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Attorneys for Plaintiff TED T. PHILLIPS,  
individually, and on behalf of other similarly situated  
employees and aggrieved employees pursuant to the  
California Private Attorneys General Act

**SUPERIOR COURT OF THE STATE OF CALIFORNIA  
FOR THE COUNTY OF ALAMEDA**

TED T. PHILLIPS, individually and on behalf  
of others similarly situated employees and  
aggrieved employees pursuant to the  
California Private Attorneys General Act,

Plaintiff,

vs.

PROFESSIONAL PLASTICS, INC;  
SPECTRA360, INC.; and DOES 1 through 25,  
inclusive,

Defendants.

Case No.: 25CV116316

Assigned for All Purposes to the Honorable  
S. Raj Chatterjee, Dept. 21

**[PROPOSED] ORDER GRANTING  
PRELIMINARY APPROVAL OF CLASS  
ACTION AND PAGA SETTLEMENT**

Reservation No.: 807752875747

Date: October 20, 2026

Time: 2:30 p.m.

Dept.: 21

Complaint Filed: March 21, 2024

FAC Filed: May 27, 2025

Trial Date: Not Set

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**[PROPOSED] ORDER**

On October 20, 2026 at 2:30 p.m. in Department 21 of the above-captioned Court located at 1221 Oak Street, Oakland, California 94612, Plaintiff Ted T. Phillips’ (“Plaintiff”) Motion for Preliminary Approval of Class Action and PAGA Settlement came on for hearing before the Honorable S. Raj Chatterjee. Blackstone Law, APC appeared on behalf of Plaintiff and Gordon Rees Scully Mansukhani, LLP appeared on behalf of Defendant Professional Plastics, Inc. (“Defendant”).

The Court, having carefully considered the papers, argument of counsel, and all matters presented to the Court, and good cause appearing, hereby **GRANTS** Plaintiff’s Motion for Preliminary Approval of Class Action and PAGA Settlement.

**IT IS HEREBY ORDERED THAT:**

1. The Court preliminarily approves the Joint Stipulation of Class Action and PAGA Settlement (“Settlement” or “Settlement Agreement”) attached as Exhibit 3 to the Declaration of Annabel Blanchard in Support of Plaintiff’s Motion for Preliminary Approval of Class Action and PAGA Settlement. This is based on the Court’s determination that the Settlement falls within the range of possible approval as fair, adequate, and reasonable.

2. This Order incorporates by reference the definitions in the Settlement Agreement, and all capitalized terms defined therein shall have the same meaning in this Order as set forth in the Settlement Agreement.

3. It appears to the Court on a preliminary basis that the Settlement is fair, adequate, and reasonable. It appears to the Court that extensive investigation and research have been conducted such that counsel for the parties at this time are able to reasonably evaluate their respective positions. It further appears to the Court that the Settlement, at this time, will avoid substantial additional costs by all parties, as well as avoid the delay and risks that would be presented by the further prosecution of the case. It further appears that the Settlement has been reached as the result of intensive, serious, and non-collusive, arms-length negotiations, and was entered into in good faith.

4. The Court preliminarily finds that the Settlement, including the allocations for the Attorneys’ Fees and Costs, Enhancement Payment, LWDA Payment, Settlement Administration Costs, and payments to the Settlement Class Members and PAGA Employees provided for in the Settlement Agreement, appear to be within the range of reasonableness of a settlement that could

ultimately be given final approval by this Court. Indeed, the Court has reviewed the monetary recovery that is being granted as part of the Settlement and preliminarily finds that the monetary settlement awards made available to the Class Members and PAGA Employees are fair, adequate, and reasonable when balanced against the probable outcome of further litigation relating to certification, liability, and damages issues and are consistent with the requirements of California Labor Code § 2699(e)(1).

5. The Court concludes that, for settlement purposes only, the proposed Class meets the requirements for certification under section 382 of the California Code of Civil Procedure in that: (a) the Class is ascertainable and so numerous that joinder of all members of the Class is impracticable; (b) common questions of law and fact predominate, and there is a well-defined community of interest amongst the members of the Class with respect to the subject matter of the litigation; (c) Plaintiff's claims are typical of the claims of the members of the Class; (d) Plaintiff will fairly and adequately protect the interests of the members of the Class; (e) a class action is superior to other available methods for the efficient adjudication of the controversy; and (f) Class Counsel is qualified to act as counsel for Plaintiff in his individual capacity and as the representative of the Class.

6. The Court conditionally certifies, for settlement purposes only, the Class, defined as follows:

all current and former hourly-paid and/or non-exempt employees who worked for Defendant in the State of California at any time during the Class Period.

(The Class Period is defined as the period from March 21, 2021, through May 25, 2026.)

7. The Court provisionally appoints Jonathan M. Genish, Karen Gold, Noam Reiffman, Marissa Mayhood, Sara Pezeshkpour, and Annabel Blanchard of Blackstone Law, APC as counsel for the Class ("Class Counsel").

8. The Court provisionally appoints Plaintiff Ted T. Phillips as the representative of the Class ("Class Representative").

9. The Court provisionally appoints Apex Class Action Administration to handle the administration of the Settlement ("Settlement Administrator").

10. Within fourteen (14) calendar days after entry of this Order, Defendant will provide the Settlement Administrator with the following information about each Class Member: full name, last

1 known mailing address, Social Security number, dates worked for Defendant during the Class Period,  
2 and such other information as is necessary for the Settlement Administrator to calculate Workweeks  
3 and Pay Periods (collectively referred to as the “Class List”) in conformity with the Settlement  
4 Agreement.

5 11. The Court approves, both as to form and content, the Notice of Class Action Settlement  
6 (“Class Notice”) attached as Exhibit A to the Settlement Agreement. The Class Notice shall be  
7 provided to Class Members in the manner set forth in the Settlement Agreement. The Court finds that  
8 the Class Notice appears to fully and accurately inform the Class Members of all material elements of  
9 the Settlement, of Class Members’ right to be excluded from the Class Settlement by submitting a  
10 Request for Exclusion, of Class Members’ right to dispute the Workweeks and/or Pay Periods credited  
11 to each of them by submitting a Dispute, and of each Settlement Class Member’s right and opportunity  
12 to object to the Class Settlement by submitting a Notice of Objection to the Settlement Administrator.  
13 The Court further finds that distribution of the Class Notice substantially in the manner and form set  
14 forth in the Settlement Agreement and this Order, and that all other dates set forth in the Settlement  
15 Agreement and this Order, meet the requirements of due process and shall constitute due and sufficient  
16 notice to all persons entitled thereto. The Court further orders the Settlement Administrator to mail the  
17 Class Notice in English and Spanish by First-Class U.S. Mail to all Class Members within ten (10)  
18 business days of receipt of the Class List, pursuant to the terms set forth in the Settlement Agreement.

19 12. The Court hereby preliminarily approves the proposed procedure, set forth in the  
20 Settlement Agreement, for seeking exclusion from the Class Settlement. Any Class Member may  
21 choose to be excluded from the Class Settlement by submitting a Request for Exclusion in conformity  
22 with the requirements set forth in the Class Notice, to the Settlement Administrator, postmarked on or  
23 before the date that is forty-five (45) calendar days from the initial mailing of the Class Notice by the  
24 Settlement Administrator to Class Members (“Response Deadline”), or, in the case of a re-mailed  
25 Class Notice, the Response Deadline shall be extended fifteen (15) calendar days from the original  
26 Response Deadline. Any such person who timely and validly chooses to opt out of, and be excluded  
27 from, the Class Settlement will not be entitled to any recovery under the Class Settlement and will not  
28 be bound by the Class Settlement or have any right to object, appeal, or comment thereon.

1 Nevertheless, all PAGA Employees will be bound by the PAGA Settlement and issued their Individual  
2 PAGA Payment, irrespective of whether they submit a Request for Exclusion. Class Members who  
3 do not submit a timely and valid Request for Exclusion (i.e., Settlement Class Members) shall be  
4 bound by the Settlement Agreement and any final judgment based thereon.

5 13. A Final Approval Hearing shall be held before this Court on  
6 \_\_\_\_\_ at \_\_\_\_\_ a.m./p.m. in Department 21 of the Alameda  
7 County Superior Court, located at 1221 Oak Street, Oakland, California 94612, to determine all  
8 necessary matters concerning the Settlement, including: whether the proposed settlement of the action  
9 on the terms and conditions provided for in the Settlement is fair, adequate, and reasonable and should  
10 be finally approved by the Court; whether a judgment, as provided in the Settlement, should be entered  
11 herein; whether the plan of allocation contained in the Settlement should be approved as fair, adequate,  
12 and reasonable to the Class Members and PAGA Employees; and determine whether to approve the  
13 requests for the Attorneys' Fees and Costs, Enhancement Payment, Settlement Administration Costs,  
14 and allocation for the PAGA Amount.

15 14. Class Counsel shall file a motion for final approval of the Settlement and for Attorneys'  
16 Fees and Costs, Enhancement Payment, and Settlement Administration Costs, along with the  
17 appropriate declarations and supporting evidence, including the Settlement Administrator's  
18 declaration, to be heard at the Final Approval Hearing.

19 15. To object to the Class Settlement, a Settlement Class Member must submit their Notice  
20 of Objection to the Settlement Administrator on or before the Response Deadline. The Notice of  
21 Objection must be signed and must contain the information that is required, as set forth in the Class  
22 Notice, including and not limited to the grounds for the objection. Settlement Class Members,  
23 individually or through counsel, may also present their objection orally at the Final Approval Hearing,  
24 regardless of whether they have submitted a Notice of Objection.

25 16. In the event the Settlement does not become effective in accordance with the terms of  
26 the Settlement Agreement, or the Settlement is not finally approved, or is terminated, canceled, or fails  
27 to become effective for any reason, this Order shall be rendered null and void, shall be vacated, and  
28 the parties shall revert back to their respective positions as of before entering into the Settlement

1 Agreement. The fact that the Court certified the Class for settlement purposes shall not be admissible  
2 or have any bearing on the issue of whether any class should be certified in a non-settlement context.

3 17. The Court reserves the right to adjourn or continue the date of the Final Approval  
4 Hearing and any dates provided for in the Settlement Agreement without further notice to the Class  
5 Members and retains jurisdiction to consider all further applications arising out of or connected with  
6 the Settlement.

7 18. \_\_\_\_\_  
8 \_\_\_\_\_

9 **IT IS SO ORDERED.**

10 Dated: \_\_\_\_\_

\_\_\_\_\_  
Honorable Somnath Raj Chatterjee  
Judge of the Superior Court

## **EXHIBIT A**

## **NOTICE OF CLASS ACTION SETTLEMENT**

### ***Ted Phillips v. Professional Plastics, Inc.*** **Superior Court of California for the County of Alameda, Case No. 25CV116316**

#### **PLEASE READ THIS CLASS NOTICE CAREFULLY.**

**You have received this Class Notice because Defendant's records indicate that you may be eligible to take part in the class action settlement reached in the above-referenced case.**

**You do not need to take any action to receive a settlement payment.**

**This Class Notice is designed to advise you of your rights and options with respect to the settlement, and how you can request to be excluded from the Class Settlement, object to the Class Settlement, and/or dispute the number of Workweeks and/or Pay Periods that you are credited with, if you so choose.**

**YOU ARE NOTIFIED THAT:** A class and representative action settlement has been reached between Plaintiff Ted T. Phillips ("Plaintiff") and Defendant Professional Plastics, Inc. ("Defendant") (Plaintiff and Defendant are collectively referred to as the "Parties") in the case entitled *Ted T. Phillips v. Professional Plastics, Inc.*, Alameda County Superior Court, Case No. 25CV116316 ("Action"), which may affect your legal rights. On [date of Preliminary Approval], the Court granted preliminary approval of the settlement and scheduled a hearing on [hearing date] at [hearing time] ("Final Approval Hearing") to determine whether or not the Court should grant final approval of the settlement.

#### **I. IMPORTANT DEFINITIONS**

**"Class" or "Class Member(s)"** means all current and former hourly-paid and/or non-exempt employees who worked for Defendant in the State of California at any time during the Class Period.

**"Class Period"** means the period from March 21, 2021 through May 25, 2026.

**"Class Settlement"** means the settlement and resolution of all Released Class Claims.

**"PAGA Employee(s)"** means all current and former hourly-paid and/or non-exempt employees who worked for Defendant in the State of California at any time during the PAGA Period.

**"PAGA Period"** means the period from March 20, 2024 through May 25, 2026.

**"PAGA Settlement"** means the settlement and resolution of all Released PAGA Claims.

#### **II. BACKGROUND OF THE ACTION**

On March 20, 2025, Plaintiff provided written notice to the California Labor and Workforce Development Agency ("LWDA") and Defendant of the specific provisions of the California Labor Code that Plaintiff contends were violated ("PAGA Letter"). On March 21, 2025, Plaintiff commenced a putative class action lawsuit by filing a Class Action Complaint in the Action. On May 27, 2025, Plaintiff filed a First Amended Class and Representative Action Complaint ("Operative Complaint") in the Action.

Plaintiff contends that Defendant failed to properly pay minimum and overtime wages, provide compliant meal and rest breaks and associated premiums, timely pay wages during employment and upon termination of employment and associated waiting-time penalties, provide accurate wage statements, and reimburse business expenses, and thereby engaged in unfair business practices in violation of the California Business and Professions Code section 17200, *et seq.*, and conduct that gives rise to penalties under the Private Attorneys General Act of 2004 pursuant to California Labor Code Section 2698, *et seq.* ("PAGA"). Plaintiff seeks, among other things, recovery of unpaid wages and meal and rest period premiums, unreimbursed business expenses, restitution, penalties, interest, and attorneys' fees and costs.

Defendant denies all of the allegations in the Action or that it violated any law.

The Parties participated in mediation with a respected class action mediator, and as a result, the Parties reached a settlement.

The Parties have since entered into a Joint Stipulation of Class Action and PAGA Settlement (“Settlement” or “Settlement Agreement”).

On [Date of Preliminary Approval], the Court entered an order preliminarily approving the Settlement. The Court has appointed Apex Class Action Administration as the administrator of the Settlement (“Settlement Administrator”), Plaintiff Ted T. Phillipst as representative of the Class (“Class Representative”), and the following Plaintiff’s attorneys as counsel for the Class (“Class Counsel”):

Jonathan M. Genish  
Karen I. Gold  
Noam Reiffman  
Marissa Mayhood  
Sara Pezeshkpour  
Annabel Blanchard  
**Blackstone Law, APC**  
8383 Wilshire Boulevard, Suite 745  
Beverly Hills, California 90211  
Tel: (310) 622-4278 / Fax: (855) 786-6356

If you are a Class Member, you need not take any action to receive an Individual Settlement Payment, but you have the opportunity to request exclusion from the Class Settlement (in which case you will not receive an Individual Settlement Payment), object to the Class Settlement, and/or dispute the Workweeks and/or Pay Periods credited to you, if you so choose, as explained more fully in Sections III and IV below. If you are a PAGA Employee, you do not need to take any action to receive an Individual PAGA Payment; you will not have the opportunity to object or seek exclusion from the PAGA Settlement and all PAGA Employees will be bound to the PAGA Settlement if the Court grants final approval of the Settlement.

The Settlement represents a compromise and settlement of highly disputed claims. Nothing in the Settlement is intended or will be construed as an admission by Defendant that the claims in the Action have merit or that Defendant has any liability to Plaintiff, Class Members, or PAGA Employees. Plaintiff and Defendant, and their respective counsel, have concluded and agree that, in light of the risks and uncertainties to each side of continued litigation, the Settlement is fair, reasonable, and adequate, and is in the best interests of the Class Members, the State of California, and PAGA Employees.

### **III. SUMMARY OF THE PROPOSED SETTLEMENT**

#### **A. Settlement Formula**

The total gross settlement amount is Six Hundred Fifteen Thousand Dollars (\$615,000) (the “Gross Settlement Amount”). The portion of the Gross Settlement Amount that is available for payment to Class Members is referred to as the “Net Settlement Amount.” The Net Settlement Amount will be the Gross Settlement Amount less the following payments which are subject to approval by the Court: (1) attorneys’ fees, in an amount not to exceed thirty-five percent (35%) of the Gross Settlement Amount (i.e., \$215,250), and reimbursement of litigation costs and expenses, in an amount not to exceed thirty thousand dollars (\$30,000) to Class Counsel; (2) Enhancement Payment in an amount not to exceed ten thousand dollars (\$10,000) to Plaintiff for his services in the Action; (3) the amount of thirty thousand dollars (\$30,000) allocated toward civil penalties under the Private Attorneys General Act (“PAGA Amount”), of which the LWDA will be paid 65% (\$19,500) (“LWDA Payment”) and the remaining 35% (\$10,500) will be distributed to PAGA Employees (“PAGA Employee Amount”); and (4) Settlement Administration Costs in an amount not to exceed eight thousand five hundred dollars (\$8,500) to the Settlement Administrator.

Class Members are eligible to receive payment under the Class Settlement of their *pro rata* share of the Net Settlement Amount (“Individual Settlement Share”) based on the number of weeks each Class Member worked for Defendant as an hourly-paid and/or non-exempt employee in California during the Class Period (“Workweeks”). The Settlement Administrator has divided the Net Settlement Amount by the Workweeks of all Class Members to yield the “Estimated Workweek Value,” and multiplied each Class Member’s individual Workweeks by the Estimated Workweek Value to yield an estimated Individual Settlement Share that each Class Member may be entitled to receive under the Class Settlement (which is listed in Section III.C below). Class Members who do not submit a timely and valid Request for Exclusion

("Settlement Class Members") will be issued their final Individual Settlement Payment.

Each Individual Settlement Share will be allocated as fifteen percent (15%) as wages, which will be reported on an IRS Form W-2, and eighty-five percent (85%) as penalties, interest, and non-wage damages, which will be reported on an IRS Form 1099 (if applicable). Each Individual Settlement Share will be subject to reduction for the employee's share of payroll taxes and withholdings with respect to the wages portion of the Individual Settlement Shares resulting in a net payment to the Settlement Class Member ("Individual Settlement Payment"). The employer's share of taxes and contributions in connection with the wages portion of Individual Settlement Shares ("Employer Taxes") will be paid by Defendant separately and in addition to the Gross Settlement Amount.

PAGA Employees are eligible to receive payment under the PAGA Settlement of their *pro rata* share of the PAGA Employee Amount ("Individual PAGA Payment") based on the number of pay periods each PAGA Employee worked for Defendant as an hourly-paid and/or non-exempt employee in California during the PAGA Period ("Pay Periods"). The Settlement Administrator had divided the PAGA Employee Amount, i.e., 25% of the PAGA Amount, by the Pay Periods of all PAGA Employees to yield the "PAGA Pay Period Value," and multiplied each PAGA Employee's individual Pay Periods by the Pay Period Value to yield each PAGA Employee's Individual PAGA Payment.

Each Individual PAGA Payment will be allocated as one hundred percent (100%) penalties, will not be subject to taxes or withholdings, and will be reported on IRS Form 1099 (if applicable).

If the Court grants final approval of the Settlement, Individual Settlement Payments will be mailed to Settlement Class Members and Individual PAGA Payments will be mailed to PAGA Employees at the address that is on file with the Settlement Administrator. **If the address to which this Class Notice was mailed is not correct, or if you move after you receive this Class Notice, you must provide your correct mailing address to the Settlement Administrator as soon as possible to ensure you receive any payment that you may be entitled to under the Settlement.**

#### **B. Your Workweeks and Pay Periods (if applicable) Based on Defendant's Records**

According to Defendant's records:

- From [REDACTED] through [REDACTED] (i.e., the Class Period), you are credited as having worked [REDACTED] Workweeks.
- From [REDACTED] through [REDACTED] (i.e., the PAGA Period), you are credited as having worked [REDACTED] Pay Periods.

If you wish to dispute the Workweeks and/or Pay Periods credited to you, you must submit your dispute in writing to the Settlement Administrator ("Dispute"). The Dispute must: (a) contain the case name and number of the Action (*Phillips v. Professional Plastics*, Case No. 25CV116316); (b) contain your full name, signature, address, telephone number, and the last four (4) digits of your Social Security number; (c) clearly state that you dispute the number of Workweeks and/or Pay Periods credited to you and what you contend is the correct number; and (d) be returned by mail to the Settlement Administrator at the specified address listed in Section IV.B below, postmarked **on or before [Response Deadline]**.

#### **C. Your Estimated Individual Settlement Share and Individual PAGA Payment (if applicable)**

As explained above, your estimated Individual Settlement Share and Individual PAGA Payment (if applicable) is based on the number of Workweeks and Pay Periods (if applicable) credited to you.

**Under the terms of the Settlement, your Individual Settlement Share is estimated to be \$ [REDACTED]. The Individual Settlement Share is subject to reduction for the employee's share of taxes and withholdings with respect to the wages portion of the Individual Settlement Share and will only be distributed if the Court approves the Settlement and after the Settlement goes into effect.**

**Under the terms of the Settlement, your Individual PAGA Payment is estimated to be \$ [REDACTED] and will only be distributed if the Court approves the Settlement and after the Settlement goes into effect.**

The settlement approval process may take multiple months. Your Individual Settlement Share and Individual PAGA Payment (if applicable) reflected in this Class Notice is only an estimate. Your actual Individual Settlement Payment and

Individual PAGA Payment (if applicable) may be higher or lower.

**D. Release of Claims**

Upon the Effective Date and full funding of the Gross Settlement Amount and Employer Taxes, Plaintiff and all Settlement Class Members will be deemed to have fully, finally, and forever released, settled, compromised, relinquished, and discharged the Released Parties of all Released Class Claims.

Upon the Effective Date and full funding of the Gross Settlement Amount and Employer Taxes, Plaintiff, the State of California with respect to all PAGA Employees, and all PAGA Employees will be deemed to have fully, finally, and forever released, settled, compromised, relinquished, and discharged the Released Parties of all Released PAGA Claims.

“Released Class Claims” means any and all claims which were alleged or which could have been reasonably alleged based on the factual allegations in the Operative Complaint, arising during the Class Period, which shall specifically include claims for Defendant’s alleged failure to pay overtime and minimum wages, provide compliant meal and rest periods and associated premium payments, timely pay wages during employment and upon termination, provide accurate wage statements, and reimburse necessary business-related expenses in violation of California Labor Code Sections 201, 202, 203, 204, 210, 226(a), 226.7, 510, 512(a), 1194, 1197, 1197.1, 1198, 2800, and 2802, the applicable Industrial Welfare Commission Wage Order, and California Business and Professions Code sections 17200, *et seq.*

“Released PAGA Claims” means any and all claims arising from any of the factual allegations in the PAGA Letter, arising during the PAGA Period, for civil penalties under the Private Attorneys General Act of 2004, California Labor Code Sections 2698 *et seq.*, which shall specifically include claims for Defendant’s alleged failure to pay overtime and minimum wages, provide compliant meal and rest periods and associated premium payments, timely pay wages during employment and upon termination, provide compliant wage statements, maintain complete and accurate payroll records, and reimburse necessary business-related expenses in violation of California Labor Code Sections 201, 202, 203, 204, 210, 226(a), 226.7, 510, 512(a), 1174(d), 1194, 1197, 1197.1, 1198, 2800, and 2802, and the applicable Industrial Welfare Commission Wage Order.

“Released Parties” means Defendant and its current and former officers, directors, members, insurers, shareholders, subsidiaries, affiliates, predecessors, successors, and assigns.

**E. Attorneys’ Fees and Costs to Class Counsel**

Class Counsel will seek attorneys’ fees in an amount not to exceed thirty-five percent (35%) of the Gross Settlement Amount (i.e., \$215,250) and reimbursement of litigation costs and expenses in an amount not to exceed thirty thousand dollars (\$30,000) (collectively, “Attorneys’ Fees and Costs”), subject to approval by the Court. The Attorneys’ Fees and Costs granted by the Court will be paid from the Gross Settlement Amount. Class Counsel has been prosecuting the Action on behalf of Plaintiff, Class Members, and PAGA Employees on a contingency fee basis (that is, without being paid any money to date) and has been paying all litigation costs and expenses.

**F. Enhancement Payment to Plaintiff**

Plaintiff will seek the amount of ten thousand dollars (\$10,000) (“Enhancement Payment”), in recognition of his services in connection with the Action. The Enhancement Payment will be paid from the Gross Settlement Amount, subject to approval by the Court, and if awarded, it will be paid to Plaintiff in addition to his Individual Settlement Payment and Individual PAGA Payment that he is entitled to under the Settlement.

**G. Settlement Administration Costs to Settlement Administrator**

Payment to the Settlement Administrator is estimated not to exceed eight thousand five hundred dollars (\$8,500) (“Settlement Administration Costs”) for the costs of the notice and settlement administration process, including and not limited to, the expense of notifying the Class Members of the Settlement, processing Requests for Exclusion, Notices of Objection, and Disputes, calculating Individual Settlement Shares, Individual Settlement Payments, and Individual PAGA Payments, and distributing payments and tax forms under the Settlement, and shall be paid from the Gross Settlement Amount, subject to approval by the Court.

#### **IV. WHAT ARE YOUR RIGHTS AND OPTIONS AS A CLASS MEMBER?**

##### **A. Participate in the Settlement**

**If you want to participate in the Class Settlement and receive money from the Class Settlement, you do not have to do anything.** You will automatically be included in the Class Settlement and issued your Individual Settlement Payment unless you decide to exclude yourself from the Class Settlement.

Unless you elect to exclude yourself from the Class Settlement and if the Court grants final approval of the Settlement, you will be bound by the terms of the Class Settlement and any judgment that may be entered by the Court based thereon, and you will release the Released Class Claims against the Released Parties as described in Section III.D above.

If you are a PAGA Employee and the Court grants final approval of the Settlement, you will automatically be included in the PAGA Settlement and issued your Individual PAGA Payment. This means you will be bound by the terms of the PAGA Settlement and any judgment that may be entered by the Court based thereon, and you will release the Released PAGA Claims against the Released Parties as described in Section III.D above.

As a Class Member and PAGA Employee (if applicable), you will not be separately responsible for the payment of attorney's fees or litigation costs and expenses, unless you retain your own counsel, in which event you will be responsible for your own attorney's fees and expenses.

##### **B. Request Exclusion from the Class Settlement**

Class Members may request to be excluded from the Class Settlement by submitting a letter ("Request for Exclusion") to the Settlement Administrator, at the following address:

[Settlement Administrator]

[Mailing Address]

A Request for Exclusion must: (a) contain the case name and number of the Action (*Phillips v. Professional Plastics*, Case No. 25CV116316); (b) contain your full name, signature, address, telephone number, and last four (4) digits of your Social Security number; (c) clearly state that you do not wish to be included in the Class Settlement; and (d) be returned by mail to the Settlement Administrator at the specified address above, postmarked **on or before** [Response Deadline].

If the Court grants final approval of the Settlement, any Class Member who submits a timely and valid Request for Exclusion will not be issued an Individual Settlement Payment, will not be bound by the Class Settlement (and the release of Released Class Claims described in Section III.D above), and will not have any right to object to, appeal, or comment on the Class Settlement. Class Members who do not submit a timely and valid Request for Exclusion will be deemed Settlement Class Members and will be bound by all terms of the Class Settlement, including those pertaining to the release of claims described in Section III.D above, as well as any judgment that may be entered by the Court based thereon. PAGA Employees will be bound to the PAGA Settlement (and the release of Released PAGA Claims described in Section III.D above) and will still be issued an Individual PAGA Payment, irrespective of whether they submit a Request for Exclusion.

##### **C. Object to the Class Settlement**

You can object to the Class Settlement as long as you have not submitted a Request for Exclusion by submitting a written objection ("Notice of Objection") to the Settlement Administrator.

The Notice of Objection must: (a) contain the case name and number of the Action (*Phillips v. Professional Plastics*, Case No. 25CV116316); (b) contain your full name, signature, address, telephone number, and the last four (4) digits of your Social Security number; (c) contain a written statement of all grounds for the objection accompanied by any legal support for such objection; (d) contain copies of any papers, briefs, or other documents upon which the objection is based; and (e) be returned by mail to the Settlement Administrator at the specified address listed in Section IV.B above, postmarked **on or before** [Response Deadline].

You may also appear at the Final Approval Hearing and present your objection orally, regardless of whether you have submitted a Notice of Objection.

## **V. FINAL APPROVAL HEARING**

The Court will hold a Final Approval Hearing in Department 21 of the Alameda County Superior Court, located at 1221 Oak Street, Oakland, California 94612, on [date], at [time], to determine whether the Settlement should be finally approved as fair, reasonable, and adequate. The Court also will be asked to approve and grant the Attorneys' Fees and Costs to Class Counsel, Enhancement Payment to Plaintiff, and Settlement Administration Costs to the Settlement Administrator.

The Final Approval Hearing may be continued without further notice to the Class Members and PAGA Employees. It is not necessary for you to appear at the Final Approval Hearing, although you may appear if you wish to.

## **VI. ADDITIONAL INFORMATION**

The above is a summary of the basic terms of the Settlement. For the precise terms and conditions of the Settlement Agreement, you should review the detailed Settlement Agreement and other papers, which are on file with the Court.

You may view the Settlement Agreement and other documents filed in the Action by logging into the Court's online system and entering the case number in the search.

You may also visit the Settlement Administrator's website at [redacted] for key documents in the Action.

**PLEASE DO NOT TELEPHONE THE COURT OR THE OFFICE OF THE CLERK FOR INFORMATION REGARDING THIS SETTLEMENT.**

**IF YOU HAVE ANY QUESTIONS, YOU MAY CALL THE SETTLEMENT ADMINISTRATOR AT THE FOLLOWING TOLL-FREE NUMBER: [INSERT], OR YOU MAY ALSO CONTACT CLASS COUNSEL.**