

## JOINT STIPULATION OF CLASS ACTION AND PAGA SETTLEMENT

Subject to final approval by the Court, it is stipulated, by and between Plaintiffs Thuy-Truc Tran (“Plaintiff Tran”) and Emily Lauber (“Plaintiff Lauber”) (collectively, “Plaintiffs”), on behalf of themselves, other similarly situated putative class members, and other allegedly aggrieved employees, and Defendant Byram Healthcare Centers, Inc. (“Defendant”) that the Action is hereby compromised and settled pursuant to the terms and conditions set forth in this Joint Stipulation of Class Action and PAGA Settlement (“Settlement Agreement,” “Settlement,” or “Agreement”) and that the Court shall make and enter judgment subject to the definitions, recitals, and terms set forth herein, which by this reference become an integral part of the Agreement. Plaintiffs and Defendant are collectively referred to in this Agreement as the “Parties.”

### I. DEFINITIONS

In addition to the other terms defined in this Agreement, the terms below have the following meaning:

- A. **Administration Costs**: The costs incurred by the Settlement Administrator to administer this Settlement pursuant to the terms of this Agreement in a sum not to exceed \$12,000. All Administration Costs shall be paid from the Qualified Settlement Fund.
- B. **Agreement, Settlement Agreement, or Settlement**: The settlement agreement reflected in this document, titled “Joint Stipulation of Class Action and PAGA Settlement.”
- C. **Attorney Fee Award**: The amount, not to exceed one-third (1/3) of the Gross Settlement Amount or \$291,666.67, if it is approved by the Court and awarded to Class Counsel. The Attorney Fee Award shall be paid from the Qualified Settlement Fund and will not be opposed by Defendant.
- D. **Action**: The lawsuit originally filed by Plaintiff Tran on February 21, 2023, entitled *Tran v. Byram Healthcare Centers, Inc.*, Case No. 30-2023-01309069-CU-OE-CXC, in the Superior Court of California, County of Orange, including the First Amended Complaint.
- E. **Class**: All current and former hourly-paid or non-exempt employees of Defendant within the State of California at any time during the period from February 21, 2019, through November 15, 2023. As of August 25, 2023, it was estimated there were 454 Class Members who worked 43,786 workweeks during the Class Period.
- F. **Class Counsel**: Douglas Han, Shunt Tatavos-Gharajeh, and William Wilkinson of Justice Law Corporation

- G. Class Data:** Information regarding Class Members that Defendant will compile from its available, existing, electronic records and provide to the Settlement Administrator. The Class Data, to the extent reasonably possible, shall be formatted as a Microsoft Excel spreadsheet and shall include, to the extent available to Defendant, each Class Member's: (1) full name; (2) last known address; (3) last known telephone number; (4) Social Security Number; and (5) dates of employment.
- H. Class Member:** Each person eligible to participate in this Settlement who is a member of the Class as defined above.
- I. Class Notice or Notice:** The "Notice of Class Action Settlement" provided to all Class Members regarding the terms of this Settlement in English and Spanish, substantially similar to the form attached hereto as **Exhibit A**, subject to Court approval. The Class Notice shall constitute notice to the Class pursuant to California Rule of Court, rule 3.769(f) and, once approved by the Court, shall be deemed compliant with California Rule of Court, rule 3.766.
- J. Class Period:** The time period from February 21, 2019, through November 15, 2023.
- K. Class Representatives or Plaintiffs:** Thuy-Truc Tran and Emily Lauber.
- L. Class Representative Enhancement Payments:** The amount the Court awards to each Plaintiff for their services as the Class Representatives, which will not exceed \$10,000 each (totaling \$20,000). This payment shall be paid from the Qualified Settlement Fund and will not be opposed by Defendant. This enhancement is subject to Court approval. If the Court awards less than the amount requested, any amount not awarded will become part of the Net Settlement Amount for distribution to Participating Class Members.
- M. Complaint:** The complaint filed by Plaintiff Tran in the Superior Court of California, County of Orange, on February 21, 2023, in the case entitled *Tran v. Byram Healthcare Centers, Inc.*, Case No. 30-2023-01309069-CU-OE-CXC, including the First Amended Complaint.
- N. Cost Award:** The amount that the Court awards Class Counsel for payment of actual litigation costs, which shall not exceed \$18,000. The Cost Award will be paid from the Qualified Settlement Fund and will not be opposed by Defendant. The Cost Award is subject to Court approval. If the Court awards less than the amount requested, any amount not awarded will become part of the Net Settlement Amount for distribution to Participating Class Members.
- O. Counsel for Defendant:** Lindsay Ryan and Stacey Shim of Polsinelli LLP.
- P. Court:** The Superior Court of California, County of Orange.

- Q. Defendant:** Byram Healthcare Centers, Inc.
- R. Effective Date:** The date when the Court enters the order granting final approval. If an objection is made but no appeal is filed and the objection is overruled, then the Effective Date will be the date on which the time to file an appeal is exhausted. If an appeal is filed, but the settlement is upheld on appeal, then the Effective Date will be the date the final judgment becomes final and the time to file an appeal has been exhausted.
- S. Eligible Aggrieved Employees:** The allegedly aggrieved employees eligible to recover settlement funds from the PAGA Payment that consist of all current and former hourly-paid or non-exempt employees of Defendant within the State of California at any time during the period from December 15, 2021, through November 15, 2023. As of August 25, 2023, it was estimated there were 330 Eligible Aggrieved Employees who worked 9,584 pay periods during the PAGA Period.
- T. Exclusion Form:** The Request for Exclusion Form, substantially similar to the form attached hereto as **Exhibit B**, subject to Court approval.
- U. Final Approval, Final Approval Order, Judgment, and Final Judgment:** “Final Approval” or “Final Approval Order” means the final order entered by the Court, following the Final Fairness and Approval Hearing, finally approving this Agreement. “Judgment” or “Final Judgment” means the final judgment entered by the Court following the Final Fairness and Approval Hearing.
- V. First Amended Complaint.** The First Amended Complaint that Plaintiff Tran will file which will do the following: (1) add Plaintiff Lauber as a named Plaintiff; (2) add the following class causes of action: (a) Labor Code sections 510 and 1198 (unpaid overtime); (b) Labor Code sections 226.7 and 512(a) (unpaid meal period premiums); (c) Labor Code section 226.7 (unpaid rest period premiums); (d) Labor Code sections 1194 and 1197 (unpaid minimum wages); (e) Labor Code sections 201 and 202 (final wages not timely paid); (f) Labor Code section 226(a) (non-compliant wage statements); (g) Labor Code sections 2800 and 2802 (unreimbursed business expenses); and (h) Business & Professions Code section 17200, *et seq*; and (3) will define the “Proposed Class” as all current and former hourly-paid or non-exempt employees of Defendant within the State of California at any time during the period from February 21, 2019, through November 15, 2023.
- W. Gross Settlement Amount or GSA:** The value of the Settlement is a fixed total non-reversionary amount of \$875,000. This is the gross amount Defendant shall be required to pay under this Settlement Agreement, which includes without limitation: (1) Net Settlement Amount to be paid to Participating Class Members; (2) Attorney Fee Award and Cost Award to Class Counsel for attorneys’ fees and costs, as approved by the Court; (3) Class Representative

Enhancement Payments paid to the Class Representatives, as approved by the Court; (4) Administration Costs paid to the Settlement Administrator, as approved by the Court; and (5) PAGA Payment paid to the LWDA and to Eligible Aggrieved Employees, as approved by the Court. Defendant's share of employer payroll taxes will be paid by Defendant separate from and in addition to the GSA. No portion of the Gross Settlement Amount will revert to Defendant for any reason.

- X. **Individual Settlement Share(s)**: The amount payable to each Participating Class Member under the terms of this Settlement Agreement. Class Members are not required to submit a claim form to receive their Individual Settlement Shares pursuant to this Agreement. Participating Class Members will receive an Individual Settlement Share automatically, without the return of a claim form, unless the Class Member timely submits a valid Exclusion Form.
- Y. **LWDA**: California Labor and Workforce Development Agency.
- Z. **Net Settlement Amount or NSA**: The total amount of money available for payout to Participating Class Members, which is the GSA less the Attorney Fee Award, Cost Award, Class Representative Enhancement Payments, PAGA Payment, and Administration Costs. In other words, the NSA is the portion of the GSA that will be distributed to Class Members who do not request exclusion from the Settlement.
- AA. **Notice Packet**: Collectively, the Class Notice and Exclusion Form that will be mailed to all identified Class Members by the Settlement Administrator.
- BB. **PAGA**: The Labor Code Private Attorneys General Act of 2004 (Labor Code section 2698 *et seq.*).
- CC. **PAGA Payment**: The PAGA Payment consists of \$40,000 of the Gross Settlement Amount allocated for the settlement and release of claims for civil penalties under the PAGA. Seventy-five percent (75%) of the PAGA Payment (\$30,000) shall be paid to the LWDA, and twenty-five percent (25%) of the PAGA Payment (\$10,000) of the PAGA Payment shall be distributed to Eligible Aggrieved Employees, on a pro rata basis, as set forth below.
- DD. **PAGA Period**: The time period from December 15, 2021, through November 15, 2023.
- EE. **PAGA Released Claims**: As of the Effective Date and upon fulfillment of Defendant's payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs, Eligible Aggrieved Employees, and LWDA are releasing in exchange for the consideration provided for by this Agreement are defined as any and all causes of action for civil penalties pursuant to PAGA that were alleged or which could have been alleged in the Action based on the facts and

allegations pleaded in the Complaint in the Action, First Amended Complaint in the Action, and/or the LWDA notice letter. This includes, without limitation, all claims for civil penalties under PAGA based upon or arising out of Defendant's alleged: (1) failure to pay minimum wage; (2) failure to pay overtime wages; (3) failure to provide meal breaks; (4) failure to provide rest breaks; (5) failure to pay meal period premium wages; (6) failure to pay rest period premium wages; (7) failure to pay sick leave; (8) failure to provide accurate wage statements; (9) failure to timely pay wages during employment and at separation; (10) failure to maintain accurate payroll records; (11) failure to reimburse business-related expenses; and (12) claims for civil penalties arising under or based upon alleged violations of Labor Code sections 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 551, 552, 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800 and 2802, and/or those arising under applicable Industrial Welfare Commission Wage Orders. The Released Parties shall be entitled to a release of the PAGA Released Claims which occurred only during the PAGA Period and during such time that the Eligible Aggrieved Employee was classified as hourly-paid and/or non-exempt.

- FF. Participating Class Members:** All Class Members who do not submit a valid and timely request to exclude themselves from the Settlement. Participating Class Members will release all of the Released Claims and will be bound by all terms of the Settlement and any final judgment entered in this Action.
- GG. Parties:** Plaintiffs, individually and as the Class Representatives and PAGA representatives on behalf of the State of California, and Defendant.
- HH. Preliminary Approval or Preliminary Approval Order:** The Court's order preliminarily approving the proposed Settlement following the Preliminary Approval Hearing.
- II. Qualified Settlement Fund or QSF:** A fund within the meaning of Treasury Regulation section 1.46B-1, 26 C.F.R. section 1.468B-1 *et seq.*, that is established by the Settlement Administrator for the benefit of Participating Class Members, Eligible Aggrieved Employees, LWDA, Plaintiffs, and Class Counsel.
- JJ. Released Claims:** As of the Effective Date and upon fulfillment of Defendant's payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs and Participating Class Members are releasing in exchange for the consideration provided for by this Agreement are defined as all class claims pled or that could have been pled, whether known or unknown, that reasonably arise out of the same set of operative facts or are reasonably related to the allegations contained in the Complaint in the Action and First Amended Complaint in the Action or any other claims that could have been asserted in the Complaint in the Action and First Amended Complaint in the Action based on the facts alleged. This includes, but is not limited to: (1) failure to pay

minimum wage; (2) failure to pay overtime wages; (3) failure to provide meal breaks; (4) failure to provide rest breaks; (5) failure to pay meal period premium wages; (6) failure to pay rest period premium wages; (7) failure to pay sick leave; (8) failure to provide accurate wage statements; (9) failure to timely pay wages during employment and at separation; (10) failure to maintain accurate payroll records; (11) failure to reimburse business-related expenses; and (12) unfair business practices. The definition of Released Claims covers all the claims described above. The Released Parties shall be entitled to a release of the Released Claims which occurred only during the Class Period and during such time that the Participating Class Member was classified as hourly-paid and/or non-exempt. The Released Claims exclude all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, claims while an employee was classified as exempt, and claims outside of the Class Period.

**KK. Released Parties:** Defendant and its past, present and future agents, employees, servants, officers, directors, partners, trustees, representatives, shareholders, stockholders, attorneys, parents, subsidiaries, affiliated entities, equity sponsors, related corporations, divisions, joint venturers, assigns, predecessors, successors, service providers, insurers, consultants, subcontractors, joint employers, employee benefit plans and fiduciaries thereof, affiliated organizations, and all persons acting under, by, through or in concert with Defendant.

**LL. Response Deadline:** Sixty (60) calendar days from the initial mailing of the Notice Packet and the last date on which Class Members may request exclusion from or object to the Settlement. Class Members who receive a remailed Notice Packet will have an additional ten (10) calendar days, for a total of seventy (70) calendar days from the initial mailing of the Notice Packet, to request exclusion from or object to the Settlement.

**MM. Settlement Administration:** The Settlement Administrator will mail the Notice Packet by first-class U.S. mail to all identified Class Members. The Notice Packet will inform Class Members that they have until the Response Deadline to either object to the Settlement or to opt out of (exclude themselves from) the Settlement. Any Class Member who does not receive a Notice Packet after the steps outlined below have been taken will still be bound by the Settlement and/or Judgment.

**NN. Settlement Administrator:** The third-party administrator agreed upon by the Parties to administer this Settlement is ILYM Group, Inc.

///  
///  
///

## II. RECITALS

- A. **Procedural History.** On December 15, 2022, Plaintiff Tran provided written notice to the LWDA and Defendant of the specific provisions of the Labor Code she contends were violated and the theories supporting her contentions.

On February 21, 2023, Plaintiff Tran filed a representative PAGA action in the Superior Court of California, County of Orange, predicated on Defendant's alleged: (1) failure to pay minimum and overtime wages; (2) failure to provide meal periods and rest breaks; (3) failure to timely pay wages during employment; (4) failure to timely pay wages upon termination; (5) failure to provide complete and accurate wage statements; and (6) failure to reimburse business expenses (Case No. 30-2023-01309069-CU-OE-CXC).

On September 15, 2023, Plaintiff Lauber filed a wage-and-hour class action lawsuit in the Superior Court of California, County of Orange, alleging violations of: (1) Labor Code sections 510 and 1198 (unpaid overtime); (2) Labor Code sections 226.7 and 512(a) (unpaid meal period premiums); (3) Labor Code section 226.7 (unpaid rest period premiums); (4) Labor Code sections 1194 and 1197 (unpaid minimum wages); (5) Labor Code sections 201 and 202 (final wages not timely paid); (6) Labor Code section 226(a) (non-compliant wage statements); (7) Labor Code sections 2800 and 2802 (unreimbursed business expenses); and (8) Business & Professions Code section 17200, *et seq.* (Case No. 30-2023-01349817-CU-OE-CXC).

After engaging in discovery, investigations, and negotiation, the Parties remotely attended mediation with the mediator Lisa Klerman on August 25, 2023 but were unable to initially reach a settlement. Following continued negotiations, the Parties eventually reached a global settlement via a mediator's proposal, subject to the Court's approval.

- B. **Investigation and Discovery.** Before and after the Action was filed, the Parties conducted significant investigation and discovery of the relevant facts and law. Prior to mediation, Defendant produced documents relating to their wage-and-hour policies, practices, and procedures, including those regarding meal and rest periods, overtime, and logging hours worked. Plaintiffs also reviewed time and pay records and information relating to the size and scope of the Class, as well as data permitting Plaintiffs to understand the number of workweeks and pay periods within the Class Period. Furthermore, Plaintiffs located and interviewed putative class members who worked for Defendant during the Class Period. The Parties agree that the above-described investigation and evaluation, as well as the information exchanged during the settlement negotiations, are more than sufficient to assess the merits of the Parties' positions and to compromise the issues on a fair and equitable basis.

///

- C. **Mediation.** On August 25, 2023, the Parties remotely participated in a private mediation with Lisa Klerman, a well-respected mediator with considerable experience mediating wage-and-hour class actions. This mediation took place only after the Parties exchanged extensive information and data. The mediation and subsequent negotiations resulted in the Settlement described herein to resolve this Action in its entirety.
- D. **Filing of First Amended Complaint by Plaintiff Tran.** Within thirty (30) calendar days of this Settlement Agreement being fully executed as a condition of this Agreement, Plaintiff Tran will file a First Amended Complaint which will do the following:
- i. Add Plaintiff Lauber as a named Plaintiff;
  - ii. Add the following class causes of action: (1) Labor Code sections 510 and 1198 (unpaid overtime); (2) Labor Code sections 226.7 and 512(a) (unpaid meal period premiums); (3) Labor Code section 226.7 (unpaid rest period premiums); (4) Labor Code sections 1194 and 1197 (unpaid minimum wages); (5) Labor Code sections 201 and 202 (final wages not timely paid); (6) Labor Code section 226(a) (non-compliant wage statements); (7) Labor Code sections 2800 and 2802 (unreimbursed business expenses); and (8) Business & Professions Code section 17200, *et seq*; and
  - iii. Define the “Proposed Class” as all current and former hourly-paid or non-exempt employees of Defendant within the State of California at any time during the period from February 21, 2019, through November 15, 2023.

Class Counsel shall provide Defendant with a copy of the First Amended Complaint at least three (3) calendar days prior to filing for review and approval.

- E. **Dismissal by Plaintiff Lauber.** Within seven (7) calendar days of the Court granting the motion for preliminary approval, Plaintiff Lauber will file a Request for Dismissal Without Prejudice of her wage-and-hour class action lawsuit pending in the Superior Court of California, County of Orange (Case No. 30-2023-01349817-CU-OE-CXC). This Settlement Agreement is conditioned upon Plaintiff Tran successfully filing the First Amended Complaint and of Plaintiff Lauber successfully dismissing without prejudice her wage-and-hour class action lawsuit.
- F. **Benefits of Settlement to Class Members.** Plaintiffs and Class Counsel recognize the expense and length of additional proceedings necessary to continue the litigation against Defendant through trial and through any possible appeals. Plaintiffs and Class Counsel have considered the uncertainty and risks of further litigation, potential outcome, and difficulties and delays inherent in

such litigation. Plaintiffs and Class Counsel have also conducted extensive settlement negotiations, including a full day of formal mediation. Based on the foregoing, Plaintiffs and Class Counsel believe the Settlement set forth in this Agreement is a fair, adequate, and reasonable settlement, and is in the best interests of the Class Members.

- G. Defendant's Reasons for Settlement.** Defendant recognizes the defense of this litigation will be protracted and expensive for all Parties. Substantial amounts of Defendant's time, energy, and resources have been and, unless this Settlement is made, will continue to be devoted to the defense of the claims Plaintiffs have asserted. Defendant has also considered risks of further litigation in reaching its decision to enter this Settlement. Despite continuing to contend it is not liable for any of the claims set forth by Plaintiffs, Defendant has agreed to settle in the manner and upon the terms set forth in this Agreement to put to rest the claims as set forth in the Action.
- H. Defendant's Denial of Wrongdoing.** Defendant generally and specifically denies any and all liability or wrongdoing of any sort with regard to any of the claims alleged, makes no concessions or admissions of liability of any sort, makes no concessions or admissions that any Class Member is or was employed by Defendant, and contends that for any purpose other than settlement, the case is not appropriate for class or representative treatment. Defendant asserts a number of defenses to the claims and has denied any wrongdoing or liability arising out of any of the alleged facts or conduct in this Action. The monies being paid as part of the settlement are genuinely disputed and the Parties agree that the provisions of Labor Code section 206.5 are not applicable to this Settlement. Neither this Agreement, nor any document referred to or contemplated herein, nor any action taken to carry out this Agreement, is or may be construed as, or may be used as an admission, concession, or indication by or against Defendant or any of the Released Parties of any fault, wrongdoing, or liability whatsoever. This Agreement shall not be construed as an admission that Plaintiffs can serve as adequate class representatives. There has been no final determination by any court as to the merits of the claims asserted by Plaintiffs or as to whether a class or classes should be certified, other than for settlement purposes only.
- I. Plaintiffs' Claims.** Plaintiffs assert Defendant's defenses are without merit. Neither this Agreement nor any documents referred to or contemplated herein, nor any action taken to carry out this Agreement is, may be construed as, or may be used as an admission, concession, or indication by or against Plaintiffs, Class Members, or Class Counsel as to the merits of any claims or defenses asserted, or lack thereof, in the Action. However, if this Settlement is finally approved by the Court, neither Plaintiffs nor Class Counsel will oppose Defendant's efforts to use this Agreement to prove that Plaintiffs and Class Members have resolved and are forever barred as a matter of law from re-litigating the Released Claims.

### **III. SETTLEMENT TERMS AND CONDITIONS**

- A. Settlement Consideration by Defendant.** Subject to the terms and conditions of this Agreement, the maximum Gross Settlement Amount, excluding payroll taxes, that Defendant is obligated to pay under this Settlement Agreement is fixed total non-reversionary amount of \$875,000. In no event shall Defendant be required to pay more than the Gross Settlement Amount other than its share of payroll taxes.
- B. Notice to the LWDA.** On December 15, 2022, Plaintiff Train filed and served her Notice of Labor Code Violations Pursuant to Labor Code section 2699.3. Plaintiff Tran maintains she has satisfied her notice obligations under PAGA.
- C. Class Certification.** For the purposes of this Settlement, the Parties stipulate and agree to certification of the claims asserted on behalf of Class Members. As such, the Parties stipulate and agree in order for this Settlement to occur, the Court must conditionally certify the Class as defined in this Agreement.
- D. Conditional Nature of Stipulation for Certification.** The Parties stipulate and agree to the certification of the claims asserted on behalf of Plaintiffs and Class Members for purposes of this Settlement only. This Agreement is contingent upon Preliminary and Final Approval. If the Settlement does not become final and effective, for whatever reason, the fact the Parties were willing to stipulate to certification as part of the Settlement shall have no bearing on and shall not be admissible or used in any way in connection with the question of whether the Court should certify any claims in a non-settlement context in this Action or in any other lawsuit. Defendant reserves the right to oppose class certification should this Settlement be materially modified, withdrawn, or reversed on appeal, or otherwise fails to become final and effective. An award by the Court of a lesser amount than sought by Plaintiffs and Class Counsel for the Class Representative Enhancement Payments, Attorney Fee Award, and/or Cost Award, will not constitute a material modification to the Settlement within the meaning of this paragraph.
- E. Appointment of Class Representatives.** For the purposes of this Settlement only, the Parties stipulate and agree Plaintiffs shall be appointed as the representatives for the Class.
- F. Appointment of Class Counsel.** For Settlement purposes only, the Parties stipulate and agree Class Counsel shall be appointed to represent the Class.
- G. Individual Settlement Share.** Subject to the terms and conditions of this Agreement, the Settlement Administrator will pay an Individual Settlement Share from the Net Settlement Amount to each Participating Class Member.

///

## **1. Calculation.**

**a. Individual Settlement Share Calculation.** Each Participating Class Member will receive a proportionate share of the Net Settlement Amount that is equal to: (i) the number of weeks he or she worked as a hourly-paid or non-exempt California employee of Defendant during the Class Period based on the Class Data; (ii) divided by the total number of weeks worked by any and all Participating Class Members during the Class Period based on the Class Data; and (iii) multiplied by the Net Settlement Amount. One day worked in a given week will be credited as a week for the purposes of this calculation. The value of each Individual Settlement Share is tied directly to the number of weeks the Participating Class Member worked during the Class Period.

**2. Tax Withholdings.** Each Participating Class Member's Individual Settlement Share will be apportioned as follows: twenty percent (20%) as wages and eighty percent (80%) as interest and penalties. The employees' share of payroll tax withholdings and other legally required withholdings shall be withheld from the portion of each Individual Settlement Share attributed to wages. The amounts paid as wages shall be subject to all tax withholdings customarily made from an employee's wages and all other authorized and required withholdings and shall be reported by an IRS Form W-2. The amounts paid as interest and penalties shall be subject to all authorized and required withholdings other than the tax withholdings customarily made from employees' wages and shall be reported by an IRS Form 1099. Eligible Aggrieved Employees' portion of the PAGA Payment will be allocated as one hundred percent (100%) penalties and shall be reported by an IRS Form 1099.

## **H. Settlement Disbursement.**

**1. Shares and Payments.** Subject to the Court finally approving the Settlement, the Settlement Administrator shall distribute funds pursuant to the terms of this Agreement and the Court's Final Approval Order and Judgment. The maximum amount Defendant can be required to pay under this Settlement for any purpose is the Gross Settlement Amount, other than Defendant's payment of payroll taxes. The Settlement Administrator shall keep Counsel for Defendant and Class Counsel apprised of all distributions from the Gross Settlement Amount. The Settlement Administrator shall respond to questions from Counsel for Defendant and Class Counsel. No person shall have any claim against Defendant, Counsel for Defendant, Plaintiffs, Class Counsel, or Settlement Administrator based on the distributions and payments made in accordance with this Agreement.

///

- 2. Funding the Settlement.** No later than fourteen (14) calendar days after the Effective Date, Defendant shall deposit the Gross Settlement Amount into the QSF. Defendant shall also at this time provide any tax information that the Settlement Administrator may need to calculate each Participating Class Member's Individual Settlement Share.
- 3. Disbursement.** Within fourteen (14) calendar days after the funding of the Settlement, the Settlement Administrator shall calculate and pay all payments due under the Settlement Agreement, including all Individual Settlement Shares, Attorney Fee Award, Cost Award, Class Representative Enhancement Payments, Administration Costs, and PAGA Payment. The Settlement Administrator will forward a check for seventy-five percent (75%) of the PAGA Payment to LWDA for settlement of the PAGA claims.
- 4. QSF.** The Parties agree the QSF is intended to be a "Qualified Settlement Fund" under section 468B of the Code and Treasury Regulations section 1.468B-1, 26 C.F.R. section 1.468B-1 *et seq.*, and will be administered by the Settlement Administrator as such. The Parties and Settlement Administrator shall treat the QSF as coming into existence as a Qualified Settlement Fund on the earliest date permitted as set forth in 26 C.F.R. section 1.468B-1, and such election statement shall be attached to the appropriate returns as required by law.
- 5. Uncashed Checks.** Participating Class Members and Eligible Aggrieved Employees must cash or deposit their Individual Settlement Share checks within one hundred eighty (180) calendar days after the checks are mailed to them. If any checks are not redeemed or deposited within ninety (90) calendar days after mailing, the Settlement Administrator will send a reminder postcard indicating that unless the check is redeemed or deposited in the next ninety (90) calendar days, it will expire and become non-negotiable, and offering to replace the check if it was lost or misplaced. If any checks remain uncashed or not deposited by the expiration of the 90-day period after mailing the reminder notice, the Settlement Administrator will, within two hundred (200) calendar days after the checks are initially mailed, pay the amount of the Individual Settlement Share to the California State Controller's Unclaimed Property Division in accordance with California Unclaimed Property Law so that the Participating Class Member and Eligible Aggrieved Employees will have his or her Individual Settlement Share available to him or her per the applicable claim procedure to request that money from the State of California.
- 6. Final Report by Settlement Administrator.** Within ten (10) calendar days after the disbursement of all funds, the Settlement Administrator will serve on the Parties a declaration providing a final report on the disbursements of all funds.

7. **Payments.** Subject to the terms and conditions of this Agreement, the Settlement Administrator will make the following payments out of the Gross Settlement Amount:

a. **To the Plaintiffs.** In addition to their Individual Settlement Shares, and subject to the Court's approval, Plaintiffs will each receive \$10,000 as the Class Representative Enhancement Payments (totaling \$20,000) in exchange for a release of the Released Claims, a General Release, and for their time, effort, and risks they undertook in bringing and prosecuting this matter. The Settlement Administrator will pay the Class Representative Enhancement Payments out of the Qualified Settlement Fund. Payroll tax withholdings and deductions will not be taken from the Class Representative Enhancement Payments. The Settlement Administrator shall issue an IRS Form 1099-MISC to Plaintiffs for the Class Representative Enhancement Payments. Plaintiffs shall be solely and legally responsible to pay any and all applicable taxes on the Class Representative Enhancement Payments and shall hold harmless Defendant and the Released Parties from any claim or liability for taxes, penalties, or interest arising as a result of the Class Representative Enhancement Payments. If the Court does not approve the entirety of the application for the Class Representative Enhancement Payments, Plaintiffs shall not have the right to revoke the settlement and it will remain binding. If the Court does not approve the entirety of the application for the Class Representative Enhancement Payments, the Settlement Administrator shall pay whatever amount the Court awards, and neither Defendant nor the Settlement Administrator shall be responsible for paying the difference between the amount requested and the amount awarded. If the amount awarded is less than the amount requested, the difference shall become part of the NSA and be available for distribution to Participating Class Members.

b. **To Class Counsel.** Class Counsel will apply to the Court for a total Attorney Fee Award not to exceed one-third (1/3), or \$291,666.67, of the GSA and a Cost Award not to exceed \$18,000. Defendant takes no position on the requests for these payments provided they do not exceed these amounts. Class Counsel, Plaintiffs, and Participating Class Members will not apply to the Court for any payment of attorneys' fees and costs that are in addition to the foregoing or that exceed the GSA. The Parties agree that, over and above the Court-approved Attorney Fee and Cost Awards, each of the Parties, including all Participating Class Members, shall bear their own fees and costs, including, but not limited to: (i) those related to the investigation, filing, prosecution,

or settlement of the Action; (ii) negotiation, execution, or implementation of this Agreement; and/or (iii) the process of obtaining, administering, or challenging an Order Granting Preliminary Approval and/or Final Approval. The Settlement Administrator may purchase an annuity to utilize U.S. treasuries and bonds or other attorney fee deferral vehicles for Class Counsel. The Settlement Administrator will pay the court-approved amounts for the Attorney Fee Award and Cost Award out of the Gross Settlement Amount. Payroll tax withholding and deductions will not be taken from the Attorney Fee Award or the Cost Award. An IRS Forms 1099 will be issued to Class Counsel with respect to these payments. If the Court does not approve the entirety of the application for the Attorney Fee Award and/or Cost Award, the Settlement Administrator shall pay whatever amount the Court awards, and neither Defendant nor the Settlement Administrator shall be responsible for paying the difference between the amount requested and the amount awarded. If the amount awarded is less than the amount requested by Class Counsel for the Attorney Fee Award and/or Cost Award, the difference shall become part of the NSA and be available for distribution to Participating Class Members. This Settlement is not contingent on the award of Attorney Fee Award and Cost Award. Nothing in this section or Settlement limits the rights of Class Counsel to appeal any decision by the Court regarding Attorney Fee Award or Cost Award. Class Counsel assumes full responsibility and liability for taxes owed on such payments, and will hold Defendant harmless, and indemnify Defendant from any dispute or controversy regarding any division or sharing of any of these payments.

- c. To the Responsible Tax Authorities.** The Settlement Administrator will pay the amount of each Participating Class Member's portion of normal payroll withholding taxes out of each Participating Class Member's Individual Settlement Share. The Settlement Administrator will also calculate the amount of the Participating Class Members' and Defendant's portions of payroll withholding taxes. The Settlement Administrator will submit Defendant's portion of payroll withholding tax calculation to Defendant for funding and forward that amount, along with each Participating Class Member's Individual Settlement Share withholdings, to the appropriate taxing authorities.
- d. To the Settlement Administrator.** The Settlement Administrator will pay to itself Administration Costs (reasonable fees and expenses) approved by the Court in an amount not to exceed \$12,000. This will be paid out of the Qualified Settlement Fund. If the actual amount of Administration Costs is less than the

amount estimated and/or requested, the difference shall become part of the NSA and be available for distribution to Participating Class Members. If the Court does not approve the entirety of the requested Administration Costs, the Settlement Administrator shall pay to itself whatever amount the Court awards, and neither Defendant nor Plaintiffs shall be responsible for paying the difference between the amount requested and the amount awarded.

- e. **To Participating Class Members.** The Settlement Administrator will pay Participating Class Members according to the Individual Settlement Share calculations set forth above. All payments to Participating Class Members shall be made from the Qualified Settlement Fund. Individual Settlement Shares shall be mailed by regular first-class U.S. mail to Participating Class Members' last known mailing addresses no later than fourteen (14) calendar days after the funding of the Settlement. The Settlement Administrator shall skip trace any checks issued to Participating Class Members that are returned as undeliverable.
- f. **PAGA Payment.** \$40,000 shall be allocated from the Gross Settlement Amount for the settlement and release of claims for civil penalties under PAGA. The Settlement Administrator shall pay seventy-five percent (75%) of the PAGA Payment (\$30,000) to the LWDA. The Settlement Administrator shall distribute the remaining twenty-five (25%) of the PAGA Payment (\$10,000) to the Eligible Aggrieved Employees as described in this Agreement. Class Counsel will take all action required by California Labor Code section 2699(l).
- g. **To Eligible Aggrieved Employees.** The Settlement Administrator shall pay each Eligible Aggrieved Employee according to his or her proportional share of the PAGA Payment allocated to the Eligible Aggrieved Employees, which will be based upon the total number of pay periods during which the Eligible Aggrieved Employee was employed during the PAGA Period. Each individual share will be calculated by: (i) determining the total number of pay periods during the PAGA Period during which the Eligible Aggrieved Employee was employed based on the Class Data; (ii) dividing this number by the total number of pay periods during the PAGA Period during which all Eligible Aggrieved Employees were employed based on the Class Data; and (iii) multiplying this number by the \$10,000 allocated to the Eligible Aggrieved Employees. Individual shares for Eligible Aggrieved Employees shall be mailed by regular first-class U.S. mail to Eligible Aggrieved Employees' last known mailing addresses no later than fourteen (14) calendar days after

the funding of the Settlement. The Settlement Administrator shall skip trace any checks issued to Eligible Aggrieved Employees that are returned as undeliverable.

**I. Appointment of Settlement Administrator.** Solely for the purposes of this Settlement, the Parties stipulate and agree ILYM Group, Inc. shall be retained to serve as Settlement Administrator. By accepting the role as Settlement Administrator, the Settlement Administrator is bound to all of the terms, conditions and obligations described in this Settlement Agreement. The Settlement Administrator shall be responsible for: (1) preparing, printing, translating, and mailing the Notice Packet to Class Members; (2) keeping track of any objections or requests for exclusion from Class Members; (3) calculating each Class Member's and Eligible Aggrieved Employee's proportional share of the settlement; (4) calculating any and all payroll tax deductions as required by law; (5) mailing Individual Settlement Shares to Participating Class Members; (6) performing skip traces and re-mailing Notice Packets and Individual Settlement Shares; (7) providing weekly status reports to Counsel for Defendant and Class Counsel, which are to include updates on any objections or requests for exclusion that have been received; (8) providing a due diligence declaration for submission to the Court prior to the Final Approval Hearing; (9) mailing the portion of the PAGA Payment to the LWDA; (10) distributing the Attorney Fee Award and Cost Award to Class Counsel; (11) printing and providing Class Members and Plaintiffs with IRS Form W-2 and 1099 as required under the Settlement and applicable law; (12) providing a due diligence declaration for submission to the Court upon the completion of the Settlement; (13) providing any funds remaining in the QSF as a result of uncashed checks to the California State Controller's Unclaimed Property Division in accordance with California Unclaimed Property Law, including the administration of related tax reimbursements; and (14) performing such other tasks as the Parties mutually agree.

1. The Parties each represent they do not have any financial interest in the Settlement Administrator or otherwise have a relationship with the Settlement Administrator that could create a conflict of interest. Plaintiffs, Class Counsel, Defendant, and Counsel for Defendant shall not bear any responsibility for errors or omissions in the calculation or distribution of the Individual Settlement Shares or any other distribution of monies contemplated by this Agreement.

**J. Procedure for Approving Settlement.**

**1. Motion for Preliminary Approval and Conditional Certification.**

- a. Plaintiffs will move for an order conditionally certifying the Class for settlement purposes only, giving Preliminary Approval of the Settlement, setting a date for the Final Approval hearing, and approving the Notice Packet. The Motion for Preliminary

Approval shall include this Settlement Agreement. At least five (5) calendar days prior to the filing of the Motion for Preliminary Approval, Plaintiffs shall provide a copy of the moving papers to Defendant for review and approval.

- b. At the Preliminary Approval Hearing, Plaintiffs will appear, support the granting of the motion, and submit a proposed order granting conditional certification of the Class and Preliminary Approval of the Settlement; appointing the Class Representatives, Class Counsel, and Settlement Administrator; approving the Notice Packet; and setting the Final Approval Hearing.
- c. Should the Court decline to conditionally certify the Class or to Preliminarily Approve all material aspects of the Settlement, the Settlement will be null and void, and the Parties will have no further obligations. The amounts of the Attorney Fee Award, Cost Award, Administration Costs, and Class Representative Enhancement Payments shall be determined by the Court, and the Court's determination on these amounts shall be final and binding. The Court's approval or denial of any amount requested for these items is not a condition of the Settlement and shall be considered separate and apart from the fairness, reasonableness, and adequacy of the Settlement. Any order or proceeding relating to an application for Attorney Fee Award, Cost Award, Administration Costs, and/or Class Representative Enhancement Payments shall not operate to terminate or cancel this Agreement. Nothing in this Agreement shall limit Plaintiffs or Class Counsel's ability to appeal any decision by the Court to award less than the requested Attorney Fee Award, Cost Award, Administration Costs, and/or Class Representative Enhancement Payments.

**2. Notice to Class Members.** After the Court enters its Preliminary Approval Order, every Class Member will be provided with the Notice Packet in accordance with the following procedure:

- a. Within fourteen (14) calendar days after entry of the Preliminary Approval Order, Defendant shall deliver to the Settlement Administrator the Class Data. If any or all of the Class Data is unavailable to Defendant, then Defendant will so inform Class Counsel and the Parties will make their best efforts to reconstruct or otherwise agree upon how to deal with the unavailable information. The Class Data shall be based on Defendant's payroll, personnel, and other business records. The Settlement Administrator will conduct a National Change of Address Database search for all Class Members to obtain the most up-to-date address information. The Settlement Administrator shall

maintain the Class Data and all information contained therein as private and confidential. This provision shall not impede Class Counsel's ability to discharge their fiduciary duties, including effectuating the terms of this Settlement.

- b.** Within twenty-one (21) calendar days after receiving the Class Data, the Settlement Administrator will mail the Notice Packet to all identified Class Members via first-class regular U.S. Mail, using the most current mailing address information available.
- c.** If a Notice Packet is returned because of an incorrect address, within ten (10) calendar days after receipt of the returned Notice Packet, the Settlement Administrator will conduct a search for a more current address for the Class Member and remail the Notice Packet to the Class Member. The Settlement Administrator will conduct a skip trace to attempt to find the Class Member's current address. The Settlement Administrator will be responsible for taking reasonable steps to trace the mailing address of any Class Member for whom a Notice Packet is returned by U.S. Postal Service as undeliverable. These reasonable steps shall include, at a minimum: (i) tracking of all undelivered mail; (ii) performing address searches for all mail returned without a forwarding address; and (iii) promptly remailing Notice Packets to Class Members for whom new addresses are found. If the Settlement Administrator is unable to locate a better address, the Notice Packet shall be remailed to the original address. If the Notice Packet is remailed, the Settlement Administrator will note for its own records the date and address of each remailing. Those Class Members who receive a remailed Notice Packet, whether by skip trace or forwarded mail, will have an additional ten (10) calendar days from the original Response Deadline to postmark an Exclusion Form or objection to the Settlement. The Settlement Administrator shall mark on the envelope whether the Notice Packet is a remailed Notice Packet.
- d.** Class Members will have the opportunity to dispute the information provided in their Notice Packets. All such disputes must be in writing, postmarked by the Response Deadline, and sent via first-class U.S. mail to the Settlement Administrator. To the extent a Class Member disputes the number of workweeks or pay periods with which he or she has been credited or the amount of his or her Individual Settlement Share, the Class Member may produce and submit evidence to the Settlement Administrator showing the disputed information is inaccurate. In the absence of any such evidence, Defendant's records will be presumed correct. The Settlement Administrator will evaluate any evidence

submitted by the Class Member and will make the initial decision as to the number of eligible workweeks or pay periods with which the Class Member should be credited and/or the amount of the Individual Settlement Share to which the Class Member may be entitled. The Court shall have the right to review any decision made by the Settlement Administrator regarding such a dispute and will make the final determination. The Court will resolve any workweek and/or pay period disputes not resolved by the Settlement Administrator and the Parties. The Parties will file with the Court any and all disputes submitted by Class Members, evidence submitted in support of such disputes, and resolution of those disputes.

- e. If the Settlement Administrator receives an incomplete or deficient Exclusion Form, the Settlement Administrator shall send a letter informing the Class Member of the deficiency and shall provide the Class Member fourteen (14) calendar days with which to cure the deficiency. If the Settlement Administrator does not receive a cured Exclusion Form, postmarked by the last and fourteenth (14th) day of the cure period, the Class Member will be deemed not have excluded himself or herself from the Settlement and will be bound by the Settlement.
- f. The Settlement Administrator shall provide a weekly status report to the Parties. As part of its weekly status report, the Settlement Administrator will inform Class Counsel and Counsel for Defendant of the number of Notice Packets mailed, number of Notice Packets returned as undeliverable, number of Notice Packets remailed, and number of Exclusion Forms received.
- g. No later than fourteen (14) calendar days after the Response Deadline, the Settlement Administrator will serve on the Parties a declaration of due diligence setting forth its compliance with its obligations under this Agreement. The declaration from the Settlement Administrator shall also be filed with the Court by Class Counsel no later than sixteen (16) court days before the Final Approval Hearing. If any material changes occur after the date of the filing of Settlement Administrator's declaration of due diligence but before the Final Approval Hearing, the Settlement Administrator will supplement its declaration.
- h. If a Class Member submits both an Exclusion Form and written objection to the Settlement Administrator prior to the Response Deadline, the Settlement Administrator will first attempt to contact this Class Member to determine if they intended to submit only the Exclusion Form or written objection. If the Settlement

Administrator is unable to contact the Class Member within ten (10) calendar days of receiving both the Exclusion Form and written objection or the Class Member fails to respond to the Settlement Administrator within ten (10) calendar days of being contacted, then only the Exclusion Form will be deemed valid. The Class Member's written objection will be invalid, and the Class Member will no longer be considered a member of the Class, will not receive his or her Individual Settlement Share, and will not be bound by the Released Claims.

**3. Objections to Settlement.** The Notice Packet will provide Class Members who wish to object to the Settlement may do so by submitting objections in writing, signed, and dated, to the Settlement Administrator, postmarked no later than the Response Deadline. Class Members who object to this Settlement or any of its terms may not also submit Exclusion Forms (*i.e.*, may not opt out). The postmark date of mailing shall be deemed the exclusive means for determining that an objection was timely served.

- a. For an objection to be valid, it must: (i) be signed by the objecting Class Member or his or her lawful representative; (ii) include the objecting Class Member's full name, address, telephone number, and the last four digits of the Class Member's Social Security Number, as well as the name and address of counsel, if any; (iii) include the words "Notice of Objection" or "Formal Objection;" (iv) state the case name and case number; (v) provide a concise, factual written statement of the Class Member's reasons for objecting; and (vi) include a statement indicating whether the objecting Class Member (or someone on his or her behalf) intends to appear at the Final Approval Hearing.
- b. Class Members may (but are not required to) appear at the Final Approval Hearing, either in person or through the objecting Class Member's own counsel, at the Class Member's own expense, and may orally object to the Settlement. Class Members' valid and timely written objections to the Settlement will still be considered even if the objector does not appear at the Final Approval Hearing. Class Members are permitted to make objections without a showing of good cause at the Final Approval Hearing, in person or through counsel, whether or not they submitted an objection.
- c. A Class Member who objects to the Settlement will remain a Participating Class Member and if the Court finally approves the Settlement Agreement, the objecting Class Member will be bound by the terms of the Settlement Agreement in the same way and to the same extent as those Participating Class Members who do not object to the Settlement Agreement.

- d. The Settlement Administrator shall send all objections to Class Counsel and Counsel for Defendant. Class Counsel will be responsible for filing the objections with the Court in advance of the Final Approval Hearing. Class Counsel and Counsel for Defendant will be permitted to respond in writing to any properly submitted objections no later than nine (9) court days before the Final Approval Hearing.

**4. Request for Exclusion from the Settlement (“Opt Out”).** The Notice Packet will provide that Class Members who wish to exclude themselves from the Settlement must mail to the Settlement Administrator an Exclusion Form by the Response Deadline. The Exclusion Form shall unambiguously state the Class Member wishes to exclude himself or herself from the Settlement and must: (a) include the Class Member’s name, address, and the last four digits of the Class Member’s Social Security Number; (b) be addressed to the Settlement Administrator; (c) be signed by the Class Member or his or her lawful representative; and (d) be postmarked no later than the Response Deadline.

- a. An Exclusion Form will not be valid if it is not timely submitted (*i.e.*, postmarked after the Response Deadline), not signed by the Class Member, and/or does not contain the name and address of the Class Member. The date of postmark on the return mailing envelope for the Exclusion Form shall be the exclusive means used to determine whether the Exclusion Form was timely submitted. Class Members who fail to submit valid and timely Exclusion Forms will automatically be included in the Settlement and will become Participating Class Members upon the expiration of the Response Deadline. Each Participating Class Member shall be bound by all terms of the Settlement and any Final Judgment entered in this Action if the Settlement is finally approved by the Court and shall receive an Individual Settlement Share regardless of whether the Participating Class Member submitted an objection.
- b. Any Class Member who returns a valid and timely Exclusion Form will not participate in or be bound by the terms of the class portion of the Settlement or any Final Judgment entered if the Settlement is finally approved by the Court and will not have any right to object to, appeal, or comment thereon. Class Members who return valid and timely Exclusion Forms will not be entitled to any payment from the Net Settlement Amount. Nothing in this Settlement will constitute or be construed as a waiver of any defense Defendant or the Released Parties have or could assert against anyone who timely submits an Exclusion Form.

///

- c. If there is a question about the authenticity of a signed Exclusion Form, the Settlement Administrator may demand additional proof from the purported Class Member establishing their identity.
  - d. No later than fourteen (14) calendar days after the Response Deadline, the Settlement Administrator will provide the Parties with a complete and accurate accounting of the number of Notice Packets mailed to Class Members, number of Notice Packets returned as undeliverable, number of Notice Packets remailed to Class Members, number of remailed Notice Packets returned as undeliverable, number of Class Members who objected to the Settlement and copies of their submitted objections, number of Class Members who returned valid and timely Exclusion Forms, and number of Class Members who returned invalid Exclusion Forms.
  - e. Notwithstanding the foregoing, the Parties agree for purposes of this Settlement that there is no statutory or other right for any Eligible Aggrieved Employee to opt out or otherwise exclude himself or herself from the PAGA portion of the Settlement, which releases the PAGA claims as provided in section I(EE) of this Agreement. An Eligible Aggrieved Employee who submits a valid and timely Exclusion Form shall still receive his or her share of the PAGA Payment and shall release the PAGA claims as provided in section I(EE) of this Agreement.
- 5. Right to Revoke.** If ten percent (10%) or more of the Class Members opt out of the Class, Defendant, in its sole discretion, may revoke its agreement to this Settlement within ten (10) calendar days of being informed by the Settlement Administrator in writing that ten percent (10%) or more of the Class Members have opted out of the Class.
- 6. No Solicitation of Objections or Exclusion Forms.** Neither the Parties nor their counsel will solicit or otherwise encourage, directly or indirectly, any Class Member to object to the Settlement, request exclusion from the Settlement, or appeal the Final Approval Order or Judgment.
- 7. Motion for Final Approval.**
- a. Within sixteen (16) court days before the Final Approval Hearing, Class Counsel will file a motion and memorandum in support thereof for Final Approval of the Settlement and the following payments in accord with the terms of the Settlement: (i) Attorney Fee Award; (ii) Cost Award; (iii) Administration Costs; (iv) Class Representative Enhancement Payments; and (v) PAGA Payment. Class Counsel will also move the Court for an order of Final

Approval (and associated entry of Judgment), pursuant to which all Participating Class Members shall release all Released Claims.

- b. The Settlement Administrator shall submit a declaration in support of the Motion for Final Approval of this Settlement detailing the number of Notice Packets mailed and remailed to Class Members, number of undeliverable Notice Packets, number of valid and timely Exclusion Forms received, number of valid and timely written objections received, average, highest, and lowest amount of the Individual Settlement Shares, Administration Costs, and any other information as the Parties mutually agree or the Court orders the Settlement Administrator to provide.
- c. Upon Final Approval of the Settlement, the Parties shall present to the Court a proposed Final Approval Order, approving the Settlement and entering Judgment in accordance therewith. After entry of Judgment, the Court shall have continuing jurisdiction over the Action for purposes of: (i) enforcing this Settlement Agreement; (ii) addressing settlement administration matters, and (iii) addressing such post-Judgment matters as may be appropriate under Court rules and applicable law.

**8. Defendant's Legal Fees.** Defendant is responsible for paying all of its own legal fees, costs, and expenses incurred in this Action, in addition to and separate from payment of the Gross Settlement Amount.

**K. Release of Claims by All Participating Class Members.** As of the Effective Date and upon fulfillment of Defendant's payment obligations under section III(H)(2) of this Agreement, all Participating Class Members will be bound by a release of all claims and causes of action falling under the definition of Released Claims occurring during the Class Period.

**L. Release of PAGA Claims by All Eligible Aggrieved Employees.** As of the Effective Date and upon fulfillment of Defendant's payment obligations under section III(H)(2) of this Agreement, the LWDA, and any other representative, proxy, or agent thereof, including Plaintiffs, are voluntarily and knowingly barred as a matter of law from bringing any and all claims against the Released Parties seeking civil penalties under Labor Code section 2698, *et seq.* (PAGA), based on or arising out of the Labor Code violations alleged in the notice letter to the LWDA and Complaint occurring during the PAGA Period. The release of the PAGA claims is effective regardless of whether the Eligible Aggrieved Employee submits a valid and timely Exclusion Form.

///  
///  
///

**M. Plaintiffs' General Release of Claims.** As of the Effective Date and upon fulfillment of Defendant's payment obligations under section III(H)(2) of this Agreement, and in exchange for the Class Representative Enhancement Payments to Plaintiffs, in an amount not to exceed \$10,000 each (totaling \$20,000), in recognition of her work and efforts in obtaining the benefits for the Class and undertaking the risk of paying litigation costs if this matter had not successfully resolved, Plaintiffs provide a general release of all claims for themselves and any spouse, heirs, successors and assigns, and forever release, remise, and discharge the Released Parties from any and all charges, complaints, claims, liabilities, obligations, promises, agreements, controversies, damages, actions, causes of action, suits, rights, demands, costs, attorneys' fees, losses, debts, penalties and expenses of any nature whatsoever that arose during the Class Period, known or unknown, suspected or unsuspected, asserted or that might have been asserted, whether in tort, contract, equity, or otherwise, arising out of Plaintiffs' employment with Defendant, payment of wages during that employment and the cessation of that employment, and/or violation of any federal, state or local statute, rule, ordinance or regulation, including, without limitation, any and all releasable claims arising from: (1) Title VII of the Civil Rights Act of 1964 (race, color, religion, sex, and national origin discrimination or harassment, including retaliation for reporting discrimination or harassment); (2) 42 U.S.C. section 1981 (discrimination); (3) sections 503 and 504 of the Rehabilitation Act of 1973 (handicap discrimination); (4) Equal Pay Act, 29 U.S.C. section 206(d) (equal pay); (5) Americans with Disabilities Act, 42 U.S.C. section 12100, *et seq.* (disability discrimination); (6) Family and Medical Leave Act, 29 U.S.C. section 2601, *et seq.* (family/medical leave); (7) Fair Employment and Housing Act, California Government Code section 12900, *et seq.* (discrimination or harassment in employment and/or housing, including discrimination or harassment based on race, religious creed, color, national origin, ancestry, disability, marital status, sex (including pregnancy), sexual orientation, or age, including, without limitation, retaliation for reporting discrimination or harassment); (8) Family Rights Act, California Government Code section 12945.1, *et seq.* (family/medical leave); (9) Labor Code, including but not limited to Labor Code section 2698, *et seq.* (PAGA), or any Industrial Welfare Commission Wage Order; (10) Fair Labor Standards Act, 29 U.S.C. section 201, *et seq.*; (11) Executive Order 11246 (race, color, religion, sex, and national origin discrimination or harassment); (12) Executive Order 11141 (age discrimination); (13) Business and Professions Code section 17200, *et. seq.*, and (14) Employee Retirement Income Security Act, 29 U.S.C. section 1000, *et seq.* (employee benefits). With respect to this General Release, Plaintiffs stipulate and agree, as of the Effective Date, Plaintiffs shall be deemed to have knowingly expressly waived and relinquished, to the fullest extent permitted by law, the provisions, rights and benefits of Civil Code section 1542, or any other similar provision under federal or state law, which provides:

///  
///  
///

**A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.**

- N. This Settlement will become final and effective only upon the occurrence of all the following events: (1) Court enters an order granting preliminary approval of the Settlement; (2) Court enters an order granting final approval of the Settlement and a Final Judgment; and (3) Effective Date occurs.

**O. Miscellaneous Terms.**

- 1. No Admission of Liability.** Defendant makes no admission of liability or wrongdoing by virtue of entering into this Agreement, including, but not limited to, admitting that it was the employer of any Class Member at any time. Defendant reserves the right to contest any issues relating to class certification and liability if the Settlement is not approved. Defendant denies it has engaged in any unlawful activity, has failed to comply with the law in any respect, have any liability to anyone under the claims asserted in this Action, or that but for the Settlement, a Class should be certified in the Action. This Agreement is entered solely for the purpose of compromising highly disputed claims. This Settlement and the Parties' willingness to settle the Action will have no bearing on, and will not be admissible in connection with, any litigation (other than solely in connection with this Settlement).
- 2. No Effect on Employee Benefits.** The amounts paid herein do not represent a modification of any previously credited hours of service under any employee benefit plan, policy, or bonus program sponsored by Defendant. Such amounts will not form the basis for more contributions to, benefits under, or any other monetary entitlement under, benefit plans sponsored by Defendant's policies or bonus programs. Any payments made under the terms of this Settlement shall not be applied retroactively, currently or on a going forward basis as salary, earnings, wages, or any other form of compensation for the purposes of Defendant's benefit plans, policies or bonus programs. Defendant retains the right to modify the language of its benefit plans, policies, and bonus programs to effect this intent and to make clear that any amounts paid pursuant to this Settlement are not for "hours worked," "hours paid," "hours of service," or any similar measuring term as defined by applicable plans, policies, and bonus programs for purpose of eligibility, vesting, benefit accrual or any other purpose, and that additional contributions or benefits are not required by this Settlement.
- 3. Publicity.** Plaintiffs and Class Counsel agree they have not and will not publish the Settlement Agreement. In response to any inquiries, Plaintiffs will state that "the case was resolved and it was resolved confidentially." Class Counsel shall not report the Settlement Agreement in any medium or

in any publication, shall not post or report anything regarding the claims of Plaintiffs or the Class or the Settlement Agreement on their website, and shall not contact any reporters or media regarding the Settlement. Despite this provision, Class Counsel can discuss the Settlement with Plaintiffs and the Class Members and in any filings with the Court. Nothing in this Agreement is intended to limit Plaintiffs or any other individual's discussion of factual information regarding unlawful acts in the workplace or to interfere with the exercise of rights guaranteed by the National Labor Relations Act, including Section 7 thereof.

- 4. Integrated Agreement.** After this Agreement is signed and delivered by all Parties and their counsel, this Agreement and its exhibits will constitute the entire Agreement between the Parties relating to the Settlement, and it will then be deemed that no oral representations, warranties, covenants, or inducements have been made to any party concerning this Agreement or its exhibits, other than the representations, warranties, covenants, and inducements expressly stated in this Agreement and its exhibits. Except as expressly provided herein, this Agreement has not been executed in reliance upon any other written or oral representations or terms, and no such extrinsic oral or written representations or terms shall modify, vary, or contradict its terms. In entering this Agreement, the Parties agree this Agreement is to be construed according to its terms and may not be varied or contradicted by extrinsic evidence.
- 5. Authorization to Enter This Agreement.** The Parties' counsel warrant and represent they are authorized by the Parties to take all appropriate action required or permitted to be taken by such Parties under this Agreement to effectuate its terms, and to execute any other documents required to effectuate its terms. The Parties and their counsel will cooperate with each other and use their best efforts to effect the implementation of the Settlement. If the Parties are unable to reach agreement needed to implement this Agreement, or on any supplemental provisions that may become necessary, the Parties will seek the assistance of the Court, and in all cases, all such documents, supplemental provisions, and assistance of the Court will be consistent with this Agreement.
- 6. Exhibits and Headings.** The terms of this Agreement include the terms set forth in the exhibits. Any exhibits to this Agreement are an integral part of the Settlement. The descriptive headings of any paragraphs or sections of this Agreement are inserted for convenience of reference only and do not constitute a part of this Agreement.
- 7. Deadlines Falling on Weekends or Holidays.** To the extent any deadline set forth in this Settlement Agreement falls on a Saturday, Sunday, or legal holiday, that deadline shall be continued until the following business day.

- 8. Interim Stay of Proceedings.** The Parties agree to stay and hold all proceedings in the Action in abeyance, except such proceedings necessary to implement and complete the Settlement, pending the Final Approval Hearing to be conducted by the Court.
- 9. Amendment or Modification of Agreement.** This Agreement, and any and all parts of it, may be amended, modified, changed, or waived only by an express written instrument, signed by counsel for all Parties or their successors-in-interest and subject to Court approval.
- 10. Waiver of Certain Appeals.** The Parties agree to stipulate to class certification for purposes of implementing this Settlement only and agree to waive all appeals from the Court's final approval of the Settlement, unless the Court modifies the Settlement.
- 11. Notice of Settlement to LWDA.** Plaintiffs represent that at the same time they file the Motion for Preliminary Approval, Plaintiffs will provide notice of this Agreement and proposed settlement to the LWDA as required by Labor Code section 2699(1)(2).
- 12. Agreement Binding on Successors and Assigns.** This Agreement will be binding upon, and inure to the benefit of, the successors and assigns of the Parties, as previously defined.
- 13. No Prior Assignment.** Plaintiffs represent, covenant, and warrant that they have not directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or encumber to any person or entity any portion of any liability, claim, demand, action, cause of action or rights herein released and discharged.
- 14. Applicable Law.** All terms and conditions of this Agreement and its exhibits will be governed by and interpreted according to the laws of the State of California, without giving effect to any conflict of law principles or choice of law principles.
- 15. Fair, Adequate, and Reasonable Settlement.** The Parties and their counsel believe and warrant this Agreement reflects a fair, reasonable, and adequate settlement of the Action and have arrived at this Agreement through arm's-length negotiations, considering all relevant factors, current and potential. The Parties further agree this Agreement shall not be construed in favor of or against any party by reason of the extent to which any party or her or its counsel participated in the drafting of this Agreement.

///  
///  
///

**16. No Unalleged Claims.** Plaintiffs and Class Counsel represent they, as of the date of execution of this Settlement, have no current intention of pursuing any claims against Defendant in any judicial, administrative, or arbitral forum, including, but not limited to, any and all claims relating to or arising from Plaintiffs' employment with Defendant, and that Class Counsel are not currently aware of any facts or legal theories upon which any claims or causes of action could be brought against Defendant, excepting those facts or legal theories alleged in the Complaint in this Action. Plaintiffs and Class Counsel represent and agree they do not currently know of or represent any persons who have expressed any interest in pursuing litigation or seeking any recovery against Defendant.

**17. No Tax or Legal Advice.** The Parties understand and agree the Parties are neither providing tax or legal advice, nor making representations regarding tax obligations or consequences, if any, related to this Agreement, and that Class Members will assume any such tax obligations or consequences that may arise from this Agreement, and that Class Members shall not seek any indemnification from the Parties or any of the Released Parties in this regard. The Parties agree if any taxing body determines additional taxes are due from any Class Member, such Class Member assumes all responsibility for the payment of such taxes.

**18. Jurisdiction of the Court.** The Court shall retain jurisdiction with respect to the interpretation, implementation, and enforcement of the terms of this Agreement and all orders and judgment entered in connection therewith, and the Parties and their counsel hereto submit to the jurisdiction of the Court for purposes of interpreting, implementing, and enforcing the Settlement embodied in this Agreement and all orders and judgments in connection therewith.

**19. Invalidity of Any Provision; Severability.** Before declaring any provision of this Agreement invalid, the Parties request the Court first attempt to construe the provisions valid to the fullest extent possible consistent with applicable precedents, so as to define all provisions of this Agreement valid and enforceable. If a provision of this Agreement are found unenforceable, the unenforceable provision shall be deemed deleted, and the validity and enforceability of the remaining provisions shall not be affected thereby.

**20. Cooperation in Drafting.** The Parties have cooperated in the drafting and preparation of this Agreement. This Agreement will not be construed against any party on the basis that the party was the drafter or participated in the drafting.

///  
///  
///

**21. Execution in Counterpart.** This Agreement may be executed in one or more counterparts. All executed counterparts, and each of them, will be deemed to be one and the same instrument provided the Parties' counsel exchange between themselves original signed counterparts. Facsimile or PDF signatures are acceptable. Any executed counterpart will be admissible in evidence to prove the existence and contents of this Agreement.

**22. Escalator Clause.** This Settlement was reached based on the assumption that approximately 43,786 workweeks were worked by the Class Members during the Class Period. If it is determined that the number of workweeks through the Class Period exceeds ten percent (10%) or more of this estimate (*i.e.*, more than 48,165 workweeks), then the GSA will increase proportionally over the ten percent (10%) increase. For example, if the number of workweeks increases by eleven percent (11%), the GSA will increase by one percent (1%). Increases, if any, are based on a percentage of the original GSA and do not compound.

#### IV. EXECUTION BY PARTIES AND COUNSEL

The Parties and their counsel execute this Agreement.

Dated: 01/02/2024

**THUY-TRUC TRAN**



Dated: 01/02/2024

**EMILY LAUBER**



Dated: Jan 4, 2024

**BYRAM HEALTHCARE CENTERS, INC.**

  
Perry Bernocchi (Jan 4, 2024 08:08 EST)

Name: Perry Bernocchi

Title: CEO

#### APPROVED AS TO FORM

Dated: January 3, 2024

**JUSTICE LAW CORPORATION**



Douglas Han, Esq.

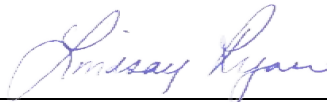
Shunt Tatavos-Gharajeh, Esq.

William Wilkinson, Esq.

*Attorneys for Plaintiffs*

Dated: January 4, 2024

**POLSINELLI LLP**



Lindsay Ryan, Esq.

Stacey Shim, Esq.

*Attorneys for Defendant*

DOUGLAS HAN (SBN 232858)  
SHUNT TATAVOS-GHARAJEH (SBN 272164)  
WILLIAM WILKINSON (SBN 346777)  
HAIG HOGDANIAN (SBN 334699)  
**JUSTICE LAW CORPORATION**  
751 N. Fair Oaks Avenue, Suite 101  
Pasadena, California 91103  
Telephone: (818) 230-7502  
Facsimile: (818) 230-7259

*Attorneys for Plaintiffs*

LINDSAY RYAN (SBN 258130)  
STACEY SHIM (SBN 305911)  
**POLSINELLI LLP**  
2049 Century Park East, Suite 2900  
Los Angeles, California 90067  
Telephone: (310) 556-1801  
Facsimile: (310) 556-1802

*Attorneys for Defendant*

**SUPERIOR COURT OF THE STATE OF CALIFORNIA  
FOR THE COUNTY OF ORANGE**

THUY-TRUC TRAN, individually, and on  
behalf of aggrieved employees pursuant to  
the Private Attorneys General Act  
("PAGA");

Plaintiff,

v.

BYRAM HEALTHCARE CENTERS,  
INC., a New Jersey corporation; and DOES  
1 through 100, inclusive;

Defendants.

Case No.: 30-2023-01309069-CU-OE-CXC

Assigned for All Purposes to:  
Honorable Melissa McCormick  
Department CX-104

**CLASS ACTION**

**AMENDMENT TO THE JOINT  
STIPULATION OF CLASS ACTION AND  
PAGA SETTLEMENT**

Complaint Filed: February 21, 2023  
FAC Filed: January 12, 2024  
Trial Date: None Set

1 This Amendment to the Joint Stipulation of Class Action and PAGA Settlement is  
2 entered into by Plaintiffs Thuy-Truc Tran and Emily Lauber (“Plaintiffs”) on her own behalf  
3 and on behalf of all members of the Class on the one hand and Defendant Byram Healthcare  
4 Centers, Inc. (“Defendant”) on the other.

#### 5 RECITALS

6 1. On January 4, 2024, the Parties fully executed the Joint Stipulation of Class  
7 Action and PAGA Settlement (“Settlement Agreement”) representing the long-form agreement  
8 submitted to the Court for approval.

9 2. On January 25, 2024, Plaintiffs submitted the Settlement Agreement to the  
10 Court for approval, which was set for hearing on May 16, 2024.

11 3. On May 16, 2024, the Court issued a tentative ruling ordering the Parties to  
12 modify portions of the Settlement Agreement (and exhibits), as well as provide supplemental  
13 information for the Court’s review, and continued the Motion for Preliminary Approval of  
14 Class Action Settlement hearing to September 12, 2024.

15 4. Thereafter, the Parties met and conferred and agreed to modify certain portions  
16 of the Settlement Agreement (and exhibits) consistent with the Court’s order and hereby submit  
17 this Amendment to the Joint Stipulation of Class Action and PAGA Settlement.

#### 18 STIPULATION

##### 19 **1. Section I(JJ) previously read as follows:**

20 **Released Claims:** As of the Effective Date and upon fulfillment of Defendant’s  
21 payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs and  
22 Participating Class Members are releasing in exchange for the consideration provided for by  
23 this Agreement are defined as all class claims pled or that could have been pled, whether  
24 known or unknown, that reasonably arise out of the same set of operative facts or are  
25 reasonably related to the allegations contained in the Complaint in the Action and First  
26 Amended Complaint in the Action or any other claims that could have been asserted in the  
27 Complaint in the Action and First Amended Complaint in the Action based on the facts alleged.  
28 This includes, but is not limited to: (1) failure to pay minimum wage; (2) failure to pay

1 overtime wages; (3) failure to provide meal breaks; (4) failure to provide rest breaks; (5) failure  
2 to pay meal period premium wages; (6) failure to pay rest period premium wages; (7) failure to  
3 pay sick leave; (8) failure to provide accurate wage statements; (9) failure to timely pay wages  
4 during employment and at separation; (10) failure to maintain accurate payroll records; (11)  
5 failure to reimburse business-related expenses; and (12) unfair business practices. The  
6 definition of Released Claims covers all the claims described above. The Released Parties shall  
7 be entitled to a release of the Released Claims which occurred only during the Class Period and  
8 during such time that the Participating Class Member was classified as hourly-paid and/or non-  
9 exempt. The Released Claims exclude all other claims, including claims for vested benefits,  
10 wrongful termination, unemployment insurance, disability, social security, workers'  
11 compensation, claims while an employee was classified as exempt, and claims outside of the  
12 Class Period.

13 **Section I(JJ) shall now read as follows:**

14 **Released Claims:** As of the Effective Date and upon fulfillment of Defendant's  
15 payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs and  
16 Participating Class Members are releasing in exchange for the consideration provided for by  
17 this Agreement are defined as all claims that were or reasonably could have been asserted in the  
18 Action based on the facts alleged in the First Amended Complaint. This includes, but is not  
19 limited to: (1) failure to pay minimum wage; (2) failure to pay overtime wages; (3) failure to  
20 provide meal breaks; (4) failure to provide rest breaks; (5) failure to pay meal period premium  
21 wages; (6) failure to pay rest period premium wages; (7) failure to pay sick leave; (8) failure to  
22 provide accurate wage statements; (9) failure to timely pay wages during employment and at  
23 separation; (10) failure to maintain accurate payroll records; (11) failure to reimburse business-  
24 related expenses; and (12) unfair business practices. The definition of Released Claims covers  
25 all the claims described above. The Released Parties shall be entitled to a release of the  
26 Released Claims which occurred only during the Class Period and during such time that the  
27 Participating Class Member was classified as hourly-paid and/or non-exempt. The Released  
28 Claims exclude all other claims, including claims for vested benefits, wrongful termination,

1 unemployment insurance, disability, social security, workers' compensation, claims while an  
2 employee was classified as exempt, and claims outside of the Class Period.

3 **2. Section I(EE) previously read as follows:**

4 **PAGA Released Claims:** As of the Effective Date and upon fulfillment of Defendant's  
5 payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs,  
6 Eligible Aggrieved Employees, and LWDA are releasing in exchange for the consideration  
7 provided for by this Agreement are defined as any and all causes of action for civil penalties  
8 pursuant to PAGA that were alleged or which could have been alleged in the Action based on  
9 the facts and allegations pleaded in the Complaint in the Action, First Amended Complaint in  
10 the Action, and/or the LWDA notice letter. This includes, without limitation, all claims for civil  
11 penalties under PAGA based upon or arising out of Defendant's alleged: (1) failure to pay  
12 minimum wage; (2) failure to pay overtime wages; (3) failure to provide meal breaks; (4)  
13 failure to provide rest breaks; (5) failure to pay meal period premium wages; (6) failure to pay  
14 rest period premium wages; (7) failure to pay sick leave; (8) failure to provide accurate wage  
15 statements; (9) failure to timely pay wages during employment and at separation; (10) failure to  
16 maintain accurate payroll records; (11) failure to reimburse business-related expenses; and (12)  
17 claims for civil penalties arising under or based upon alleged violations of Labor Code sections  
18 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 551, 552,  
19 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800 and 2802, and/or those arising under applicable  
20 Industrial Welfare Commission Wage Orders. The Released Parties shall be entitled to a release  
21 of the PAGA Released Claims which occurred only during the PAGA Period and during such  
22 time that the Eligible Aggrieved Employee was classified as hourly-paid and/or non-exempt.

23 **Section I(EE) shall now read as follows:**

24 **PAGA Released Claims:** As of the Effective Date and upon fulfillment of Defendant's  
25 payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs and  
26 Eligible Aggrieved Employees are releasing in exchange for the consideration provided for by  
27 this Agreement are defined as all claims based on the facts alleged in the First Amended  
28 Complaint and notice letter to the LWDA. This includes claims for civil penalties under PAGA

1 based upon or arising out of Defendant's alleged: (1) failure to pay minimum wage; (2) failure  
2 to pay overtime wages; (3) failure to provide meal breaks; (4) failure to provide rest breaks; (5)  
3 failure to pay meal period premium wages; (6) failure to pay rest period premium wages; (7)  
4 failure to pay sick leave; (8) failure to provide accurate wage statements; (9) failure to timely  
5 pay wages during employment and at separation; (10) failure to maintain accurate payroll  
6 records; (11) failure to reimburse business-related expenses; and (12) claims for civil penalties  
7 arising under or based upon alleged violations of Labor Code sections 201, 202, 203, 204, 210,  
8 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 551, 552, 558, 1174(d), 1194, 1197,  
9 1197.1, 1198, 2800 and 2802, and/or those arising under applicable Industrial Welfare  
10 Commission Wage Orders. The Released Parties shall be entitled to a release of the PAGA  
11 Released Claims which occurred only during the PAGA Period and during such time that the  
12 Eligible Aggrieved Employee was classified as hourly-paid and/or non-exempt.

13 **3. Section III(L) previously read as follows:**

14 **Release of PAGA Claims by All Eligible Aggrieved Employees.** As of the Effective  
15 Date and upon fulfillment of Defendant's payment obligations under section III(H)(2) of this  
16 Agreement, the LWDA, and any other representative, proxy, or agent thereof, including  
17 Plaintiffs, are voluntarily and knowingly barred as a matter of law from bringing any and all  
18 claims against the Released Parties seeking civil penalties under Labor Code section 2698, *et*  
19 *seq.* (PAGA), based on or arising out of the Labor Code violations alleged in the notice letter to  
20 the LWDA and Complaint occurring during the PAGA Period. The release of the PAGA claims  
21 is effective regardless of whether the Eligible Aggrieved Employee submits a valid and timely  
22 Exclusion Form.

23 **Section III(L) shall now read as follows:**

24 **Release of PAGA Claims by All Eligible Aggrieved Employees.** As of the Effective  
25 Date and upon fulfillment of Defendant's payment obligations under section III(H)(2) of this  
26 Agreement, Plaintiffs and Eligible Aggrieved Employees will be bound by a release of all  
27 claims and causes of action falling under the definition of PAGA Released Claims occurring  
28 during the PAGA Period.

1           **4.       Section III(H)(7)(a) previously read as follows:**

2           **To the Plaintiffs.** In addition to their Individual Settlement Shares, and subject to the  
3 Court's approval, Plaintiffs will each receive \$10,000 as the Class Representative Enhancement  
4 Payments (totaling \$20,000) in exchange for a release of the Released Claims, a General  
5 Release, and for their time, effort, and risks they undertook in bringing and prosecuting this  
6 matter. The Settlement Administrator will pay the Class Representative Enhancement  
7 Payments out of the Qualified Settlement Fund. Payroll tax withholdings and deductions will  
8 not be taken from the Class Representative Enhancement Payments. The Settlement  
9 Administrator shall issue an IRS Form 1099-MISC to Plaintiffs for the Class Representative  
10 Enhancement Payments. Plaintiffs shall be solely and legally responsible to pay any and all  
11 applicable taxes on the Class Representative Enhancement Payments and shall hold harmless  
12 Defendant and the Released Parties from any claim or liability for taxes, penalties, or interest  
13 arising as a result of the Class Representative Enhancement Payments. If the Court does not  
14 approve the entirety of the application for the Class Representative Enhancement Payments,  
15 Plaintiffs shall not have the right to revoke the settlement and it will remain binding. If the  
16 Court does not approve the entirety of the application for the Class Representative  
17 Enhancement Payments, the Settlement Administrator shall pay whatever amount the Court  
18 awards, and neither Defendant nor the Settlement Administrator shall be responsible for paying  
19 the difference between the amount requested and the amount awarded. If the amount awarded  
20 is less than the amount requested, the difference shall become part of the NSA and be available  
21 for distribution to Participating Class Members.

22           **Section III(H)(7)(a) shall now read as follows:**

23           **To the Plaintiffs.** In addition to their Individual Settlement Shares, and subject to the  
24 Court's approval, Plaintiffs will each receive \$10,000 as the Class Representative Enhancement  
25 Payments (totaling \$20,000) in exchange for their time, effort, and risks they undertook in  
26 bringing and prosecuting this matter. The Settlement Administrator will pay the Class  
27 Representative Enhancement Payments out of the Qualified Settlement Fund. Payroll tax  
28 withholdings and deductions will not be taken from the Class Representative Enhancement

1 Payments. The Settlement Administrator shall issue an IRS Form 1099-MISC to Plaintiffs for  
2 the Class Representative Enhancement Payments. Plaintiffs shall be solely and legally  
3 responsible to pay any and all applicable taxes on the Class Representative Enhancement  
4 Payments and shall hold harmless Defendant and the Released Parties from any claim or  
5 liability for taxes, penalties, or interest arising as a result of the Class Representative  
6 Enhancement Payments. If the Court does not approve the entirety of the application for the  
7 Class Representative Enhancement Payments, Plaintiffs shall not have the right to revoke the  
8 settlement and it will remain binding. If the Court does not approve the entirety of the  
9 application for the Class Representative Enhancement Payments, the Settlement Administrator  
10 shall pay whatever amount the Court awards, and neither Defendant nor the Settlement  
11 Administrator shall be responsible for paying the difference between the amount requested and  
12 the amount awarded. If the amount awarded is less than the amount requested, the difference  
13 shall become part of the NSA and be available for distribution to Participating Class Members.

14 **5. Section III(M) previously read as follows:**

15 **Plaintiffs' General Release of Claims.** As of the Effective Date and upon fulfillment  
16 of Defendant's payment obligations under section III(H)(2) of this Agreement, and in exchange  
17 for the Class Representative Enhancement Payments to Plaintiffs, in an amount not to exceed  
18 \$10,000 each (totaling \$20,000), in recognition of her work and efforts in obtaining the benefits  
19 for the Class and undertaking the risk of paying litigation costs if this matter had not  
20 successfully resolved, Plaintiffs provide a general release of all claims for themselves and any  
21 spouse, heirs, successors and assigns, and forever release, remise, and discharge the Released  
22 Parties from any and all charges, complaints, claims, liabilities, obligations, promises,  
23 agreements, controversies, damages, actions, causes of action, suits, rights, demands, costs,  
24 attorneys' fees, losses, debts, penalties and expenses of any nature whatsoever that arose during  
25 the Class Period, known or unknown, suspected or unsuspected, asserted or that might have  
26 been asserted, whether in tort, contract, equity, or otherwise, arising out of Plaintiffs'  
27 employment with Defendant, payment of wages during that employment and the cessation of  
28 that employment, and/or violation of any federal, state or local statute, rule, ordinance or

1 regulation, including, without limitation, any and all releasable claims arising from: (1) Title  
2 VII of the Civil Rights Act of 1964 (race, color, religion, sex, and national origin  
3 discrimination or harassment, including retaliation for reporting discrimination or harassment);  
4 (2) 42 U.S.C. section 1981 (discrimination); (3) sections 503 and 504 of the Rehabilitation Act  
5 of 1973 (handicap discrimination); (4) Equal Pay Act, 29 U.S.C. section 206(d) (equal pay); (5)  
6 Americans with Disabilities Act, 42 U.S.C. section 12100, *et seq.* (disability discrimination);  
7 (6) Family and Medical Leave Act, 29 U.S.C. section 2601, *et seq.* (family/medical leave); (7)  
8 Fair Employment and Housing Act, California Government Code section 12900, *et seq.*  
9 (discrimination or harassment in employment and/or housing, including discrimination or  
10 harassment based on race, religious creed, color, national origin, ancestry, disability, marital  
11 status, sex (including pregnancy), sexual orientation, or age, including, without limitation,  
12 retaliation for reporting discrimination or harassment); (8) Family Rights Act, California  
13 Government Code section 12945.1, *et seq.* (family/medical leave); (9) Labor Code, including  
14 but not limited to Labor Code section 2698, *et seq.* (PAGA), or any Industrial Welfare  
15 Commission Wage Order; (10) Fair Labor Standards Act, 29 U.S.C. section 201, *et seq.*; (11)  
16 Executive Order 11246 (race, color, religion, sex, and national origin discrimination or  
17 harassment); (12) Executive Order 11141 (age discrimination); (13) Business and Professions  
18 Code section 17200, *et seq.*, and (14) Employee Retirement Income Security Act, 29 U.S.C.  
19 section 1000, *et seq.* (employee benefits). With respect to this General Release, Plaintiffs  
20 stipulate and agree, as of the Effective Date, Plaintiffs shall be deemed to have knowingly  
21 expressly waived and relinquished, to the fullest extent permitted by law, the provisions, rights  
22 and benefits of Civil Code section 1542, or any other similar provision under federal or state  
23 law, which provides:

24 **Section III(M) shall now read as follows:**

25 **Plaintiffs' General Release of Claims.** As of the Effective Date and upon fulfillment  
26 of Defendant's payment obligations under section III(H)(2) of this Agreement, Plaintiffs  
27 provide a general release of all claims for themselves and any spouse, heirs, successors and  
28 assigns, and forever release, remise, and discharge the Released Parties from any and all

1 charges, complaints, claims, liabilities, obligations, promises, agreements, controversies,  
2 damages, actions, causes of action, suits, rights, demands, costs, attorneys' fees, losses, debts,  
3 penalties and expenses of any nature whatsoever that arose during the Class Period, known or  
4 unknown, suspected or unsuspected, asserted or that might have been asserted, whether in tort,  
5 contract, equity, or otherwise, arising out of Plaintiffs' employment with Defendant, payment  
6 of wages during that employment and the cessation of that employment, and/or violation of any  
7 federal, state or local statute, rule, ordinance or regulation, including, without limitation, any  
8 and all releasable claims arising from: (1) Title VII of the Civil Rights Act of 1964 (race, color,  
9 religion, sex, and national origin discrimination or harassment, including retaliation for  
10 reporting discrimination or harassment); (2) 42 U.S.C. section 1981 (discrimination); (3)  
11 sections 503 and 504 of the Rehabilitation Act of 1973 (handicap discrimination); (4) Equal  
12 Pay Act, 29 U.S.C. section 206(d) (equal pay); (5) Americans with Disabilities Act, 42 U.S.C.  
13 section 12100, *et seq.* (disability discrimination); (6) Family and Medical Leave Act, 29 U.S.C.  
14 section 2601, *et seq.* (family/medical leave); (7) Fair Employment and Housing Act, California  
15 Government Code section 12900, *et seq.* (discrimination or harassment in employment and/or  
16 housing, including discrimination or harassment based on race, religious creed, color, national  
17 origin, ancestry, disability, marital status, sex (including pregnancy), sexual orientation, or age,  
18 including, without limitation, retaliation for reporting discrimination or harassment); (8) Family  
19 Rights Act, California Government Code section 12945.1, *et seq.* (family/medical leave); (9)  
20 Labor Code, including but not limited to Labor Code section 2698, *et seq.* (PAGA), or any  
21 Industrial Welfare Commission Wage Order; (10) Fair Labor Standards Act, 29 U.S.C. section  
22 201, *et seq.*; (11) Executive Order 11246 (race, color, religion, sex, and national origin  
23 discrimination or harassment); (12) Executive Order 11141 (age discrimination); (13) Business  
24 and Professions Code section 17200, *et seq.*, and (14) Employee Retirement Income Security  
25 Act, 29 U.S.C. section 1000, *et seq.* (employee benefits). With respect to this General Release,  
26 Plaintiffs stipulate and agree, as of the Effective Date, Plaintiffs shall be deemed to have  
27 knowingly expressly waived and relinquished, to the fullest extent permitted by law, the  
28 provisions, rights and benefits of Civil Code section 1542, or any other similar provision under

1 federal or state law, which provides:

2 **6. Section III(J)(4) previously read as follows:**

3 **Request for Exclusion from the Settlement (“Opt Out”).** The Notice Packet will  
4 provide that Class Members who wish to exclude themselves from the Settlement must mail to  
5 the Settlement Administrator an Exclusion Form by the Response Deadline. The Exclusion  
6 Form shall unambiguously state the Class Member wishes to exclude himself or herself from  
7 the Settlement and must: (a) include the Class Member’s name, address, and the last four digits  
8 of the Class Member’s Social Security Number; (b) be addressed to the Settlement  
9 Administrator; (c) be signed by the Class Member or his or her lawful representative; and (d)  
10 be postmarked no later than the Response Deadline.

11 **Section III(J)(4) shall now read as follows:**

12 **Request for Exclusion from the Settlement (“Opt Out”).** The Notice Packet will  
13 provide that Class Members who wish to exclude themselves from the Settlement must mail to  
14 the Settlement Administrator an Exclusion Form by the Response Deadline. The Exclusion  
15 Form shall unambiguously state the Class Member wishes to exclude himself or herself from  
16 the Settlement and must: (a) include the Class Member’s name, address, and the last four digits  
17 of the Class Member’s Social Security Number; (b) be addressed to the Settlement  
18 Administrator; (c) be signed by the Class Member or his or her lawful representative; and (d)  
19 be postmarked no later than the Response Deadline. All Exclusion Forms received by the  
20 Settlement Administrator shall be submitted to the Court.

21 **7. Section III(J)(3)(a) previously read as follows:**

22 For an objection to be valid, it must: (i) be signed by the objecting Class Member or his  
23 or her lawful representative; (ii) include the objecting Class Member’s full name, address,  
24 telephone number, and the last four digits of the Class Member’s Social Security Number, as  
25 well as the name and address of counsel, if any; (iii) include the words “Notice of Objection” or  
26 “Formal Objection;” (iv) state the case name and case number; (v) provide a concise, factual  
27 written statement of the Class Member’s reasons for objecting; and (vi) include a statement  
28 indicating whether the objecting Class Member (or someone on his or her behalf) intends to

1 appear at the Final Approval Hearing.

2 **Section III(J)(3)(a) shall now read as follows:**

3 For an objection to be valid, it must: (i) be signed by the objecting Class Member or his  
4 or her lawful representative; (ii) include the objecting Class Member's full name, address,  
5 telephone number, and last four digits of the Class Member's Social Security Number; (iii)  
6 include the words "Notice of Objection" or "Formal Objection;" and (iv) state the case name  
7 and case number.

8 **8. Section I(A) previously read as follows:**

9 **Administration Costs:** The costs incurred by the Settlement Administrator to  
10 administer this Settlement pursuant to the terms of this Agreement in a sum not to exceed  
11 \$12,000. All Administration Costs shall be paid from the Qualified Settlement Fund.

12 **Section I(A) shall now read as follows:**

13 **Administration Costs:** The costs incurred by the Settlement Administrator to  
14 administer this Settlement pursuant to the terms of this Agreement in a sum not to exceed  
15 \$9,995. All Administration Costs shall be paid from the Qualified Settlement Fund.

16 **9. Section III(H)(7)(d) previously read as follows:**

17 **To the Settlement Administrator.** The Settlement Administrator will pay to itself  
18 Administration Costs (reasonable fees and expenses) approved by the Court in an amount not to  
19 exceed \$12,000. This will be paid out of the Qualified Settlement Fund. If the actual amount of  
20 Administration Costs is less than the amount estimated and/or requested, the difference shall  
21 become part of the NSA and be available for distribution to Participating Class Members. If the  
22 Court does not approve the entirety of the requested Administration Costs, the Settlement  
23 Administrator shall pay to itself whatever amount the Court awards, and neither Defendant nor  
24 Plaintiffs shall be responsible for paying the difference between the amount requested and the  
25 amount awarded.

26 ///

27 ///

28 ///

1                   **Section III(H)(7)(d) shall now read as follows:**

2           **To the Settlement Administrator.** The Settlement Administrator will pay to itself  
3 Administration Costs (reasonable fees and expenses) approved by the Court in an amount not to  
4 exceed \$9,995. This will be paid out of the Qualified Settlement Fund. If the actual amount of  
5 Administration Costs is less than the amount estimated and/or requested, the difference shall  
6 become part of the NSA and be available for distribution to Participating Class Members. If the  
7 Court does not approve the entirety of the requested Administration Costs, the Settlement  
8 Administrator shall pay to itself whatever amount the Court awards, and neither Defendant nor  
9 Plaintiffs shall be responsible for paying the difference between the amount requested and the  
10 amount awarded.

11           **10.    Section I(I) previously read as follows:**

12           **Class Notice or Notice:** The “Notice of Class Action Settlement” provided to all Class  
13 Members regarding the terms of this Settlement in English and Spanish, substantially similar to  
14 the form attached hereto as Exhibit A, subject to Court approval. The Class Notice shall  
15 constitute notice to the Class pursuant to California Rule of Court, rule 3.769(f) and, once  
16 approved by the Court, shall be deemed compliant with California Rule of Court, rule 3.766.

17                   **Section I(I) shall now read as follows:**

18           **Class Notice or Notice:** The “Notice of Class Action and PAGA Settlement” provided  
19 to all Class Members regarding the terms of this Settlement in English and Spanish,  
20 substantially similar to the form attached hereto as Exhibit A, subject to Court approval. The  
21 Class Notice shall constitute notice to the Class pursuant to California Rule of Court, rule  
22 3.769(f) and, once approved by the Court, shall be deemed compliant with California Rule of  
23 Court, rule 3.766.

1 Dated: 06/06/2024

**THUY-TRUC TRAN**

2  
3 

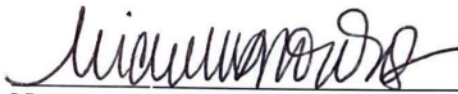
4 Dated: 06/10/2024

**EMILY LAUBER**

5  
6 

7  
8 Dated: 6/12/2024

**BYRAM HEALTHCARE CENTERS, INC.**

9  
10 

11 Name: Michelle Knowles  
12 Title: General Counsel

13  
14 **APPROVED AS TO FORM**

15 Dated: June 11, 2024

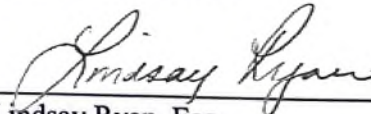
**JUSTICE LAW CORPORATION**

16 

17 Douglas Han, Esq.  
18 Shunt Tatavos-Gharajeh, Esq.  
19 William Wilkinson, Esq.  
Attorneys for Plaintiffs

20 Dated: June 14, 2024

**POLSINELLI LLP**

21  
22 

23 Lindsay Ryan, Esq.  
24 Stacey Shim, Esq.  
Attorneys for Defendant

DOUGLAS HAN (SBN 232858)  
SHUNT TATAVOS-GHARAJEH (SBN 272164)  
WILLIAM WILKINSON (SBN 346777)  
HAIG HOGDANIAN (SBN 334699)  
**JUSTICE LAW CORPORATION**  
751 N. Fair Oaks Avenue, Suite 101  
Pasadena, California 91103  
Telephone: (818) 230-7502  
Facsimile: (818) 230-7259

*Attorneys for Plaintiffs*

LINDSAY RYAN (SBN 258130)  
JUSTIN PETERS (SBN 331322)  
**POLSINELLI LLP**  
2049 Century Park East, Suite 2900  
Los Angeles, California 90067  
Telephone: (310) 556-1801  
Facsimile: (310) 556-1802

*Attorneys for Defendant*

**SUPERIOR COURT OF THE STATE OF CALIFORNIA  
FOR THE COUNTY OF ORANGE**

THUY-TRUC TRAN, individually, and on  
behalf of aggrieved employees pursuant to  
the Private Attorneys General Act  
("PAGA");

Plaintiff,

v.

BYRAM HEALTHCARE CENTERS,  
INC., a New Jersey corporation; and DOES  
1 through 100, inclusive;

Defendants.

Case No.: 30-2023-01309069-CU-OE-CXC

Assigned for All Purposes to:  
Honorable Melissa McCormick  
Department CX-104

**CLASS ACTION**

**SECOND AMENDMENT TO THE JOINT  
STIPULATION OF CLASS ACTION AND  
PAGA SETTLEMENT**

Complaint Filed: February 21, 2023  
FAC Filed: January 12, 2024  
Trial Date: None Set

1 This Amendment to the Joint Stipulation of Class Action and PAGA Settlement is  
2 entered into by Plaintiffs Thuy-Truc Tran and Emily Lauber (“Plaintiffs”) on her own behalf  
3 and on behalf of all members of the Class on the one hand and Defendant Byram Healthcare  
4 Centers, Inc. (“Defendant”) on the other.

### 5 RECITALS

6 1. On January 4, 2024, the Parties fully executed the Joint Stipulation of Class  
7 Action and PAGA Settlement (“Settlement Agreement”) representing the long-form agreement  
8 submitted to the Court for approval.

9 2. On January 25, 2024, Plaintiffs submitted the Settlement Agreement to the  
10 Court for approval, which was set for hearing on May 16, 2024.

11 3. On May 16, 2024, the Court issued a tentative ruling ordering the Parties to  
12 modify portions of the Settlement Agreement (and exhibits), as well as provide supplemental  
13 information for the Court’s review, and continued the Motion for Preliminary Approval of  
14 Class Action Settlement hearing to September 12, 2024.

15 4. Thereafter, the Parties met and conferred and agreed to modify certain portions  
16 of the Settlement Agreement (and exhibits) consistent with the Court’s order and hereby submit  
17 this Amendment to the Joint Stipulation of Class Action and PAGA Settlement.

18 5. On September 12, 2024, the Court issued a second tentative ruling ordering the  
19 Parties to modify portions of the Settlement Agreement (and exhibits), as well as provide  
20 further supplemental information for the Court’s review, and continued the Motion for  
21 Preliminary Approval of Class Action Settlement hearing to January 23, 2025.

### 22 STIPULATION

#### 23 **1. Section I(EE) previously read as follows:**

24 **PAGA Released Claims:** As of the Effective Date and upon fulfillment of Defendant’s  
25 payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs and  
26 Eligible Aggrieved Employees are releasing in exchange for the consideration provided for by  
27 this Agreement are defined as all claims based on the facts alleged in the First Amended  
28 Complaint and notice letter to the LWDA. This includes claims for civil penalties under PAGA

1 based upon or arising out of Defendant's alleged: (1) failure to pay minimum wage; (2) failure  
2 to pay overtime wages; (3) failure to provide meal breaks; (4) failure to provide rest breaks; (5)  
3 failure to pay meal period premium wages; (6) failure to pay rest period premium wages; (7)  
4 failure to pay sick leave; (8) failure to provide accurate wage statements; (9) failure to timely  
5 pay wages during employment and at separation; (10) failure to maintain accurate payroll  
6 records; (11) failure to reimburse business-related expenses; and (12) claims for civil penalties  
7 arising under or based upon alleged violations of Labor Code sections 201, 202, 203, 204, 210,  
8 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 551, 552, 558, 1174(d), 1194, 1197,  
9 1197.1, 1198, 2800 and 2802, and/or those arising under applicable Industrial Welfare  
10 Commission Wage Orders. The Released Parties shall be entitled to a release of the PAGA  
11 Released Claims which occurred only during the PAGA Period and during such time that the  
12 Eligible Aggrieved Employee was classified as hourly-paid and/or non-exempt.

13 **Section I(EE) shall now read as follows:**

14 **PAGA Released Claims:** As of the Effective Date and upon fulfillment of Defendant's  
15 payment obligations under section III(H)(2) of this Agreement, the claims that Plaintiffs and  
16 Eligible Aggrieved Employees are releasing in exchange for the consideration provided for by  
17 this Agreement are defined as all claims for civil penalties based on the facts alleged in the  
18 First Amended Complaint and notice letter to the LWDA. These are claims for civil penalties  
19 under PAGA based upon or arising out of Defendant's alleged: (1) failure to pay minimum  
20 wage; (2) failure to pay overtime wages; (3) failure to provide meal breaks; (4) failure to  
21 provide rest breaks; (5) failure to pay meal period premium wages; (6) failure to pay rest period  
22 premium wages; (7) failure to pay sick leave; (8) failure to provide accurate wage statements;  
23 (9) failure to timely pay wages during employment and at separation; (10) failure to maintain  
24 accurate payroll records; (11) failure to reimburse business-related expenses; and (12) claims  
25 for civil penalties arising under or based upon alleged violations of Labor Code sections 201,  
26 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 551, 552, 558,  
27 1174(d), 1194, 1197, 1197.1, 1198, 2800 and 2802, and/or those arising under applicable  
28 Industrial Welfare Commission Wage Orders. The Released Parties shall be entitled to a release

of the PAGA Released Claims which occurred only during the PAGA Period and during such time that the Eligible Aggrieved Employee was classified as hourly-paid and/or non-exempt.

Dated: 11/26/2024

**THUY-TRUC TRAN**



Dated: 11/26/2024

**EMILY LAUBER**



Dated: \_\_\_\_\_

**BYRAM HEALTHCARE CENTERS, INC.**

\_\_\_\_\_  
Name:  
Title:

**APPROVED AS TO FORM**

Dated: November 27, 2024

**JUSTICE LAW CORPORATION**



\_\_\_\_\_  
Douglas Han, Esq.  
Shunt Tatavos-Gharajeh, Esq.  
William Wilkinson, Esq.  
*Attorneys for Plaintiffs*

Dated: \_\_\_\_\_

**POLSINELLI LLP**

\_\_\_\_\_  
Lindsay Ryan, Esq.  
Justin Peters, Esq.  
*Attorneys for Defendant*

1 of the PAGA Released Claims which occurred only during the PAGA Period and during such  
2 time that the Eligible Aggrieved Employee was classified as hourly-paid and/or non-exempt.

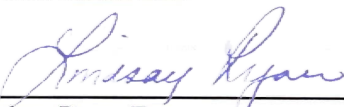
3  
4 Dated: \_\_\_\_\_ **THUY-TRUC TRAN**  
5  
6 \_\_\_\_\_

7 Dated: \_\_\_\_\_ **EMILY LAUBER**  
8  
9 \_\_\_\_\_

10 Dated: 12/2/2024 **BYRAM HEALTHCARE CENTERS, INC.**  
11  
12   
13 Name: MICHELLE KNOWLES  
14 Title: WORK. SECT. / G.C.

15 **APPROVED AS TO FORM**

16  
17 Dated: \_\_\_\_\_ **JUSTICE LAW CORPORATION**  
18  
19 \_\_\_\_\_  
20 Douglas Han, Esq.  
21 Shunt Tatavos-Gharajeh, Esq.  
22 William Wilkinson, Esq.  
23 *Attorneys for Plaintiffs*

24 Dated: December 2, 2024 **POLSINELLI LLP**  
25   
26 Lindsay Ryan, Esq.  
27 Justin Peters, Esq.  
28 *Attorneys for Defendant*

# **EXHIBIT A**

SUPERIOR COURT OF CALIFORNIA, COUNTY OF ORANGE

*Tran v. Byram Healthcare Centers, Inc.*  
Case No. 30-2023-01309069-CU-OE-CXC

**NOTICE OF CLASS ACTION AND PAGA SETTLEMENT**

*A court authorized this notice. This is not a solicitation.  
This is not a lawsuit against you, and you are not being sued.  
However, your legal rights are affected by whether you act or don't act.*

**TO: All current and former hourly-paid or non-exempt employees of Defendant Byram Healthcare Centers, Inc. (“Defendant”) within the State of California at any time during the period from February 21, 2019, through November 15, 2023.**

The Superior Court of California, County of Orange, has granted preliminary approval to a proposed settlement (“Settlement”) of the above-captioned action (“Class Action”). Because your rights may be affected by this Settlement, it is important that you read this Notice of Class Action and PAGA Settlement (“Notice”) carefully.

The Court has certified the following class for settlement purposes (“Class” or “Class Members”):

All current and former hourly-paid or non-exempt employees of Defendant within the State of California at any time during the period from February 21, 2019, through November 15, 2023.

The purpose of this Notice is to provide a brief description of the claims alleged in the Class Action, the key terms of the Settlement, and your rights and options with respect to the Settlement.

**YOU MAY BE ENTITLED TO MONEY UNDER THE PROPOSED SETTLEMENT. PLEASE READ THIS NOTICE CAREFULLY AS IT INFORMS YOU ABOUT YOUR LEGAL RIGHTS.**

**WHAT INFORMATION IS IN THIS NOTICE**

|                                                                           |        |
|---------------------------------------------------------------------------|--------|
| 1. Why Have I Received This Notice?.....                                  | Page 2 |
| 2. What Is This Case About? .....                                         | Page 2 |
| 3. Am I a Class Member? .....                                             | Page 3 |
| 4. How Does This Class Action Settlement Work? .....                      | Page 3 |
| 5. Who Are the Attorneys Representing the Parties? .....                  | Page 3 |
| 6. What Are My Options? .....                                             | Page 4 |
| 7. How Do I Opt Out or Exclude Myself From This Settlement? .....         | Page 5 |
| 8. How Do I Object to the Settlement? .....                               | Page 5 |
| 9. How Does This Settlement Affect My Rights? .....                       | Page 6 |
| A. Released Claims.....                                                   | Page 6 |
| B. PAGA Released Claims.....                                              | Page 6 |
| 10. How Much Can I Expect to Receive From This Settlement? .....          | Page 6 |
| A. When Will Payments Be Made? .....                                      | Page 7 |
| B. How Are Payments to Class Members Calculated? .....                    | Page 7 |
| C. What Is My Estimated Payment? .....                                    | Page 7 |
| D. My Work Week/Pay Period Count is Incorrect. How Do I Dispute It? ..... | Page 7 |
| E. How Will my Settlement Payment Be Taxed? .....                         | Page 8 |
| F. What Happens If I Do Not Cash My Settlement Check? .....               | Page 8 |
| G. Moving? Update Your Address With The Settlement Administrator .....    | Page 8 |

|                                                                                      |        |
|--------------------------------------------------------------------------------------|--------|
| 11. What is the PAGA Payment and Am I Eligible for It? .....                         | Page 8 |
| 12. How Will the Attorneys for the Class and the Class Representative Be Paid? ..... | Page 9 |
| 13. Final Approval Hearing .....                                                     | Page 9 |

## **1. Why Have I Received This Notice?**

The personnel records of Defendant indicate that you may be a Class Member. The Settlement will resolve all Class Members' Released Claims from February 21, 2019, through November 15, 2023 ("Class Period"), and all Eligible Aggrieved Employees' PAGA Released Claims from December 15, 2021, through November 15, 2023 ("PAGA Period"), as described in Section No. 11 below.

A Preliminary Approval Hearing was held on **January 23, 2025**, in the Superior Court of California, County of Orange. The Court conditionally certified the Class for settlement purposes only and directed you to receive this Notice. The Court determined only that there is sufficient evidence to suggest the proposed settlement might be fair, adequate, and reasonable, and any final determination of those issues will be made at the Final Approval Hearing.

The Court will hold a Final Approval Hearing concerning the proposed settlement on **June 26, 2025**, at **2:00 p.m.** before Honorable Melissa McCormick in Department CX-104 at the Superior Court of California, County of Orange, located at 751 West Santa Ana Boulevard, Santa Ana, California 92701.

## **2. What Is This Case About?**

On December 15, 2022, Plaintiff Thuy-Truc Tran ("Plaintiff Tran") provided written notice to the California Labor and Workforce Development Agency ("LWDA") and Defendant of the specific provisions of the Labor Code she contends were violated and the theories supporting her contentions.

On February 21, 2023, Plaintiff Tran filed a representative Private Attorneys General Act of 2004 ("PAGA") action in the Superior Court of California, County of Orange, predicated on the alleged: (1) failure to pay minimum and overtime wages; (2) failure to provide meal periods and rest breaks; (3) failure to timely pay wages during employment; (4) failure to timely pay wages upon termination; (5) failure to provide complete and accurate wage statements; and (6) failure to reimburse business expenses (Case No. 30-2023-01309069-CU-OE-CXC).

On September 15, 2023, Plaintiff Emily Lauber ("Plaintiff Lauber") filed a wage-and-hour class action lawsuit in the Superior Court of California, County of Orange, alleging violations of: (1) Labor Code sections 510 and 1198 (unpaid overtime); (2) Labor Code sections 226.7 and 512(a) (unpaid meal period premiums); (3) Labor Code section 226.7 (unpaid rest period premiums); (4) Labor Code sections 1194 and 1197 (unpaid minimum wages); (5) Labor Code sections 201 and 202 (final wages not timely paid); (6) Labor Code section 226(a) (non-compliant wage statements); (7) Labor Code sections 2800 and 2802 (unreimbursed business expenses); and (8) Business & Professions Code section 17200, *et seq.* (Case No. 30-2023-01349817-CU-OE-CXC).

Defendant denies each and every allegation made by Plaintiffs in both lawsuits, denies that it is liable at all to Plaintiffs or any other Class Member, and asserts it complied with all applicable laws, including the Labor Code. After engaging in discovery, investigations, and negotiation, Plaintiffs and Defendant (collectively, the "Parties") remotely attended mediation with the mediator Lisa Klerman on August 25, 2023 but were unable to initially reach a settlement. Following continued negotiations, the Parties eventually reached a global settlement via a mediator's proposal. Defendant's agreement to settle the Class Action does not mean that Defendant admits any liability or wrongdoing to Plaintiffs or the Class, which Defendant vehemently denies, or that it employed Plaintiffs or any members of the Class.

In line with the settlement, Plaintiff Tran filed a First Amended Complaint that added Plaintiff Lauber as a named plaintiff and added the eight (8) wage-and-hour class action causes of action alleged in Plaintiff Lauber's lawsuit. These combined claims will be referred to in this Notice as "the Lawsuit." The Court has not made any determination as to whether the claims asserted by Plaintiffs have any merit. In other words, the Court has not determined whether any laws have been violated, nor has it decided in favor of Plaintiffs or Defendant. Instead, both sides agreed to resolve the Lawsuit with no decision or admission of who is right or wrong. By agreeing to resolve the Lawsuit, all parties avoid the risks and cost of a trial.

### **3. Am I A Class Member?**

You are a Class Member if you are a current and former hourly-paid or non-exempt employee of Defendant within the State of California at any time during the period from February 21, 2019, through November 15, 2023.

### **4. How Does This Class Action Settlement Work?**

In this Class Action, Plaintiffs sued on behalf of themselves and all other similarly situated employees who are or were employed as hourly-paid or non-exempt California employees of Defendant during the Class Period. Plaintiffs and these other current and former employees comprise a potential "Class" and are "Class Members." The settlement of this Class Action resolves the Released Claims of all Class Members, except for those who exclude themselves from the Class by requesting to be excluded in the manner set forth below.

Plaintiffs and Class Counsel believe the Settlement is fair and reasonable. The Court must review the terms of the Settlement and determine if it is fair and reasonable to the Class. The Court file has the Settlement documents that explain the Settlement in greater detail. If you would like copies of the Settlement documents, you can contact Plaintiffs' counsel ("Class Counsel"), whose contact information is below, and they will provide you with a copy free of charge.

### **5. Who Are the Attorneys Representing the Parties?**

| <b>Attorneys for Plaintiffs and the Class</b>                                                                                                                                                                              | <b>Attorneys for Defendant</b>                                                                                                                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>JUSTICE LAW CORPORATION</b><br>Douglas Han<br>Shunt Tatavos-Gharajeh<br>William Wilkinson<br>751 N. Fair Oaks Avenue, Suite 101<br>Pasadena, California 91103<br>Telephone: (818) 230-7502<br>Facsimile: (818) 230-7259 | <b>Polsinelli LLP</b><br>Lindsay Ryan<br>Stacey Shim<br>2049 Century Park East, Suite 2900<br>Los Angeles, California 90067<br>Telephone: (310) 556-1801<br>Facsimile: (310) 556-1802 |

The Court has preliminarily approved the appointment of Justice Law Corporation to represent you and all Class Members simultaneously with respect to the Settlement. Thus, Class Counsel is working on behalf of the Class, including you. If you want independent legal advice from a different attorney, you may hire one at your own cost.

### **6. What Are My Options?**

The purpose of this Notice is to inform you of the proposed Settlement and of your options. Each option has its consequences, which you should understand before making your decision. Your rights regarding each option, and the steps you must take to select each option, are summarized below and explained in more detail in this Notice.

***Important Note: Defendant will take no action for or against you, including no retaliatory action with respect to your employment if you are a current employee, for your decision to participate or not participate in this Settlement.***

- **DO NOTHING:** If you do nothing and the Court grants final approval of the Settlement, you will automatically become a participating member of the Class in this lawsuit and will receive an Individual Settlement Share based on the total number of workweeks you were employed as an hourly-paid or non-exempt California employee of Defendant during the Class Period. You will release all the Released Claims, as defined in Section No. 9 below, and you will give up your right to pursue the Released Claims, as defined in Section No. 9 below. Class Members who do not submit a valid and timely request to exclude themselves from the Settlement are known as “Participating Class Members.”
- **OPT OUT:** If you do not want to participate as a Class Member, you may “opt out,” which will remove you from the Class and this Class Action. If the Court grants final approval of the Settlement, you will not receive an Individual Settlement Share and you will not give up the right to sue the Released Parties, including Defendant, for any of the Released Claims as defined in Section No. 9 below. If you do “opt-out,” you will still receive a portion of the PAGA Payment (defined below). Also, your right to pursue a PAGA lawsuit based on the claims released as part of the PAGA Released Claims will be extinguished, regardless of whether you opt out.
- **OBJECT:** You may file an objection to the proposed Settlement. You also have the right to appear at the Final Approval Hearing to orally object to the proposed Settlement. If you would like to object, you may not opt out of this Settlement. If you submit both an Exclusion Form and written objection to the Settlement Administrator prior to the Response Deadline, the Settlement Administrator will first attempt to contact you to determine if you intended to submit only the Exclusion Form or written objection. If the Settlement Administrator is unable to contact you within ten (10) calendar days of receiving both the Exclusion Form and written objection or you fail to respond to the Settlement Administrator within ten (10) calendar days of being contacted, then only the Exclusion Form will be deemed valid. Your written objection will be deemed invalid, and you will no longer be considered a member of the Class, will not receive your Individual Settlement Share, and will not be bound by the Released Claims. But you will still receive your portion of the PAGA Payment, and your right to pursue a PAGA claim arising from the applicable time period will be extinguished.

The procedures for opting out and objecting are set forth below in the sections entitled “How Do I Opt Out or Exclude Myself From This Settlement?” and “How Do I Object To The Settlement?”

## **7. How Do I Opt Out Or Exclude Myself From This Settlement?**

If you do not wish to participate in the Settlement, you may be excluded from the Settlement (i.e., “opt out”) by sending a timely exclusion form. The Request for Exclusion Form (“Exclusion Form”) has been provided to you along with this Notice, which can be used for this purpose. All information on the Exclusion Form, including the Class Member’s (1) name; (2) address; (3) last four digits of Social Security Number; and (4) telephone number must be completely filled out. Exclusion Forms that do not include all required information and/or that are not timely submitted will be deemed null, void, and ineffective. Alternatively, you can submit your own written document that includes this same information. If you opt out of the Settlement, you will not be releasing the Released Claims set forth in Section 9. The Exclusion Form must be filled out, signed, dated, and mailed by

first-class U.S. Mail, postmarked no later than **April 28, 2025** to: **BYRAM HEALTHCARE CENTERS, INC. C/O ILYM Group, Inc., P.O. Box 2031, Tustin, CA 92781**. You cannot exclude yourself by phone.

**Eligible Aggrieved Employees May Not Opt Out of the PAGA Portion of the Settlement.** Notwithstanding the foregoing, you do not have the right to opt out or otherwise exclude yourself from the PAGA portion of the Settlement, which releases the PAGA Released Claims. If you submit a valid and timely request for exclusion, you will still receive your share of the PAGA Payment and will release the PAGA Released Claims.

If you receive a remailed Class Notice, whether by skip-trace or forwarded mail, you will have an additional ten (10) calendar days from **April 28, 2025**, to postmark an Exclusion Form or an objection to the Settlement. The envelope should indicate whether the Class Notice has been forwarded or remailed. We encourage you to keep copies of all documents, including the envelope, if the deadline is challenged.

Exclusion Forms that do not include all required information and/or are not timely submitted will be deemed null, void, and ineffective. Any Class Member who fails to submit a valid and timely Exclusion Form on or before the above-specified deadline shall be bound by all terms of the Settlement, release, and any Judgment entered in the Class Action if the Settlement receives final approval from the Court. All Exclusion Forms received by the Settlement Administrator shall be submitted to the Court.

You are responsible for ensuring the Settlement Administrator receives any Exclusion Form you submit.

#### **8. How Do I Object To The Settlement?**

If you are a Class Member who does not opt out of the Settlement, you may object to the Settlement, personally or through an attorney, by mailing an objection to the Settlement Administrator at **P.O. Box 2031, Tustin, CA 92781** by **April 28, 2025**. The objection must: (1) be signed by the objecting Class Member or his or her lawful representative; (2) include the objecting Class Member's full name, address, telephone number, and last four digits of the Class Member's Social Security Number; (3) include the words "Notice of Objection" or "Formal Objection;" and (4) state the case name and case number.

Class Members' valid and timely written objections to the Settlement will still be considered even if the objector does not appear at the Final Approval Hearing.

Absent good cause found by the Court, Class Members who fail to object in the manner specified above shall be deemed to have waived any objections and shall be foreclosed from making any objections (whether by appeal or otherwise) to the Settlement. Again, to be valid and effective, any written objections must be mailed to the Settlement Administrator postmarked on or before **April 28, 2025**. If the Court rejects the objection, the Class Member will receive an Individual Settlement Share and will be bound by the terms of the Settlement.

#### **9. How Does This Settlement Affect My Rights? What are the Released Claims?**

If the Settlement is approved by the Court, a Final Judgment will be entered by the Court. All Class Members who do not opt out of the Settlement will be bound by the Court's Final Judgment and will fully release and discharge Defendant and its past, present and future agents, employees, servants, officers, directors, partners, trustees, representatives, shareholders, stockholders, attorneys, parents, subsidiaries, affiliated entities, equity sponsors, related corporations, divisions, joint venturers, assigns, predecessors, successors, service providers, insurers, consultants, subcontractors, joint employers, employee benefit plans and fiduciaries thereof, affiliated organizations, and all persons acting under, by, through or in concert with Defendant ("Released Parties").

## **A. Released Claims.**

The claims that Plaintiffs and Participating Class Members are releasing in exchange for the consideration provided for by this Agreement are defined as all claims that were or reasonably could have been asserted in the Action based on the facts alleged in the First Amended Complaint. This includes, but is not limited to: (1) failure to pay minimum wage; (2) failure to pay overtime wages; (3) failure to provide meal breaks; (4) failure to provide rest breaks; (5) failure to pay meal period premium wages; (6) failure to pay rest period premium wages; (7) failure to pay sick leave; (8) failure to provide accurate wage statements; (9) failure to timely pay wages during employment and at separation; (10) failure to maintain accurate payroll records; (11) failure to reimburse business-related expenses; and (12) unfair business practices. The definition of Released Claims covers all the claims described above. The Released Parties shall be entitled to a release of the Released Claims which occurred only during the Class Period and during such time that the Participating Class Member was classified as hourly-paid and/or non-exempt. The Released Claims exclude all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, claims while an employee was classified as exempt, and claims outside of the Class Period.

## **B. PAGA Released Claims.**

The claims that Plaintiffs and Eligible Aggrieved Employees are releasing in exchange for the consideration provided for by this Agreement are defined as all claims for civil penalties based on the facts alleged in the First Amended Complaint and notice letter to the LWDA. These are claims for civil penalties under PAGA based upon or arising out of Defendant's alleged: (1) failure to pay minimum wage; (2) failure to pay overtime wages; (3) failure to provide meal breaks; (4) failure to provide rest breaks; (5) failure to pay meal period premium wages; (6) failure to pay rest period premium wages; (7) failure to pay sick leave; (8) failure to provide accurate wage statements; (9) failure to timely pay wages during employment and at separation; (10) failure to maintain accurate payroll records; (11) failure to reimburse business-related expenses; and (12) claims for civil penalties arising under or based upon alleged violations of Labor Code sections 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a), 551, 552, 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800 and 2802, and/or those arising under applicable Industrial Welfare Commission Wage Orders. The Released Parties shall be entitled to a release of the PAGA Released Claims which occurred only during the PAGA Period and during such time that the Eligible Aggrieved Employee was classified as hourly-paid and/or non-exempt.

### **10. How Much Can I Expect to Receive From This Settlement?**

The fixed total non-reversionary amount Defendant shall be required to pay under the Settlement shall be up to **\$875,000.00** ("Gross Settlement Amount" or "GSA").

The "Net Settlement Amount" or "NSA" means the portion of the Gross Settlement Amount available for distribution to Participating Class Members after the deduction of: (1) Class Representative Enhancement Payments to each Plaintiff not to exceed **\$5,000** (totaling **\$10,000**) in recognition of their work and efforts in obtaining benefits for the Class, for undertaking the risk of paying litigation costs in the event the Class Action had not successfully resolved; (2) Administration Costs to the Settlement Administrator in a sum not to exceed \$9,995; (3) PAGA Payment of \$40,000 paid to the LWDA and Eligible Aggrieved Employees; (4) Attorney Fee Award not to exceed **\$291,666.67** (1/3 of the Gross Settlement Amount) paid to Class Counsel as attorneys' fees; and (5) Cost Award not to exceed \$18,000 paid to Class Counsel as litigation costs. These payments are each subject to court approval.

### **A. When Will Payments Be Made?**

Defendant is expected to fund the Gross Settlement Amount no later than fourteen (14) calendar days after the Effective Date. Your Individual Settlement Share will be calculated and distributed within fourteen (14) calendar days after the funding of the Settlement.

The “Effective Date” is defined as the date when the Court enters the order granting final approval. If an objection is made but no appeal is filed and the objection is overruled, then the Effective Date will be the date on which the time to file an appeal is exhausted. If an appeal is filed, but the settlement is upheld on appeal, then the Effective Date will be the date the final judgment becomes final and the time to file an appeal has been exhausted.

### **B. How Are Payments to Class Members Calculated?**

After deducting the above-referenced items, the remaining Net Settlement Amount will be proportionately distributed among all Participating Class Members. The Settlement Administrator will pay an Individual Settlement Share from the Net Settlement Amount to each Participating Class Member. Each Participating Class Member will receive a proportionate share of the Net Settlement Amount that is equal to: (1) the number of weeks he or she worked as a hourly-paid or non-exempt California employee of Defendant during the Class Period based on the Class Data; (2) divided by the total number of weeks worked by any and all Participating Class Members during the Class Period based on the Class Data; and (3) multiplied by the Net Settlement Amount. One day worked in a given week will be credited as a week for the purposes of this calculation. In other words, the value of each Individual Settlement Share is tied directly to the number of weeks the Participating Class Member worked during the Class Period.

### **C. What Is My Estimated Payment?**

Although your exact share of the Net Settlement Amount cannot be precisely calculated until the time during which Class Members may object to or seek exclusion from the Settlement concludes, based upon the calculation above, your approximate share of the Net Settlement Amount, is as follows: \$ [REDACTED], less taxes. This is based on Defendant’s records that show you worked [REDACTED] workweeks during the Class Period.

### **D. My Work Week/Pay Period Count is Incorrect. How Do I Dispute It?**

If you believe the number of eligible workweeks is incorrect, you may dispute this information by mailing a written explanation and/or documentation providing contrary information to the Settlement Administrator at **P.O. Box 2031, Tustin, CA 92781** on or before **April 28, 2025**. The Settlement Administrator will evaluate any evidence you submit and will make the initial decision as to the number of eligible workweeks with which you should be credited. The Court will have the right to review the Settlement Administrator’s decision and will make the final determination. In other words, the Court may review any workweek disputes not otherwise resolved by the Settlement Administrator and the Parties. Absent evidence demonstrating Defendant’s records are incorrect, Defendant’s records will be presumed correct.

### **E. How Will my Settlement Payment Be Taxed?**

Twenty percent (20%) of your Individual Settlement Share will be treated as wages. Applicable taxes will be withheld from the wages portion of your Individual Settlement Share only and reported on an IRS Form W-2. Eighty percent (80%) of your Individual Settlement Share will be treated as interest and penalties, and you will be paid pursuant to an IRS Form 1099. Defendant’s share of employer payroll taxes will be paid by Defendant separate from and in addition to the Gross Settlement Amount.

## **F. What Happens If I Do Not Cash My Settlement Check?**

It is strongly recommended that upon receipt of your Individual Settlement Share check, you immediately cash it or cash it before the 180-day void date shown on each check. If any checks remain uncashed or not deposited by the expiration of the 180-day period after mailing, the Settlement Administrator will, within 200 calendar days after the checks are mailed, pay the amount of the Individual Settlement Share to the California State Controller's Unclaimed Property Division in accordance with California Unclaimed Property Law.

## **G. Moving? Update Your Address With The Settlement Administrator.**

It is your responsibility to keep a current address on file with the Settlement Administrator to ensure receipt of your payment under the Settlement.

### **11. *What is the PAGA Payment and Am I Eligible for it?***

A representative PAGA action is a type of representative lawsuit authorized by the Labor Code section 2698 (PAGA), which allows aggrieved employees to file lawsuits to recover civil penalties on behalf of themselves, other employees, and the State of California for violations of the Labor Code. An aggrieved employee is any person who was employed by the subject employer, and against whom one or more of the alleged Labor Code violations was committed. An employee who files a representative PAGA action is acting as an agent of California's labor law enforcement agencies, who have the power to initiate an enforcement action directly.

A representative PAGA action is different from a class action in that an employee filing a representative PAGA action is not resolving the claims of other similarly situated employees. Instead, the employee is acting as an agent of the State of California to obtain penalties for themselves and aggrieved employees for Labor Code violations allegedly committed against those employees pursuant to PAGA. Penalties awarded in a representative PAGA action are measured by the number of Labor Code violations committed by the employer, a portion of which (75%) must be paid to the State of California.

Members of a class action have the right to opt out of, or exclude themselves from, the class action. Class members who opt out will not be bound by the terms of any judgment issued by the Court in the class action. Class members who do not opt out will be bound by the terms of any judgment issued and will be precluded from bringing any claims that were or that could have been brought against the employer in the lawsuit in which the individual was a class member. Conversely, aggrieved employees do not have the right to opt out of (or object to) a representative PAGA action. However, aggrieved employees do retain the right to pursue the class claims if they timely opt out of the settlement.

Under the terms of the Settlement, \$40,000 has been set aside as a PAGA Payment. This portion is the total amount of civil penalties collected on behalf of the State of California. Seventy-five percent (75%) of the PAGA Payment (\$30,000) will be sent to the LWDA as required by the PAGA statute. Eligible Aggrieved Employees will share the remaining twenty-five percent (25%) of the PAGA Payment (\$10,000) based on the number of pay periods they worked during the PAGA Period.

You are an aggrieved employee eligible to recover settlement funds from the PAGA Payment ("Eligible Aggrieved Employee") if you are a current and former hourly-paid or non-exempt employee of Defendant within the State of California at any time during the period from December 15, 2021, through November 15, 2023. If you are not an Eligible Aggrieved Employee, this Section does not apply to you.

The Settlement Administrator shall pay each Eligible Aggrieved Employee according to his or her proportional share of the PAGA Payment allocated to the Eligible Aggrieved Employees, which will be based upon the total number of pay periods during which the Eligible Aggrieved Employee was employed during the PAGA Period.

Each individual share will be calculated by: (1) determining the total number of pay periods during the PAGA Period during which the Eligible Aggrieved Employee was employed based on the Class Data; (2) dividing this number by the total number of pay periods during the PAGA Period during which all Eligible Aggrieved Employees were employed based on the Class Data; and (3) multiplying this number by the \$10,000 allocated to the Eligible Aggrieved Employees.

Based on the total number of pay periods during the PAGA Period during which you were employed, your Individual Settlement Share from the PAGA Payment is \$ [REDACTED]. This is based on Defendant's records that show you worked [REDACTED] pay periods during the PAGA Period. One hundred percent (100%) of this payment will be considered penalties and shall be reported by an IRS Form 1099. You are responsible for paying any federal, state, or local taxes owed because of this payment.

If you believe the number of pay periods with which you have been credited is incorrect, you may dispute this information by mailing a written explanation and/or documentation providing contrary information to the Settlement Administrator at **P.O. Box 2031, Tustin, CA 92781** on or before **April 28, 2025**. The Settlement Administrator will evaluate any evidence you submit and will make the initial decision as to the number of pay periods with which you should be credited. The Court will have the right to review the Settlement Administrator's decision and will make the final determination. In other words, the Court may review any pay period disputes not otherwise resolved by the Settlement Administrator and the Parties. Absent evidence demonstrating Defendants' records are incorrect, Defendants' records will be presumed correct.

Because these penalties can only be sought by the State of California, you cannot exclude yourself from the PAGA portion of the Settlement if the Court gives final approval. Moreover, you will be bound by the PAGA Released Claims even if you do not cash your settlement check for your portion of the PAGA Payment.

#### **12. How Will the Attorneys for the Class and the Class Representative Be Paid?**

Class Counsel will be paid from the Gross Settlement Amount. Subject to Court approval, Class Counsel shall be paid an amount not to exceed one-third (1/3) of the Gross Settlement Amount (or **\$291,666.67**) as the Attorney Fee Award and \$18,000 as the Cost Award.

Defendant's costs and attorneys' fees are not paid out of the Settlement.

As set forth in Section No. 10 above, Plaintiffs will also be paid the Class Representative Enhancement Payments, subject to Court approval.

#### **13. Final Approval Hearing**

The Court will hold a Final Approval Hearing concerning the proposed Settlement on **June 26, 2025**, at **2:00 p.m.** before Honorable Melissa McCormick in Department CX-104 at the Superior Court of California, County of Orange, located at 751 West Santa Ana Boulevard, Santa Ana, California 92701. You are not required to appear at this hearing. If the Court changes the date of the Final Approval Hearing, **you will not receive notice of the change**. It is strongly suggested that, prior to the scheduled Final Approval Hearing, you: (1) check the Settlement Administrator's website **[www.ilymgroup.com/byram](http://www.ilymgroup.com/byram)** to find out if the Final Approval Hearing is still scheduled for **June 26, 2025** at **2:00 p.m.** in Department CX-104; (2) contact Class Counsel; or (3) check the Court's docket for this Class Action to see if the hearing is still scheduled on **June 26, 2025** at **2:00 p.m.** in Department CX-104.

**IF YOU NEED MORE INFORMATION OR HAVE ANY QUESTIONS**, you may contact Class Counsel or Defendant's counsel, both listed above, or the Settlement Administrator at the telephone number listed below, toll free. Please refer to the "Byram Healthcare Centers, Inc. Class Action Settlement."

The Settlement Administrator will post all key documents on its website set forth above, including the operative complaint, written notice to the LWDA, Settlement Agreement and any amendments, Notice Packet (comprised of this Notice, Exclusion Form, and Objection Form), Order Granting Preliminary Approval, and Order and Judgment Granting Final Approval. The Order and Judgment Granting Final Approval will be posted on the Settlement Administrator's website for at least one hundred eighty (180) calendar days.

This Notice does not contain all the terms of the proposed Settlement or all the details of these proceedings. For more detailed information, you may refer to the underlying documents and papers on file with the Superior Court of California, County of Orange, at 751 West Santa Ana Boulevard, Santa Ana, California 92701 between 8:30 a.m. and 4:00 p.m. or by visiting <https://www.occourts.org/> and clicking on the "Online Services" tab, then the "Case Access" subtab, then the link for "Civil Case & Document Access." Once at this screen, you must read the "Information Disclaimer" and click on "Accept Terms." Next, you must input the Case No. "30-2023-01309069-CU-OE-CXC," the year the case was filed, which is "2023," confirm that you are not a robot, and click "Search." Next, click on the "Register of Actions" tab and scroll down to the document containing the full Settlement attached as exhibits to the Second Supplemental Declaration of Douglas Han In Support of Motion For Preliminary Approval of Class Action Settlement filed by Plaintiffs on December 9, 2024. Click on the blank box next to this document and then click on the "Cart" tab. Finally, click on "Checkout" to purchase the document.

You may also contact Class Counsel by visiting Justice Law Corporation at 751 North Fair Oaks Avenue, Suite 101, Pasadena, California 91103 during regular business hours, from 9:00 a.m. to 6:00 p.m., or by calling (818) 230-7502. Class Counsel will provide you with an electronic copy of the Settlement documents or case documents free of charge.

**PLEASE DO NOT TELEPHONE THE COURT OR COURT'S CLERK FOR INFORMATION ABOUT THIS SETTLEMENT.**

# **EXHIBIT B**

**REQUEST FOR EXCLUSION FORM**  
Superior Court of California, County of Orange  
*Tran v. Byram Healthcare Centers, Inc.*  
Case No. 30-2023-01309069-CU-OE-CXC

**IF YOU DO NOTHING, YOU WILL AUTOMATICALLY RECEIVE PAYMENT FROM THE SETTLEMENT.**

**ONLY SIGN AND RETURN THIS FORM IF YOU WISH TO EXCLUDE YOURSELF FROM THE SETTLEMENT. IF YOU EXCLUDE YOURSELF, YOU WILL NOT RECEIVE ANY PAYMENT FROM THE CLASS ACTION PORTION OF THE SETTLEMENT.**

**THIS FORM MUST BE POSTMARKED NO LATER THAN AUGUST 4, 2025. IT MUST BE SENT VIA REGULAR U.S. MAIL TO:**

**BYRAM HEALTHCARE CENTERS, INC. C/O ILYM GROUP, INC.  
P.O. BOX 2031, TUSTIN, CA 92781**

**IT IS MY DECISION NOT TO PARTICIPATE IN THE CLASS ACTION REFERRED TO ABOVE, AND NOT TO BE INCLUDED IN THE CLASS. I UNDERSTAND THAT BY EXCLUDING MYSELF, I WILL NOT RECEIVE ANY MONEY FROM THE SETTLEMENT, EXCEPT MY PORTION OF THE CIVIL PENALTIES ALLOCATED TO THE LABOR CODE PRIVATE ATTORNEYS GENERAL ACT OF 2004 (“PAGA”), LABOR CODE SECTION 2698, *et seq.*, SETTLEMENT.**

**I UNDERSTAND IF I ELECT TO OPT OUT OF THIS CLASS ACTION SETTLEMENT, ANY CLAIMS I HAVE WILL NOT BE RELEASED; EXCEPT THAT, EVEN IF I ELECT TO OPT OUT, I UNDERSTAND THAT I CANNOT PURSUE A PAGA LAWSUIT BASED ON THE CLAIMS RELEASED AS A PART OF THE PAGA RELEASED CLAIMS AGAINST THE RELEASED PARTIES.**

I confirm that I am a current or was a former hourly-paid or non-exempt employee of Byram Healthcare Centers, Inc. within the State of California at any time during the period from February 21, 2019, through November 15, 2023. I confirm that I have received and reviewed the Notice of Class Action Settlement in this Action. By completing and returning this Form, I wish to be excluded from the Class, and I have decided **not** to participate in the proposed settlement of the class claims.

Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Last four digits of Social Security Number)

\_\_\_\_\_  
(Type or print name and former name(s))

\_\_\_\_\_  
(Telephone number)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(Address continued)

# **EXHIBIT C**

**NOTICE OF OBJECTION**

Superior Court of the State of California, County of Orange County

*Tran v. Byram Healthcare Centers, Inc.*

Case No. 30-2023-01309069-CU-OE-CXC

**TO OBJECT TO THE CLASS PORTION OF THE SETTLEMENT, YOU MAY FILL OUT, SIGN AND RETURN THIS FORM, POSTMARKED ON OR BEFORE **AUGUST 4, 2025** TO:**

**BYRAM HEALTHCARE CENTERS, INC. SETTLEMENT ADMINISTRATOR C/O**

**ILYM Group, Inc.**

**P.O. Box 2031, Tustin, CA 92781**

You do not have the right to object to the PAGA portion of the proposed Settlement. Please attach to this Notice of Objection additional pages and documents explaining the reason(s) for your objection.

**IDENTIFYING INFORMATION**

Please verify and/or complete any missing identifying information:

Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Last Four Digits of Social Security Number)

\_\_\_\_\_  
(Type or print name and former names(s))

\_\_\_\_\_  
(Type or print name of authorized lawful representative, if any)

\_\_\_\_\_  
(Telephone Number)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(Addressed Continued)

**PROOF OF SERVICE  
1013A(3) CCP**

**STATE OF CALIFORNIA, COUNTY OF LOS ANGELES**

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 751 N. Fair Oaks Avenue, Suite 101, Pasadena, California 91103 and my electronic service address is dmather@justicelawcorp.com.

On October 13, 2025, I served the foregoing document described as

**JOINT STIPULATION OF CLASS ACTION AND PAGA SETTLEMENT;  
AMENDMENT TO THE JOINT STIPULATION OF CLASS ACTION AND PAGA  
SETTLEMENT; SECOND AMENDMENT TO THE JOINT STIPULATION OF CLASS  
ACTION AND PAGA SETTLEMENT**

for the following case: **Tran v. Byram Healthcare Centers, Inc.**  
LWDA/Court Case No.: **LWDA Case No.: LWDA-CM- 924625-22**  
**Court Case No.: 30-2023-01309069-CU-OE-CXC**  
**Orange County Superior Court**

on interested parties in this action by electronically submitting as follows:

**State of California**  
**Labor & Workforce Development Agency**  
**800 Capitol Mall, MIC-55**  
**Sacramento, California 95814**

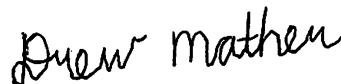
**[X] BY ELECTRONIC SUBMISSION**

Pursuant to California Senate Bill No. 836, I caused the documents described above to be electronically submitted by and through the procedure stated on the website of the State of California Labor and Workforce Development Agency.

**[X] STATE**

I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

Executed on October 13, 2025, at Pasadena, California.



Drew Mather