Settlement payments to individual Settlement Class Members shall be  
21 determined based on his or her proportionate share of the Net Settlement Amount  
22 minus the portion of the PAGA Penalties allocated to the Aggrieved Employees,  
23 based on the total number of Applicable Workweeks worked by each Settlement  
24 Class Member during the Settlement Class Period (with the exception of the  
25 Settlement Class Members who worked at least 1 workday from May 17, 2012 to  
26 May 16, 2013 at the Apple Valley facility and did not work beyond May 16, 2013  
27

at the Apple Valley facility, who will receive a flat rate of \$50.00 for the one-year period during which they worked). For purposes of the individual class member amounts to be set forth in the notice, the estimated Net Settlement Amount distributable to the Settlement Class shall be determined by deducting the maximum potential attorneys' fees, the estimated costs, the class administrator costs, LWDA payment, and the maximum potential enhancement from the Class Settlement Amount; the amount remaining is the estimated Net Settlement Amount. Following final approval, the actual Net Settlement Amount shall be determined by deducting the actual attorneys' fees awarded by the court, the actual costs, the actual class administrator costs, the LWDA payment, and the actual enhancement awarded by the court from the Class Settlement Amount.

- 2) Attorneys' Fees and Costs: Attorneys' Fees: \$33.333% of \$2,250,000.00 (i.e. \$750,000.00), subject to court approval.  
Costs: Litigation costs of approximately \$260,000 subject to court approval, exclusive of Settlement Administration costs. Rust Consulting, Inc.'s Settlement Administration costs are estimated at \$27,110.00.
- 3) Class Representative Enhancement: \$20,000.00 to Plaintiff, subject to court approval;
- 4) PAGA payment: \$100,000.00 (\$75,000.00 to the LWDA).



1 The settlement amount is, of course, a compromise figure. Plaintiff took  
2 into account the risks of trial and the strengths and weaknesses of Defendant's  
3 other defenses. Furthermore, Plaintiff also took into consideration the time delay  
4 and financial repercussions of trial and the possibility of an appeal by Defendant.  
5 (See **Decl. Carney**, ¶¶ 7,10 ).

## 6 **VII. CONDITIONAL CERTIFICATION OF THE CLASS**

### 7 **A. Class Certification Is Appropriate in this Case<sup>3</sup>**

8 The proposed settlement seeks conditional certification of the class. The  
9 certification of the class is essential to the settlement. Class Certification Is  
10 Appropriate Here Under Rule 23. FRCP Rule 23(a) authorizes certification when  
11 the Class prerequisites are met, i.e., (1) the class is so numerous that joinder of all  
12 members is impracticable.

13 Additionally, to maintain the class action, FRCP Rule 23(b)(1) or (3)  
14 requires that the Plaintiff establish either that: (1) there is risk of inconsistent or  
15 unfair adjudication; or (2) common questions of law or fact predominate and class  
16 resolution is superior to other available methods for fair and efficient adjudication  
17 of the controversy. Although Plaintiff need only meet the requirements of one of  
18 the conditions under FRCP Rule 23(b), both of these tests are satisfied under the  
19 circumstances of this action.

### 20 **B. The Proposed Class is Ascertainable and Numerous**

21 For a class to exist, the class description must be sufficiently definite so that  
22 it is administratively feasible for the court to determine whether a particular  
23

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24 <sup>3</sup> Walmart does not concede that class certification is appropriate in this action but  
25 Walmart does not oppose certification of a Settlement Class for settlement  
26 purposes consistent with the Parties Settlement Agreement. (**Decl. Carney**, Ex. 1,  
Settlement Agreement ¶¶ 3.14, 4.1, 16.1.)



1 individual is a member of the proposed class by reference to objective criteria.  
2 The class definition is sufficiently specific to enable potential class members, and  
3 the court, to readily determine the parameters of the class. (See, *Clothesrigger,*  
4 *Inc. V. GTE Corp.* (1987) 191 Cal.App.3d 605, 617.)

5 There are up to 1,715 class members, all of which have been identified by  
6 Defendant's employment and payroll records (See **Decl. Carney, ¶2**). This is  
7 sufficient to satisfy numerosity requirements. As a general matter, courts have  
8 found that numerosity is satisfied when class size exceeds 40 members, but not  
9 satisfied when membership dips below 21. See *Ansari v. New York Univ.*, 179  
10 F.R.D. 112, 114 (S.D.N.Y. 1998) (citing a thorough review of cases in 5  
11 MOORE'S FEDERAL PRACTICE §23.22[3][a] (Matthew Bender 3d ed. 1999)).  
12 The Ninth Circuit has not offered a precise numerical standard; other District  
13 Courts have, however, enacted presumptions that the numerosity requirement is  
14 satisfied by a showing of 25-30 members. See, e.g., *In re Kirschner Med. Corp.*  
15 *Sec. Litig.*, 139 F.R.D. 74, 78 (D. Md. 1991); [**\*\*20**]; *EEOC v. Printing Indus.,*  
16 *Inc.*, 92 F.R.D. 51, 53 (D.D.C. 1981), *Slaven v. BP America, Inc.*, 190 F.R.D. 649,  
17 654 (C.D. Cal. 2000).

### 18 **C. Common Issues of Law or Fact Predominate**

19 *FRCP* Rule 23(a)(2) requires that common questions of law or fact exist  
20 among class members. The Ninth Circuit construes the commonality requirement  
21 under this rule "permissively," having noted that the requirement under Rule  
22 23(a)(2) is less rigorous than that under Rule 23(b)(3). (*Hanlon v. Chrysler Corp.*,  
23 150 F.3d 1011, 1019 (9th Cir. 1998); compare Rule 23(b)(3) [requiring that  
24 common questions of law or fact "predominate" in class actions maintained under  
25 this subsection] with Rule 23(a)(2) [not including "predominate" or similar  
26 language in describing the general commonality requirement].) It is sufficient for



1 putative class members to have shared legal issues but divergent facts or, similarly,  
2 to share a common core of facts, but base their claims for relief on different legal  
3 theories. *Hanlon, supra*, 150 F.3d at 1019. Indeed, the Ninth Circuit considers the  
4 requirements for finding commonality under Rule 23(a)(2) to be “minimal” (*Id.* at  
5 1020.)

6 The threshold for commonality is not high, particularly in a case such as this  
7 where Plaintiff alleges uniform payroll practices at Defendant’s Apple Valley  
8 Distribution Center. “[Taking] the substantive allegations of the complaint as true”  
9 (*Blackie, supra*, 524 F.2d at 901 n.17), the operative Complaint here details  
10 common questions of law and fact amenable to class treatment. Although Plaintiff  
11 need only make a “minimal” showing of common issues of law and/or fact, there  
12 exist numerous common questions, as identified in the Fourth Amended  
13 Complaint.

14 Here, the predominant common questions of law and facts are as follows:

15 a.) Whether Defendant violated the applicable *Labor Code* provisions  
16 including §§ 510 and 1194 by requiring substantial overtime work and not paying  
17 for said work according to the overtime laws of the State of California by  
18 implementing invalid AWS schedules;

19 b.) Whether Defendant failed to pay the appropriate premium overtime  
20 compensation to the WDC non-exempt hourly AWS employees (excluding  
21 dispatcher and truck shop employees) of the Apple Valley – No. 7033 Distribution  
22 Center for shifts in excess of 8 hours in a day;

23 c.) Whether Defendant fails to provide compliant second meal periods to  
24 hourly employees who worked in excess of 10 hours in a shift;

25 d.) Whether Defendant’s meal period waivers are valid to excuse  
26 Defendant from providing a second meal period on shifts in excess of 10 hours;

1 e.) Whether Defendant provided Plaintiff and the class with compliant  
2 pay stubs; and

3 f.) Whether Defendant timely paid all wages to hourly employees at the  
4 time their employment with Defendant ended.

5 Resolving these common questions are the dominant issues in the case.  
6 Moreover, the issue of whether Defendant is liable for damages, penalties,  
7 restitution and/or injunctive relief, pursuant to *LC* §§201-203, 226, 226.7, 512,  
8 1174 and/or 1194, *B&PC* §17200, *et seq.*, are also significant issues which can be  
9 resolved on a class-wide basis. (See, e.g., *Alba v. Papa John's USA, Inc.*, 2007  
10 U.S. Dist. LEXIS 28079, 19-21 (Cal CD 2007); *Vizcaino v. United States District*  
11 *Court*, 173 F.3d 713, 722 (9th Cir. 1999)) Undoubtedly, the vast number of  
12 common issues presented here are more than adequate to meet the Ninth Circuit's  
13 "minimal" commonality threshold.

14 **D. Plaintiff's Claims Are Typical of the Class Claims**

15 Typicality simply means that the representative's claims be similar to those  
16 of class members. The interests of the class representative need not be identical to  
17 those of other class members; the class representative must simply be similarly  
18 situated. *B.W.I. Custom Kitchen v. Owens-Illinois, Inc.* (1987) 191 Cal.App.3d  
19 1341, 1347; *Classen v. Weller* (1983) 145 Cal.App.3d 27, 46. Here, Plaintiff  
20 Garcia is a member of the putative classes he seeks to represent and possesses the  
21 same interests, suffered the same injury, and alleges identical violations as those  
22 of all putative class members. Mr. Garcia was an hourly AWS employee during  
23 the class period, was not paid any daily overtime premium on his scheduled ten  
24 (10) hour shifts, was not paid overtime premium on short shifts, worked over 10  
25 hours without a second meal period, was provided wage statements from  
26

Defendant and is a former employee. (**Decl. Garcia ¶¶ 2-4**) The typicality requirement is met. (*See Declaration of Juan Garcia* filed concurrently herewith)

**E. Adequacy of Representation**

Adequacy of representation depends on whether Plaintiff's class representatives and Plaintiff's attorneys are qualified to conduct the proposed litigation and whether the representative Plaintiff's interests are antagonistic to those of the class. In this case, the representative Plaintiff has the same injuries and damages similar to those of the class they seek to represent. Plaintiff has no claims that are antagonistic to the class. (**Decl. Garcia, ¶¶4-8**) Plaintiff's counsel are also very experienced and qualified. (*See Decl. Carney ¶ 2-5*)

**VIII. THE COURT SHOULD APPROVE THE ESTABLISHMENT OF A QUALIFIED SETTLEMENT FUND**

Under the Settlement, Walmart has agreed to transfer the required portions of the Class Settlement Amount into a Qualified Settlement Fund as described in Treasury Regulation § 1.468B-1, 26 C.F.R. § 1.468B-1, in full settlement and discharge of the Settlement Class Members' claims against Walmart that are included under the Settlement. The Parties determined that establishing a Qualified Settlement Fund to receive and hold the settlement funds, and to distribute the funds according to the terms of the Settlement Agreement and under the administration of the Settlement Administrator would serve the Parties' best interests, and request that the Court approve the establishment of a Qualified Settlement Fund.<sup>4</sup>

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<sup>4</sup> The Qualified Settlement Fund will be administered by the Settlement Administrator, who, along with the Qualified Settlement Fund, will remain subject to the continuing jurisdiction of this Court. The Qualified Settlement Fund will be a segregated bank account opened at a financial institution mutually agreed by the

1 Court approval of the establishment of the Qualified Settlement Fund serves  
2 the Settlement Class Members' interests by assuring that the Class Settlement  
3 Amount is transferred by Walmart following approval of this Motion, and benefits  
4 Walmart by assuring finality to the claims against it in this case that are the subject  
5 of the Settlement.<sup>5</sup>

6 **IX. PROPOSED SCHEDULE OF SETTLEMENT PROCEEDINGS**

7 The Parties propose the following schedule for the fairness hearing and  
8 final proceedings:

- |    |                                                 |                         |
|----|-------------------------------------------------|-------------------------|
| 9  | 1. Defendant to provide Class List to           | (Within 30 days after   |
| 10 | Settlement Administrator                        | Preliminary Approval    |
| 11 |                                                 | Order is signed)        |
| 12 | 2. First Mailing of Notice, etc., to the Class: | (20 calendar days after |
| 13 |                                                 | the Class List is       |
| 14 |                                                 | received)               |
| 15 | 3. Deadline to postmark objections, Opt-Out     | (45 calendar days after |
| 16 | and pay periods dispute:                        | mailing by Settlement   |

17  
18 Parties. The Qualified Settlement Fund account will receive the Class Settlement  
19 Amount from Walmart and will hold that sum, and the earnings thereon, until the  
20 Settlement Administrator has completed all administration of such funds and  
21 income thereon, as well as disbursements to Settlement Class Members and Class  
22 Counsel, and payment of taxes and administrative costs, as more fully set forth in  
Section 11 of the Settlement Agreement and subject to further approval of this  
Court, if required.

23 <sup>5</sup> With the Court's approval, the parties intend for the establishment of a Qualified  
24 Settlement Fund to satisfy the requirements of Treasury Regulation § 1.468B-1(c),  
25 C.F.R. § 1.468B-1(c), by (a) being established under this Court's approval, (b)  
26 resolving and satisfying claims against Walmart for alleged violations of law, and  
(c) constituting a segregated account, as required by those regulations.

Administrator)

4. Filing date for Final Approval Motion: (28 calendar days before the Final Approval hearing. (No later than December 2023)).

5. Final Approval Hearing: (28 days after Final Approval Motion filed)<sup>6</sup>

**X. ACTION REQUESTED AS PART OF THE MOTION FOR PRELIMINARY APPROVAL**

The Parties request this Court, as part of the preliminary approval process, to do the following:

1. Review the proposed Settlement Agreement;
2. Confirm Janelle Carney of Janelle Carney - Attorney at Law, APC, Joseph Antonelli of the Law Office of Joseph Antonelli, Kevin T. Barnes and Gregg Lander of the Law Offices of Kevin T. Barnes, and Anna Salusky of Salusky Law Group, APC, as Class Counsel and Juan Garcia as the Class Representative;
4. Approve an Order granting Preliminary Approval of the proposed settlement on a class basis, direct notice to be given to the Class, and setting a hearing for final review of the proposed settlement at a time convenient to the Court; and
5. Approve Rust Consulting as Claims Administrator to handle the notice and claims procedures as set forth in its proposal.

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<sup>6</sup> The parties propose December 2023 or January 2024 for the Final Approval Hearing, to allow for administration of the notice procedures, including notice required under the Class Action Fairness Act.

6. Approve the creation of the Qualified Settlement Fund.

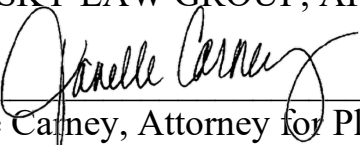
**XI. CONCLUSION**

Based upon the foregoing, Counsel respectfully requests that the Court grant this motion for Preliminary Approval and enter the proposed Order.

Dated: August 3, 2023

LAW OFFICE OF JOSEPH ANTONELLI  
JANELLE CARNEY-ATTORNEY AT LAW  
LAW OFFICE OF KEVIN BARNES  
SALUSKY LAW GROUP, APC

By:

  
\_\_\_\_\_  
Janelle Carney, Attorney for Plaintiff