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SUPERIOR COURT OF THE STATE OF CALIFORNIA

FOR THE COUNTY OF YOLO

STEVEN VASZQUEZ, on behalf of himself
and all others similarly situated,

Plaintiffs,

v.

G & B FULFILLMENT, LLC., a Delaware
Limited Liability Company; and DOES 1-50,
inclusive.

Defendants.

CASE NO.: CV2025-1035

**PLAINTIFFS' MOTION FOR
PRELIMINARY APPROVAL OF
CLASS ACTION SETTLEMENT**

*[Declarations of Mehrdad Bokhour, Joshua
Falakassa, Steven Vasquez, and Christopher
Horn, and [Proposed] Order filed
concurrently herewith]*

HEARING INFORMATION

Date: March 26, 2026

Time: 9:00 a.m.

Dept.: 11

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that on March 26, 2026, at 9:00 a.m., or as soon thereafter as the matter may be heard, in Department 11 of the Yolo County Superior Court, located at 1000 Main St, Woodland, CA 95695, before the Honorable Alan Perkins, Plaintiffs Steven Vasquez and Christopher Horn, individually and on behalf of all others similarly situated, will and hereby do move the Court for an order:

1. Certifying the proposed Settlement Class for settlement purposes only;
2. Preliminarily appointing Plaintiffs Steven Vasquez and Christopher Horn as the Class Representatives for settlement purposes only;
3. Appointing Mehrdad Bokhour of Bokhour Law Group, P.C. and Joshua S. Falakassa of Falakassa Law, P.C. as Class Counsel for settlement purposes only;
4. Preliminarily approving the Class Action and PAGA Settlement Agreement as fair, reasonable, and adequate;
5. Approving the form and manner of the Class Notice and directing its dissemination to Class Members, including notice of their rights to request exclusion from or object to the Settlement;
6. Setting a date for the Final Approval Hearing to determine whether the Settlement should be granted final approval.

This Motion is based upon this Notice of Motion and Motion, the accompanying Memorandum of Points and Authorities, the Declarations of Mehrdad Bokhour, Joshua S. Falakassa, and Plaintiffs Steven Vasquez and Christopher Horn, the Class Action and PAGA Settlement Agreement, the Proposed Order Granting Preliminary Approval, the pleadings and records on file in this action, and such other oral or documentary evidence as may be presented at the hearing.

Dated: March 4, 2026,

BOKHOUR LAW GROUP, P.C.
FALAKASSA LAW, P.C.

BY:

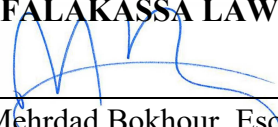

Mehrdad Bokhour, Esq.
Joshua Falakassa, Esq.
Attorneys for Plaintiffs and the Putative Class

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I. INTRODUCTION**

3 Through this Motion, Plaintiffs Steven Vasquez and Christopher Horn (“Plaintiffs”),
4 individually and on behalf of all others similarly situated, seek preliminary approval of the Class
5 Action and PAGA Settlement Agreement. Subject to Court approval, Plaintiffs and Defendants
6 Grant & Bowman, Inc. and G&B Fulfillment, LLC (“Defendants”) (collectively the “Parties”)
7 have agreed to settle the claims asserted in this Action and the proposed Class Members’ claims
8 against Defendants for a Gross Settlement Amount of \$375,000.

9 Plaintiffs seek to represent a settlement Class consisting of all individuals who either (1)
10 are or were employed by Defendants as non-exempt hourly employees or (2) are or were
11 assigned to work for Defendants by a temporary staffing agency as non-exempt employees in
12 California during the Class Period, defined as April 7, 2021, through October 21, 2025 (the
13 “Class” or “Class Members”). Likewise, the PAGA Members include all individuals who either
14 (1) are or were employed by Defendants as non-exempt hourly employees or (2) are or were
15 assigned to work for Defendants by a temporary staffing agency as non-exempt employees in
16 California during the PAGA Period, defined as November 16, 2022, through October 21, 2025
17 (“PAGA Members”).¹

18 The Settlement Agreement further provides that if the total number of Workweeks
19 exceeds 8,935, the Gross Settlement Amount will increase proportionally pursuant to the
20 formula set forth in the Agreement. In addition, Defendants retain the right to rescind the
21 Settlement if 10 percent or more of the Settlement Class submits valid Requests for Exclusion.
22 Plaintiffs submit that these provisions are standard and do not undermine the fairness of the
23 Settlement.

24 Under the terms of the Settlement Agreement, Defendants will not oppose Class
25 Counsel’s application for an award of attorneys’ fees not to exceed \$125,000, representing one
26

27 ¹ The Class Action and PAGA Settlement Agreement and Release of Claims is attached
28 as **Exhibit “A”** to the Declaration of Mehrdad Bokhour (“Bokhour Declaration”) submitted
herewith and is referred to herein as the Settlement Agreement or the Settlement. The proposed
Class Notice is attached as **Exhibit “B”** to the Bokhour Declaration.

third (1/3) of the Gross Settlement Amount, reimbursement of Class Counsel's litigation expenses not to exceed \$25,000, Settlement Administration Expenses not to exceed \$8,000, PAGA penalties in the total amount of \$20,000, of which \$15,000 or 75 percent will be paid to the California Labor and Workforce Development Agency and \$5,000 or 25 percent will be paid to the PAGA Members, and Class Representative Service Payments not to exceed \$10,000 to each Plaintiff. (Settlement Agreement ¶¶ 3.2 *et seq.*):

	Total Amount
<i>Gross Settlement Amount</i>	\$375,000
Class Counsel Fees Payment	\$125,000
Class Counsel Litigation Expenses Payment	\$25,000.00
Administration Expenses Payment	\$8,000.00
PAGA Penalties to LWDA	\$15,000.00
PAGA Penalties to PAGA Members	\$5,000.00
Class Representative Service Payments	\$20,000.00
<i>Net Settlement Amount</i>	\$177,000

The Settlement Class consists of approximately 648 non-exempt hourly employees who worked for Defendants in California during the Class Period. Plaintiffs allege that, regardless of job title or position, all non-exempt hourly employees were subject to the same wage and hour policies and practices.

Individual Class Payments will be distributed on a pro rata basis based on the number of Workweeks each Participating Class Member worked during the Class Period, as reflected in Defendants' payroll records. Class Members who worked more Workweeks during the Class Period will receive proportionally larger Individual Class Payments.

Based on Defendants' records, Class Members collectively worked approximately 8,123 Workweeks during the Class Period. After deductions approved by the Court, the Net Settlement Amount will be distributed on a pro rata basis according to each Participating Class Member's number of Workweeks. Based on these figures, the average Individual Class Payment is conservatively estimated to be approximately \$250 to \$350. A Class Member who worked the entire Class Period would receive the highest Individual Class Payment, estimated at approximately \$4,000 to \$6,000. Class Members who worked fewer Workweeks will receive proportionally smaller payments.

1 The Settlement is non-reversionary, and Settlement Class Members are not required to
2 submit claim forms to receive payment. Each Settlement Class Member will be mailed a Court-
3 approved Class Notice containing information regarding the estimated Individual Class
4 Payment, the opportunity to challenge the number of Workweeks credited during the Class
5 Period, and instructions for submitting a Request for Exclusion or an Objection to the
6 Settlement.

7 All Settlement Class Members who do not timely submit a valid Request for Exclusion
8 will automatically receive their Individual Class Payment following the Court's grant of Final
9 Approval. PAGA Members eligible for Individual PAGA Payments may not opt out of the
10 PAGA portion of the Settlement and will receive their share of the PAGA Penalties regardless
11 of whether they participate in the class settlement.

12 Because the Settlement is fair, reasonable, and adequate, and is the result of arm's length
13 negotiations between experienced counsel with the assistance of an experienced mediator,
14 following a thorough exchange of relevant information and documents, it warrants preliminary
15 approval. Plaintiffs respectfully request that the Court enter an order: (1) preliminarily
16 approving the Settlement; (2) conditionally certifying the Settlement Class pursuant to
17 California Code of Civil Procedure section 382 for settlement purposes only; (3) approving the
18 form and manner of dissemination of the proposed Class Notice; (4) appointing Plaintiffs as
19 Class Representatives and appointing Mehrdad Bokhour of Bokhour Law Group, P.C. and
20 Joshua S. Falakassa of Falakassa Law, P.C. as Class Counsel for settlement purposes only; (5)
21 appointing Phoenix Class Action Administration Solutions as the Settlement Administrator; and
22 (6) scheduling a Final Approval Hearing. (Bokhour Decl. ¶ 12.)

23 **II. BACKGROUND**

24 **A. THE PARTIES AND THE PROPOSED CLASS**

25 Defendants are distributors and licensees specializing in children's brands and value
26 retail channels. (Bokhour Decl. ¶ 14.)

27 Plaintiff Horn was employed by Defendants as a non-exempt employee with the title of
28 "Forklift Driver". Plaintiff Vasquez was employed by Defendants as a non-exempt employee in

the role of “Warehouse Clerk” from May 2021 to March 2025.

Plaintiffs and other non-exempt employees (including, but not limited to, Warehouse Workers, Technicians, Account Support Representatives, and all other non-exempt positions) were responsible for all aspects of Defendant’s business in the State of California. However, in these roles, Plaintiffs and other non-exempt employees were often not afforded all protections and rights conferred under the California Labor Code and applicable Wage Orders. (Bokhour Decl. ¶ 15.)

The proposed Settlement Class consists of all individuals who either (1) are or were employed by Defendants as non-exempt hourly employees or (2) are or were assigned to work for Defendants by a temporary staffing agency as non-exempt employees in California during the Class Period, defined as April 7, 2021, through October 21, 2025. (Settlement Agreement ¶¶ 1.8, 1.11.)

B. PROCEDURAL HISTORY

On April 7, 2025, Plaintiff Steven Vasquez filed a wage and hour class action lawsuit in the Yolo County Superior Court entitled *Vasquez v. G&B Fulfillment, LLC*. (Bokhour Decl. ¶ 13.)

On November 16, 2023, Plaintiff Horn filed a similar putative wage and hour class action in the Los Angeles County Superior Court entitled *Horn v. Grant & Bowman, Inc.*

Both actions allege that Defendants failed to: (1) pay all overtime wages; (2) provide compliant meal periods; (3) authorize and permit rest breaks; (4) pay all sick wages; (5) furnish accurate itemized wage statements; (6) pay all wages due upon separation of employment; (7) reimburse necessary business expenses; (8) comply with California’s Unfair Competition Law; and (9) pay civil penalties under the Labor Code Private Attorneys General Act of 2004.

On February 23, 2026, Plaintiffs filed a Second Amended Complaint consolidating the claims and parties alleged in both actions.

Throughout the investigation and litigation of this Action, Defendants denied Plaintiffs’ allegations and maintained that they complied with all applicable laws. Prior to engaging in protracted and expensive litigation, however, the Parties agreed to explore early resolution

1 through private mediation.

2 In preparation for mediation and settlement discussions, the Parties conducted a thorough
3 investigation of the facts and legal issues presented by this Action. This investigation included
4 interviews with putative class members, analysis of Defendants' operations and compensation
5 practices, and the informal exchange of discovery materials, including written policies and
6 procedures and a representative sampling of payroll and timekeeping records for Settlement
7 Class Members and PAGA Members. Class Counsel, who have extensive experience litigating
8 wage and hour class actions and PAGA representative actions, conducted substantial legal and
9 factual analysis of the claims and potential defenses. Class Counsel diligently investigated the
10 claims asserted on behalf of the Settlement Class Members and PAGA Members.

11 Defendants also contended that Plaintiffs and certain putative class members executed
12 arbitration agreements containing class and representative action waivers, which Defendants
13 asserted would require individual arbitration of some or all claims and would present additional
14 risks to class certification and potential recovery. Class Counsel carefully evaluated these issues,
15 including the uncertainty, expense, and delay associated with litigating enforceability, in
16 assessing the proposed Settlement.

17 Based on the investigation conducted and their independent evaluation of the strengths
18 and risks of the claims and defenses, Class Counsel believe that the proposed Settlement is fair,
19 reasonable, and adequate, and is in the best interests of the Settlement Class Members and
20 PAGA Members, particularly in light of the risks of continued litigation, Defendants' asserted
21 defenses, and the potential for appellate review.

22 On August 20, 2025, the Parties participated in a full-day mediation before Allison
23 Eckstrom, Esq., which resulted in an agreement to resolve the Action on the terms memorialized
24 in the Settlement Agreement. (Bokhour Decl. ¶ 15; Settlement Agreement, Ex. A.)

25 The Parties now respectfully request that the Court grant preliminary approval of the
26 Settlement.

27 **C. SUMMARY OF PLAINTIFFS' CLAIMS**

28 Plaintiffs allege various wage and hour violations on behalf of non-exempt hourly

employees who worked for Defendants in California during the Class Period. (Bokhour Decl. ¶ 16.)

Plaintiffs allege that Defendants failed to pay for all hours worked due to Defendants' rounding policy and practice. (Bokhour Decl. ¶ 17.)

Plaintiffs further allege that Defendants maintained unlawful meal and rest period policies and practices that failed to provide Settlement Class Members with timely, duty-free, and compliant meal periods or premium wages in lieu thereof, and failed to provide duty-free rest periods of at least ten minutes for every four hours worked or a major fraction thereof. Plaintiffs allege that these policies required employees to remain on duty and or on the premises during rest periods. (Bokhour Decl. ¶ 18.)

Plaintiffs additionally allege that Defendants failed to timely pay all wages due upon separation of employment and failed to furnish accurate itemized wage statements in violation of Labor Code section 226. Plaintiffs further assert representative claims under the Private Attorneys General Act ("PAGA"), seeking civil penalties for the alleged Labor Code violations described above. (Bokhour Decl. ¶ 19.)

D. SUMMARY OF DEFENDANTS' DEFENSES

Defendants contested and denied all material allegations raised by Plaintiffs, both on the merits and with respect to the propriety of class certification and PAGA representative treatment. (Bokhour Decl. ¶ 20.)

Defendants asserted that their timekeeping and rounding practices were lawful and neutrally applied, and that their meal and rest period policies complied with California law and were implemented in practice. Defendants denied that they required employees to remain on duty or on the premises during rest periods. (Bokhour Decl. ¶ 21.)

Defendants further contended that Plaintiffs' derivative claims for wage statement violations, waiting time penalties, and PAGA penalties failed in the absence of any underlying Labor Code violations, and denied any obligation to pay such penalties. Defendants also asserted that the use of personal cell phones was not required for business purposes and that a reimbursement policy was available for qualifying expenses. (Bokhour Decl. ¶ 22.)

1 Finally, Defendants argued that the Action was unsuitable for class or representative
2 treatment because liability would require individualized inquiries into employees' job duties,
3 break practices, and managerial oversight. Defendants contended that these differences defeated
4 the typicality and predominance requirements. (Bokhour Decl. ¶ 23.)

5 **III. SUMMARY OF THE PROPOSED SETTLEMENT**

6 The following is a summary of the principal terms of the Settlement Agreement.

7 **A. SETTLEMENT CONSIDERATION AND ALLOCATION**

8 The Settlement provides for a non-reversionary Gross Settlement Amount of \$375,000,
9 which will be distributed to Settlement Class Members without the need to submit a claim form
10 or take any affirmative action. Subject to Court approval, the Gross Settlement Amount will be
11 used to fund the following:

- 12 • Class Counsel attorneys' fees in an amount not to exceed \$125,000, representing
13 one third of the Gross Settlement Amount, and litigation expenses not to exceed
14 \$25,000;
- 15 • Class Representative Service Payments to Plaintiffs in an amount not to exceed
16 \$10,000 each;
- 17 • Settlement Administration Expenses not to exceed \$8,000;
- 18 • PAGA Penalties totaling \$20,000, of which \$15,000 or 75 percent will be paid to
19 the California Labor and Workforce Development Agency pursuant to Labor
20 Code section 2699(i), and \$5,000 or 25 percent will be distributed to PAGA
21 Members as Individual PAGA Payments; and
- 22 • The Net Settlement Amount, which will be distributed to Participating Class
23 Members as Individual Class Payments on a pro rata basis based on the number
24 of Workweeks worked during the Class Period.

25 Defendants will separately pay the employer share of payroll taxes owed on the portion
26 of Individual Class Payments allocated as wages. (Settlement Agreement ¶¶ 3.1–3.3, 4.3, 8.)

27 After all court-approved deductions from the Gross Settlement Amount, it is estimated
28 that approximately \$177,000 will remain as the Net Settlement Amount available for

distribution to Participating Class Members. Based on Defendants' records, Settlement Class Members collectively worked approximately 8,123 Workweeks during the Class Period. Accordingly, the Net Settlement Amount will be distributed on a pro rata basis based on each Participating Class Member's number of Workweeks. Based on these figures, the average Individual Class Payment is conservatively estimated to be approximately \$250 to \$350, with the highest estimated Individual Class Payment for a Class Member who worked the entire Class Period estimated to be approximately \$4,000 to \$6,000, subject to Court approval and adjustment based on opt-outs and final Workweek calculations. (Bokhour Decl. ¶ 7.)

B. NOTICE PROCEDURES

Upon Court approval, the proposed Class Notice will be distributed to Settlement Class Members and will include, among other information, the number of Workweeks and PAGA Pay Periods credited to each individual, as well as the estimated Individual Class and Individual PAGA Payments. (Settlement Agreement ¶ 7.4.2; Bokhour Decl., Ex. B.)

No later than 21 days after the Court grants Preliminary Approval, Defendants will provide the Settlement Administrator with the Class Data, including each Settlement Class Member's name, last known mailing address, Social Security number, and the number of Workweeks and PAGA Pay Periods worked during the Class Period and PAGA Period. (Settlement Agreement ¶¶ 1.7, 4.2.)

Within 15 days after receiving the Class Data, the Settlement Administrator will update addresses using the National Change of Address database and mail the Court-approved Class Notice to Settlement Class Members via first-class U.S. Mail. (Settlement Agreement ¶¶ 7.4.2, 7.4.4.)

If a Class Notice is returned as undeliverable with a forwarding address, the Settlement Administrator will re-mail the Notice within five business days. If no forwarding address is provided, the Settlement Administrator will conduct a Class Member Address Search and re-mail the Notice to the most current address identified. (Settlement Agreement ¶¶ 1.9, 7.4.3.)

For any Class Notice that is re-mailed, the deadline to submit a Request for Exclusion, Objection, or Challenge to credited Workweeks or PAGA Pay Periods will be extended by

fourteen calendar days beyond the standard Response Deadline, and the re-mailed Notice will inform Class Members of the extended deadline. (Settlement Agreement ¶¶ 1.43, 7.4.4.)

The most recently confirmed address maintained by the Settlement Administrator will be presumed to be the best available address for purposes of mailing settlement checks and any further notices. (Settlement Agreement ¶ 4.4.1.)

C. EXCLUSION AND OBJECTION PROCEDURES

Settlement Class Members who do not timely submit a valid Request for Exclusion will be deemed Participating Class Members and will be bound by the terms of the Settlement without the need to submit a claim form or take any other affirmative action. The Court-approved Class Notice will inform Settlement Class Members of their right to exclude themselves from the Settlement by submitting a written Request for Exclusion to the Settlement Administrator, postmarked no later than 60 calendar days after the initial mailing of the Class Notice, defined as the Response Deadline. (Settlement Agreement ¶¶ 1.43, 7.5.1, 7.5.2.)

The Class Notice will also inform Settlement Class Members of their right to object to the Settlement. Any Participating Class Member who wishes to object must submit a written objection to the Settlement Administrator, postmarked no later than the Response Deadline. The objection must include the objector's full name, current address, telephone number, approximate dates of employment with Defendants, and the case name and number. The objection may include a statement of the basis for the objection and any supporting legal authority or evidence, and if represented by counsel, the name and contact information of the objector's attorney. (Settlement Agreement ¶ 7.5.4.)

D. SETTLEMENT ALLOCATION FORMULA FOR SETTLEMENT SHARES

Individual Class Payments will be calculated by the Settlement Administrator based on the Class Data provided by Defendants. After accounting for any approved challenges to credited Workweeks and excluding individuals who timely submit valid Requests for Exclusion, the Settlement Administrator will determine the total number of Workweeks worked by all Participating Class Members during the Class Period. Each Participating Class Member's share of the Net Settlement Amount will then be calculated on a pro rata basis according to the

number of Workweeks worked during the Class Period, as a proportion of the total Workweeks worked by all Participating Class Members.

The Class Notice will inform each Settlement Class Member of the estimated number of Workweeks credited during the Class Period. Any Settlement Class Member who believes the credited number of Workweeks is inaccurate may submit documentation to the Settlement Administrator by the Response Deadline to challenge the calculation. The Settlement Administrator will review any such challenge, consult with Class Counsel and Defense Counsel as appropriate, and issue a final and binding determination. (Settlement Agreement ¶ 7.6.2.)

E. TIMING OF SETTLEMENT DISBURSEMENTS

Defendants shall fund the Settlement by making a one-time deposit of the Gross Settlement Amount into a Qualified Settlement Fund maintained by the Settlement Administrator. The Settlement Administrator will provide Defendants with wire transfer instructions no more than three days after the Effective Date, and Defendants shall fully fund the Gross Settlement Amount within 14 business days after the Effective Date, unless that day falls on a weekend or holiday, in which case funding shall occur on the next court day. Defendants shall separately fund and pay the employer share of payroll taxes owed on the portion of Individual Class Payments allocated as wages, as calculated and directed by the Settlement Administrator. (Settlement Agreement ¶¶ 4.3, 8.1.)

The Settlement Administrator shall deposit the Gross Settlement Amount into an interest-bearing Qualified Settlement Fund that satisfies the requirements of U.S. Treasury Regulation section 468B 1. All payments under the Settlement shall be made from the Qualified Settlement Fund in accordance with the Settlement Agreement. (Settlement Agreement ¶¶ 6.2, 8.2.)

Within 10 calendar days after Defendants fund the Gross Settlement Amount, the Settlement Administrator shall issue and mail the following payments:(a) Individual Settlement Payments to Participating Class Members and PAGA Members;

- Individual Class Payments to Participating Class Members
- Individual PAGA Payments to PAGA Members

- The Court approved Class Representative Service Payments to Plaintiffs
- The Court approved attorneys' fees and litigation expenses to Class Counsel
- the LWDA PAGA Payment to the California Labor and Workforce Development Agency
- The Court approved Settlement Administration Expenses to the Settlement Administrator

Disbursement of attorneys' fees, litigation expenses, and the Class Representative Service Payments shall not precede the mailing of Individual Class Payments and Individual PAGA Payments. (Settlement Agreement ¶¶ 4.4, 8.3.)

Each settlement check issued to Participating Class Members and PAGA Members shall remain negotiable for one hundred eighty (180) calendar days from the date of mailing. If a settlement check is returned as undeliverable, the Settlement Administrator shall perform a Class Member Address Search and re-mail the check to any forwarding or newly identified address. The Settlement Administrator shall re-issue replacement checks for any lost or misplaced checks requested prior to the void date. (Settlement Agreement ¶¶ 4.4.1, 4.4.2.)

If any Individual Class Payment or Individual PAGA Payment check remains uncashed after the void date, the Settlement Administrator shall cancel the check and transmit the funds represented by the check to the California Controller's Unclaimed Property Fund in the name of the Class Member or PAGA Member, leaving no unpaid residue subject to California Code of Civil Procedure section 384. (Settlement Agreement ¶ 4.4.3.)

The release of claims under the Settlement shall be binding on all Participating Class Members and PAGA Members, regardless of whether they cash their settlement checks. (Settlement Agreement ¶¶ 5.2, 5.3.)

F. TAX TREATMENT

The Individual Class Payments paid to Participating Class Members for Class Claims will be allocated for tax purposes based on the nature of the allegations in the Action as follows: ten percent (10%) of each Individual Class Payment will be allocated as wages and will be subject to applicable local, state, and federal tax withholdings, and ninety percent (90%) will be

1 allocated as interest and penalties, which are not subject to wage withholding. The Settlement
2 Administrator shall be responsible for calculating and withholding applicable taxes on the wage
3 portion and shall issue IRS Form W 2s for the wage portion and IRS Form 1099s for the non-
4 wage portion, along with any required state tax forms. Participating Class Members shall be
5 solely responsible for the payment of any taxes owed on their Individual Class Payments.
6 (Settlement Agreement ¶ 3.2.4.1.)

7 **G. PARTICIPATING CLASS MEMBERS' RELEASE OF CLAIMS**

8 In consideration for their awarded Individual Class Payment, as of the date the
9 Settlement becomes Final and has been fully funded, all Participating Class Members, on behalf
10 of themselves, release any and all claims, rights, damages, demands, liabilities, causes of action,
11 and penalties against the Released Parties which were pled or reasonably could have been pled
12 arising out of the same facts, transactions, or allegations, set forth in the operative or amended
13 complaint and/or PAGA notice to the California Labor and Workforce Development Agency
14 that arose during the Class Period and/or PAGA Period, including, without limitation, claims
15 for: (1) failure to pay proper minimum and overtime wages in violation of Labor Code sections
16 204, 210, 510, 558, 1194, 1197, 1197.1, and 1198, and the applicable IWC Wage Order(s); (2)
17 failure to pay for all hours worked, including off-the-clock work, in violation of Labor Code §§
18 204, 210, 1194, and 1197.1; (3) failure to pay sick wages at the proper legal rate in violation of
19 Labor Code sections 246, 558, 1194.2, 1197.1, 1198, and 1199, and the applicable IWC Wage
20 Order(s); (4) failure to provide compliant rest periods and pay missed rest break premiums in
21 violation of Labor Code section 226.7 and 516, Civil Code sections 3287(b) and 3289, and the
22 applicable IWC Wage Order(s); (5) failure to provide compliant meal periods and pay missed
23 meal period premiums in violation of Labor Code sections 226.7 and 512, Civil Code sections
24 3287(b) and 3289, and the applicable IWC Wage Order(s); (6) failure to pay all wages due and
25 owing during employment and at separation in violation of Labor Code sections 201-204 and
26 227.3; (7) failure to provide complete and accurate wage statements in violation of Labor Code
27 sections 226, 226.3, and 1174; (8) failure to reimburse necessary business expenses in violation
28 of Labor Code sections 2802, 2804 and the applicable IWC Wage Order(s); (9) deceptive,

1 fraudulent, or otherwise unlawful business practices based on the foregoing in violation of
2 California's Unfair Competition Law (Bus. & Prof. Code, §§ 17200-17210); (10) statutory
3 penalties based on the foregoing pursuant to PAGA (Lab. Code, §§ 2698-2699.6); and (11) all
4 claims for liquidated damages, penalties, interest, fees, costs based on the foregoing.
5 (Settlement Agreement ¶ 5.2.)

6 In consideration for their awarded Individual PAGA Payment, as of the date the
7 Settlement becomes Final and has been fully funded, Plaintiffs and the LWDA will release any
8 and all claims for civil penalties under PAGA against Defendants and the Released Parties that
9 arise out of or reasonably relate to the claims alleged in the Action or that could have been
10 alleged based on the factual allegations in the Action. (Settlement Agreement ¶ 5.3.)

11 In addition to the class and PAGA releases described above, Plaintiffs, as Class
12 Representatives, have agreed to a broader General Release of all known and unknown claims
13 against the Released Parties, including a waiver of rights under California Civil Code section
14 1542, as set forth in the Settlement Agreement. (Settlement Agreement ¶ 5.1.)

15 **IV. PROVISIONAL CERTIFICATION OF THE CLASS UNDER THE LESSER**
16 **STANDARD OF SCRUTINY FOR SETTLEMENT-ONLY CERTIFICATION IS**
17 **APPROPRIATE FOR PURPOSES OF SETTLEMENT**

18 California Rule of Court 3.769(d) authorizes the Court to provisionally certify a
19 settlement class at the preliminary approval stage. It is well established that courts apply a lesser
20 standard of scrutiny when evaluating class certification for settlement purposes only, because
21 the manageability concerns present in a litigation class are not implicated and the Court's
22 review of the settlement itself serves to protect absent class members. *Dunk v. Ford Motor Co.*
23 (1996) 48 Cal.App.4th 1794, 1807 at n.19.

24 Because no trial is contemplated, the Court need not consider whether the Action would
25 present intractable management problems if litigated. Instead, the focus is whether provisional
26 certification is appropriate to effectuate the Settlement and ensure adequate notice to the Class.
27 (Officers for Justice v. Civil Service Com. (9th Cir. 1982) 688 F.2d 615, 633.)

28 For purposes of this Settlement only, Plaintiffs respectfully request that the Court

provisionally certify the Settlement Class under Code of Civil Procedure section 382.

A. NUMEROSITY AND ASCERTAINABILITY

Numerosity is satisfied where the class is so numerous that joinder of all members is impracticable. (Code Civ. Proc., § 382.) Ascertainability is satisfied where class members can be identified by objective criteria and provided notice without unreasonable time or expense. (*Medraza v. Honda of N. Hollywood* (2008) 166 Cal.App.4th 89, 101.)

Here, Defendants' records reflect that the Settlement Class consists of approximately 648 non-exempt hourly employees who worked for Defendants in California during the Class Period. Joinder of this many individuals would be impracticable, and the class is readily ascertainable through Defendants' payroll and employment records, which identify Class Members by objective criteria. Accordingly, numerosity and ascertainability are satisfied.

B. WELL-DEFINED COMMUNITY OF INTEREST

1. Commonality

Commonality is satisfied where questions of law or fact common to the class predominate over questions affecting individual members. *Washington Mut. Bank v. Superior Court* (2001) 24 Cal.4th 906, 913.

Plaintiffs allege that Settlement Class Members were subject to common wage and hour policies and practices, including policies governing timekeeping, calculation of the regular rate of pay, meal and rest periods, wage statements, and reimbursement of business expenses. While the Parties dispute whether common issues would predominate if the Action were litigated, they agree that commonality is satisfied for purposes of settlement only.

2. Typicality

Typicality examines whether the claims of the proposed class representative are reasonably coextensive with those of the class. (Newberg on Class Actions § 3:13.)

Defendants dispute that Plaintiffs' claims are typical for litigation purposes, including on the ground that Plaintiffs worked in a sales representative role that comprised approximately 25 percent of the proposed class. For purposes of settlement only, however, the Parties agree that Plaintiffs' claims arise from the same alleged wage and hour policies and practices challenged

on a classwide basis, and that typicality is satisfied under the lesser standard applicable to settlement only certification.

3. Adequacy of Representation

Adequacy requires that the class representative's interests are aligned with those of the class and that class counsel are qualified and experienced. *Barboza v. West Coast Digital GSM, Inc.* (2009) 179 Cal.App.4th 540, 546.

Plaintiffs were employed by Defendants during the Class Period, were subject to the same challenged policies as other Class Members, understood their responsibilities as Class Representatives, and have no conflicts of interest with the Settlement Class. Plaintiffs are represented by Class Counsel with extensive experience litigating wage and hour class actions and PAGA representative actions. (Bokhour Decl. ¶¶ 2–5; Falakassa Decl. ¶¶ 2–11.) Adequacy is therefore satisfied.

4. Superiority

Certification of the Settlement Class is superior to individual litigation because it permits a global resolution of the claims in a single proceeding, promotes judicial economy, and provides meaningful relief to Class Members whose individual claims would be impractical to litigate on a standalone basis. Settlement only certification is therefore appropriate.

V. THE COURT SHOULD PRELIMINARILY APPROVE THE SETTLEMENT, WHICH IS FAIR, ADEQUATE, AND REASONABLE

A. CLASS ACTION SETTLEMENTS ARE SUBJECT TO JUDICIAL REVIEW AND APPROVAL UNDER THE CALIFORNIA RULES OF COURT

California law favors the settlement of disputes, particularly in the class action context, where settlement conserves judicial resources and avoids the expense, delay, and uncertainty of continued litigation. (*Bush v. Superior Court* (1992) 10 Cal.App.4th 1374, 1385.) Nevertheless, a class action may not be compromised or dismissed without court approval. (Cal. Rules of Court, rule 3.769(a).)

The California Rules of Court establish a three-step process for approval of a class action settlement: (1) preliminary approval of the proposed settlement; (2) notice to the class in

the manner directed by the court; and (3) a final approval hearing at which the court determines whether the settlement is fair, adequate, and reasonable. (Cal. Rules of Court, rule 3.769(c), (e)–(g).)

The decision whether to approve a proposed settlement lies within the court’s sound discretion. (*Wershba v. Apple Computer, Inc.* (2001) 91 Cal.App.4th 224, 234.) In exercising that discretion, however, the court is not to conduct a trial on the merits or resolve disputed factual or legal issues underlying the claims. (*Officers for Justice v. Civil Service Com.* (9th Cir. 1982) 688 F.2d 615, 625.)

At the preliminary approval stage, the court’s task is limited to determining whether the settlement appears to be within the range of possible approval and whether notice to the class is warranted. (*Dunk v. Ford Motor Co.* (1996) 48 Cal.App.4th 1794, 1801–1802.) Preliminary approval is appropriate where there are no obvious deficiencies and no grounds to doubt the settlement’s fairness. (Manual for Complex Litigation (Third) § 30.41.)

B. THE SETTLEMENT IS THE RESULT OF SERIOUS, INFORMED, NON-COLLUSIVE NEGOTIATIONS

The Settlement was reached through extensive arm’s-length negotiations between experienced counsel and was facilitated by an experienced mediator with substantial expertise in wage-and-hour class and PAGA actions. The negotiations were adversarial and non-collusive, and followed a thorough investigation of the factual and legal issues in the Action.

Although Plaintiffs and Class Counsel believe the claims have merit, they also recognized the substantial risks associated with continued litigation, including the risk of an adverse ruling on class certification, summary judgment, trial, or appeal. Defendants vigorously denied liability and asserted multiple defenses that, if successful, could have significantly limited or eliminated any recovery for the Class. (Bokhour Decl. ¶ 35.) These risks were appropriately considered in reaching the Settlement.

C. THE EXTENT OF THE INVESTIGATION WAS MORE THAN SUFFICIENT TO PERMIT PRELIMINARY APPROVAL OF THE SETTLEMENT

Prior to reaching the Settlement, Plaintiffs and Class Counsel conducted a thorough

1 investigation into the factual and legal bases of the claims. As described above, this
2 investigation included interviews with putative class members, review of Defendants’ policies
3 and practices, and the informal exchange of relevant payroll, timekeeping, and compensation
4 data. Class Counsel also conducted extensive legal research and developed damages models to
5 assess potential exposure and litigation risk. (Bokhour Decl. ¶¶ 14, 26.)

6 This level of investigation was more than sufficient to permit Class Counsel and the
7 Court to evaluate the strengths and weaknesses of the claims and to support preliminary
8 approval of the Settlement.

9 **D. THE SETTLEMENT IS A REASONABLE COMPROMISE OF CLAIMS**

10 In evaluating a proposed class settlement, the court must have sufficient information to
11 understand the nature and magnitude of the claims and to determine whether the settlement
12 represents a reasonable compromise in light of the risks of continued litigation. *Kullar v. Foot*
13 *Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 133. Kullar does not require an explicit
14 statement of the maximum theoretical recovery in every case. Rather, the record must permit an
15 understanding of the amount in controversy and the realistic range of possible outcomes. *Munoz*
16 *v. BCI Coca-Cola Bottling Co. of Los Angeles* (2010) 186 Cal.App.4th 399, 409.

17 Here, Defendants disputed both liability and class certification, arguing that it complied
18 with California law, maintained compliant written policies, and that any alleged violations were
19 individualized and not amenable to class treatment. Defendants also asserted defenses that could
20 have significantly reduced or eliminated recovery. While Plaintiffs believe the claims are
21 suitable for class treatment, he recognizes that class certification and liability determinations are
22 inherently uncertain.

23 Considering the risks, expense, and delay associated with continued litigation, the
24 Settlement represents a reasonable and fair compromise that provides meaningful relief to Class
25 Members now, rather than the possibility of a greater recovery years later or no recovery at all.
26 (Bokhour Decl. ¶¶ 39–40.)

27 Based upon Class Counsel’s independent investigation and detailed data obtained
28 through informal discovery and information exchanges, and factoring in claim certification

probabilities and liability probabilities, Class Counsel formulated detailed damages models to evaluate the claims in preparation for mediation and in order to reach a realistic and reasonable resolution, as further detailed below. (Bokhour Decl. ¶ 24.)

1. Failure to Pay for All Hours Worked

First, Plaintiffs alleged that Defendants failed to pay for all hours worked due to their uneven time rounding policy and practice in violation of California law. (Bokhour Decl. ¶ 25)

Defendants disputed these allegations and asserted that they maintained lawful practices, and that any potential underpayments, to the extent they occurred at all, were limited, *de minimis*, and not widespread across the Class. (Bokhour Decl. ¶ 26.)

Plaintiffs acknowledge the significant litigation risks associated with this claim. Those risks include the possibility that the Court could deny class certification due to individualized variations in pay practices, as well as risks on the merits, including summary judgment or an unfavorable trial result. Plaintiffs further acknowledge that the estimated lost wages attributable to this theory were relatively modest. (Bokhour Decl. ¶ 27.)

In light of these risks and uncertainties, Plaintiffs applied a substantial discount to the estimated value of this claim in evaluating the Settlement. (Bokhour Decl. ¶ 28.)

2. Waiting Time Penalties

Plaintiffs alleged that Defendants failed to timely pay all wages due upon separation of employment, entitling former employees to waiting time penalties under Labor Code sections 201 through 203. Based on Defendants' records, approximately 477 Settlement Class Members separated from employment during the applicable statutory period. Assuming the statutory maximum penalty of thirty days, an 8-hour workday, and an average hourly rate of \$16, Plaintiffs estimated a maximum theoretical exposure of approximately \$1,831,680 for waiting time penalties. (477 employees × 8 hours per day × \$16 per hour × 30 days.) (Bokhour Decl. ¶ 29.)

Defendants disputed this claim and asserted that any alleged failure to timely pay wages at separation was not willful and therefore did not give rise to waiting time penalties. Defendants further contended that it maintained compliant payroll practices and that any

waiting time penalties claim was derivative of underlying wage claims. Defendants contended that these claims lacked merit or were unsuitable for class treatment. (Bokhour Decl. ¶ 30.)

To account for these risks, including the risk of denial of class certification and the risk of an adverse ruling at summary judgment or trial, Plaintiffs applied a combined 80% percent discount to the maximum theoretical exposure. Applying this discount, Plaintiffs estimate a risk-adjusted value of approximately \$366,336 for the waiting time penalties claim. (Bokhour Decl. ¶ 31.)

3. Failure to Provide Meal and Rest Periods or Compensation in Lieu Thereof at the “Regular Rate of Pay”

With respect to Plaintiffs’ meal and rest period claims, Settlement Class Members collectively worked approximately 35,244 shifts during the Class Period. Based on an analysis of a representative sample of Defendants’ timekeeping and payroll records produced prior to mediation, Plaintiffs applied an estimated 15 percent violation rate for meal periods and a 25 percent violation rate for rest periods. Using an applicable premium rate of \$16 per missed break, Plaintiffs estimated a maximum theoretical exposure of approximately \$84,585 for the meal period claim (35,244 shifts × 15 percent × \$16) and approximately \$140,976 for the rest period claim (35,244 shifts × 25 percent × \$16). (Bokhour Decl. ¶ 32.)

Defendants disputed liability on both claims and asserted that meal and rest-period compliance presented highly individualized issues that were inappropriate for class treatment. Defendants maintained that their written policies were facially compliant with California law, that employees were afforded flexibility to take breaks, and that compliance varied based on job duties, location, management practices, and individual employee choice. Defendants further argued that these individualized issues created a substantial risk that the Court would deny class certification. (Bokhour Decl. ¶ 33.)

Defendants also contended that, even if certification were granted, it would prevail on the merits by demonstrating that compliant meal and rest periods were provided or that premium compensation was paid when required. Defendants asserted that Plaintiffs would be unable to present reliable representative evidence sufficient to establish liability or damages on

1 a classwide basis. (Bokhour Decl. ¶ 34.)

2 In light of these certifications and merits risks, Plaintiffs applied a 50% discount to the
3 maximum theoretical exposure for these claims. Applying this discount, Plaintiffs estimate a
4 risk-adjusted value of approximately \$42,292 for the meal period claims and approximately
5 \$70,488 for the rest period claims. (Bokhour Decl. ¶ 35.)

6 **4. Failure to Provide Accurate Itemized Wage Statements**

7 Plaintiffs further alleged that Defendants issued non-compliant itemized wage
8 statements in violation of Labor Code section 226, based on the same alleged conduct
9 underlying Plaintiffs' claims for unpaid wages, meal and rest period premiums, and failure to
10 include all required forms of compensation in the regular rate of pay. Based on Defendants'
11 records, Plaintiffs estimate that approximately 2,175 allegedly non-compliant wage statements
12 were issued during the Class Period. (Bokhour Decl. ¶ 36.)

13 In calculating Defendants' maximum potential exposure, Plaintiffs conservatively
14 assumed that only the initial statutory penalty of fifty dollars per violation would apply,
15 consistent with Labor Code section 226(e) and authority holding that higher penalties apply
16 only after notice of a violation. (*Amaral v. Cintas Corp. No. 2* (2008) 163 Cal.App.4th 1157,
17 1207.) Using this conservative assumption, Plaintiffs estimated a maximum theoretical exposure
18 of approximately \$108,750 (2,175 wage statements multiplied by \$50). (Bokhour Decl. ¶ 37.)

19 Defendants disputed this claim and asserted that their wage statements fully complied
20 with Labor Code section 226. Defendants further argued that, even if any technical deficiencies
21 existed, they were not knowing and intentional and did not result in the injury required to
22 recover penalties. Defendants also relied on their defenses to the underlying wage-and-hour
23 claims and to class certification. (Bokhour Decl. ¶ 38.)

24 In light of these risks, including the risk of denial of class certification and the risk of an
25 adverse ruling on the merits, Plaintiffs applied a 65% discount to the maximum theoretical
26 exposure. Applying this discount, Plaintiffs estimate a risk-adjusted value of approximately
27 \$38,062 for the wage statement penalty claim. (Bokhour Decl. ¶ 39.)

1 **5. PAGA Penalties**

2 Plaintiffs also sought civil penalties under the Private Attorneys General Act of 2004 for
3 alleged Labor Code violations for which PAGA penalties are available, and asserted that such
4 penalties could be assessed cumulatively. Assuming application of the default penalty of \$100
5 per pay period under Labor Code section 2699(f)(2), and assuming no reduction or exercise of
6 judicial discretion, Plaintiffs estimated a maximum theoretical exposure of approximately
7 217,500, based on approximately 2,175 pay periods. (Bokhour Decl. ¶ 40.)

8 This theoretical maximum, however, does not account for significant legal and practical
9 uncertainties. Courts are divided as to whether PAGA penalties may be stacked across multiple
10 violations within a single pay period, particularly where the violations are derivative of one
11 another. In addition, Labor Code section 2699(e)(2) grants courts broad discretion to reduce
12 civil penalties where necessary to avoid awards that are unjust, arbitrary, oppressive, or
13 confiscatory. Courts routinely exercise this discretion, particularly where an employer did not
14 act willfully or where the legal obligations were unsettled. See *Cotter v. Lyft, Inc.* (2016) 193 F.
15 Supp. 3d 1030, 1037. (Bokhour Decl. ¶ 41.)

16 In light of these risks, the Parties agreed to allocate \$20,000 of the Gross Settlement
17 Amount to the resolution of the PAGA claim, which Plaintiffs submit is fair, reasonable, and
18 adequate under the circumstances. (Bokhour Decl. ¶ 42.)

19 In sum, when factoring in defenses and risks of ongoing litigation, Plaintiffs estimate the
20 risk-adjusted class recovery as follows:

21 • Unpaid Wages Claim:	\$0 (<i>discounted</i>)
22 • Labor Code §§ 201 – 203 Claims:	\$366,336
23 • Meal Period Claims:	\$42,292
24 • Rest Break Claims:	\$70,488
25 • Wage Statement Claims:	\$38,062
26 • PAGA Penalties	\$20,000
27 • <u>Total Risk-Adjusted Penalties and Damages:</u>	\$537,178

28 Given the realistic value of Plaintiffs’ claims, the \$375,000 Settlement Amount is an

1 excellent result for the Class, considering that the Settlement Amount totals approximately 69%
2 of Plaintiffs' risk-adjusted value of the case. Preliminary approval is appropriate since the
3 Settlement will provide significant monetary relief to the Class. (Bokhour Decl. ¶ 43.) For these
4 reasons, Plaintiffs submit that the agreed-upon Settlement is fair and reasonable.

5 **E. CLASS COUNSEL IS EXPERIENCED IN SIMILAR WAGE-AND-HOUR LITIGATION**

6 The views of experienced counsel are entitled to significant weight in determining
7 whether a proposed class settlement is fair, adequate, and reasonable. *Ellis v. Naval Air Rework*
8 *Facility* (N.D. Cal. 1980) 87 F.R.D. 15, 18, *aff'd* (9th Cir. 1981) 661 F.2d 939; *Kullar v. Foot*
9 *Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 128 (court must take into account "the
10 experience and view of counsel") (internal quotation and citation omitted).

11 Class Counsel are highly experienced in wage and hour class actions and PAGA
12 representative actions. Collectively, Class Counsel have litigated over one hundred wage and
13 hour class and PAGA cases, have been repeatedly recognized as Super Lawyers in employment
14 litigation, an honor awarded to only a small percentage of attorneys statewide, and have
15 achieved substantial class action settlements on behalf of California employees. (Bokhour Decl.
16 ¶¶ 2–5; Falakassa Decl. ¶¶ 2–10.)

17 After conducting an independent investigation and engaging in arm's length
18 negotiations, Class Counsel carefully evaluated the strengths and weaknesses of the claims, the
19 defenses asserted by Defendants, the risks associated with class certification and liability, and
20 the realistic risk adjusted value of the case. Based on that analysis, Class Counsel believes that
21 the Settlement represents a fair, reasonable, and adequate resolution of the Action and is in the
22 best interests of the Settlement Class and PAGA Members.

23 **F. THE CLASS NOTICE CONFORMS TO ALL APPLICABLE STATUTES AND RULES**

24 The proposed Class Notice fully and fairly informs Settlement Class Members of the
25 nature of the Action, the material terms of the Settlement, and their rights and options under the
26 Settlement Agreement and applicable law. The notice program is reasonably calculated to reach
27 all or nearly all Settlement Class Members and therefore satisfies due process.

28 The content and dissemination of the Class Notice comply with California Rules of

1 Court 3.766 and 3.769. The Class Notice describes the material settlement terms, the proposed
2 attorneys' fees and costs and Settlement Administration Expenses, the Final Approval Hearing,
3 and the procedures and deadlines for requesting exclusion or submitting an objection, and
4 informs Class Members of their estimated Individual Class Payments. (Bokhour Decl. ¶ 49, Ex.
5 B.)

6 The Class Notice will be mailed by the Settlement Administrator via first-class U.S.
7 Mail to the last known addresses of Settlement Class Members, using Defendants' records, with
8 address updating and re-mailing procedures for undeliverable notices. This method constitutes
9 meaningful notice with a reasonable likelihood of reaching a substantial percentage of the Class.

10 The 60-day response period provides Class Members with a reasonable opportunity to
11 evaluate the Settlement, seek advice if desired, and elect whether to participate, object, or
12 request exclusion. Settlement Class Members who do not request exclusion will be bound by the
13 Settlement and will automatically receive settlement payments upon Final Approval

14 **G. THE NON-REVERSIONARY "CHECKS CASHED" DISTRIBUTION ENSURES**
15 **MAXIMAL RECOVERY FOR CLASS MEMBERS**

16 Under the Settlement, all Settlement Class Members who do not request exclusion will
17 automatically receive an Individual Settlement Payment without submitting a claim form. This
18 structure maximizes participation and ensures that Class Members receive payment unless they
19 affirmatively opt out.

20 The Settlement is non-reversionary, meaning that no portion of the Settlement Amount
21 will revert to Defendants. Any settlement checks that are not negotiated within the applicable
22 check-cashing period will be sent to the California Controller's Unclaimed Property Fund,
23 subject to Court approval. These terms are favored by courts because they promote class
24 recovery and confirm that the Settlement was reached through arm's length negotiations and
25 without collusion.

26 **H. THE ATTORNEYS' FEES ALLOCATION UNDER THE SETTLEMENT AGREEMENT**
27 **IS FAIR**

28 Under the terms of the Settlement Agreement, Class Counsel requests an amount not

1 exceeding one-third of the Settlement Amount in attorneys' fees. (See, e.g., *Laffite v. Robert*
2 *Half Int'l Inc.* (2016) 1 Cal.5th. 480 (approving 1/3 fee in the amount of \$6.33 million at a 2.13
3 lodestar multiplier, when class action litigation establishes a monetary fund for the benefit of the
4 Class Members, the trial court may determine the amount of a reasonable fee by choosing an
5 appropriate percentage of the fund created); see also *Stuart v. RadioShack Corp.* (N.D. Cal.
6 Aug. 9, 2010) No. C-07-4499 EMC, 2010 WL 3155645 (approving fee award of 1/3 of the total
7 maximum settlement amount of \$4.5 million) (the court noted that the fee award of 1/3 of the
8 total settlement was "well within the range of percentages which courts have upheld as
9 reasonable in other class action lawsuits"); *Singer v. Becton Dickinson and Co.* (S.D. Cal. June
10 1, 2010) No. 08-cv-1501-IEG (BLM), 2010 WL 2196104, at *8 (approving fee award of
11 33.33% of the common fund); *Romero v. Producers Dairy Foods, Inc.* (E.D. Cal. Nov. 14,
12 2007) No. 1:05cv0484 DLB, 2007 WL 3492841, at *4 (awarding fees of 1/3 of common fund
13 in a wage and hour class action, noting: "[f]ee awards in class actions average around one-third
14 of the recovery."); *Martin v. FedEx Ground Package System, Inc.* (N.D. Cal. Dec. 31, 2008) No.
15 C 06-6883 VRW, 2008 WL 5478576, at *8 (approving fees of 1/3 of common fund). (Bokhour
16 Decl. ¶¶ 44-45.)

17 Once the Court grants preliminary approval of the Settlement Agreement and the Class
18 Notice is disseminated, Class Counsel will submit a request for attorneys' fees and costs with its
19 final approval motion.

20 **I. THE CLASS REPRESENTATIVE SERVICE PAYMENTS ARE FAIR AND**
21 **APPROPRIATE**

22 The Settlement Agreement provides for Service Awards to Plaintiffs Steven Vasquez
23 and Christopher Horn in an amount not to exceed \$10,000 each. The requested Service Awards
24 are reasonable in light of Plaintiffs' substantial time, effort, and personal risk undertaken on
25 behalf of the Class and PAGA Members.

26 Plaintiffs actively assisted Class Counsel throughout the litigation, including by
27 providing detailed factual information supporting the class and PAGA claims, producing
28 documents, participating in strategy discussions and mediation preparation, remaining available

1 throughout the litigation to analyze claims and defenses, attending and assisting during
2 mediation, and reviewing the Settlement documents. Plaintiffs also assumed the risk associated
3 with serving as Class Representative, including potential exposure to costs and workplace
4 retaliation. (See Declarations of Plaintiff Steven Vasquez and Christopher Horn in Support of
5 Preliminary Approval.)

6 Although the requested Service Award is higher than the amount sometimes sought in
7 similar wage and hour cases, it is justified here because Plaintiffs agreed to provide a broader
8 general release of claims, in addition to the class and PAGA releases applicable to Settlement
9 Class Members. Courts routinely approve enhanced service awards where a class representative
10 provides a broader release or assumes additional risk beyond that borne by absent class
11 members.

12 The requested Service Award is within the range approved by courts in comparable
13 cases. See, e.g., *Bond v. Ferguson Enterprises, Inc.* (E.D. Cal. 2011) No. 1:09 cv 1662 OWW
14 MJS, 2011 WL 2648879 (approving \$11,250 service award); *Ross v. U.S. Bank National*
15 *Association* (N.D. Cal. 2010) No. C 07 02951 SI, 2010 WL 3833922, at *2 (approving \$20,000
16 service award); *Vasquez v. Coast Valley Roofing, Inc.* (E.D. Cal. 2010) 266 F.R.D. 415, 493
17 (approving \$15,000 service awards).

18 Accordingly, the requested Service Award is fair, reasonable, and appropriate under the
19 circumstances

20 **VI. THE COURT SHOULD APPOINT PHOENIX, INC. AS THE SETTLEMENT**
21 **ADMINISTRATOR**

22 Subject to Court approval, the Parties have stipulated that Phoenix will serve as the
23 Settlement Administrator. Phoenix is experienced in administering class action settlements and
24 is well qualified to administer the notice and distribution process in this Action. Pursuant to the
25 Settlement Agreement, Settlement Administration Costs shall not exceed \$8,000, subject to
26 Court approval. (Bokhour Decl. ¶ 46.)

27 **VII. TIMELINE AND SCHEDULING A FINAL APPROVAL HEARING**

28 The final step in the approval process is a hearing at which the Court considers the terms

1 of the Settlement and hears any timely objections, as well as argument from the Parties in
2 support of final approval.

3 **VIII. CONCLUSION**

4 For all of the foregoing reasons, Plaintiffs respectfully request that the Court grant their
5 Motion for Preliminary Approval of Class Action Settlement.

6
7 Dated: March 4, 2026

BOKHOUR LAW GROUP, P.C.
FALAKASSA LAW, P.C.

8
9 BY: 

10 MEHRDAD BOKHOUR
11 JOSHUA FALAKASSA

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