

1 **BLUMENTHAL NORDREHAUG BHOWMIK**
2 **DE BLOUW LLP**

3 Norman B. Blumenthal (State Bar #068687)

4 Kyle R. Nordrehaug (State Bar #205975)

5 Aparajit Bhowmik (State Bar #248066)

6 2255 Calle Clara

7 La Jolla, CA 92037

8 Telephone: (858)551-1223

9 Facsimile: (858) 551-1232

10 Email: Kyle@bamlawca.com

11 Website: www.bamlawca.com

12 Attorneys for Plaintiff

13 SUPERIOR COURT OF THE STATE OF CALIFORNIA
14 COUNTY OF NAPA

15 IGNACIO MORENO, an individual, on behalf
16 of himself and on behalf of all persons
17 similarly situated, and on behalf of the State of
California, as a private attorney general,

18 Plaintiff,

19 vs.

20 DOMAINE CHANDON, INC., a Corporation;
21 NEWTON VINEYARD LLC; and DOES 1
22 through 50, inclusive,

23 Defendants.

CASE NO.: 22CV001128

[Consolidated with Case No. 22CV001500]

**[PROPOSED] PRELIMINARY
APPROVAL ORDER**

Hearing Date: October 10, 2024

Hearing Time: 8:30 a.m.

Judge: Hon. Cynthia P. Smith

Courtroom: A

Date Filed: September 27, 2022

Trial Date: Not set

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25 This matter came before the Honorable Cynthia P. Smith of the Superior Court of the
26 State of California, in and for the County Napa, on October 10, 2024, for hearing on the
27 unopposed motion by Plaintiff Ignacio Moreno ("Plaintiff") for preliminary approval of the
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PRELIMINARY APPROVAL ORDER

1 Settlement with Defendants Domaine Chandon, Inc. (“Chandon”) and Newton Vineyard LLC
2 (“Newton”) (collectively “Defendants”). The Court, having considered the briefs, argument of
3 counsel and all matters presented to the Court and good cause appearing, hereby GRANTS
4 Plaintiff’s Motion for Preliminary Approval of Class Action Settlement.

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6 **IT IS HEREBY ORDERED:**

7 1. The Court preliminarily approves the Class Action and PAGA Settlement
8 Agreement (“Agreement”) attached as Exhibit #1 to the Declaration of Kyle Nordrehaug in
9 Support of Plaintiff’s Motion for Preliminary Approval of Class Action Settlement. This is based
10 on the Court’s determination that the Settlement set forth in the Agreement is within the range of
11 possible final approval, pursuant to the provisions of Section 382 of the California Code of Civil
12 Procedure and California Rules of Court, rule 3.769.

13 2. This Order incorporates by reference the definitions in the Agreement, and all
14 terms defined therein shall have the same meaning in this Order as set forth in the Agreement.

15 3. The Gross Settlement Amount that Defendants shall pay is Eight Hundred
16 Thousand Dollars and Zero Cents (\$800,000.00). It appears to the Court on a preliminary basis
17 that the settlement amount and terms are fair, adequate and reasonable as to all potential Class
18 Members when balanced against the probable outcome of further litigation and the significant
19 risks relating to certification, liability and damages issues. It further appears that investigation and
20 research have been conducted such that counsel for the Parties are able to reasonably evaluate
21 their respective positions. It further appears to the Court that the Settlement will avoid substantial
22 additional costs by all Parties, as well as avoid the delay and risks that would be presented by the
23 further prosecution of the Action. It further appears that the Settlement has been reached as the
24 result of serious and non-collusive, arm’s-length negotiations.

25 4. The Court preliminarily finds that the Settlement appears to be within the range of
26 reasonableness of a settlement that could ultimately be given final approval by this Court. The
27 Court has reviewed the monetary recovery that is being granted as part of the Settlement and
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1 preliminarily finds that the monetary settlement made available to the Class is fair, adequate, and
2 reasonable when balanced against the probable outcome of further litigation and the significant
3 risks relating to certification, liability, and damages issues.

4 5. The Agreement specifies for an attorney's fees award not to exceed one-third of the
5 Gross Settlement Amount, an award of litigation expenses incurred not to exceed \$24,000, and
6 proposed Class Representative Service Payment to the Plaintiff in an amount not to exceed
7 \$10,000, which are payable out of the Gross Settlement Amount. The Court will not approve the
8 amount of attorney's fees and costs, nor the amount of any service award, until the Final Approval
9 Hearing. Plaintiff will be required to present evidence supporting these requests, including
10 lodestar, prior to final approval.

11 6. The Court recognizes that Plaintiff and Defendants stipulate and agree to
12 representative treatment and certification of a class for settlement purposes only. This stipulation
13 will not be deemed admissible in this or any other proceeding should this Settlement not become
14 final. For settlement purposes only, the Court conditionally certifies the Class which consists of
15 "all individuals who are or previously were employed by either Domaine Chandon, Inc. and/or
16 Newton Vineyards LLC in the State of California as a non-exempt hourly employee at any time
17 during the Class Period." The "Class Period" is September 27, 2018 through November 3, 2023.

18 7. The Court concludes that, for settlement purposes only, the Class meets the
19 requirements for certification under section 382 of the California Code of Civil Procedure in that:
20 (a) the Class is ascertainable and so numerous that joinder of all members of the Class is
21 impracticable; (b) common questions of law and fact predominate, and there is a well-defined
22 community of interest amongst the members of the Class with respect to the subject matter of the
23 litigation; (c) the claims of the Plaintiff are typical of the claims of the members of the Class; (d)
24 the Plaintiff will fairly and adequately protect the interests of the members of the Class; (e) a class
25 action is superior to other available methods for the efficient adjudication of this controversy; and
26 (f) counsel for the Class is qualified to act as counsel for the Class and the Plaintiff is an adequate
27 representative of the Class.

1 8. The Court provisionally appoints Plaintiff as the representatives of the Class. The
2 Court provisionally appoints Norman B. Blumenthal, Kyle R. Nordrehaug, Aparajit Bhowmik,
3 and Christine T. LeVu of Blumenthal Nordrehaug Bhowmik De Blouw LLP as Class Counsel for
4 the Class.

5 9. The Agreement provides for the payment of PAGA Penalties out of the Gross
6 Settlement Amount in the amount of \$40,000, which shall be allocated \$30,000 to the Labor &
7 Workforce Development Agency (“LWDA”) as the LWDA’s 75% share of the settlement of civil
8 penalties paid under this Agreement pursuant to the PAGA and \$10,000 to the Aggrieved
9 Employees. “Aggrieved Employees” are all individuals who are or previously were employed by
10 either Domaine Chandon, Inc. and/or Newton Vineyards LLC in California and classified as a
11 non-exempt hourly employee at any time during the PAGA Period (July 11, 2021 through
12 November 3, 2023). Pursuant to Labor Code section 2699, subdivision (l)(2), the LWDA will be
13 provided notice of the Agreement and these settlement terms. The Court finds the PAGA
14 Penalties to be reasonable.

15 10. The Court hereby approves, as to form and content, the Class Notice attached to the
16 Agreement as Exhibit A. The Court finds that the Class Notice appears to fully and accurately
17 inform the Class Members of all material elements of the proposed Settlement, their right to be
18 excluded from the Class by submitting a written opt-out request, and their right and opportunity to
19 object to the Settlement. The Court further finds that the distribution of the Class Notice
20 substantially in the manner and form set forth as Exhibit A to the Agreement meets the
21 requirements of due process, is the best notice practicable under the circumstances, and shall
22 constitute due and sufficient notice to all persons entitled thereto. The Court orders the mailing of
23 the Class Notice by first class mail pursuant to the terms set forth in the Agreement. If a Class
24 Notice Packet is returned because of an incorrect address, the Administrator will promptly search
25 for a more current address for the Class Member and re-mail the Class Notice Packet to any new
26 address for the Class Member no later than seven (7) days after the receipt of the undelivered
27 Class Notice.

1 11. The Court hereby appoints ILYM Group as the Administrator. No later than thirty
2 (30) days after this Order, Defendants will provide the Class Data to the Administrator. The
3 Administrator will perform address updates and verifications as necessary prior to the first
4 mailing. Using best efforts to mail it as soon as possible, and in no event later than fourteen (14)
5 days after receiving the Class Data, the Administrator will mail the Class Notice Packet to all
6 Class Members via first-class regular U.S. Mail to their last known address.

7 12. The Court hereby preliminarily approves the proposed procedure for exclusion
8 from the Settlement. Any Class Member may individually choose to opt out of and be excluded
9 from the Class as provided in the Class Notice by following the instructions for requesting
10 exclusion from the Class that are set forth in the Class Notice. All requests for exclusion must be
11 postmarked or received no later than sixty (60) calendar days after the date of the mailing of the
12 Class Notice (“Response Deadline”). If a Class Notice Packet is re-mailed, the Response Deadline
13 for requests for exclusion will be extended an additional fourteen (14) days. A Request for
14 Exclusion may also be faxed or emailed to the Administrator as indicated in the Class Notice.
15 Any such person who chooses to opt out of and be excluded from the Class will not be entitled to
16 any recovery under the Class Settlement and will not be bound by the Class Settlement or have
17 any right to object, appeal or comment thereon. Class Members who have not requested exclusion
18 shall be bound by all determinations of the Court, the Agreement and the Judgment. A request for
19 exclusion may only opt out that particular individual, and any attempt to effect an opt-out of a
20 group, class, or subclass of individuals is not permitted and will be deemed invalid.

21 13. Any Class Member who has not opted out may appear at the final approval hearing
22 and may object or express the Class Member’s views regarding the Settlement and may present
23 evidence and file briefs or other papers that may be proper and relevant to the issues to be heard
24 and determined by the Court as provided in the Class Notice. Class Members will have until the
25 Response Deadline to submit their written objections to the Administrator. Written objections
26 may also be faxed or emailed to the Administrator as indicated in the Class Notice. If a Class
27 Notice Packet is re-mailed, the Response Deadline for written objections will be extended an
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1 additional fourteen (14) days. Alternatively, Class Members may appear at the Final Approval
2 Hearing to make an oral objection.

3 14. A final approval hearing shall be held before this Court on _____
4 _____ at 8:30 a.m. in Courtroom A of the Napa County Superior Court to hear the
5 motion for final approval and for attorney's fees and costs, and to determine all necessary matters
6 concerning the Settlement, including: whether the proposed settlement of the Action on the terms
7 and conditions provided for in the Agreement is fair, adequate and reasonable and should be
8 finally approved by the Court; whether the Final Approval Order and Judgment should be entered
9 herein; whether the plan of allocation contained in the Agreement should be approved as fair,
10 adequate and reasonable to the Class Members; and to finally approve attorney's fees and costs,
11 service award, and the fees and expenses of the Administrator. All papers in support of the motion
12 for final approval award shall be filed with the Court and served on all counsel no later than
13 sixteen (16) court days before the hearing and the motion shall be heard at this final approval
14 hearing.

15 15. Neither the Settlement nor any exhibit, document, or instrument delivered
16 thereunder shall be construed as a concession or admission by Defendants in any way that the
17 claims asserted have any merit or that this Action was properly brought as a class or representative
18 action, and shall not be used as evidence of, or used against Defendants as, an admission or
19 indication in any way, including with respect to any claim of any liability, wrongdoing, fault or
20 omission by Defendants or with respect to the truth of any allegation asserted by any person.
21 Whether or not the Settlement is finally approved, neither the Settlement, nor any exhibit,
22 document, statement, proceeding or conduct related to the Settlement, nor any reports or accounts
23 thereof, shall in any event be construed as, offered or admitted in evidence as, received as or
24 deemed to be evidence for any purpose adverse to the Defendants, including, but not limited to,
25 evidence of a presumption, concession, indication or admission by Defendants of any liability,
26 fault, wrongdoing, omission, concession or damage.

1 16. In the event the Settlement does not become effective in accordance with the terms
2 of the Agreement, or the Settlement is not finally approved, or is terminated, canceled or fails to
3 become effective for any reason, this Order shall be rendered null and void and shall be vacated,
4 and the Parties shall revert to their respective positions as of before entering into the Agreement,
5 and expressly reserve their respective rights regarding the prosecution and defense of this Action,
6 including all available defenses and affirmative defenses, and arguments that any claim in the
7 Action could not be certified as a class action and/or managed as a representative action. In such
8 an event, the Court's orders regarding the Settlement, including this Order, shall not be used or
9 referred to in litigation for any purpose. Nothing in this paragraph is intended to alter the terms of
10 the Agreement with respect to the effect of the Agreement if it is not approved.

11 17. The Court reserves the right to adjourn or continue the date of the final approval
12 hearing and all dates provided for in the Agreement without further notice to Class Members and
13 retains jurisdiction to consider all further applications arising out of or connected with the
14 proposed Settlement.

15 **IT IS SO ORDERED.**

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17 Dated: _____

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HON. CYNTHIA P. SMITH
JUDGE OF THE SUPERIOR COURT OF CALIFORNIA

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