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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF SANTA CLARA**

TAMMY WIEDMANN, individually, and on
behalf of other members of the general public
similarly situated;

Plaintiff,

v.

MORGAN AUTISM CENTER, a California
nonprofit corporation; and DOES 1 through
100, inclusive;

Defendants.

Case No.: 24CV452570

Assigned for All Purposes to:
Honorable Charles F. Adams
Department 7

CLASS ACTION

**NOTICE OF MOTION AND MOTION FOR
PRELIMINARY APPROVAL OF CLASS
ACTION SETTLEMENT, CONDITIONAL
CERTIFICATION, APPROVAL OF CLASS
NOTICE, SETTING OF FINAL
APPROVAL HEARING DATE;
MEMORANDUM OF POINTS AND
AUTHORITIES IN SUPPORT THEREOF**

*[Declaration of Proposed Class Counsel
(Douglas Han); Declarations of Proposed Class
Representatives (Tammy Wiedmann and Bryan
Cash); Declaration of Lisa Mullins filed
concurrently herewith]*

Hearing Date: November 5, 2026
Hearing Time: 1:30 p.m.
Hearing Place: Department 7

Complaint Filed: November 25, 2024
FAC Filed: October 6, 2025
Trial Date: None Set

1 **PLEASE TAKE NOTICE** that on November 5, 2026 at 1:30 p.m., or as soon as the
2 matter may be heard, before the Honorable Charles F. Adams in Department 7 of the Santa Clara
3 Superior Court located at 191 North First Street, San Jose, California 95113, Plaintiffs Tammy
4 Wiedmann and Bryan Cash (“Plaintiffs,” “Plaintiff Wiedmann,” and “Plaintiff Cash”) moves for
5 an order:

- 6 • Granting Preliminary Approval of the class action settlement described herein and
7 as set forth in the Class Action and PAGA Settlement Agreement (“Settlement
8 Agreement,” “Settlement,” or “Agreement”), attached as **Exhibit 2** to the
9 Declaration of Douglas Han, including, and not limited to, the means of allocation
10 and distribution of funds, the allocations for payments under the Private Attorneys
11 General Act of 2004 (“PAGA”), Class Counsel Fees Payment, Class Counsel
12 Litigation Expenses Payment, Class Representative Service Payments, and
13 Settlement Administration Expenses;
- 14 • Approving the Notice of Proposed Settlement of Class Action and Hearing Date
15 (“Class Notice”) attached as **Exhibit A** to the Settlement Agreement and
16 [Proposed] Order Preliminarily Approving Class Action Settlement;
- 17 • Directing the mailing of the Class Notice with a postage-paid return envelope to
18 the Class;
- 19 • Approving the deadlines for the notice and settlement administration process;
- 20 • Conditionally certifying the Class for settlement purposes only;
- 21 • Appointing Justice Law Corporation as Class Counsel;
- 22 • Approving ILYM Group, Inc. as the Administrator;
- 23 • Appointing Plaintiffs as the class representatives;
- 24 • Approving the settlement of the PAGA claims; and
- 25 • Scheduling a hearing to consider whether to grant Final Approval of the Settlement
26 Agreement, at which time the Court will also consider whether to grant Final
27 Approval of the request for the Class Counsel Fees Payment, Class Counsel
28

1 Litigation Expenses Payment, Class Representative Service Payments, Settlement
2 Administration Expenses, and allocation of the PAGA Penalties.

3 This motion is based upon the following memorandum of points and authorities;
4 Declaration of Proposed Class Counsel (Douglas Han); Declarations of Proposed Class
5 Representatives (Tammy Wiedmann and Bryan Cash); Declaration of the Proposed Administrator
6 (Lisa Mullins); [Proposed] Order filed concurrently with this motion; pleadings and other records
7 on file with the Court in this matter; and such documentary evidence and oral argument as may
8 be presented at the hearing on this motion.

9
10 Dated: March 10, 2026

JUSTICE LAW CORPORATION

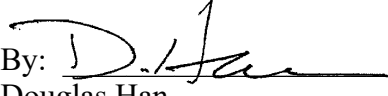
11 By: 
12 Douglas Han
13 *Attorneys for Plaintiffs*
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1 **I. INTRODUCTION**

2 This motion seeks preliminary approval of a non-reversionary wage-and-hour class and
3 representative action settlement by Plaintiffs on behalf of themselves and all current and former
4 hourly-paid or non-exempt employees of Defendant Morgan Autism Center (“Defendant”) within
5 the State of California at any time during the period from November 25, 2020 through December
6 31, 2025 (“Class,” “Class Members,” and “Class Period”). Based on a review of its records as of
7 August 31, 2025, the number of Class Members confirmed by Defendant is estimated to be two
8 hundred fifty-three (253). (Declaration of Douglas Han In Support of Motion For Preliminary
9 Approval of Class Action Settlement (“Han Decl.”), ¶¶ 7, 8.)

10 **II. BACKGROUND**

11 On November 25, 2024, Plaintiff Wiedmann filed a wage-and-hour class action lawsuit
12 in the Superior Court of California, County of Santa Clara, alleging eight (8) causes of action.
13 (Han Decl., *supra*, at ¶ 9.)

14 On July 30, 2025, Plaintiff Cash provided a written notice to the California Labor and
15 Workforce Development Agency and Defendant. (Han Decl., *supra*, at ¶ 10; Exhibit 3.)

16 On October 6, 2025, Plaintiff Wiedmann filed a First Amended Complaint that added
17 Plaintiff Cash as a named plaintiff and Class Representative and added cause of action for
18 violation of Labor Code section 2698 (PAGA). (Han Decl., *supra*, at ¶ 11; Exhibit 4.)

19 After engaging in informal discovery, investigations, and negotiation, on December 4,
20 2025, the Parties attended mediation with the mediator Joel Kelly that eventually resulted in the
21 settlement of this matter. (Han Decl., *supra*, at ¶ 12.)

22 **III. INVESTIGATION/ LITIGATION HISTORY**

23 **a. Discovery, Investigation, and the Parties’ Staunchly Conflicting Positions**

24 After initiating this lawsuit, the Parties engaged in informal discovery. (Han Decl., *supra*,
25 at ¶ 14.) The Parties met and conferred and agreed to engage in an informal exchange of
26 information and then eventually attended mediation. (*Ibid.*)

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1 Prior to mediation, the Parties conducted significant investigation and discovery of the
2 facts and law. (Han Decl., *supra*, at ¶ 15.) Defendant produced documents relating to the policies,
3 practices, and procedures. (*Ibid.*) As part of Defendant’s production, Class Counsel reviewed time
4 records, wage statements, and information relating to the size and scope of the Class. (*Ibid.*)
5 Putative class members were also located and interviewed to attain a better understanding of the
6 alleged day-to-day violations. (*Ibid.*)

7 Based upon the information provided by Defendant and interviews with putative class
8 members, Plaintiffs contend – and Defendant denies – Defendant: (1) failed to provide employees
9 with legally mandated meal and rest breaks; (2) failed to pay employees for all hours worked; (3)
10 failed to reimburse employees for necessary business expenses; (4) issued noncompliant wage
11 statements; and (5) is liable for waiting time penalties. (Han Decl., *supra*, at ¶¶ 17-23.)

12 **b. The Parties Were Able to Reach an Agreement**

13 **i. The Parties Attended Mediation Which Led to the Settlement**

14 The Parties attended mediation with an experienced mediator. (Han Decl., *supra*, at ¶ 24.)
15 During the mediation, the Parties discussed the risks of continued litigation, likelihood of
16 certification, and merits of the claims and defenses versus the benefits of settlement. (*Ibid.*) With
17 the assistance of the mediator, the Parties reached a settlement, the terms of which were
18 memorialized in the Settlement Agreement. (*Id.* at ¶ 24; Exhibits 2, 5.)

19 **ii. The Settlement Was Reached as a Result of Arm’s-length Negotiations**

20 The Settlement Agreement was reached because of arm’s-length negotiations. (Han Decl.,
21 *supra*, at ¶ 27.) Though cordial and professional, the settlement negotiations have always been
22 adversarial and non-collusive in nature. (*Ibid.*) At the mediation, the Parties’ counsel conducted
23 arm’s-length settlement negotiations until an agreement was reached after mediation. (*Ibid.*)

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1 Plaintiffs and Class Counsel believe in the merits of the case but recognize the expense
2 and length of additional proceedings necessary to continue the litigation. (Han Decl., *supra*, at ¶
3 28.) Plaintiffs and Class Counsel considered the uncertainty and risk of further litigation, potential
4 outcome, and difficulties and delays inherent in such litigation. (*Ibid.*) Plaintiffs and Class
5 Counsel believe that the Settlement Agreement is fair, adequate, and reasonable and is in the best
6 interests of the Class Members. (Han Decl., *supra*, at ¶ 28.)

7 **iii. The Settlement Is the Result of Thorough Investigation**

8 The Parties investigated and evaluated the factual strengths and weaknesses of the claims
9 and defenses and engaged in sufficient investigation, research, and discovery. (Han Decl., *supra*,
10 at ¶ 29.) The Settlement Agreement was only possible following significant investigation and
11 evaluation of the relevant policies and procedures. (*Ibid.*) This information permitted Class
12 Counsel to engage in an analysis of liability and potential damages. This case has reached the
13 stage the Parties understand “the strength of the case; the reasonableness of the settlement in light
14 of the attendant risks of litigation, and in light of the best possible recovery” sufficient to support
15 the reasonableness, adequacy, and fairness of the Settlement Agreement. (Han Decl., *supra*, at
16 ¶ 29; *Boyd v. Bechtel Corp.* (N.D.Cal. 1979) 485 F.Supp. 610, 617.)

17 **c. Terms of the Settlement**

18 **i. Deductions from the Settlement**

19 The Parties agreed to settle this matter for the non-reversionary total sum of \$760,275,
20 which includes the: (1) Class Counsel Fees Payment of up to \$253,425 (1/3 of the Gross
21 Settlement Amount); (2) Class Counsel Litigation Expenses Payment of up to \$25,000; (3) Class
22 Representative Service Payments totaling \$20,000; (4) Administration Expenses Payment of up
23 to \$10,000; and (5) PAGA Penalties of \$35,000. (Han Decl., *supra*, at ¶ 25.)

24 **ii. Calculation of the Settlement Payments**

25 If the Court approves the above-mentioned deductions from the Gross Settlement
26 Amount, it is estimated that \$416,850 will be distributed to the Participating Class Members,
27 resulting in a gross *average* Individual Class Payment of \$1,647.63. (Han Decl., *supra*, at ¶ 26.)

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1 The Administrator will calculate and pay the Individual Class Payments for the
2 Participating Class Members using the formula set forth in the Settlement Agreement. (Han Decl.,
3 *supra*, at ¶ 24; Exhibit 2, *supra*, at § C(2)(e).) The Administrator shall also calculate and pay the
4 Individual PAGA Payments to the Aggrieved Employees using the formula set forth in the
5 Settlement Agreement. (Han Decl., *supra*, at ¶ 24; Exhibit 2, *supra*, at § C(2)(d).)

6 **iii. The Allocation of 20% to Wages and 80% to Interests and Penalties Is**
7 **Justified Under the Claims of this Action**

8 The Individual Class Payments will be apportioned twenty percent (20%) to the settlement
9 of wage claims and eighty percent (80%) to the settlement of claims for interest and penalties.
10 (Han Decl., *supra*, at ¶ 24; Exhibit 2, *supra*, at § C(2)(e).)

11 The allocation of wages and penalties was based on the approximate realistic exposure
12 that Plaintiffs could expect to receive for meal and rest break premiums and unpaid wages. (Han
13 Decl., *supra*, at ¶ 47.) Plaintiffs first added the realistic exposure for rest break premiums and
14 meal break premiums ($\$54,134.06 + \$139,981.36 = \$194,115.42$). (*Ibid.*) Plaintiffs then added
15 the $\$194,115.42$ to the low end realistic exposure for unpaid wages due to off-the-clock work
16 ($\$194,115.42 + \$74,921.94 = \$269,037.36$). (*Ibid.*) The realistic exposure of $\$269,037.36$ that
17 Plaintiffs can expect to receive if they were to prevail at trial for meal and rest break premiums
18 and low end unpaid wages due to off-the-clock work represents about twenty-one percent
19 (21.50%) of the low end total realistic exposure and PAGA penalties ($\$269,037.36 \div$
20 $\$1,251,531.72 = 0.21497 \times 100 = 21.50\%$). (*Ibid.*) Because this represents almost twenty percent
21 (20%) of wages, it is appropriate to allocate twenty percent (20%) as wages and eighty percent
22 (80%) as interest and penalties pursuant to the Settlement Agreement. (*Ibid.*)

23 **iv. Notice to the Class**

24 No later than fourteen (14) calendar days after the Court grants Preliminary Approval,
25 Defendant will deliver the Class Data to the Administrator. (Han Decl., *supra*, at ¶ 24; Exhibit 2,
26 *supra*, at § G(4)(a).) No later than fourteen (14) calendar days after receiving the Class Data, the
27 Administrator will mail the Class Notices with a translation to all the Class Members via first-
28 class USPS mail. (*Id.* at § G(4)(c).)

1 **v. Distribution of Funds**

2 The Gross Settlement Amount will be funded and distributed pursuant to the timeline and
3 manner set forth in the Settlement Agreement. (Han Decl., *supra*, at ¶ 24; Exhibit 2, *supra*, at §§
4 D(2), D(3).) The uncashed settlement checks will be canceled and transmitted to the California
5 Controller's Unclaimed Property Fund in the name of the Class Member. (*Id.* at §§ D(3)(a), D(3)(c).)

6 **vi. Release of Claims**

7 Effective on the date when Defendant funds the entire Gross Settlement Amount and funds
8 all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, all the
9 Participating Class Members, on behalf of themselves and their former and present
10 representatives, agents, attorneys, heirs, administrators, successors, and assigns, release the
11 Released Parties from the Released Class Claims. (Han Decl., *supra*, at ¶ 24; Exhibit 2, *supra*, at
12 § E(2).)

13 Effective on the date when Defendant funds the entire Gross Settlement Amount and funds
14 all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, all the
15 Participating and Non-Participating Class Members, who are Aggrieved Employees, are deemed
16 to release, on behalf of themselves and their former and present representatives, agents, attorneys,
17 heirs, administrators, successors, and assigns, the Released Parties from the Released PAGA
18 Claims. (Han Decl., *supra*, at ¶ 24; Exhibit 2, *supra*, at § E(3).)

19 Effective on the date when Defendant funds the entire Gross Settlement Amount and funds
20 all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, Plaintiffs
21 and Plaintiffs' former and present spouses, representatives, agents, attorneys, heirs,
22 administrators, successors, and assigns generally release and discharge the Released Parties from
23 the Plaintiffs' Release. (Han Decl., *supra*, at ¶ 24; Exhibit 2, *supra*, at § E(1).) Furthermore,
24 Plaintiffs expressly waive and relinquish the provisions, rights, and benefits, if any, of section
25 1542 of the Civil Code. (*Ibid.*)

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1 With regards to class action releases, “[A] court may release not only those claims
2 alleged in the complaint and before the court, but also claims which ‘could have been alleged by
3 reason of or in connection with any matter or fact set forth or referred to in’ the complaint.”
4 (*Amaro v. Anaheim Arena Management, LLC* (2021) 69 Cal.App.5th 521, 537.) The scope of the
5 releases in this case is acceptable because is it limited to the scope of the allegations in the
6 operative complaints. The released claims are “based on the identical factual predicate as that
7 underlying the claims in the settled class action.” (*Ibid.*) In other words, the released claims do
8 not “go beyond the scope of the allegations in the operative complaint” (*Ibid.*)

9 **d. Counsel for Both Parties Are Experienced in Similar Litigation**

10 Counsel for the Parties are experienced in wage-and-hour employment law and class
11 actions. (Han Decl., *supra*, at ¶¶ 2-6; Exhibit 1.) Class Counsel have prosecuted numerous cases
12 on behalf of employees for Labor Code violations. (*Ibid.*) Thus, Class Counsel are experienced
13 and qualified to evaluate the class claims, advantages, and disadvantages of settlement versus
14 trial, and viability of the defenses. (*Ibid.*) Class Counsel’s experience guided their determination
15 to endorse the Settlement Agreement.¹ (*Ibid.*)

16 **IV. ARGUMENT**

17 **a. Class Action Settlements Are Subject to Court Review**

18 Rules of Court, rule 3.769 requires court approval for class action settlements.² “Before
19 final approval, the court must conduct an inquiry into the fairness of the proposed settlement.”
20 (Rules of Court, rule 3.769(g).) Rule 3.769 further requires a noticed motion for preliminary
21 approval of class settlements:

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23 _____
24 ¹ The final factor mentioned in *Dunk* – the number of objectors – is not determinable until
25 the Class Notice has been mailed to the Class Members, and the Class Members have had an
26 opportunity to respond. This information will be provided to the Court in conjunction with the
27 Motion for Final Approval.

28 ² The California Supreme Court has also authorized California’s trial courts to use Federal
Rule 23 and cases applying it for guidance in considering class issues. (*Vasquez v. Superior Court*
(1971) 4 Cal.3d 800, 821; *Green v. Obledo* (1981) 29 Cal.3d 126, 145-146.) Where appropriate,
the Parties cite Federal Rule 23 and federal case law in addition to California law.

1 (a) A settlement or compromise of an entire class action, or a cause of action in
2 a class action, or as to a party, requires the approval of the court after
3 hearing.

4 ...

5 (c) Any party to a settlement agreement may serve and file a written notice of
6 motion for preliminary approval of the settlement. The settlement
7 agreement and proposed notice to class members must be filed with the
8 motion, and the proposed order must be lodged with the motion.

9 Courts have discretion to approve settlements that are fair, not collusive, and consider ““all
10 the normal perils of litigation as well as the additional uncertainties inherent in complex class
11 actions.”” (*In re Beef Industry Antitrust Litigation* (5th Cir. 1979) 607 F.2d 167, 179, cert. den.
12 *sub nom. Iowa Beef Processors, Inc. v. Meat Price Investigators Ass’n* (1981) 452 U.S. 905.)

13 **b. The Settlement Is a Reasonable Compromise of Claims**

14 An understanding of the amount in controversy is an important factor in whether the
15 settlement “of the class members’ claims is reasonable in light of the strengths and weaknesses
16 of the claims and the risks of the particular litigation.” (*Kullar v. Foot Locker Retail, Inc.* (2008)
17 168 Cal.App.4th 116, 129; see also *Munoz v. BCI Coca-Cola Bottling Co. of Los Angeles* (2010)
18 186 Cal.App.4th 399, 409.) The most important factor in this regard is ““the strength of the case
19 for Plaintiff on the merits, balanced against the amount offered in settlement.”” (*Kullar*, at p.
20 130; see also *Munoz*, at p. 409.)

21 *Kullar’s* instruction to the court is not to “decide the merits of the case or to substitute its
22 evaluation of the most appropriate settlement for that of the attorneys.” (*Kullar v. Foot Locker
23 Retail, Inc., supra*, 168 Cal.App.4th at p. 133.) *Kullar* does not require an explicit statement of
24 the maximum amount the class could recover if the plaintiff prevailed on all his claims, provided
25 there is a record that allows ““an understanding of the amount that is in controversy and the
26 realistic range of outcomes of the litigation.”” (*Munoz v. BCI Coca-Cola Bottling Co. of Los
27 Angeles, supra*, 186 Cal.App.4th at p. 409.) Put differently, “as the court does when it approves a
28 settlement as in good faith under Code of Civil Procedure § 877.6, the court must at least satisfy
itself that the class settlement is within the ‘ballpark’ of reasonableness.” (*Kullar*, at p. 133.)

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1 **i. The Gross Settlement Amount of \$760,275 Is Fair and Reasonable**

2 The Settlement Agreement was only possible following an investigation and evaluation
3 of the relevant policies and procedures in place and the data provided for the putative class, as
4 referenced in Section III(b) above, permitting Class Counsel to engage in an analysis of liability
5 and potential damages. (Han Decl., *supra*, at ¶ 29.)

6 The claims are predicated on the alleged: (1) failure to pay overtime wages; (2) failure to
7 pay minimum wages; (3) failure to provide meal and rest breaks and pay applicable premium
8 wages; (4) failure to timely pay wages; (5) failure to issue compliant wage statements; (6) failure
9 to reimburse business expenses; (7) violation of PAGA; and (8) violation of Business &
10 Professions Code section 17200. (Han Decl., *supra*, at ¶ 30.) Defendant vehemently denies the
11 theories of liability. (*Id.* at ¶ 31.)

12 While Plaintiffs believe that the case is suitable for certification, uncertainties with respect
13 to certification are always present. (Han Decl., *supra*, at ¶ 32.) As the California Supreme Court
14 ruled in *Sav-On Drug Stores, Inc. v. Superior Court* (2004) 34 Cal.4th 319, class certification is
15 a matter of the trial court's sound discretion. (*Ibid.*) Decisions following *Sav-On Drug Stores,*
16 *Inc.* have reached different conclusions regarding certification of wage-and-hour claims.³ (*Ibid.*)
17 These factors led to the discounting of the calculations for the potential damages.

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24 ³ (See e.g. *Harris v. Superior Court* (2007) 154 Cal.App.4th 164 [reversing decertification
25 of class claiming misclassification and ordering summary adjudication in favor of employees],
26 review granted Nov. 28, 2007, (2007) 171 P.3d 545 [not cited as precedent, but rather for
27 illustrative purposes only]; *Walsh v. IKON Office Solutions, Inc.* (2007) 148 Cal.App.4th 1440
28 [affirming decertification of class claiming misclassification]; *Aguilar v. Cintas Corp. No. 2*
[2006] 144 Cal.App.4th 121 [reversing denial of certification]; *Dunbar v. Albertson's Inc.* (2006)
141 Cal.App.4th 1422 [affirming denial of certification].)

1 **ii. The PAGA Penalties of \$35,000 Are Reasonable**

2 The provisions of the Labor Code potentially triggering the PAGA penalties include Labor
3 Code sections 201, 202, 203, 204, 210, 218.5, 221, 226(a), 226.3, 226.7, 246, 432.5, 510, 512(a),
4 551, 552, 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800, and 2802. (Han Decl., *supra*, at ¶ 40.)
5 Defendant asserts that the PAGA penalties are not mandatory but permissive and discretionary.
6 (Han Decl., *supra*, at ¶ 40.) Defendant maintains that it had a strong argument it would be unjust
7 to award the maximum PAGA penalties given the unsettled state of the law. (Han Decl., *supra*,
8 at ¶ 40; *Thurman v. Bayshore Transit Mgmt.* (2012) 203 Cal.App.4th 1112 [reducing penalties by
9 30% under this authority].) Defendant argued that when limited to the initial violation, the PAGA
10 penalties could be approximately **\$13,800** (138 employees x \$100 initial violation) on the low
11 end and about **\$82,800** (138 employees x \$100 initial violation x 6 theories of recovery) on the
12 high end. (Han Decl., *supra*, at ¶¶ 41-44.)

13 Plaintiffs recognized the risk that any PAGA award could be reduced. (Han Decl., *supra*,
14 at ¶ 45.) Many of the causes of action brought were duplicative of the statutory claims, such as
15 Labor Code sections 201, 202, 203, 226.7, 510, 512(a), 1194, 1197, 1198, 2800, and 2802. (*Ibid.*)
16 Thus, allocating \$35,000 for the PAGA penalties was reasonable given that Defendant is paying
17 an additional \$725,275 in the class settlement. (*Ibid.*) When PAGA penalties are negotiated in
18 good faith and “there is no indication that [the] amount was the result of self-interest at the
19 expense of other Class Members,” such amounts are considered reasonable.⁴ (*Ibid.*)

20 Considering the defenses, supporting evidence, and position that the case is not suitable
21 for class treatment, the settlement is reasonable, adequate, and fair.

22 **c. Discount Analysis Justifies the Settlement**

23 Excluding the civil penalties, which could be completely discretionary, the total estimated
24 potential exposure, assuming certification and prevailing at trial, would be about **\$3,071,347.65**
25 on the low end and **\$3,258,652.50** on the high end. (Han Decl., *supra*, at ¶ 46.)

26 ⁴ (*Hopson v. Hanesbrands Inc.* (N.D. Cal. Apr. 3, 2009) No. 08-00844, 2009 U.S. Dist.
27 LEXIS 33900 at *24; see e.g. *Nordstrom Com. Cases* (2010) 186 Cal.App.4th 576, 579, “[T]rial
28 court did not abuse its discretion in approving a settlement which does not allocate any damages
to the PAGA claims”).

Category	Potential Exposure	Certification Risk	Merits Risk	Realistic Exposure
Rest Break Premiums	\$451,117.15	70%	60%	\$54,134.06
Meal Break Premiums	\$699,906.80	60%	50%	\$139,981.36
Overtime/Minimum Wage: Off-the-Clock Work	\$374,609.70 to \$561,914.55	60%	50%	\$74,921.94 to \$112,382.91
Unreimbursed Business Expenses	\$167,622	20%	70%	\$40,229.28
Wage Statement Penalty	\$269,100	30%	30%	\$131,859
Waiting Time Penalty	\$1,108,992	30%	30%	\$543,406.08
MAXIMUM TOTAL EXPOSURE	\$3,071,347.65 to \$3,258,652.50⁵			\$984,531.72 to \$1,021,992.69⁶

Based on this analysis, the realistic recovery is **\$984,531.72** on the low end and **\$1,021,992.69** on the high end. (Han Decl., *supra*, at ¶ 54.) The Gross Settlement Amount is about twenty-three percent (23.33%) of the maximum potential exposure and seventy-four percent (74.39%) of the maximum realistic exposure at trial, which is a reasonable settlement. (*Ibid.*)

The only question at preliminary approval is whether the settlement is within the range of possible approval. (*In re General Motors Corp. Engine Interchange Litigation* (7th Cir. 1979) 594 F.2d 1106, 1124; *Acosta v. TransUnion, LLC* (C.D. Cal. 2007) 240 F.R.D. 564, 575.) “The fact that a proposed settlement may only amount to a fraction of the potential recovery does not, in and of itself, mean that the proposed settlement is grossly inadequate and should be disapproved.” (*Detroit v. Grinnell Corp.* (2d Cir. 1974) 495 F.2d 448, 455; see also *Linney v. Cellular Alaska Partnership* (9th Cir. 1998) 151 F.3d 1234, 1242, “[I]t is the very uncertainty of outcome in litigation and avoidance of wasteful and expensive litigation that induce consensual settlements. The proposed settlement is not to be judged against a hypothetical or speculative measure of what might have been achieved by the negotiators.”) The Settlement Agreement is in line with the realistic exposure if Plaintiffs prevailed at trial and provides a reasonable recovery for the Class Members.

⁵ (Han Decl., *supra*, at ¶¶ 33-39.)

⁶ (*Id.* at ¶¶ 48-53.)

1 **d. Conditional Certification of the Class Is Appropriate**

2 Code of Civil Procedure section 382 “authorizes class actions ‘when the question is one
3 of a common or general interest, of many persons, or when the parties are numerous, and it is
4 impracticable to bring them all before the court.’” (*Sav-On Drug Stores, Inc. v. Superior Court*,
5 *supra*, 34 Cal.4th at p. 326.) California courts certify class actions if the plaintiff can identify
6 “both [1] an ascertainable class and [2] a well-defined community of interest among class
7 members.” (*Ibid.*)

8 The Class is ascertainable and numerous as to make it impracticable to join all the Class
9 Members, and there are common questions of law and fact amongst the Class Members. (Han
10 Decl., *supra*, at ¶ 55.) Plaintiffs contend that, as former hourly-paid, non-exempt employees of
11 Defendant, Plaintiffs’ claims are typical of the claims of the Class Members, and Class Counsel
12 will fairly and adequately protect the interests of the Class Members. (*Ibid.*) Plaintiffs assert that
13 the prosecution of separate actions by individual Class Members would create the risk of
14 inconsistent or varying adjudications. (*Ibid.*)

15 **i. The Class Is Ascertainable and Sufficiently Numerous**

16 “Ascertainability is required in order to give notice to putative class members as to whom
17 the judgment in the action will be res judicata.” (*Hicks v. Kaufman & Broad Home Corp.* (2001)
18 89 Cal.App.4th 908, 914.) “A class is ascertainable if it identifies a group of unnamed Plaintiff
19 by describing a set of common characteristics sufficient to allow a member of that group to
20 identify himself or herself as having a right to recover based on the description.” (*Bartold v.*
21 *Glendale Federal Bank* (2000) 81 Cal.App.4th 816, 828.) The proposed class must also be
22 sufficiently numerous. (*Linder v. Thrifty Oil Co.* (2000) 23 Cal.4th 429, 435.)

23 This case involves approximately two hundred fifty-three (253) Class Members, meaning
24 that the Class is sufficiently numerous. (Han Decl., *supra*, at ¶ 56; *Ghazaryan v. Diva Limousine,*
25 *Ltd.* (2008) 169 Cal.App.4th 1524, 1531, n.5 [finding a proposed class of “as many as 190 current
26 and former employees” is sufficiently numerous].)

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1 **ii. The Class Members Share a Well-defined Community of Interest**

2 The community of interest requirement “embodies three factors: (1) predominant common
3 questions of law or fact; (2) Class Representative with claims or defenses typical of the class; and
4 (3) Class Representative who can adequately represent the class.” (*Sav-On Drug Stores, Inc. v.*
5 *Superior Court, supra*, 34 Cal.4th at p. 326.) “[T]he community of interest requirement for
6 certification *does not mandate that class members have uniform or identical claims.*” (*Capitol*
7 *People First v. Department of Developmental Services* (2007) 155 Cal.App.4th 676, 692
8 (emphasis in original).) The courts focus on the defendant’s internal policies and “pattern and
9 practice . . . in order to assess whether that common behavior toward similarly situated Plaintiff
10 renders class certification appropriate.” (*Ibid.*)

11 **1. Common Issues Predominate**

12 The “common issues” requirement “involves analysis of whether the proponent’s ‘theory
13 of recovery’ is likely to prove compatible with class treatment.” (*Capitol People First v.*
14 *Department of Developmental Services, supra*, 155 Cal.App.4th at p. 690.) Courts determine
15 whether the elements necessary to establish liability are susceptible to common proof, even if the
16 class members must individually prove their damages. (*Brinker Restaurant Corp. v. Superior*
17 *Court* (2012) 53 Cal.4th 1004, 1024). These types of claims are regularly granted class
18 certification when the plaintiff can present evidence of common policies. (See e.g. *Jones v. JGC*
19 *Dallas LLC* (N.D.Tex. Nov. 29, 2012, Civil Action No. 3:11-CV-2743-O) 2012 U.S.Dist.LEXIS
20 185042 [certified collective action involving 190 dancers]; *Espinoza v. Galardi South Enters.*
21 (S.D.Fla. Jan. 11, 2016, No. 14-21244-CIV-GOODMAN) 2016 U.S.Dist.LEXIS 2904 [court
22 certified class of dancers on state law claims].)

23 Plaintiffs assert that common issues of fact and law predominate as to each of the claims
24 alleged. (Han Decl., *supra*, at ¶ 57.) Plaintiffs contend that all the Class Members were subject to
25 the same or similar employment practices, policies, and procedures described above. (*Ibid.*)

26 **2. Plaintiffs’ Claims Are Typical of the Class Claims**

27 Typical claims rely on legal theories and facts that are substantially like those of other
28 class members. (*Classen v. Weller* (1983) 145 Cal.App.3d 27, 46.)

1 Plaintiffs are former employees of Defendant and allege that Plaintiffs and Class
2 Members, were employed by the same company and injured by the common policies and practices
3 related to the claims described above. (Han Decl., *supra*, at ¶ 58.) Plaintiffs seek relief for these
4 claims and derivative claims on behalf of the Class. (*Ibid.*) Plaintiffs' claims arise from the same
5 employment practices and are based on the same legal theories applicable to the Class. (*Ibid.*)

6 **3. Plaintiffs Are Adequate to Represent the Class**

7 Plaintiffs have proven to be adequate representatives. (Han Decl., *supra*, at ¶ 59.)
8 Plaintiffs have conducted themselves diligently and responsibly in representing the Class,
9 understand the fiduciary obligations, and have actively participated in the prosecution of this case.
10 (*Ibid.*) Plaintiffs also have no interests that are adverse to those of the Class Members. (*Ibid.*)

11 **4. Class Action Is Superior for the Fair and Efficient** 12 **Adjudication of this Controversy**

13 A class action is superior to other available means for the fair and efficient adjudication
14 of this controversy. Plaintiffs contend that the joinder of all Class Members is impractical and
15 that class treatment will permit many similarly situated persons to prosecute their common claims
16 for settlement purposes simultaneously in a single forum without the duplication of effort and
17 expense. Because some Class Members are current employees, Plaintiffs believe that fear of
18 retaliation further supports the superiority of class-wide relief as this fear deters legal redress.

19 **e. The Settlement Is Fair, Reasonable, and Adequate**

20 In deciding whether to approve a proposed class action settlement under Code of Civil
21 Procedure section 382, the Court must find a proposed settlement is "fair, adequate and
22 reasonable." (*Dunk v. Ford Motor Co.* (1996) 48 Cal.App.4th 1794, 1801.) A proposed class
23 action settlement is presumed fair under the following circumstances: (1) the parties reached
24 settlement after arm's-length negotiations; (2) investigation and discovery were sufficient to
25 allow counsel and the court to act intelligently; (3) counsel is experienced in similar litigation;
26 and (4) the percentage of objectors is small. (*Dunk v. Ford Motor Co.*, *supra*, 48 Cal.App.4th at
27 p. 1802.) All these elements are present here.

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