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SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF SAN DIEGO - HALL OF JUSTICE

JAMIE MIGUEL MOEN, an individual, on
behalf of himself and others similarly situated,

Plaintiff,

v.

AUTOMATED ENGINEERING SERVICES,
INC., a California stock corporation; and DOES
1 through 50, inclusive,

Defendants.

CASE NO.: 25CU042196C
Unlimited Jurisdiction

*Assigned for all purposes to the
Honorable Marcella O. McLaughlin, Dept.
C-72*

**JOINT STIPULATION OF CLASS ACTION
AND PAGA SETTLEMENT AND RELEASE**

JOINT STIPULATION OF CLASS ACTION AND PAGA SETTLEMENT AND RELEASE

This Joint Stipulation of Class Action and PAGA Settlement and Release (“Settlement” or “Settlement Agreement”) is made and entered into by and between Jamie Miguel Moen (“Plaintiff” or “Class Representatives”), individually and on behalf of all others similarly situated, and Automated Engineering Services, Inc. (“Defendant”) (collectively with Plaintiff, the “Parties”).

DEFINITIONS

The following definitions are applicable to this Settlement Agreement. Definitions contained elsewhere in this Settlement Agreement will also be effective:

1. “Action” means *Jamie Miguel Moen v. Automated Engineering Services, Inc.*, No. 25CU042196C (San Diego County Superior Court).

2. “Attorneys’ Fees and Costs” means attorneys’ fees agreed upon by the Parties and approved by the Court for Class Counsel’s litigation and resolution of the Action, and all out-of-pocket costs incurred and to be incurred by Class Counsel in the Action, including but not limited to expert/consultant fees, investigation costs, and costs associated with documenting the Settlement, providing any notices required as part of the Settlement or Court order, securing the Court’s approval of the Settlement, administering the Settlement, and obtaining entry of a Judgment terminating the Action. Class Counsel will request attorneys’ fees not in excess of one-third (1/3) of the Gross Settlement Amount, or Seventy-One Thousand Six Hundred Sixty-Six Dollars and Sixty-Seven Cents (\$71,666.67). The Attorneys’ Fees and Costs will also mean and include the additional reimbursement of any costs and expenses associated with Class Counsel’s litigation and settlement of the Action, up to Fifteen Thousand Dollars and Zero Cents (\$15,000.00), subject to the Court’s approval. Defendant has agreed not to oppose Class Counsel’s request for fees and reimbursement of costs as set forth above.

3. “Class Counsel” means Alvin B. Lindsay, William Tran, Enoch J. Kim, and Arianna N. Razi of D. Law, Inc.

4. “Class List” means a complete list of all Class Members that Defendant will diligently and in good faith compile from its records and provide to the Settlement Administrator within fifteen (15) calendar days after Preliminary Approval of this Settlement. The Class List will be formatted in Microsoft Office Excel and will include each Class Member’s full name; most recent mailing address and telephone

number; Social Security number; dates of employment; and any other relevant information needed to calculate settlement payments.

5. “Class Member(s)” or “Settlement Class” means all persons who worked for Defendant Automated Engineering Services, Inc. as non-exempt, hourly paid employees in the State of California at any time from August 12, 2021 through the earlier of June 10, 2026 or the date of Preliminary Approval.

6. “Class Member Address Search” means the Settlement Administrator’s investigation and search for current Class Member mailing addresses using all reasonably available sources, methods and means including, but not limited to, the National Change of Address database, skip traces, and direct contact by the Settlement Administrator with Class Members.

7. “Class Notice” means the Court Approved Notice of Class Action Settlement and Hearing Date for Final Court Approval, substantially in the form attached as Exhibit A.

8. “Class Period” means the period from August 12, 2021 through the earlier of June 10, 2026 or the date of Preliminary Approval.

9. “Class Representative” means the named Plaintiff in the Operative Complaint in the Action seeking Court approval to serve as a Class Representative, Jamie Miguel Moen.

10. “Class Representative Enhancement Payment” means the amount to be paid to Plaintiff in recognition of his efforts and work in prosecuting the Action on behalf of Class Members, and for his individual release of claims. Subject to the Court granting final approval of this Settlement Agreement and subject to the exhaustion of any and all appeals, Plaintiff will request Court approval of a Class Representative Enhancement Payment of up to Five Thousand Dollars and Zero Cents (\$5,000.00), to Plaintiff.

11. “Court” means the San Diego County Superior Court.

12. “Defendant” means Defendant Automated Engineering Services, Inc.

13. “Defendant’s Counsel” means David Amaya of Fisher & Phillips, LLP.

14. “Effective Date” means the later of: (a) if no timely objections are filed, or are withdrawn prior to Final Approval, then the date of Final Approval; or (b) if a Class Member files an objection to the Settlement, the Effective Date shall be the sixty-first (61) calendar day after the date of Final Approval, provided no appeal is initiated by an objector; or (c) if a timely appeal is initiated by an objector, then the

Effective Date will be the date of final resolution of that appeal (including any requests for rehearing and/or petitions for certiorari), resulting in final judicial approval of the Settlement.

15. “Final Approval” means the date on which the Court enters an order granting final approval of the Settlement Agreement.

16. “Final Approval Hearing” means the Court’s hearing on the Motion for Final Approval of the Settlement.

17. “Final Judgment” means the Judgment Entered by the Court upon Granting Final Approval of the Settlement.

18. “Gross Settlement Amount” means the Gross Settlement Amount of Two Hundred Fifteen Thousand Dollars and Zero Cents (\$215,000.00), to be paid by Defendant in full satisfaction of all Released Class Claims and Released PAGA Claims, which includes all Attorneys’ Fees and Costs, the Class Representative Enhancement Payment, the PAGA Settlement Amount, and Settlement Administration Costs. This Gross Settlement Amount has been agreed to by Plaintiff and Defendant based on the aggregation of the agreed-upon settlement value. In no event will Defendant be liable for more than the Gross Settlement Amount except as provided in Paragraph 63 below. There will be no reversion of the Gross Settlement Amount to Defendant. Defendant will be separately responsible for any employer payroll taxes required by law, including the employer FICA, FUTA, and SDI contributions, which shall not be paid from the Gross Settlement Amount.

19. “Individual Class Payment” means the Participating Class Member’s pro rata share of the Net Settlement Fund calculated according to the number of Workweeks worked during the Class Period..

20. “Individual PAGA Payment” means the PAGA Member’s pro rata share of 35% of the PAGA Settlement Amount calculated according to the number of Pay Periods worked during the PAGA Period.

21. “LWDA” means the California Labor and Workforce Development Agency, the agency entitled, under California Labor Code section 2699, subd. (m).

22. “Net Settlement Fund” means the portion of the Gross Settlement Amount remaining after deducting the Attorneys’ Fees and Costs, the Class Representative Enhancement Payment, the PAGA Settlement Amount, and Settlement Administration Costs. The remainder is to be paid to Participating

Class Members as Individual Class Payments. There will be no reversion of the Net Settlement Fund to Defendant.

23. “Non-Participating Class Member” means any Class Member who opts out of the Settlement by sending the Settlement Administrator a valid and timely Request for Exclusion.

24. “Notice of Objection” means a Class Member’s valid and timely written objection to the Settlement Agreement. For the Notice of Objection to be valid, it must include: (a) the objector’s full name, signature, address, and telephone number, (b) a written statement of all grounds for the objection accompanied by any legal support for such objection; (c) copies of any papers, briefs, or other documents upon which the objection is based; and (d) a statement whether the objector intends to appear at the final fairness hearing.

25. “Operative Complaint” means the First Amended Class Action Complaint filed in the Action on October 16, 2025.

26. “PAGA” means the Private Attorneys General Act (California Labor Code §§ 2698, *et seq.*).

27. “PAGA Notice” means Plaintiff’s August 12, 2025, letter to Defendant and the LWDA providing notice pursuant to California Labor Code section 2699.3, subd.(a).

28. “PAGA Members” means all persons who worked for Defendant Automated Engineering Services, Inc. as non-exempt, hourly paid employees in the State of California at any time from August 12, 2024 through the earlier of June 10, 2026 or the date of Preliminary Approval.

29. “PAGA Pay Period” means a pay period worked by a PAGA Member during the PAGA Period, which shall be calculated by taking the total number of days of employment for each PAGA Member during the PAGA Period and dividing that number by fourteen (14) and rounding up to the nearest whole number. All PAGA Members will be credited with at least one PAGA Pay Period during the PAGA Period.

30. “PAGA Period” means the period from August 12, 2024 through the earlier of June 10, 2026 or the date of Preliminary Approval.

31. “PAGA Settlement Amount” means the amount that the Parties have agreed to pay to the Labor and Workforce Development Agency (“LWDA”) and PAGA Members in connection with

1 Plaintiff's claim under the Labor Code Private Attorneys General Act of 2004 (Cal. Lab. Code §§ 2698,
2 *et seq.*, "PAGA") ("PAGA Settlement"). The Parties have agreed that Fifteen Thousand Dollars
3 (\$15,000.00) of the Gross Settlement Amount will be allocated to the PAGA Settlement. Pursuant to
4 PAGA, sixty-five percent (65%), or Nine Thousand Seven Hundred Fifty Dollars and Zero Cents
5 (\$9,750.00), of the PAGA Settlement Amount will be paid to the California Labor and Workforce
6 Development Agency ("LWDA Payment"), and thirty-five percent (35%), or Five Thousand Two
7 Hundred Fifty Dollars and Zero Cents (\$5,250.00) ("PAGA Fund"), of the PAGA Settlement will be
8 disbursed to PAGA Members, regardless of whether they request to be excluded from the Settlement
9 Class.

10 32. "Parties" means Plaintiff and Defendant collectively.

11 33. "Participating Class Members" means all Class Members who do not submit timely and
12 valid Requests for Exclusion.

13 34. "Plaintiff" means Plaintiff Jamie Miguel Moen.

14 35. "Preliminary Approval" means the date on which the Court enters an order granting
15 preliminary approval of the Settlement Agreement.

16 36. "Released Class Claims" means all claims, rights, demands, liabilities, and causes of
17 action that were alleged in the Operative Complaint or reasonably could have been alleged based on the
18 facts and legal theories contained in the Operative Complaint, arising during the Class Period, including
19 claims for violation of: (1) Cal. Lab. Code §§ 204, 1194, 1194.2, 1197, and 1197.1 (unpaid minimum
20 wages); (2) Cal. Lab. Code §§ 510, 1194 and 1198 (failure to pay overtime wages); (3) Cal. Lab. Code §§
21 226.7 and 512 (failure to provide meal periods); (4) Cal. Lab. Code § 226.7 (failure to authorize and permit
22 rest periods); (5) Cal. Lab. Code § 226 (failure to provide accurate itemized wage statements); (6) Cal.
23 Lab. Code §§ 1174 and 1174.5 (failure to maintain payroll records); (7) Cal. Lab. Code § 204 (failure to
24 timely pay wages); (8) Cal. Lab. Code §§ 201-203 (failure to timely pay wages at termination); (9) Cal.
25 Lab. Code § 221 (unlawful deduction of wages); (10) Cal. Lab. Code § 2802 (failure to reimburse business
26 expenses); and (11) California Business & Profession Code §§ 17200 *et seq.* (unfair business practices).

27 37. "Released PAGA Claims" means all claims for civil penalties under California Labor
28 Code §§ 2698, *et seq.*, based on alleged predicate violations of Labor Code §§ 201, 202, 203, 204, 210,

212, 218, 218.5, 218.6, 221, 226, 226.4, 226.7, 227.3, 510, 511, 512, 558, 558.1, 1174, 1174.5, 1182.12, 1185, 1194, 1194.2, 1197, 1197.1, 1198, 1198.5, 1199, 2802, 2698, 2699, *et. seq.*, including 2699.5, and the related applicable IWC Wage Orders that were alleged or reasonably could have been alleged based on the facts and legal theories contained in Plaintiff's PAGA Notice, arising during the PAGA Period.

38. "Released Parties" means Defendant, their past or present officers, directors, shareholders, agents, principals, heirs, representatives, accountants, auditors, consultants, insurers and reinsurers, and their respective successors and predecessors in interest, subsidiaries, affiliates, parents and attorneys, if any.

39. "Request for Exclusion" means a timely letter submitted by a Class Member indicating a request to be excluded from the Settlement Class. The Request for Exclusion must: (a) set forth the name, address, telephone number and last four digits of the Social Security Number of the Class Member requesting exclusion; (b) be signed by the Class Member; (c) be returned to the Settlement Administrator; (d) clearly state that the Class Member does not wish to be included in the Settlement; and (e) be faxed or postmarked on or before the Response Deadline.

40. "Response Deadline" means the deadline by which Class Members must postmark or fax to the Settlement Administrator Requests for Exclusion, postmark or fax disputes concerning the calculation of Individual Class Payments and/or Individual PAGA Payments, or postmark or fax Notices of Objection to the Settlement Administrator. The Response Deadline will be sixty (60) calendar days from the initial mailing of the Class Notice by the Settlement Administrator, unless the sixtieth (60th) calendar day falls on a Sunday or State holiday, in which case the Response Deadline will be extended to the next day on which the U.S. Postal Service is open. Class Members to whom Class Notices are resent after having been returned undeliverable to the Settlement Administrator shall have an additional fourteen (14) calendar days beyond the date the Response Deadline has expired.

41. "Settlement" means the disposition of the Action effected by this Agreement and the Final Judgment.

42. "Settlement Administration Costs" means the costs payable from the Gross Settlement Amount to the Settlement Administrator for administering this Settlement, including, but not limited to, printing, distributing, and tracking documents for this Settlement, tax reporting, distributing the Gross

Settlement Amount, and providing necessary reports and declarations, as requested by the Parties. The Settlement Administration Costs will be paid from the Gross Settlement Amount, including, if necessary, any such costs in excess of the amount represented by the Settlement Administrator as being the maximum costs necessary to administer the Settlement. Based on an estimated Settlement Class of approximately 100 class members and 5,000 workweeks, the Settlement Administration Costs are currently estimated to be Five Thousand Four Hundred Ninety Dollars and Zero Cents (\$5,490.00).

43. “Settlement Administrator” means Apex Class Action, LLC or any other third-party class action settlement administrator agreed to by the Parties and approved by the Court for the purposes of administering this Settlement. The Parties each represent that they do not have any financial interest in the Settlement Administrator or otherwise have a relationship with the Settlement Administrator that could create a conflict of interest.

44. “Workweeks” means a workweek worked by a Class Member during the Class Period, which shall be calculated by taking the total number of days of employment for each Class Member during the Class Period and dividing that number by seven (7) and rounding up to the nearest whole number. All Class Members will be credited with at least one Workweek during the Class Period.

TERMS OF AGREEMENT

The Plaintiff, on behalf of himself and the Settlement Class, and Defendant agree as follows:

45. Funding of the Gross Settlement Amount. Defendant will pay the Gross Settlement Amount of Two Hundred Fifteen Thousand Dollars and Zero Cents (\$215,000.00) into the qualified settlement fund established by the Settlement Administrator in 24 monthly installments beginning October 12, 2026. The last installment payment is due on September 12, 2028 (the “Funding Date”). Defendant will pay the employer’s share of payroll taxes separately by September 12, 2028. After both the Effective Date and Funding Date, the Gross Settlement Amount will be used for: (a) Individual Class Payments; (b) Individual PAGA Payments; (c) the LWDA Payment; (d) the Class Representative Enhancement Payment; (e) Attorneys’ Fees and Costs; and (f) Settlement Administration Costs.

46. Attorneys’ Fees and Costs. Defendant agrees not to oppose or impede any application or motion by Class Counsel for Attorneys’ Fees and Costs of not more than Seventy-One Thousand Six Hundred Sixty-Six Dollars and Sixty-Seven Cents (\$71,666.67), plus the reimbursement of all out-of-

1 pocket costs and expenses associated with Class Counsel's litigation and settlement of the Action
2 (including expert/consultant fees, investigations costs, etc.), which is currently estimated not to exceed
3 Fifteen Thousand Dollars and Zero Cents (\$15,000.00), both of which will be paid from the Gross
4 Settlement Amount.

5 47. Class Representative Enhancement Payment. In recognition of his efforts and work in
6 prosecuting the Action on behalf of Class Members, Defendant agrees not to oppose or impede any
7 application or motion for a Class Representative Enhancement Payment of up to Five Thousand Dollars
8 and Zero Cents (\$5,000.00). Plaintiff will be solely and legally responsible to pay any and all applicable
9 taxes on the Class Representative Enhancement Payment. Plaintiff understands and agrees that this
10 Settlement Agreement shall remain in full force and effect even if the full amount of Class Representative
11 Enhancement Payment sought by Plaintiff is not ultimately awarded by the Court.

12 48. Settlement Administration Costs. The Settlement Administrator will be paid for the
13 reasonable costs of administration of the Settlement and distribution of payments from the Gross
14 Settlement Amount, which is currently estimated to be Five Thousand Four Hundred Ninety Dollars and
15 Zero Cents (\$5,490.00). These costs, which will be paid from the Gross Settlement Amount, will include,
16 *inter alia*, distributing Class Notices, calculating and distributing the Gross Settlement Amount, and
17 providing necessary reports and declarations.

18 49. PAGA Settlement Amount. Subject to Court approval, the Parties agree that the amount
19 of Fifteen Thousand Dollars and Zero Cents (\$15,000.00) from the Gross Settlement Amount will be
20 designated for satisfaction of Plaintiff's PAGA claim. Pursuant to PAGA, sixty-five percent (65%), or
21 Nine Thousand Seven Hundred Fifty Dollars and Zero Cents (\$9,750.00), of this sum will be paid to the
22 LWDA and thirty-five percent (35%), or Five Thousand Two Hundred Fifty Dollars and Zero Cents
23 (\$5,250.00), will be paid to PAGA Members in proportion to the number of PAGA Pay Periods worked
24 during the PAGA Period.

25 50. No Right to Exclusion or Objections to the PAGA Settlement. Because this settlement
26 resolves claims and actions brought pursuant to PAGA by Plaintiff acting as a proxy and as Private
27 Attorneys General of, and for, the State of California and the LWDA, the Parties agree that no PAGA
28 Member has the right to exclude himself or herself from the release of the Released PAGA Claims, and

all PAGA Members will receive their shares of the PAGA Fund. The Parties also agree that no PAGA Member has the right to object to the PAGA Settlement Amount.

51. Net Settlement Fund. The entire Net Settlement Fund will be distributed to Participating Class Members in proportion to the number of Workweeks worked during the Class Period. No portion of the Net Settlement Fund will revert to or be retained by Defendant.

52. PAGA Fund. The entire PAGA Fund will be distributed to all PAGA Members. No portion of the PAGA Fund will revert to or be retained by Defendant.

53. Individual Class Payment/Individual PAGA Payment Calculations. Individual Class/PAGA Payments will be calculated and apportioned from the Net Settlement Fund and PAGA Fund based on the number of Workweeks and PAGA Pay Periods a Class Member/PAGA Member worked during the Class Period and PAGA Period. Specific calculations of Individual Class/PAGA Payments will be made as follows:

53(a) Payments from the Net Settlement Fund. Defendant will calculate the total number of Workweeks worked by each Class Member during the Class Period and the aggregate total number of Workweeks worked by all Class Members during the Class Period. To determine each Class Member's estimated "Individual Class Payment" from the Net Settlement Fund, the Settlement Administrator will use the following formula: The Net Settlement Fund will be divided by the aggregate total number of Workweeks, resulting in the "Workweek Value." Each Class Member's "Individual Class Payment" will be calculated by multiplying each individual Class Member's total number of Workweeks by the Workweek Value. The Individual Class Payment will be reduced by any required deductions for each Participating Class Member as specifically set forth herein, including employee-side tax withholdings or deductions. The entire Net Settlement Fund will be disbursed to all Class Members who do not submit timely and valid Requests for Exclusion ("Participating Class Members"). If there are any valid and timely Requests for Exclusion, the Settlement Administrator shall proportionately

1 increase the Individual Class Payment for each Participating Class Member
2 according to the number of Workweeks each Participating Class Member
3 worked, so that the amount actually distributed to the Settlement Class equals
4 100% of the Net Settlement Fund.

5 53(b) Payments from the PAGA Fund. Defendant will calculate the total number
6 of PAGA Pay Periods worked by each PAGA Member during the PAGA
7 Period and the aggregate total number of PAGA Pay Periods worked by all
8 PAGA Members during the PAGA Period. To determine each PAGA
9 Member's estimated "Individual PAGA Payment," the Settlement
10 Administrator will use the following formula: The PAGA Fund will be
11 divided by the aggregate total number of PAGA Pay Periods, resulting in the
12 "PAGA Pay Period Value." Each PAGA Member's "Individual PAGA
13 Payment" will be calculated by multiplying each individual PAGA
14 Member's total number of PAGA Pay Periods by the PAGA Pay Period
15 Value. The entire PAGA Fund will be disbursed to all PAGA Members.

16 54. No Credit Toward Benefit Plans. The Individual Class Payments made to Participating
17 Class Members under this Settlement, as well as any other payments made pursuant to this Settlement,
18 will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members
19 may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase
20 plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties'
21 intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any
22 Class Members may be entitled under any benefit plans.

23 55. Administration Process. The Parties agree to cooperate in the administration of the
24 settlement and to make all reasonable efforts to control and minimize the costs and expenses incurred in
25 administration of the Settlement.

26 56. Delivery of the Class List. Within ten (10) calendar days of Preliminary Approval,
27 Defendant will provide the Class List to the Settlement Administrator, in the form of a Microsoft Excel
28 spreadsheet. To protect Class Members' privacy rights, the Settlement Administrator must maintain the

Class List in confidence, use the Class List only for purposes of this Settlement and for no other purpose, and restrict access to the Class List to Settlement Administrator employees who need access to the Class List to effect and perform under this Agreement. Defendant has a continuing duty to immediately notify Class Counsel if it discovers that the Class List omitted class member identifying information and to provide corrected or updated Class List as soon as reasonably feasible. Without any extension of the deadline by which Defendant must send the Class List to the Settlement Administrator, the Parties and their counsel will expeditiously use best efforts, in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class List.

57. Notice by First-Class U.S. Mail. Within ten (10) calendar days after receiving the Class List from Defendant, the Settlement Administrator will mail a Class Notice with Spanish translation substantially in the form attached to this Agreement as Exhibit A to all Class Members via regular First-Class U.S. Mail, using the most current, known mailing addresses identified in the Class List.

58. Confirmation of Contact Information in the Class Lists. Prior to mailing, the Settlement Administrator will perform a search based on the National Change of Address Database for information to update and correct for any known or identifiable address changes. Any Class Notices returned to the Settlement Administrator as non-deliverable on or before the Response Deadline will be sent promptly via regular First-Class U.S. Mail to the forwarding address affixed thereto and the Settlement Administrator will indicate the date of such re-mailing on the Class Notice. If no forwarding address is provided, the Settlement Administrator will promptly attempt to determine the correct address using a skip-trace, or other search using the name, address and/or Social Security number of the Class Member involved, and will then perform a single re-mailing. Those Class Members who receive a re-mailed Class Notice, whether by skip-trace or by request, will have either (a) an additional fourteen (14) calendar days or (b) until the Response Deadline, whichever is later, to submit a Request for Exclusion or an objection to the Settlement.

59. Class Notices. All Class Members will be mailed a Class Notice. Each Class Notice will provide: (a) information regarding the nature of the Action; (b) a summary of the Settlement's principal terms; (c) the Settlement Class and PAGA Member definitions; (d) the total number of Workweeks and PAGA Pay Periods each respective Class Member and PAGA Member worked for Defendant during the

1 Class Period and PAGA Period; (e) each Class Member's and PAGA Member's estimated Individual
2 Class/PAGA Payment and the formula for calculating Individual Class/PAGA Payments; (f) the dates
3 which comprise the Class Period and PAGA Period; (g) instructions on how to submit Requests for
4 Exclusion or Notices of Objection; (h) the deadlines by which the Class Member must postmark or fax
5 Request for Exclusions, or postmark Notices of Objection to the Settlement; and (i) the claims to be
6 released.

7 60. Disputed Information on Class Notices. Class Members and PAGA Members will have
8 an opportunity to dispute the information provided in their Class Notices. To the extent Class Members
9 and PAGA Members dispute their employment dates or the number of Workweeks and/or PAGA Pay
10 Periods on record, Class Members and PAGA Members may produce evidence to the Settlement
11 Administrator showing that such information is inaccurate. Defendant's records will be presumed correct,
12 but the Settlement Administrator shall contact the Parties regarding the dispute and the Parties will work
13 in good faith to resolve it. All disputes must be submitted by the Response Deadline and will be decided
14 within ten (10) business days after the Response Deadline.

15 61. Defective Submissions. If a Class Member's Request for Exclusion is defective as to the
16 requirements listed herein, that Class Member will be given an opportunity to cure the defect(s). The
17 Settlement Administrator will mail the Class Member a cure letter within three (3) business days of
18 receiving the defective submission to advise the Class Member that his or her submission is defective and
19 that the defect must be cured to render the Request for Exclusion valid. The Class Member will have until
20 (a) the Response Deadline or (b) fourteen (14) calendar days from the date of the cure letter, whichever
21 date is later, to postmark or fax a revised Request for Exclusion. If the revised Request for Exclusion is not
22 postmarked or received by fax within that period, it will be deemed untimely.

23 62. Request for Exclusion Procedures. Any Class Member wishing to opt-out from the
24 Settlement Agreement must sign and fax or postmark a written Request for Exclusion to the Settlement
25 Administrator by the Response Deadline. In the case of Requests for Exclusion that are mailed to the
26 Settlement Administrator, the postmark date will be the exclusive means to determine whether a Request
27 for Exclusion has been timely submitted.

28 63. Escalator. The Gross Settlement Amount was calculated with, and is premised on, the

1 understanding that Class Members worked approximately Five Thousand (5,000) Workweeks during the
2 Class Period. If the actual number of Workweeks during the Class Period increases by more than 10%
3 (i.e., to more than 5,500 Workweeks), then the Gross Settlement Amount will be increased proportionally
4 by the number of Workweeks worked in excess of 10%. For example, if the total number of Workweeks
5 increases by 15% over 5,000 Workweeks, the Gross Settlement Amount will increase by 5%. If this
6 provision is triggered, the Parties agree that the portion of the Gross Settlement Amount allocated to
7 attorneys' fees will increase proportionally such that the total amount of attorneys' fees remains one-third
8 of the Gross Settlement Amount after the upward adjustment required by this provision is implemented.
9 Alternatively, at its option, Defendant can avoid increasing the Gross Settlement Amount by electing to
10 end the Class Period and PAGA Period on the date by which the number of Workweeks does not exceed
11 5,500.

12 64. Settlement Terms Bind All Class Members Who Do Not Opt-Out. Any Class Member
13 who does not affirmatively opt-out of the Settlement Agreement by submitting a timely and valid Request
14 for Exclusion will be bound by all of its terms, including those pertaining to the Released Class Claims, as
15 well as any Judgment that may be entered by the Court if it grants final approval to the Settlement.

16 65. Releases by Participating Class Members. Upon the Effective Date and the Funding Date
17 and after Defendant has separately paid all employer payroll taxes, and except as to such rights or claims
18 as may be created by this Settlement Agreement, Participating Class Members, together and individually,
19 on their behalf and on behalf of their respective heirs, executors, administrators, agents, and attorneys, shall
20 fully and forever release and discharge all of the Released Parties, or any of them, from each of the
21 Released Class Claims arising during the Class Period.

22 66. Releases by PAGA Members. Upon the Effective Date and the Funding Date and after
23 Defendant has separately paid all employer payroll taxes, and except as to such rights or claims as may be
24 created by this Settlement Agreement, PAGA Members and the State of California, together and
25 individually, on their behalf and on behalf of their respective heirs, executors, administrators, agents, and
26 attorneys, shall fully and forever release and discharge all of the Released Parties, or any of them, from
27 each of the Released PAGA Claims during the PAGA Period.

28 67. Defendant's Right to Rescind. Defendant will have, in their sole discretion, the right to

void and withdraw from the Settlement if, at any time prior to the Final Approval Hearing, more than ten percent (10%) of Class Members validly opt out of the settlement. Defendant must exercise this right of rescission in writing to Class Counsel no later than ten (10) days after the Settlement Administrator sends the final list of Requests for Exclusion to Defendant's Counsel; late elections will have no effect. If the option to rescind is exercised, then Defendant will be solely responsible for all Settlement Administration Costs incurred to the date of rescission.

68. Objection Procedures. To object to the Settlement Agreement, a Class Member may either postmark or fax a valid Notice of Objection to the Settlement Administrator on or before the Response Deadline or appear in person at the Final Approval Hearing. Class Members who fail to object either by submitting a valid Notice of Objection or appearing in person at the Final Approval Hearing will be deemed to have waived all objections to the Settlement and will be foreclosed from making any objections, whether by appeal or otherwise, to the Settlement Agreement. At no time will any of the Parties or their counsel seek to solicit or otherwise encourage Class Members to submit written objections to the Settlement Agreement or appeal from the final approval order and judgment. Class Counsel will not represent any Class Members with respect to any such objections to this Settlement. If a Class Member timely submits both a Notice of Objection and a Request for Exclusion, the Request for Exclusion will be given effect and considered valid, the Notice of Objection shall be rejected, and the Class Member shall not participate in or be bound by the Settlement.

69. Certification Reports Regarding Individual Class/PAGA Payment Calculations. The Settlement Administrator will provide Defendant's counsel and Class Counsel a weekly report that certifies the number of Class Members who have submitted valid Requests for Exclusion or objections to the Settlement, and whether any Class Member has submitted a challenge to any information contained in their Class Notice. Additionally, the Settlement Administrator will provide to counsel for both Parties any updated reports regarding the administration of the Settlement Agreement as needed or requested.

70. Distribution Timing of Individual Class/PAGA Payments. Within ten (10) calendar days of the Funding Date, the Settlement Administrator will issue payments to: (a) Participating Class Members and PAGA Members; (b) the Labor and Workforce Development Agency; (c) Plaintiff; and (d) Class Counsel. The Settlement Administrator will also issue a payment to itself for Court-approved services

1 performed in connection with the Settlement.

2 71. Uncashed Settlement Checks. Funds represented by Individual Class Payment and
3 Individual PAGA Payment checks returned as undeliverable and Individual Class Payment and Individual
4 PAGA Payment checks remaining uncashed for more than one hundred and eighty (180) calendar days
5 after issuance will be transmitted to the California Controller's Unclaimed Property Fund in the name of
6 the Participating Class Member and/or PAGA Member thereby leaving no "unpaid residue" subject to the
7 requirements of California Code of Civil Procedure Section 384, subd. (b).

8 72. Certification of Completion. Upon completion of administration of the Settlement, the
9 Settlement Administrator will provide a written declaration under oath to certify such completion to the
10 Court and counsel for all Parties.

11 73. Treatment of Individual Class/PAGA Payments. All Individual Class Payments will be
12 allocated as follows: (a) twenty percent (20%) of each Individual Class Payment will be allocated as wages
13 for which IRS Forms W-2 will be issued ("Wage Portion"); and (b) eighty percent (80%) will be allocated
14 as non-wages for which IRS Forms 1099-MISC will be issued ("Non-Wage Portion"). The Administrator
15 will report the Individual PAGA Payments on IRS 1099 Forms.

16 74. Administration of Taxes by the Settlement Administrator. The Settlement Administrator
17 will be responsible for issuing to Plaintiff, Participating Class Members, PAGA Members, and Class
18 Counsel any W-2, 1099, or other tax forms as may be required by law for all amounts paid pursuant to this
19 Settlement. The Settlement Administrator will also be responsible for forwarding all payroll taxes and
20 penalties to the appropriate government authorities.

21 75. Tax Liability. Defendant makes no representation as to the tax treatment or legal effect of
22 the payments called for hereunder, and Plaintiff and Participating Class Members are not relying on any
23 statement, representation, or calculation by Defendant or by the Settlement Administrator in this regard.

24 76. Circular 230 Disclaimer. EACH PARTY TO THIS AGREEMENT (FOR PURPOSES
25 OF THIS SECTION, THE "ACKNOWLEDGING PARTY" AND EACH PARTY TO THIS
26 AGREEMENT OTHER THAN THE ACKNOWLEDGING PARTY, AN "OTHER PARTY")
27 ACKNOWLEDGES AND AGREES THAT (1) NO PROVISION OF THIS AGREEMENT, AND NO
28 WRITTEN COMMUNICATION OR DISCLOSURE BETWEEN OR AMONG THE PARTIES OR

1 THEIR ATTORNEYS AND OTHER ADVISERS, IS OR WAS INTENDED TO BE, NOR WILL
2 ANY SUCH COMMUNICATION OR DISCLOSURE CONSTITUTE OR BE CONSTRUED OR BE
3 RELIED UPON AS, TAX ADVICE WITHIN THE MEANING OF UNITED STATES TREASURY
4 DEPARTMENT CIRCULAR 230 (31 CFR PART 10, AS AMENDED); (2) THE
5 ACKNOWLEDGING PARTY (A) HAS RELIED EXCLUSIVELY UPON HIS, HER, OR ITS OWN,
6 INDEPENDENT LEGAL AND TAX COUNSEL FOR ADVICE (INCLUDING TAX ADVICE) IN
7 CONNECTION WITH THIS AGREEMENT, (B) HAS NOT ENTERED INTO THIS AGREEMENT
8 BASED UPON THE RECOMMENDATION OF ANY OTHER PARTY OR ANY ATTORNEY OR
9 ADVISOR TO ANY OTHER PARTY, AND (C) IS NOT ENTITLED TO RELY UPON ANY
10 COMMUNICATION OR DISCLOSURE BY ANY ATTORNEY OR ADVISER TO ANY OTHER
11 PARTY TO AVOID ANY TAX PENALTY THAT MAY BE IMPOSED ON THE
12 ACKNOWLEDGING PARTY; AND (3) NO ATTORNEY OR ADVISER TO ANY OTHER PARTY
13 HAS IMPOSED ANY LIMITATION THAT PROTECTS THE CONFIDENTIALITY OF ANY
14 SUCH ATTORNEY'S OR ADVISER'S TAX STRATEGIES (REGARDLESS OF WHETHER SUCH
15 LIMITATION IS LEGALLY BINDING) UPON DISCLOSURE BY THE ACKNOWLEDGING
16 PARTY OF THE TAX TREATMENT OR TAX STRUCTURE OF ANY TRANSACTION,
17 INCLUDING ANY TRANSACTION CONTEMPLATED BY THIS AGREEMENT.

18 77. No Prior Assignments. The Parties and their counsel represent, covenant, and warrant that
19 they have not directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or
20 encumber to any person or entity any portion of any liability, claim, demand, action, cause of action or
21 right herein released and discharged.

22 78. Nullification of Settlement Agreement. In the event that: (a) the Court does not finally
23 approve the Settlement as provided herein; or (b) the Settlement does not become final for any other reason,
24 then this Settlement Agreement, and any documents generated to bring it into effect, will be null and void.
25 Any order or judgment entered by the Court in furtherance of this Settlement Agreement will likewise be
26 treated as void from the beginning.

27 79. Preliminary Approval Hearing. Plaintiff will prepare and file a motion for preliminary
28 approval.

1 79(a) Plaintiff's Responsibilities. Plaintiff will prepare all documents necessary for
2 obtaining preliminary approval, including: (i) a draft of the notice, and
3 memorandum in support, of the motion for preliminary approval that includes
4 an analysis of the Settlement under Dunk/Kullar and a request for approval of
5 the PAGA Settlement under Labor Code Section 2699, subd. (f)(2)); (ii) a
6 draft proposed order granting preliminary approval and approval of PAGA
7 Settlement; (iii) a draft proposed Class Notice; (iv) a signed declaration from
8 Plaintiff confirming willingness and competency to serve and disclosing all
9 facts relevant to any actual or potential conflicts of interest with Class
10 Members, and/or the Settlement Administrator; (v) a signed declaration from
11 Class Counsel firm attesting to its competency to represent the Class
12 Members; its timely transmission to the LWDA of all necessary PAGA
13 documents (initial notice of violations (Labor Code section 2699.3, subd. (a)),
14 operative Complaint (Labor Code section 2699, subd. (l)(1)), this Agreement
15 (Labor Code section 2699, subd. (l)(2)); and (vi) all facts relevant to any actual
16 or potential conflict of interest with Class Members, and/or the Settlement
17 Administrator. In their declarations, Plaintiff and Class Counsel shall aver that
18 they are not aware of any other pending matter or action asserting claims that
19 will be extinguished or adversely affected by the Settlement.

20 79(b) Responsibilities of Counsel. Class Counsel and Defense Counsel are jointly
21 responsible for expeditiously finalizing the motion for preliminary approval.
22 Class Counsel will obtain a prompt hearing date for the motion for
23 preliminary approval, file the motion for preliminary approval no later than
24 sixteen (16) court days before the hearing, unless otherwise ordered by the
25 Court, and deliver the Court's preliminary approval order to the Settlement
26 Administrator.

27 79(c) Duty to Cooperate. If the Parties disagree on any aspect of the proposed
28 motion for preliminary approval and/or the supporting declarations and

documents, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to resolve the disagreement. If the Court does not grant preliminary approval or conditions preliminary approval on any material change to this Agreement, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to modify the Agreement and otherwise satisfy the Court's concerns.

80. Final Settlement Approval Hearing and Entry of Judgment. Upon expiration of the deadlines to postmark Requests for Exclusion or objections to the Settlement Agreement, and with the Court's permission, a final fairness hearing will be conducted to determine the Final Approval of the Settlement Agreement along with the amounts properly payable for: (a) Attorneys' Fees and Costs; (b) the Class Representative Enhancement Payment; (c) Individual Class Payments and Individual PAGA Payments; (d) the LWDA Payment; and (e) all Settlement Administration Costs. Not later than sixteen (16) court days before the calendared Final Settlement Approval Hearing, unless otherwise scheduled by the Court, Plaintiff will file in Court, a Motion for Final Approval of the Settlement that includes a request for approval of the PAGA settlement under Labor Code section 2699, subd. (l); a Proposed Final Approval Order; and a proposed Judgment (collectively "Motion for Final Approval"). Class Counsel and Defense Counsel will expeditiously meet and confer in person or by telephone, and in good faith, to resolve any disagreements concerning the Motion for Final Approval.

80(a) Response to Objections. Each Party retains the right to respond to any objection raised by a Participating Class Member, including the right to file responsive documents in Court no later than five (5) court days prior to the Final Settlement Approval Hearing, or as otherwise ordered or accepted by the Court.

80(b) Duty to Cooperate. If the Court does not grant Final Approval or conditions Final Approval on any material change to the Settlement (including, but not limited to, the scope of release to be granted by Class Members), the Parties

will expeditiously work together in good faith to address the Court's concerns by revising the Agreement as necessary to obtain Final Approval. The Court's decision to award less than the amounts requested for the Class Representative Enhancement Payment, Attorneys' Fees and Costs, and/or Settlement Administration Costs shall not constitute a material modification to the Agreement within the meaning of this paragraph.

81. Judgment and Continued Jurisdiction. Upon final approval of the Settlement by the Court or after the final fairness hearing, the Parties will present the Judgment to the Court for its approval. After entry of the Judgment, the Court will have continuing jurisdiction solely for purposes of addressing: (a) the interpretation and enforcement of the terms of the Settlement, (b) Settlement administration matters, and (c) such post-Judgment matters as may be appropriate under court rules or as set forth in this Settlement Agreement. A copy of the Judgment will be posted to the Settlement Administrator's website.

82. Exhibits Incorporated by Reference. The terms of this Settlement Agreement include the terms set forth in any attached Exhibits, which are incorporated by this reference as though fully set forth herein. Any Exhibits to this Settlement Agreement are an integral part of the Settlement.

83. Entire Agreement. This Settlement Agreement and any attached Exhibits constitute the entirety of the Parties' agreement as to the subject matter and terms of this Settlement Agreement. No other prior or contemporaneous written or oral agreements may be deemed binding on the Parties. The Parties expressly recognize California Civil Code Section 1625 and California Code of Civil Procedure Section 1856(a), which provide that a written agreement is to be construed according to its terms and may not be varied or contradicted by extrinsic evidence, and the Parties agree that no such extrinsic oral or written representations or terms will modify, vary or contradict the terms of this Settlement Agreement.

84. Amendment or Modification. No amendment, change, or modification to this Settlement Agreement will be valid unless in writing and signed, either by the Parties or their counsel, and approved by the Court.

85. Authorization to Enter into Settlement Agreement. Counsel for all Parties warrant and represent they are expressly authorized by the Parties whom they represent to negotiate this Settlement Agreement and to take all appropriate action required or permitted to be taken by such Parties pursuant to

1 this Settlement Agreement to effectuate its terms and to execute any other documents required to effectuate
2 the terms of this Settlement Agreement. The Parties and their counsel will cooperate with each other and
3 use their best efforts to effect the implementation of the Settlement. If the Parties are unable to reach
4 agreement on the form or content of any document needed to implement the Settlement, or on any
5 supplemental provisions that may become necessary to effectuate the terms of this Settlement, the Parties
6 may seek the assistance of the Court to resolve such disagreement.

7 86. Binding on Successors and Assigns. This Settlement Agreement will be binding upon,
8 and inure to the benefit of, the successors or assigns of the Parties hereto, as previously defined.

9 87. California Law Governs. All terms of this Settlement Agreement and Exhibits hereto will
10 be governed by and interpreted according to the laws of the State of California.

11 88. Execution and Counterparts. This Settlement Agreement is subject only to the execution
12 of all Parties. However, the Settlement Agreement may be executed in one or more counterparts. All
13 executed counterparts and each of them, including electronic (e.g., Adobe Sign), facsimile, and scanned
14 copies of the signature page, will be deemed to be one and the same instrument.

15 89. Acknowledgement that the Settlement is Fair and Reasonable. The Parties believe this
16 Settlement Agreement is a fair, adequate and reasonable settlement of the Action and have arrived at this
17 Settlement after arm's-length negotiations and in the context of adversarial litigation, taking into account
18 all relevant factors, present and potential. The Parties further acknowledge that they are each represented
19 by competent counsel and that they have had an opportunity to consult with their counsel regarding the
20 fairness and reasonableness of this Settlement.

21 90. Invalidity of Any Provision. Before declaring any provision of this Settlement Agreement
22 invalid, the Court will first attempt to construe the provision as valid to the fullest extent possible consistent
23 with applicable precedents so as to define all provisions of this Settlement Agreement valid and
24 enforceable.

25 91. Waiver of Certain Appeals. The Parties agree to waive appeals and to stipulate to class
26 certification for purposes of this Settlement only; except, however, that Plaintiff or Class Counsel may
27 appeal any reduction to the Attorneys' Fees and Costs below the amount they request from the Court, and
28 either party may appeal any court order that materially alters the Settlement Agreement's terms.

92. Class Action Certification for Settlement Purposes Only. The Parties agree to stipulate to class action certification for purposes of the Settlement only. If, for any reason, the Settlement is not approved, the stipulation to certification will be void. The Parties further agree that certification for purposes of the Settlement is not an admission that class action certification is proper under the standards applied to contested certification motions and that this Settlement Agreement will not be admissible in this or any other proceeding as evidence that either (a) a class action should be certified or (b) Defendant is liable to Plaintiff or any Class Member, other than according to the Settlement's terms.

93. Non-Admission of Liability. The Parties enter into this Settlement to resolve the dispute that has arisen between them and to avoid the burden, expense and risk of continued litigation. In entering into this Settlement, Defendant did not admit, and specifically deny, that they violated any federal, state, or local law; violated any regulations or guidelines promulgated pursuant to any statute or any other applicable laws, regulations or legal requirements; breached any contract; violated or breached any duty; engaged in any misrepresentation or deception; or engaged in any other unlawful conduct with respect to their employees. Neither this Settlement Agreement, nor any of its terms or provisions, nor any of the negotiations connected with it, will be construed as an admission or concession by Defendant of any such violations or failures to comply with any applicable law. Except as necessary in a proceeding to enforce the terms of this Settlement, this Settlement Agreement and its terms and provisions will not be offered or received as evidence in any action or proceeding to establish any liability or admission on the part of Defendant or to establish the existence of any condition constituting a violation of, or a non-compliance with, federal, state, local or other applicable law.

94. No Public Comment: The Parties and their counsel agree that they will not issue any press releases, initiate any contact with the press, respond to any press inquiry, or have any communication with the press about the fact, amount or terms of the Settlement.

95. Waiver. No waiver of any condition or covenant contained in this Settlement Agreement or failure to exercise a right or remedy by any of the Parties hereto will be considered to imply or constitute a further waiver by such party of the same or any other condition, covenant, right or remedy.

96. Enforcement Actions. In the event that one or more of the Parties institute any legal action or other proceeding against any other Party or Parties to enforce the provisions of this Settlement or to

1 declare rights and/or obligations under this Settlement, the successful Party or Parties will be entitled to
2 recover from the unsuccessful Party or Parties reasonable attorneys' fees and costs, including expert
3 witness fees incurred in connection with any enforcement actions.

4 97. Mutual Preparation. The Parties have had a full opportunity to negotiate the terms and
5 conditions of this Settlement Agreement. Accordingly, this Settlement Agreement will not be construed
6 more strictly against one party than another merely by virtue of the fact that it may have been prepared by
7 counsel for one of the Parties, it being recognized that, because of the arms-length negotiations between
8 the Parties, all Parties have contributed to the preparation of this Settlement Agreement.

9 98. Representation By Counsel. The Parties acknowledge that they have been represented by
10 counsel throughout all negotiations that preceded the execution of this Settlement Agreement, and that this
11 Settlement Agreement has been executed with the consent and advice of counsel. Further, Plaintiff and
12 Class Counsel warrant and represent that there are no liens on the Settlement Agreement.

13 99. All Terms Subject to Final Court Approval. All amounts and procedures described in this
14 Settlement Agreement herein will be subject to final Court approval.

15 100. Cooperation and Execution of Necessary Documents. All Parties will cooperate in good
16 faith and execute all documents to the extent reasonably necessary to effectuate the terms of this Settlement
17 Agreement.

18 101. Binding Agreement. The Parties warrant that they understand and have full authority to
19 enter into this Settlement Agreement, and further intend that this Settlement Agreement will be fully
20 enforceable and binding on all parties, and agree that it will be admissible and subject to disclosure in any
21 proceeding to enforce its terms, notwithstanding any mediation confidentiality provisions that otherwise
22 might apply under federal or state law.

23 102. No Third-Party Beneficiaries. Plaintiff, Participating Class Members, PAGA Members,
24 the State of California, Class Counsel, and Defendant are direct beneficiaries of this Agreement, but there
25 are no third-party beneficiaries.

26 103. Headings. The descriptive heading of any section or paragraph of this Agreement is
27 inserted for convenience of reference only and does not constitute a part of this Agreement.

28 104. Calendar Days. Unless otherwise noted, all reference to "days" in this Agreement shall be

to calendar days. In the event any date or deadline set forth in this Agreement falls on a weekend or federal legal holiday, such date or deadline shall be on the first business day thereafter.

105. Notice. All notices, demands, or other communications between the Parties in connection with this Agreement will be in writing and deemed to have been duly given as of the third business day after mailing by United States mail, or the day sent by email or messenger, addressed as follows:

To Plaintiff:

D.LAW, INC.
Alvin B. Lindsay
a.lindsay@d.law
William Tran
w.tran@d.law
Enoch J. Kim
e.kim@d.law
Arianna N. Razi
a.razi@d.law
250 North Madison Avenue, 2nd Floor
Pasadena, California 91101-1639
Telephone: (818) 962-6465

To Defendant:

FISHER & PHILLIPS LLP
David Amaya
damaya@fisherphillips.com
4747 Executive Drive, Suite 1000
San Diego, California 92121
Telephone: (858) 597-9631

106. Stay of Litigation. The Parties agree that upon the execution of this Agreement the litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further agree that upon the signing of this Agreement that pursuant to California Code of Civil Procedure section 583.330 to extend the date to bring a case to trial under California Code of Civil Procedure section 583.310 for the entire period of this settlement process.

107. Severability. In the event that one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or unenforceability shall in no way affect any other provision if Defense Counsel and Class Counsel, on behalf of the Parties, the Class Members, and the PAGA Members, mutually elect in writing to proceed

as if such invalid, illegal, or unenforceable provision had never been included in this Agreement.

108. IN WITNESS WHEREOF, the Parties hereto knowingly and voluntarily executed this Joint Stipulation of Class Action and PAGA Settlement and Release between Plaintiff, on the one hand, and Defendant, on the other hand, as of the date(s) set forth below:

READ CAREFULLY BEFORE SIGNING

PLAINTIFF

Dated: 6/3/2026

Signed by:

 22931F28FAEA45A...
 Jaime Moya

DEFENDANT

**AUTOMATED ENGINEERING SERVICES,
INC.**

Dated: July 25, 2026

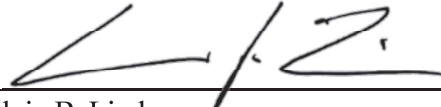

 Leonidas Castaneda (Jul 25, 2026 10:44:33 PDT)

By Its Authorized Representative

APPROVED AS TO FORM

D. LAW, INC.

Dated: June 4, 2026

By: 

Alvin B. Lindsay

William Tran

Enoch J. Kim

Arianna N. Razi

Attorneys for Plaintiff Jamie Miguel Moen

FISHER & PHILLIPS LLP

Dated: July 28, 2026

By: 

David Amaya

Attorneys for Defendant Automated Engineering
Services, Inc.

EXHIBIT A

COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL

Jamie Miguel Moen v. Automated Engineering Services, Inc.
San Diego County Superior Court of California – Case No. 25CU042196C

***The Superior Court for the State of California authorized this Notice. Read it carefully!
It's not junk mail, spam, an advertisement, or solicitation by a lawyer. You are not being sued.***

You may be eligible to receive money from an employee class action lawsuit (“Action”) against Defendant Automated Engineering Services, Inc. (“Defendant” is used herein as a placeholder) for alleged wage and hour violations. The Action was filed by former Automated Engineering Services, Inc. employee Jamie Miguel Moen (“Plaintiff”) and seeks payment of (1) back wages and other relief for a class of all persons who worked for Defendant Automated Engineering Services, Inc. as non-exempt, hourly paid employees in the State of California at any time from August 12, 2021 through the earlier of June 10, 2026 or the date of Preliminary Approval (“Class Members”); and (2) penalties under the California Private Attorney General Act (“PAGA”) for all persons who worked for Defendant Automated Engineering Services, Inc. as non-exempt, hourly paid employees in the State of California at any time from August 12, 2024 through the earlier of June 10, 2026 or the date of Preliminary Approval (“PAGA Members”).

The proposed Settlement has two main parts: (1) a Class Settlement requiring Defendant to fund Individual Class Payments, and (2) a PAGA Settlement requiring Defendant to fund Individual PAGA Payments and pay penalties to the California Labor and Workforce Development Agency (“LWDA”).

Based on Defendant’s records, and the Parties’ current assumptions, **your Individual Class Payment is estimated to be \$ [REDACTED] (less withholding) and your Individual PAGA Payment is estimated to be \$ [REDACTED]**. The actual amount you may receive likely will be different and will depend on a number of factors. (If no amount is stated for your Individual PAGA Payment, then according to Defendant’s records you are not eligible for an Individual PAGA Payment under the Settlement because you didn’t work as a non-exempt, hourly employee during the PAGA Period.)

The above estimates are based on Defendant’s records showing that **you worked [REDACTED] workweeks as a non-exempt employee** during the Class Period and **you worked [REDACTED] pay periods as a non-exempt employee** during the PAGA Period. If you believe that you worked more workweeks and/or pay periods during either period, you can submit a dispute by the deadline date. See Section 4 of this Notice.

The Court has already preliminarily approved the proposed Settlement and approved this Notice. The Court has not yet decided whether to grant final approval. Your legal rights are affected whether you act or not. Read this Notice carefully. You will be deemed to have carefully read and understood it. At the Final Approval Hearing, the Court will decide whether to finally approve the Settlement and how much of the Settlement will be paid to Plaintiff and Plaintiff’s attorneys (“Class Counsel”). The Court will also decide whether to enter a judgment

that requires Defendant to make payments under the Settlement and requires Class Members and PAGA Members to give up their rights to assert certain claims against Defendant.

Defendant will not retaliate against you for any actions you take with respect to the proposed Settlement.

1. SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT

You Don't Have to Do Anything to Participate in the Settlement	If you do nothing, you will be a Participating Class Member, eligible for an Individual Class Payment and an Individual PAGA Payment (if any). In exchange, you will give up your right to assert the wage claims against Defendant that are covered by this Settlement (discussed below).
You Can Opt-out of the Class Settlement but not the PAGA Settlement The Opt-out Deadline is , 2026	If you don't want to fully participate in the proposed Settlement, you can opt-out of the Class Settlement by sending the Settlement Administrator a written Request for Exclusion. Once excluded, you will be a Non-Participating Class Member and no longer eligible for an Individual Class Payment. Non-Participating Class Members cannot object to any portion of the proposed Settlement. See Section 7 of this Notice. You cannot opt-out of the PAGA portion of the proposed Settlement. Defendant must pay Individual PAGA Payments to all PAGA Members and the PAGA Members must give up their rights to pursue Released PAGA Claims (defined below).
Participating Class Members Can Object to the Class Settlement but not the PAGA Settlement Written Objections Must be Submitted by , 2026	All Class Members who do not opt-out ("Participating Class Members") can object to any aspect of the proposed Settlement. The Court's decision whether to finally approve the Settlement will include a determination of how much will be paid to Class Counsel and Plaintiff who pursued the Action on behalf of the Class. You are not personally responsible for any payments to Class Counsel or Plaintiff, but every dollar paid to Class Counsel and Plaintiff reduces the overall amount paid to Participating Class Members. You can object to the amounts requested by Class Counsel or Plaintiff if you think they are unreasonable. See Section 8 of this Notice.

You Can Participate in the [REDACTED], 2026 Final Approval Hearing	The Court’s Final Approval Hearing is scheduled to take place on [REDACTED]. You don’t have to attend but you do have the right to appear (or hire an attorney to appear on your behalf at your own cost), in person, by telephone or by using the Court’s virtual appearance platform. Participating Class Members can verbally object to the Settlement at the Final Approval Hearing. See Section 9 of this Notice.
You Can Dispute the Calculation of Your Workweeks and/or Pay Periods Written Disputes Must be Submitted by [REDACTED], 2026	The amount of your Individual Class Payment and Individual PAGA Payment (if any) depend on how many Workweeks you worked as a non-exempt employee during the Class Period and how many PAGA Pay Periods you worked as a non-exempt employee during the PAGA Period. The number of Class Period Workweeks and number of PAGA Period Pay Periods you worked according to Defendant’s records is stated on the first page of this Notice. If you disagree with this number, you must challenge it by [REDACTED], 2026. See Section 5 of this Notice.

2. WHAT IS THE ACTION ABOUT?

Plaintiff is a former Automated Engineering Services, Inc. employee. The Action accuses Defendant of violating California labor laws by: (1) Failing to Pay Minimum Wages and for All Hours Worked; (2) Failing to Pay Wages and Overtime Under Labor Code Section 510; (3) Failing To Provide All Meal Periods; (4) Failing to Authorize and Permit All Rest Periods; (5) Derivatively Failing To Timely Furnish Accurate Itemized Wage Statements; (6) Failing to Keep Required Payroll Records Under Labor Code Sections 1174 and 1174.5; (7) Failing to Fully Reimburse Work Expenses; (8) Violations of Labor Code Section 203; (9) Violations of Labor Code Section 204; (10) Violations of Labor Code Section 221; and (11) Unfair Business Practices. Based on the same claims, Plaintiff has also asserted a claim for civil penalties under the California Private Attorneys General Act (Labor Code sections 2698, *et seq.*). Plaintiff is represented by the attorneys of D.Law, Inc. in the Action (“Class Counsel”).

Defendant strongly denies violating any laws or failing to pay any wages and contends it complied with all applicable laws.

3. WHAT DOES IT MEAN THAT THE ACTION HAS SETTLED?

So far, the Court has made no determination whether Defendant or Plaintiff are correct on the merits.

In the meantime, Plaintiff and Defendant hired an experienced, neutral mediator in an effort to resolve the Action by negotiating to end the case by agreement (settle the case) rather than continuing the expensive and time-consuming process of litigation. The negotiations were successful. Plaintiff and Defendant have negotiated a proposed

Settlement that is subject to the Court's Final Approval. Both sides agree the proposed Settlement is a compromise of disputed claims. By agreeing to settle, Defendant does not admit any violations or concede the merit of any claims.

The Court preliminarily approved the proposed Settlement as fair, reasonable and adequate, authorized this Notice, and scheduled a hearing to determine Final Approval.

4. WHAT ARE THE IMPORTANT TERMS OF THE PROPOSED SETTLEMENT?

1. Defendant Will Pay \$215,000.00 as the Gross Settlement Amount (Gross Settlement). Defendant has agreed to deposit the Gross Settlement into an account controlled by the Settlement Administrator of the Settlement. The Settlement Administrator will use the Gross Settlement to pay the Individual Class Payments, Individual PAGA Payments, Class Representative Enhancement Payment, Class Counsel's attorney's fees and expenses, the Settlement Administrator's expenses, and penalties to be paid to the LWDA. Assuming the Court grants Final Approval, Defendant will fund the Gross Settlement in 24 monthly installments beginning October 12, 2026, with the last installment payment due on September 12, 2028.
2. Court Approved Deductions from Gross Settlement. At the Final Approval Hearing, Plaintiff and/or Class Counsel will ask the Court to approve the following deductions from the Gross Settlement, the amounts of which will be decided by the Court at the Final Approval Hearing:
 - A. Up to one-third of the Gross Settlement to Class Counsel for attorneys' fees (currently estimated at \$71,666.67) and reimbursement for their litigation expenses, which is currently estimated not to exceed \$15,000.00. To date, Class Counsel have worked and incurred expenses on the Action without payment.
 - B. Up to \$5,000.00 to Plaintiff as a Class Representative Enhancement Payment for filing the Action, working with Class Counsel, and representing the Class.
 - C. Up to \$5,490.00 to the Settlement Administrator for services administering the Settlement.
 - D. Up to \$15,000.00 for the PAGA Settlement Amount, allocated 65% to the LWDA Payment and 35% in Individual PAGA Payments to the PAGA Members based on their PAGA Pay Periods.
3. Net Settlement Fund Distributed to Participating Class Members. After making the above deductions in amounts approved by the Court, the Settlement Administrator will distribute the rest of the Gross Settlement (the "Net Settlement Fund") by making Individual Class Payments to Participating Class Members based on their Class Period Workweeks.
4. Taxes Owed on Payments to Participating Class Members. Plaintiff and Defendant are

asking the Court to approve an allocation of 20% of each Individual Class Payment to taxable wages (“Wage Portion”) and 80% to interest and penalties (“Non-Wage Portion.”). The Wage Portion is subject to withholdings and will be reported on IRS W-2 Forms. Defendant will separately pay employer payroll taxes it owes on the Wage Portion. The Individual PAGA Payments are counted as penalties rather than wages for tax purposes. The Settlement Administrator will report the Individual PAGA Payments and the Non-Wage Portions of the Individual Class Payments on IRS 1099 Forms.

Although Plaintiff and Defendant have agreed to these allocations, neither side is giving you any advice on whether your Payments are taxable or how much you might owe in taxes. You are responsible for paying all taxes (including penalties and interest on back taxes) on any Payments received from the proposed Settlement. You should consult a tax advisor if you have any questions about the tax consequences of the proposed Settlement.

5. Need to Promptly Cash Payment Checks. The front of every check issued for Individual Class Payments and/or Individual PAGA Payments will show the date when the check expires (the void date). If you don’t cash it by the void date, your check will be automatically cancelled, and the monies will be deposited with the California Controller’s Unclaimed Property Fund in your name.

If the monies represented by your check is sent to the California State Controller’s Unclaimed Property Fund, you should consult the rules of the Fund for instructions on how to retrieve your money.

6. Requests for Exclusion from the Class Settlement (Opt-Outs). You will be treated as a Participating Class Member, participating fully in the Class Settlement, unless you notify the Settlement Administrator in writing, not later than _____, 2026, that you wish to opt-out. The easiest way to notify the Settlement Administrator is to send a written and signed Request for Exclusion by the _____, 2026, Response Deadline. The Request for Exclusion should be a signed letter from a Class Member or his/her representative setting forth a Class Member’s name, present address, telephone number, last four digits of their Social Security Number, and a simple statement electing to be excluded from the Settlement. Excluded Settlement Class Members (i.e., Non-Participating Class Members) will not receive Individual Class Payments but will preserve their rights to personally pursue the Released Class Claims against Defendant.

You cannot opt-out of the PAGA portion of the Settlement. Class Members who exclude themselves from the Class Settlement (Non-Participating Class Members) remain eligible for Individual PAGA Payments and are required to give up their right to assert the Released PAGA Claims against Defendant.

7. The Proposed Settlement Will be Void if the Court Denies Final Approval. It is possible the Court will decline to grant Final Approval of the Settlement or decline enter a Judgment. It is also possible the Court will enter a Judgment that is reversed on appeal. Plaintiff and Defendant have agreed that, in either case, the Settlement will be void: Defendant will not pay any money and Class Members and PAGA Members will not release any claims against Defendant.

8. Settlement Administrator. The Court has appointed a neutral company, Apex Class Action, LLC (the “Settlement Administrator”) to send this Notice, calculate and make payments, and process Class Members’ Requests for Exclusion. The Settlement Administrator will also decide Class Member and/or PAGA Member disputes over Workweeks and/or Pay Periods, mail and re-mail settlement checks and tax forms, and perform other tasks necessary to administer the Settlement.

The Settlement Administrator’s contact information is contained in **Section 10** of this Notice.

9. Participating Class Members’ Release. Upon the Effective Date and the Funding Date and after Defendant has separately paid all employer payroll taxes, Participating Class Members will be bound by the following release:

Participating Class Members, together and individually, on their behalf and on behalf of their respective heirs, executors, administrators, agents, and attorneys, shall fully and forever release and discharge all of the Released Parties, or any of them, from each of the claims, rights, demands, liabilities, and causes of action that were alleged in the Operative Complaint or reasonably could have been alleged based on the facts and legal theories contained in the Operative Complaint, arising during the Class Period, including claims for violation of: (1) Cal. Lab. Code §§ 204, 1194, 1194.2, 1197, and 1197.1 (unpaid minimum wages); (2) Cal. Lab. Code §§ 510, 1194 and 1198 (failure to pay overtime wages); (3) Cal. Lab. Code §§ 226.7 and 512 (failure to provide meal periods); (4) Cal. Lab. Code § 226.7 (failure to authorize and permit rest periods); (5) Cal. Lab. Code § 226 (failure to provide accurate itemized wage statements); (6) Cal. Lab. Code §§ 1174 and 1174.5 (failure to maintain payroll records); (7) Cal. Lab. Code § 204 (failure to timely pay wages); (8) Cal. Lab. Code §§ 201-203 (failure to timely pay wages at termination); (9) Cal. Lab. Code § 221 (unlawful deduction of wages); (10) Cal. Lab. Code § 2802 (failure to reimburse business expenses); and (11) California Business & Profession Code § 17200 *et seq.* (unfair business practices) (“Released Class Claims”).

10. PAGA Members’ PAGA Release. Upon the Effective Date and the Funding Date and after Defendant has separately paid all employer payroll taxes, PAGA Members will be bound by the following release:

PAGA Members and the State of California, together and individually, on their behalf and on behalf of their respective heirs, executors, administrators, agents, and attorneys, shall fully and forever release and discharge all of the Released Parties, or any of them, from each of the claims for civil penalties under California Labor Code §§ 2698, *et seq.*, based on alleged predicate violations of Labor Code §§ 201, 202, 203, 204, 210, 212, 218, 218.5, 218.6, 221, 226, 226.4, 226.7, 227.3, 510, 511, 512, 558, 558.1, 1174, 1174.5, 1182.12, 1185, 1194, 1194.2, 1197, 1197.1, 1198, 1198.5, 1199, 2802, 2698, 2699, *et seq.*, including 2699.5, and the related applicable IWC Wage Orders that were alleged or reasonably could have been

alleged based on the facts and legal theories contained in Plaintiff's PAGA Notice, arising during the PAGA Period ("Released PAGA Claims").

5. HOW WILL THE SETTLEMENT ADMINISTRATOR CALCULATE MY PAYMENT?

1. Individual Class Payments. The Settlement Administrator will calculate Individual Class Payments by dividing the Net Settlement Fund by the aggregate total number of Workweeks, resulting in the "Workweek Value." Each Class Member's Individual Class Payment will be calculated by multiplying each individual Class Member's total number of Workweeks by the Workweek Value.
2. Individual PAGA Payments. The Settlement Administrator will calculate Individual PAGA Payments by dividing the PAGA Fund (\$5,250.00) by the aggregate total number of PAGA Pay Periods, resulting in the "PAGA Pay Period Value." Each PAGA Member's Individual PAGA Payment will be calculated by multiplying each individual PAGA Member's total number of PAGA Pay Periods by the PAGA Pay Period Value.
3. Workweek/Pay Period Disputes. The number of Class Workweeks you worked during the Class Period and the number of PAGA Pay Periods you worked during the PAGA Period, as recorded in Defendant's records, are stated in the first page of this Notice. You have until _____, 2026, to dispute the number of Workweeks and/or Pay Periods credited to you. You can submit your dispute by signing and sending a letter to the Settlement Administrator via mail or fax. **Section 10** of this Notice has the Settlement Administrator's contact information.

You need to support your dispute by sending copies of pay stubs or other records. The Settlement Administrator will accept Defendant's calculation of Workweeks and/or Pay Periods based on Defendant's records as accurate unless you send copies of records containing contrary information. You should send copies rather than originals because the documents will not be returned to you. The Settlement Administrator will resolve Workweek and/or Pay Period disputes based on your submission and on input from Class Counsel (who will advocate on behalf of Participating Class Members) and Defendant's Counsel. The Settlement Administrator's decision is final. You can't appeal or otherwise challenge its final decision.

6. HOW WILL I GET PAID?

1. Participating Class Members. The Settlement Administrator will send, by U.S. mail, a single check to every Participating Class Member (i.e., every Class Member who doesn't opt-out) including those who also qualify as PAGA Members. The single check will combine the Individual Class Payment and the Individual PAGA Payment.
2. Non-Participating Class Members. The Settlement Administrator will send, by U.S. mail, a single Individual PAGA Payment check to every PAGA Member who opts out of the Class Settlement (i.e., every Non-Participating Class Member).

Your check will be sent to the same address as this Notice. If you change your address, be sure to notify the Settlement Administrator as soon as possible. Section 10 of this Notice has the Settlement Administrator's contact information.

7. HOW DO I OPT-OUT OF THE CLASS SETTLEMENT?

Submit a written and signed letter with your name, present address, telephone number, last four digits of your Social Security Number, and a simple statement that you do not want to participate in the Settlement. The Settlement Administrator will exclude you based on any writing communicating your request be excluded. Be sure to personally sign your request, identify the Action as *Jamie Miguel Moen v. Automated Engineering Services, Inc.* and include your identifying information (full name, address, telephone number, and last four digits of Social Security Number). You must make the request yourself. If someone else makes the request for you, it will not be valid. **Your Request for Exclusion must be postmarked or faxed by _____, 2026, or it will be invalid.** Section 10 of the Notice has the Settlement Administrator's contact information.

8. HOW DO I OBJECT TO THE SETTLEMENT?

Only Participating Class Members have the right to object to the Settlement. Before deciding whether to object, you may wish to see what Plaintiff and Defendant are asking the Court to approve. At least 16 court days before the _____, Final Approval Hearing, Class Counsel and/or Plaintiff will file in Court (1) a Motion for Final Approval that includes, among other things, the reasons why the proposed Settlement is fair, and (2) a Motion for Fees, Litigation Expenses, and Enhancement Payment stating (i) the amount Class Counsel is requesting for attorneys' fees and litigation expenses; and (ii) the amount Plaintiff is requesting as a Class Representative Enhancement Payment. Upon reasonable request, Class Counsel (whose contact information is in Section 10 of this Notice) will send you copies of these documents at no cost to you. You can also view them on the Settlement Administrator's Website

_____ or the Court's website
<https://www.sdcourt.ca.gov/sdcourt/generalinformation/accesscourtrecords>.

A Participating Class Member who disagrees with any aspect of the Agreement, the Motion for Final Approval and/or Motion for Fees, Litigation Expenses, and Enhancement Payment may wish to object, for example, that the proposed Settlement is unfair, or that the amounts requested by Class Counsel or Plaintiff are too high or too low. **Your written objection must be postmarked or faxed by _____, 2026.** Be sure to tell the Settlement Administrator what you object to, why you object, and any facts that support your objection. Make sure you identify the Action *Jamie Miguel Moen v. Automated Engineering Services, Inc.* and include your name, current address, and telephone number, state whether you intend to appear at the Final Approval Hearing, and sign the objection. Section 10 of this Notice has the Settlement Administrator's contact information.

Alternatively, a Participating Class Member can object (or personally retain a lawyer to object at your own cost) by attending the Final Approval Hearing. You (or your attorney) should be ready to tell the Court what you object to, why you object, and any facts that

support your objection. See Section 9 of this Notice (immediately below) for specifics regarding the Final Approval Hearing.

9. CAN I ATTEND THE FINAL APPROVAL HEARING?

You can, but don't have to, attend the Final Approval Hearing on _____, at 9:30 a.m. in Department C-72 of the San Diego Superior Court, located at 330 W. Broadway, San Diego, CA 92101. You may also attend the Final Approval Hearing via Microsoft Teams or by phone. To join by Microsoft Teams, use the following link: <https://www.sdcourt.ca.gov/sdcourt/civil2/civilvirtualhearings> and click on [C-72 Video Hearings](#). To join by phone, call (619) 614-4567 (Conference ID: 409 024 251#). At the Hearing, the judge will decide whether to grant Final Approval of the Settlement and how much of the Gross Settlement will be paid to Class Counsel, Plaintiff, and the Settlement Administrator. The Court will invite comment from objectors, Class Counsel, and Defense Counsel before making a decision. You can attend (or hire a lawyer to attend) personally. Check the Court's website for the most current information.

It's possible the Court will reschedule the Final Approval Hearing. You should check the Settlement Administrator's website _____ beforehand or contact Class Counsel to verify the date and time of the Final Approval Hearing.

10. HOW CAN I GET MORE INFORMATION?

The Agreement sets forth everything Defendant and Plaintiff have promised to do under the proposed Settlement. The easiest way to read the Agreement, the Judgment, or any other Settlement documents is to go to the Settlement Administrator's website at _____.

You can also contact Class Counsel or the Settlement Administrator using the contact information listed below, or consult the Superior Court website by going to <https://www.sdcourt.ca.gov/sdcourt/generalinformation/accesscourtrecords> and entering the Case Number for the Action, Case No. 25CU042196C.

Class Counsel:

Alvin B. Lindsay, Esq.

William Tran, Esq.

Enoch J. Kim, Esq.

Arianna N. Razi, Esq.

D.LAW, INC.

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Telephone: (818) 962-6465

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e.kim@d.law

a.razi@d.law

Settlement Administrator:

Apex Class Action, LLC

[Mailing Address]

[Telephone]

[Fax Number]

**DO NOT TELEPHONE THE SUPERIOR COURT TO OBTAIN INFORMATION
ABOUT THE SETTLEMENT**

11. WHAT IF I LOSE MY SETTLEMENT CHECK?

If you lose or misplace your settlement check before cashing it, the Settlement Administrator will replace it as long as you request a replacement before the void date on the face of the original check.

12. WHAT IF I CHANGE MY ADDRESS?

To receive your check, you should immediately notify the Settlement Administrator if you move or otherwise change your mailing address.