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SUPERIOR COURT OF THE STATE OF CALIFORNIA

COUNTY OF SAN BERNARDINO

DAVID ALEGRIA, Individually, and on behalf
of other members of the general public similarly
situated,

Plaintiff,

vs.

NEXEN TIRE AMERICA, INC., a Maryland
Corporation; and DOES 1-10, inclusive,

Defendant

CASE NO. CIVSB2304566

**PLAINTIFF'S MEMORANDUM
OFPOINTS AND AUTHORITIES IN
SUPPORT OF THE UNOPPOSED
MOTION FOR PRELIMINARY
APPROVAL OF SETTLEMENT, AND
CERTIFICATION OF PROVISIONAL
SETTLEMENT CLASS**

Date: May 8, 2026

Time: 8:30 a.m.

Judge: Hon. Kevin C. Lee

Dept.: S26

PLAINTIFF'S MEMORANDUM OFPOINTS AND AUTHORITIES IN SUPPORT OF THE UNOPPOSED
MOTION FOR PRELIMINARY APPROVAL OF SETTLEMENT, AND CERTIFICATION OF PROVISIONAL
SETTLEMENT CLASS

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1 **I. SUMMARY OF MOTION**

2 This matter is a “Wage and Hour” representative (*i.e.*, “PAGA”) and Proposed class action.
3 Plaintiffs DAVID ALEGRIA and MAURO MORENO, Individually, and on behalf of other
4 members of the general public similarly situated, brings Labor Code claims against Defendants
5 NEXEN TIRE AMERICA, INC., (hereinafter, “NEXEN ” or “Defendant”). Following
6 approximately **31 months** of formal litigation, multiple depositions, a full-day of Mediation, full
7 briefing of a Motion for Class Certification, and extensive arms length negotiations, the parties
8 agreed to the terms of a class and PAGA action settlement. The parties have drafted and executed
9 a Joint “Stipulation of Settlement and Release” (the “Agreement”), lodged as **Exhibit A¹** to the
10 declaration of Eric K. Yaeckel. Briefly, the parties’ settlement figures are estimated as follows:
11

12
13 Approximate size of class: **37 individuals**

14 Notice Period: **45 days from Mailing of Notice**

15 Settlement Fund (“GSA”): **\$250,000.00**

16 Settlement administration: **\$3,671.60** (or less) (Note: This cost has gone up
17 to reflect the additional cost for sending Spanish copies of the Notice.)

18 Class representative incentive: **\$15,000.00** (\$7,500.00 each)

19 LWDA payment: **\$11,250.00** (75 % Share of \$15,000 allocation)

20 Attorney's fees: **\$83,333.00** (1/3 of total)

21 Litigation costs: **\$20,000.00**

22 Net Settlement Amount (“NSA”): **\$116,745.40**
23
24
25

¹ This Memorandum incorporates by reference the definitions in the Agreement and the terms used herein are intended to have the same meaning as set forth in the Agreement.

The estimated average payment (before taxes) to each Class Member based on the above figures will be **\$3,155.28**. These estimates are an “average” only, and do not account for the fact that Class Members will receive more or less than an “average” share, as the amounts owed are calculated based on the number of workweeks each individual worked for Defendants during the class period.

Please Note: This is a **non reversionary** settlement, in that the entire Net Settlement Amount, after the awarded costs, taxes and fees are deducted, will be automatically disbursed to all Class Members who do not file a request to “opt-out” of participation in the lawsuit. (**Ex. A** at ¶51).

Plaintiffs now move the Court for an order: **(1)** preliminarily approving the proposed class action settlement; **(2)** directing that Notice (defined below) of the settlement be provided to Class Members; and **(3)** scheduling a hearing for final approval of the proposed settlement and entry of the parties’ proposed Final Approval Order and Judgment. Defendants do not oppose Plaintiffs’ motion.

II. FACTUAL AND PROCEDURAL HISTORY

The class is comprised of all hourly, non-exempt employees of Defendant who performed work for Defendant in California at any time from February 22, 2019, through July 14, 2025.

1. The Operative Claims, Investigation and Informal Discovery Conducted

On February 22, 2023, Plaintiff Alegria filed the Complaint in this matter. The Complaint alleged proposed class claims only, under the California Labor code involving, *inter alia*, (1)

1 failure to pay minimum wages; (2) failure to pay proper overtime compensation; (3) failure to
2 provide meal breaks; (4) failure to provide rest breaks; (5) failure to reimburse employees for
3 necessary business expenses; (6) failure to provide accurate itemized wage statements; and (7)
4 failure to pay all wages to upon termination. (Yaeckel Decl. ¶ 3; ROA # 5). On June 5, 2023,
5 Plaintiff filed a First Amended Complaint, adding representative PAGA claims for penalties based
6 on the same violations asserted in his original complaint. (Yaeckel Decl. ¶ 3; ROA #19).

8 **Please Note:** As part of this settlement, and prior to preliminary approval, Plaintiff Alegria
9 will file a Second Amended Complaint (the “Operative Complaint”), (1) adding Plaintiff Mauro
10 Moreno as a plaintiff, and (2) to conform the pleadings with the scope of the Released Class Claims
11 and Released PAGA Claims. (**Exhibit A** at ¶32).

13 On July 17, 2023, Plaintiff propounded discovery requests on NEXEN. (Yaeckel decl. ¶4).
14 Through these requests, Plaintiff sought, among other information and documents, the identities
15 of putative class members, compensation policies, Meal and Rest Period policies, employee
16 handbooks, wage statements, payroll records, time records, job descriptions, and reimbursement
17 policies. (*Id.*).

19 NEXEN provided discovery responses, documents, and objections to Plaintiff’s requests.
20 After a lengthy meet and confer processes, NEXEN produced, *inter alia*, Plaintiff’s time and wage
21 records, copies of the relevant employee handbooks, copies of all other relevant employee policies,
22 the contents of Plaintiff’s employee file, Plaintiff’s time records, and itemized wage statements.
23 (Yaeckel decl. ¶5).

25 Defendant also propounded several sets of written discovery on Plaintiff. There were
26 several sets of written discovery exchanged between the parties. (Yaeckel decl.¶ 6).

1 Following further substantive exchanges of discovery and litigation, the parties agreed to
2 explore resolution. As part of the settlement discussions, NEXEN agreed to voluntarily produce
3 and verify hundreds of pages of documents. The production included all relevant policies. It also
4 included a large sampling of other class members' time and wage data. (Yaeckel Decl. ¶7).

5
6 On July 14, 2025, the Parties attended a Mediation before experienced "Wage and Hour"
7 Mediator, the honorable Herbert Hoffman (Ret.). (Yaeckel Decl. ¶8). Following a full day of arms
8 length negotiations, the Parties both agreed to a mediator's proposal to resolve all potential claims
9 against the Defendant. (*Id*).

10
11 Prior to mediation, the parties conducted depositions, including the depositions of (1) the
12 Person(s) Most Knowledgeable for NEXEN, and (2) Plaintiff Moreno. (Yaeckel Decl. ¶9).

13 **2. Settlement Discussions**

14 On July 14, 2025, the Parties attended a full day mediation before the experienced "Wage
15 and Hour" mediator, Herbert Hoffman (Ret.). (Yaeckel decl. ¶8). Prior to mediation, counsel for
16 both parties agreed to the informal exchange of information, and case theories and defenses
17 pertinent to a class-wide settlement. Prior to mediation, plaintiff prepared and provided a detailed
18 mediation brief. (*Id*).

19
20 As of September 2, 2025, the parties agreed on all final terms of the settlement which was
21 memorialized in the Agreement, which is attached to the declaration of Eric K. Yaeckel as **Exhibit**
22 **A.** (Yaeckel Decl. ¶10). Plaintiff now seeks the Court's preliminary approval of the Agreement.
23 (*Id*).

24 25 **III. SETTLEMENT TERMS**

26 The Agreement includes the following key terms:

27
28 PLAINTIFF'S MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT OF THE UNOPPOSED
MOTION FOR PRELIMINARY APPROVAL OF SETTLEMENT, AND CERTIFICATION OF PROVISIONAL
SETTLEMENT CLASS

1 **1. Contingent Nature of the Settlement**

2 This Agreement is contingent upon preliminary and final approval by the Court. If for any
3 reason the Settlement does not become final, the Parties will be returned to their positions with
4 respect to the Action as if the Agreement had not been entered into. (**Ex. A** at ¶64).

5 **2. Description of the Settlement Class**

6 Solely for the purposes of the Agreement, the parties have agreed that the term “Class
7 Member” is defined as:

8 all persons who worked for Defendant in the State of California as a nonexempt, hourly-
9 paid employee at any time during the Class Period. (**Ex. A** at ¶5).

10 “Class Period” is defined as the period from February 22, 2019 through July 14, 2025. (**Ex.**
11 **A** at ¶7). The parties estimate that as of July 14, 2025, the Settlement Class consisted of
12 Thirty-Seven (37) individuals, as determined by Defendant’s employment records.
13 (Yaeckel Decl. ¶11).

14 **3. Appointment of Settlement Administrator**

15 The parties agreed to use CAC Services, LLC, as the “Settlement Administrator” for
16 purposes of providing the Notice of Class Action Settlement, issuing payments pursuant to the
17 Agreement, and carrying out all duties pursuant to the Agreement. (**Ex. A** at ¶30). CAC’s sole
18 business is the administration of class action settlements and class action notices. (Yaeckel
19 decl.¶12).

20 **4. Scope of the Release**

21 For purposes of the Agreement, Class Members release all claims that are either or both:
22 (1) alleged in the forthcoming Second Amended Complaint (“SAC”); or (2) which relate to or arise
23 out of the allegations and claims asserted in the SAC and could have been alleged in the SAC

1 relating in any way to violations of the wage and hour provisions of the California Labor Code or
2 the California Wage Orders, or any wage and hour provisions of California law. The precise release
3 language is reflected in **Exhibit A** at ¶¶ 52-53.

4 **5. Cash Payment and Allocation**

5
6 The Agreement provides for an automatic Individual Settlement Payment to the members
7 of the Class. No claims need be submitted. Class Members will be entitled to an average share of
8 approximately **\$3,176.83**. (Yaeckel Decl. ¶13). The actual share will depend on the amount of
9 workweeks they were employed during the limitations period. (**Ex. A** at ¶41). The Individual
10 Settlement will be allocated as follows: twenty-five percent (25%) constitutes wages (subject to
11 withholdings and deductions and reported as wage income on a Form W-2) and seventy-five
12 percent (75%) constitutes non-wage penalties, and interest. (**Ex. A** at ¶59).

14 **6. Proposed Settlement and Notice Program**

15
16 Subject to Court approval, the Parties agree that, within twenty (20) days after the Court
17 enters any Order granting preliminary approval of the Settlement, Defendant NEXEN will provide
18 to the Settlement Administrator the following information for each Settlement Class Member in a
19 readable Microsoft Office Excel spreadsheet: class member names and last known addresses,
20 social security numbers, number of workweeks, and number of PAGA period workweeks. (*See Ex.*
21 **A** at ¶¶4, 44). The Settlement Administrator will perform address updates and verifications,
22 including running all names through the National Change of Address Database, prior to the first
23 mailing. (*See Ex. A* at ¶46). Using best efforts to perform as soon as possible, and in no event later
24 than 10 days after receiving the class data, the Settlement Administrator will mail the Class Notice
25
26
27

1 (“Notice”) to each Class Member, by first class mail. (See **Ex. A**, at ¶45). The parties’ agreed upon
2 Class Notice is attached to the Declaration of Eric K. Yaeckel, as **Exhibit B**. (Yaeckel Decl. ¶14).

3 The Notice is designed to provide Class Members with detailed information about the
4 lawsuit, the Agreement, their rights and settlement benefits, and how to participate, exclude
5 themselves, or object. (**Ex. B**). The Class Members will have forty-five (45) calendar days (from
6 the date of notice) to request to be excluded, or file objections. This deadline will be extended an
7 additional 15 days if notice is re-mailed. (**Ex. A**, at ¶28, 46; **Ex. B** at § IV).

8 The Class Members will be given notice of the release, and information about the expected
9 settlement amount based on the workweeks employed with Defendants during the Class Period. If
10 they wish to receive a share of the Settlement, Class Members need not take any additional action.
11 Class Members are also given instructions on how to exclude themselves, object to the Settlement,
12 or appear at the final approval hearing to state objections. (See **Ex. A**, at ¶¶50, 54; **Ex. B** at § IV).

13 The Notice process also has additional safeguards. For instance, for Notices returned as
14 nondeliverable, the Settlement Administrator will perform a skip-trace and mail or re-mail Notice
15 to an updated address (if any), upon receipt of the returned mail. (See **Ex. A** at ¶46). It is the intent
16 of the parties that all reasonable means be used to locate Class Members.

17 The Notice will inform Class Members of the number of weeks worked during the Class
18 Period (according to Defendant’s records) and their estimated settlement amounts. Class Members
19 will have the opportunity to dispute the workweek calculation by submitting written
20 documentation to the Settlement Administrator. (See **Ex. A** at ¶48; **Ex. B** at § III).

21 PAGA Members do **not** require notice prior to a settlement, nor may they opt out of the
22 PAGA penalty portion of the settlement. *Robinson v. S. Ctys. Oil*, (2020) 53 Cal. App. 5th 476.

1 482–83, 2020). PAGA members are nonetheless informed of the Settlement and estimated
2 payments. PAGA members can opt-out of the Class Settlement to retain all non-PAGA claims in
3 their individual capacities. These rights are explained in the Class Notice. (**Ex. B** at § IV).

4 **7. Contingent Costs**

5
6 The Agreement provides for Eighty-Three Thousand Three Hundred Thirty-Three Dollars
7 (**\$83,333.00**) in Attorneys' Fees, which is one-third (1/3%) of the total Settlement, and up to
8 Twenty Thousand (\$20,000.00) in litigation costs. (*See Ex. A* at ¶34). For costs incurred in
9 administering the Settlement, the Agreement provides for an estimated Two Thousand Eight
10 Hundred Seventy-Four Dollars and Ten Cents (\$2,874.10), or less. (*See Ex. A* at ¶36).

11
12 The Agreement also provides for Fifteen Thousand Dollars (\$15,000.00) to be allocated
13 for Plaintiff's PAGA claims with 75% of the amount, or Eleven Thousand Two Hundred Fifty
14 Dollars (\$11,250.00) being paid to the LWDA, and 25%, or \$3,750.00, being distributed amongst
15 the PAGA Members. (*See Ex. A* at ¶37).

16
17 The Agreement also provides payments to Plaintiffs Alegria and Moreno of Seven
18 Thousand Five Hundred Dollars (**\$7,500.00**) each, which represents a Class Representative
19 Enhancement Payment in consideration for their services as Class Representatives. (*See Ex. A* at
20 ¶35). The class is being notified of the payments to Plaintiffs. (*See Ex. B* at § III).

21
22 The Class Representative Enhancement Payments were part of good faith negotiations and
23 are intended to recognize that it was the Class Representatives (Mr. Alegria and Moreno) who
24 initiated the lawsuits, initiated the PAGA claims, provided critical documents and witnesses to
25 their attorneys, and worked with their attorneys to propound and prepare written discovery, all in
26 an effort to obtain money allegedly owed not only to themselves, but to many other employees.

(Yaeckel Decl. ¶15). **Please Note:** the named Plaintiff’s will submit detailed declarations attesting to their services performed in support of the proposed enhancement awards as part of the Motion for Final Approval.

IV. SUMMARY OF CLAIMS AND ESTIMATES OF POTENTIAL DAMAGES

Counsel hereby submits estimates and calculations for the total potential value of all claims so this Court may evaluate if the amount to be paid to the class ultimately could be determined to be fair and reasonable when balanced against the probable outcome of the future litigation. *Kullar v. Foot Locker Retail, Inc.*, (2008) 168 Cal. App. 4th 116, 133.

Concerning the strength of the plaintiff’s case, the California Court of Appeal has said that the “merits of the underlying class claims are not a basis for upsetting the settlement of a class action; the operative word is ‘settlement.’” *7-Eleven Owners for Fair Franchising v. Southland Corp.* (2000) 85 Cal. App. 4th 1135, 1150 [citing, *inter alia*, *City of Detroit v. Grinnell Corp.* (2d Cir. 1974) 495 F.2d 448,455 (“The fact that a proposed settlement may only amount to a fraction the potential recovery does not, in and of itself, mean that the proposed settlement is grossly inadequate and should be disapproved”); see also *Linney v. Cellular Alaska P’ship.* (9th Cir. 1998) 151 F.3d 1234, 1242 (“This court has aptly held that ‘it is the very uncertainty of outcome in litigation and avoidance of wasteful and expensive litigation that induce consensual settlements’”); *Officers for Justice v. Civil Servo Com.* (9th Cir. 1982) 688 F.2d 615, 625 (“The proposed settlement is not to be judged against a hypothetical or speculative measure of what might have been achieved by the negotiators.”)]

Each of the primary claims also had risks to obtaining both class certification and a finding of liability. If Defendants’ defenses were successful, the class would not receive anything. Asset

1 forth below, Plaintiff submits that the most realistic “best case” scenario would be an average
2 recovery per class member of approximately **\$23,300.61**, and a worst case scenario would be a
3 recovery of \$0, by way of decertification or defense verdict. (Yaeckel decl. ¶16). Given all the
4 risks on each claim, the estimated **\$3,155.28** average recovery secured via this settlement is a
5 reasonable compromise and is in the best interests of the class given all the risks of continued
6 litigation. (Yaeckel decl. ¶17).

8 **A. FAILURE TO PAY ALL COMPENSATION CLAIMS**

9 Plaintiff's unpaid wages (and overtime) claims were based on the allegation that employees
10 were performing unpaid work due to the NEXEN timekeeping system, which required employees
11 to record their “scheduled” (not “actual”) work times. Moreover, NEXEN “rounded” its employees
12 work time, even where NEXEN knows the exact time each employee “begins” and “ends” each
13 work-shift. Plaintiff alleged this resulted in regular time and overtime pay being owed where
14 Defendants were on notice of work being performed without pay. (Yaeckel decl. ¶25).

15 Defendants denied that any unpaid work occurred, and even if some did occur, Defendants
16 claimed it was not on notice of these alleged Labor Code violations and had a strict written
17 prohibition against off-the-clock work and a mandate that all time worked must be accurately
18 recorded. Defendants asserted proof of these alleged violations would require individualized
19 inquiries, which could preclude class certification and make any PAGA claims unmanageable.
20 (Yaeckel decl. ¶26).

21 Based on Class Counsels’ analysis, this claim was worth as little as \$0 (a defense verdict)
22 or as much as **\$185,238.90**. (Yaeckel decl. ¶18).

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26 ///

B. MEAL AND REST PERIOD CLAIMS

Plaintiff alleges that the Rest Period policy (1) contained patently illegal language restricting employees to the work premises, and (2) lacks additional required statutory language. Plaintiff also alleged the Defendant's written policies improperly restricted class members from leaving the premises during their Rest Periods, among other deficiencies. NEXEN pointed out that it provided for adequate breaks and argued that witness testimony would demonstrate that in practice, no restriction on Rest Periods was ever enforced. NEXEN also contended individualized inquiries abound as to why individual employees may have failed to take appropriate Meal or Rest Periods. (Yaeckel decl. ¶28).

1 prove. Some Courts have been disinclined to accept survey evidence that may have been needed
2 to help establish rest period violations. See e.g. *Duran v. U.S. Bank National Assn.* (2018) 19
3 Cal.App.5th 630.

4
5 Based on counsels’ analysis, these claims were worth as little as \$0 (a defense verdict) or
6 as much as \$1,238,737.50. (Yaeckel decl. ¶18).

7 C. PLAINTIFF’S WAGE STATEMENT CLAIMS

8 Plaintiff acknowledges that these claims are largely derivative, in that both the gross and
9 net wages could be found to be inaccurate, due to the above-referenced claims. (Yaeckel decl. ¶29).

10 NEXEN argued that the failure to list gross and net wages are derivative of other claims
11 that may ultimately fail and as a result there is no “knowing and intentional failure” to list
12 information, which triggers liability under Labor Code §226(e). (*Id.*).

13
14 The California Supreme Court has recently held that the failure to pay meal and rest period
15 premiums *may* give rise to a claim for inaccurate wage statements (or waiting time penalties).
16 However, such derivative liability is not automatic and the Plaintiff still must show a “knowing
17 and intentional” or “wilful” failure to comply with the Labor Code. See *Naranjo v. Spectrum*
18 *Security Services, Inc.* (2022) 13 Cal.5th 93,109. Defendants (as with the underlying Meal and
19 Rest Period claims) maintained individualized inquires would abound as to why breaks were
20 missed and whether meal and rest period premiums should have been paid, requiring
21 individualized inquiries that would make any PAGA claims unmanageable. (Yaeckel decl. ¶ 30).

22
23 Based on counsels’ analysis, this claim was worth as little as \$0 (a defense verdict) or as
24 much as \$357,500.00. (Yaeckel decl. ¶18).

25
26 ///

1 **D. PLAINTIFF’S REIMBURSEMENT CLAIMS**

2 Plaintiff contended that NEXEN violated Labor Code section 2802 by failing to reimburse
3 its employees for certain costs. NEXEN contended that Plaintiffs’ (and others’) position did not
4 require the use of a personal cell phone. (Yaeckel decl. ¶31). Based on counsels’ analysis, this
5 claim was worth as little as \$0 (a defense verdict) or as much as \$370.00. (Yaeckel decl. ¶18).
6

7 **E. OTHER “DERIVATIVE” CLAIMS**

8 The remaining claims are derivative in that PAGA and Labor Code § 203 penalties would
9 only be permissible if the above claims are proven.
10

11 The parties agreed to allocate \$15,000.00 to the PAGA claims, which is 6% of the total
12 settlement. This PAGA allocation is in the range routinely approved by California Courts. *See*
13 *Garcia v. Gordon Trucking, Inc.* (E.D. Cal., Oct. 31, 2012, No. 1:10-CV-0324 AWI SKO) 2012
14 WL 5364575, at *7 (approving \$10,000 PAGA penalty for a California class with a \$3.7 million
15 gross settlement payment); *Syed v. M-I, L.L.C.* (E.D. Cal., Feb. 22, 2017, No.
16 112CV01718DADMJS) 2017 WL 714367, at *13 (approving 2.53% of the estimated \$3.95 million
17 Net Settlement Amount allocated to the California class); *Viceral v. Mistras Group, Inc.* (N.D.
18 Cal., Oct. 11, 2016, No. 15-CV-02198-EMC) 2016 WL 5907869 (approving allocation of \$20,000
19 to the PAGA claim (0.15% of its total value of \$12,952,000) as reasonable in the context of the
20 settlement as a whole); *In re Uber FCRA Litigation* (N.D. Cal., June 29, 2017, No. 14-CV-05200-
21 EMC) 2017 WL 2806698 (approving a settlement agreement of \$7.5 million, where the amount
22 allocated to the PAGA claims was only \$7,500.00 – .01% of the total settlement amount).
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1 Based on Class Counsel's analysis, the PAGA and Labor Code § 203 claims were worth as
2 little as \$0 (a PAGA defense verdict or denial of certification of the § 203 claim) or as much as
3 \$250,500.00 and \$100,415.70.00 respectively. (Yaeckel decl. ¶18).

4 **F. CASE LAW SUPPORTS A FURTHER REDUCTION ON ANY RECOVERY**

5
6 Plaintiff concedes he would be highly unlikely to ever be awarded an amount approaching
7 the maximum damages set forth above. To wit, the majority of the recovery would be "derivative"
8 penalties under PAGA, Labor Code § 203 and Labor Code § 226. The Court would have great
9 discretion to mitigate any penalties awarded. *Thurman v. Bayshore Transit Management, Inc.*
10 (2012) 203 Cal.App.4th 1112, 1135 (disapproved on other grounds).

11
12 In a recent trial involving Class Counsel in this case, the Court found liability, but then
13 reduced the PAGA penalties by 90%, from \$50 per violation down to \$5 a violation. See
14 *Carrington v. Starbucks Corp.* (2018) 30 Cal.App.5th 504; See accord *Fleming v. Covidien, Inc.*,
15 No. ED-CV-10-1487-RGK(OPx), 2011 WL 7563047 at *4 (C.D. Cal. Aug. 12, 2011) (reducing
16 PAGA penalties by over 82%, in part because employer was "not aware" of violations). And in
17 *Carrington*, the Trial Court declined to award penalties under Labor Code §§ 203 and 226, noting
18 that other trial courts have declined to "stack" (impose multiple) penalties based on the same
19 underlying Labor Code violation. See *Ruelas v. Costco Wholesale Corporation* (N.D. Cal. 2014)
20 67 F.Supp.3d 1137.

21
22 Class Counsel believes a mitigation of the damages and potential PAGA penalties would
23 be warranted here. As in the *Carrington* case, there was evidence that NEXEN attempted to
24 provide meal and rest periods in practice. Given this, it is likely that some of the violations by
25 NEXEN were not "willful." Finally, given the defenses raised by Defendants, there was a
26

significant risk that many of the certified claims would not have been maintained through trial and appeal. (Yaeckel decl. ¶ 22).

Based on the above, it is possible that PAGA penalties could be reduced to approximately \$5 per violation and the Court would find no basis for imposing Labor Code §§ 203 or 226 penalties. (Yaeckel decl. ¶ 23). If this occurred, the average class member's pre-tax share of a realistic best case recovery would be **\$23,300.61**, after discounting 40% for contingent costs. (Yaeckel decl. ¶ 24).

Class Counsel submits the **\$3,155.28** average recovery secured via this Settlement, is a reasonable compromise and in the best interests of the Class. (Yaeckel decl. ¶24).

V. PROVISIONAL CERTIFICATION OF THE CLASS IS APPROPRIATE

Under California law, a class action is appropriate when the class is ascertainable and there is “a well-defined community of interest in the questions of law and fact involved affecting the parties to be represented.” Cal. Code Civ. Proc. § 382; *Sav-on Drug Stores, Inc. v. Superior Court* (2004) 34 Cal. 4th 319, 341. State law requirements under California Code of Civil Procedure § 382 for class certification mirror those of federal law under Rule 23 of the Federal Rules of Civil Procedure: numerosity, typicality of the class representatives’ claims, adequacy of representation, predominance of common issues, and superiority. See Fed. R. Civ. P. 23(a); see also *Hanlon v. Chrysler Corp.* (9th Cir. 1998) 150 F.3d 1011, 1019. Thus, for settlement purposes, each of these elements must be present. *Wershba v. Apple Computer, Inc.* (2001) 91 Cal. App. 4th 224, 237-38.

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1 **A. THE PROPOSED SETTLEMENT CLASS IS ASCERTAINABLE**

2 The proposed class is ascertainable because all Class Members currently or formerly
3 worked for Defendant as a nonexempt employee and will be identified through Defendant's
4 employment records. See *Rose v. City of Hayward*, 126 Cal.App.3d 926 (1981) (finding that "Class
5 Members are 'ascertainable' where they may be readily identified without unreasonable expense
6 or time by reference to official records"). Thus, the ascertainability requirement is met. (Yaeckel
7 Decl. ¶34).

8
9 **B. THE PROPOSED SETTLEMENT CLASS IS SUFFICIENTLY NUMEROUS**

10 The numerosity requirement is met if the class is so large that joinder of all members would
11 be impracticable. *In re Tobacco II Cases* (2009) 46 Cal. 4th 298, 318. Here, Defendant's records
12 show that approximately **37 class members** worked for the company during the limitations period.
13 (Yaeckel Decl. ¶33). Thus, joinder of all members of the class would be impracticable.

14
15 **C. THE COMMONALITY REQUIREMENT WAS MET**

16 The commonality requirement is met if there are questions of law and fact common to the
17 class. See *Hanlon, supra*, 150 F.3d at 1019. Here, for purposes of the Settlement, the parties agree
18 the claims of Class Members all involve the same questions of law and fact. Namely, all class
19 members were subject to the same employment policies and practices of Defendant. (Yaeckel decl.
20 ¶35). The common question exists as to whether the policies and practices Class Members were
21 subjected to are illegal. Therefore, the commonality requirement is satisfied. See *Hanlon, supra*.
22 150 F.3d at 1019-20.

23
24
25 ///

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27 ///

1 Additionally, any Class Member who wishes to “opt out” of the Settlement may do so and
2 retain all of their individual rights. (Yaeckel decl. ¶37). There is therefore no conflict of interest
3 between the Class Representatives and the Class Members. (*Id.*) Thus, at least for settlement
4 purposes, the adequacy prong is met. (*Id.*)

6 **F. COMMON ISSUES PREDOMINATE AND CLASS-WIDE SETTLEMENT IS**
7 **SUPERIOR TO OTHER AVAILABLE METHODS OF RESOLUTION**

8 Class certification is authorized where common questions of law and fact predominate over
9 individual questions, and where class-wide treatment of a dispute is superior to individual litigation.
10 See *Richmond v. Dart Indus., Inc.*, 29 Cal.3d 462, 469 (1981).

12 The proposed class in this case is sufficiently cohesive because, for purposes of the
13 Settlement only, the parties have stipulated that all class certification elements are met. (Yaeckel
14 Decl. ¶38). All members of the Class worked under the same conditions and experienced the same
15 policies and practices of Defendant. (See section IV, *supra*). Thus, the class is sufficiently cohesive.

17 Further, it is preferable to resolve all of the Class Members’ claims by means of a classwide
18 settlement than to require each Class Member to litigate individual claims. (Yaeckel decl. ¶39).

19 **VI. PRELIMINARY APPROVAL OF THE CLASS ACTION SETTLEMENT IS**
20 **PROPER**

21 The trial court has broad discretion to determine whether a class action settlement is fair
22 and reasonable. *In re Microsoft I-V Cases* (2006) 135 Cal.App.4th 706, 723. Fairness is presumed
23 where (1) the settlement is reached through arm’s-length bargaining; (2) investigation and
24 discovery are sufficient to allow counsel and the court to act intelligently; (3) counsel is
25 experienced in similar litigation; and (4) the percentage of objectors is small. *Chaves v. Netflix*,

1 *Inc.* (2008) 162 Cal.App.4th 43, 52; *Dunk v. Ford Motor Co.*(1996) 48 Cal.App.4th 1794, 1802.
2 But, even where it appears the settlement is fair, the court must still determine on the record before
3 it whether the settlement should be approved after considering “the strengths and weaknesses of
4 the claims and risks of the particular litigation.” *Kullar v. Foot Locker Retail, Inc.* (2008) 168
5 Cal.App.4th 116, 129. The courts will consider: (i) the strength of the plaintiff’s case; (ii) the risks,
6 expense, complexity and likely duration of further litigation; (iii) the risk of maintaining class
7 action status throughout the trial; (iv) the amount offered in settlement; (v) the extent of discovery
8 completed; (vi) the stage of the proceedings; (vii) the experience and views of counsel; (viii) the
9 presence of a governmental participant; and (ix) any reaction of putative class members to the
10 proposed settlement. *Dunk*, 48 Cal.App.4th, at 1800-1801; *In re Microsoft I-V Cases*, 135
11 Cal.App.4th, at 723.

14 When evaluating factors, the court “must stop short of the detailed and thorough
15 investigation that it would undertake if it were actually trying the case,” but nonetheless it “must
16 eschew any rubber stamp approval in favor of an independent evaluation.” *Kullar*, 168 Cal.App.4th,
17 at 130. Ultimately, the court approves the proposed class action settlement when the settlement is
18 fair and falls “within the range of reasonableness.” *Hernandez v. Vitamin* (2009) 174 Cal.App.4th
19 1441, 1446.

21 **A. FAIRNESS OF THE SETTLEMENT**

22 For preliminary approval purposes, a proposed class action settlement is *presumed* to be
23 fair where, as here, (1) the settlement is reached through arm’s-length bargaining; (2) investigation
24 and discovery are sufficient to allow counsel and the court to act intelligently; and (3) counsel is
25 experienced in similar litigation. *Chaves, supra*, 162 Cal.App.4th at 52.

1 The proposed settlement here meets these criteria, and merits preliminary approval. As
2 discussed above, the parties only entered into the Agreement after engaging in a full day of
3 mediation with an experienced mediator who routinely handles “wage and hour” class action
4 litigation. (Yaeckel Decl. ¶8). The mediation was preceded by extensive discovery, investigation
5 of claims at issue, interviews of Class Members, disclosure and review of documents detailing
6 company-wide policies and practices, informal information exchanges for mediation purposes, and
7 ongoing discussions about the merits between the parties’ counsel during the span of the litigation.
8 (Yaeckel Decl. ¶¶4-9). Thereafter, the parties memorialized the details of this Settlement via the
9 Agreement. (Ex. A).

12 When negotiating the Settlement, both sides took into account the strengths and
13 weaknesses of their opponent’s positions as pointed out by mediator Herbert Hoffman (Ret.), the
14 data available to evaluate liability and damages, the lack of data or evidence on certain issues, and
15 the substantial and evolving law applicable to the merits of the claims. The Agreement was only
16 agreed to after extensive arms-length negotiations resulting in a unique compromise that permits
17 all parties to move forward and bring this action to close. Despite a mutual desire to resolve this
18 action, the negotiations remained at all times adversarial. (Yaeckel Decl. ¶40).

20 As set forth below in section H, *infra.*, counsel for both parties have extensive experience
21 in litigating wage and hour class action lawsuits. Based on this experience, Class Counsel has
22 determined that the settlement is fair, adequate, and reasonable. (Yaeckel decl. ¶32).

24 B. STRENGTH OF PLAINTIFFS’ CASE

25 The most important factor courts consider when evaluating the fairness of the class action

1 settlement is the strength of the plaintiffs’ case balanced against the amount offered in settlement.
2 *Kullar*, 168 Cal.App.4th, at 130.

3 All of Plaintiffs’ claims - individual, PAGA, and class - presented hurdles including a
4 substantial risk of a defense verdict by way of summary judgment, or at trial. (Yaeckel Decl. ¶¶25-
5 31).
6

7 The exchange of mediation briefs, the assistance of an experienced “wage and hour”
8 mediator, and the parties’ ongoing negotiations all helped the parties realize the strengths and
9 weaknesses of their case, as well as the risks and expenses of continuing litigation.
10

11 Additionally, as trial approaches, each party’s monetary risk increases significantly as
12 substantial additional attorney hours and expert witness hours would have to be devoted to this
13 case by each party. Each party bears a real risk at trial, and considering the balance of the strengths
14 of Plaintiff’s claims versus the risks inherent in trial and the consideration given to settle now, the
15 settlement reflects a fair and adequate resolution to this action.
16

17 **C. THE RISK, EXPENSE, COMPLEXITY, AND LIKELY DURATION OF**
18 **FURTHER LITIGATION**

19 Plaintiffs’ Counsel’s effectiveness in prosecuting this case has translated into tangible
20 monetary and non-monetary benefits for the Settlement Class Members in the following respects:
21 (1) a guaranteed favorable result; (2) guaranteed monetary recovery over a reasonably short period
22 of time, as opposed to possibly waiting additional years for a potentially worse result; and (3)
23 significant savings in attorneys’ fees and costs, which would have increased had the case
24 progressed through trial and possible appeals. (Yaeckel decl. ¶41).
25
26
27

1 Without entering into the Agreement, there existed a significant chance that Defendants
2 would prevail on the merits of some or all claims and that Class Members would receive nothing.
3 Through the Agreement, participating Class Members receive guaranteed cash, and this action has
4 also been the catalyst for programmatic relief. (Yaeckel decl. ¶42).

5
6 If the litigation were to proceed in lieu of settlement, additional and substantial attorneys
7 fees and costs, expert witnesses fees would quickly accumulate. Given the numerous claims being
8 litigated, the parties may be required to hire multiple experts each (as many as 6 total). This would
9 result in not only the production of six expert reports, but six depositions as well. And, based on
10 previous discussions between counsel regarding the remaining claims (including the PAGA
11 claims), Plaintiff anticipated at least one motion to compel, and two dueling motions for summary
12 judgment /adjudication (one from each side). (Yaeckel decl. ¶43).

13
14 Importantly, even after engaging in all of the potential continued and protracted litigation
15 set forth above, the putative class would continue to have no guarantee of success on any of the
16 claims advanced in this case. Thus, the parties and their counsel believe in good faith that the
17 proposed resolution is a fair, reasonable, and adequate compromise. (Yaeckel decl. ¶44).

18
19 **D. THE RISK OF MAINTAINING CLASS ACTION STATUS THROUGH THE**
20 **TRIAL**

21 At the time of the settlement, a Motion for Class Certification had not been filed. (Yaeckel
22 decl. ¶45). As the Court never ruled on the Motion, neither side had a decided advantage in the
23 litigation. Even if Plaintiff did obtain class certification of the claims, each claim is subject to
24 substantial risk of a Defense verdict at trial. (*Id.*)

25
26 Regarding the representative (PAGA) claims, there is also a substantial risk that, at trial,

1 Plaintiff will either fail to obtain a favorable judgment on some of these claims, or that a favorable
2 award on one or more PAGA claims may be greatly reduced, as these claims are subject to
3 mitigation by the Court. *See Thurman v. Bayshore Transit Mgmt., Inc.* (2012) 203 Cal.App.4th
4 1112, 1135.

6 **E. THE AMOUNT OFFERED IN THE SETTLEMENT**

7 After deduction from the total “Settlement Amount” of the projected costs of
8 administration, proposed class representative service awards, potential attorney fees and costs, the
9 “Net Settlement Amount” (“NSA”) will be distributed to all Class Members who do not file a
10 request for exclusion. Participating Class Members will receive their share of the NSA based on
11 the total amount of time they worked during the Class and PAGA Period. (**Ex. A**, ¶41).

13 Based on the current proposed figures, each Class Member will receive an average of
14 **\$3,155.28** although the actual amount will vary based on the amount of pay periods employed.
15 The Class Notice will list that individual class member’s actual share. (See **Ex. B.**) Plaintiff’s
16 counsel maintains this recovery was significant in light of the circumstances of the case. (Yaeckel
17 Decl. ¶48).

19 **F. THE EXTENT OF DISCOVERY COMPLETED**

20 Plaintiffs propounded multiple sets of separate written discovery devices on Defendant,
21 including requests for admission, special interrogatories, requests for production of documents,
22 and general and employment form interrogatories. (Yaeckel Decl. ¶¶4-6). Through these requests,
23 Plaintiffs sought, among other information and documents, the identities of putative class members,
24 Defendants’ compensation policies, meal and rest period policies, employee handbooks, wage
25 statements, time records, job descriptions, and reimbursement policies. *Id.*

1 After significant meet and confer efforts, Defendants provided detailed substantive
2 responses detailing all relevant employment policies, in addition to producing hundreds of pages
3 of documents. The document production also included a large sampling of other putative class
4 members time and wage records. (Yaeckel Decl. ¶¶ 4-7). Plaintiff's counsel has also interviewed
5 several Class Members in the course of investigating and litigating this matter. (Yaeckel decl. ¶
6 46). Counsel has also reviewed hundreds of pages of documents produced by Defendants,
7 Plaintiffs, and Class Members. (*Id.*)

9 This information, along with documents and information obtained through formal
10 discovery and from Class Members, allowed Plaintiff's counsel to prepare a detailed Mediation
11 Brief. (*Id.*) The Brief was provided to opposing counsel and contained a breakdown of potential
12 damages based on all of the information obtained from all Parties. (*Id.*)

14 Thus, sufficient discovery, both formal and informal, has been conducted to allow the
15 parties to participate in an informed, arms-length negotiation process.

17 **G. THE STAGE OF THE PROCEEDINGS**

18 Plaintiffs had already obtained the majority of written discovery, taken depositions, and
19 the parties were familiar with Plaintiffs' central allegations and Defendant's defenses thereto. The
20 next phase of this litigation would have been to complete class discovery, prepare expert reports
21 and then prepare for Trial.

23 **H. THE EXPERIENCE AND VIEWS OF COUNSEL**

24 Class Counsel has considerable experience in wage and hour and class action litigation.
25 William Sullivan has over 30 years of experience, 29 years of which has been devoted to both

1 prosecuting and defending class action litigation. Mr. Yaeckel has over 14 years of experience in
2 “class litigation.” Mr. Kuhn has over 6 years of experience in class action litigation. Mr. Archer
3 has a little over 1 year of experience in class action litigation. (Yaeckel Decl. ¶47).

4
5 Plaintiff’s counsel considers the Agreement to represent a fair, adequate and reasonable
6 settlement that is well within the accepted range of recoveries for similar cases. Counsel further
7 believes the Agreement is in the best interests of the Settlement Class Members. (Yaeckel Decl.
8 ¶48).

9 10 **I. PRESENCE OF A GOVERNMENTAL ACTOR**

11 Although the State is not directly involved in this action, Plaintiff “stands in the shoes” of
12 the Labor and Workforce Development Agency (“LWDA”) by bringing his PAGA action.
13 Consideration has been made to compensate to Labor Workforce Development Agency
14 (“LWDA”) in the amount of \$15,000.00, even though the LWDA declined to prosecute the claims
15 itself. (*See Ex. A*, at ¶37). To confirm, 75% of the total allocation of \$15,000 amount, or
16 **\$11,250.00**, is being paid to the LWDA, and 25%, or **\$3,750.00**, being distributed amongst the
17 class members. (*Id*). Counsel for the parties believe this is a fair, reasonable, and adequate
18 allocation. (Yaeckel Decl. ¶49).

20 **J. REACTION OF THE CLASS MEMBERS TO THE PROPOSED SETTLEMENT**

21 Prior to preliminary approval, what can be said of the reaction the class members is limited.
22 However, Plaintiff’s counsel has spoken to some Class Members since the parties reached a
23 proposed settlement agreement, and all were in favor of the Settlement. (Yaeckel Decl.¶ 50).

1 As explained in the Agreement, the Settlement Claims Administrator will send a Notice to
2 the Settlement Class Members. (See **Ex. A** at ¶45). The negotiated Class Notice form is attached
3 to the declaration of Eric K. Yaeckel as **Exhibit B**.

4
5 The proposed Notice advises the Settlement Class Members of their anticipated recovery
6 under the Agreement, how to object, how to exclude themselves, the amount of fees and costs
7 sought by Class Counsel, the amount of enhancement payment sought on behalf of the Class
8 Representative, and the date of the final approval hearing. (See Exhibit “B”). Thus, the proposed
9 Notice complies with the notice requirements set forth in CRC Rule 3.766(d) and Rule 3.769(f).

10 **K. THE LODESTAR CROSS-CHECK**

11
12 Though this Settlement is not final, and Plaintiffs’ counsel anticipates incurring more fees
13 and costs, the Court specifically asked us to produce our anticipated Lodestar at the time of
14 preliminary approval.

15 If deemed necessary, a “lodestar cross-check” of the settlement confirms the propriety of
16 the request. As set forth in the attached Declaration of Eric K. Yaeckel and Raul Perez the actual
17 lodestar attorney's fees incurred (to date) are estimated to amount to be \$123,095.00 between all
18 Plaintiffs’ counsel. (\$82,820.00 SYLG + \$40,275.00 Capstone Law APC).

19
20 **Please Note:** For a detailed breakdown of the tasks performed, rates of pay and hours
21 expended please see the declaration of counsel. (Yaeckel decl. ¶51; Perez decl. ¶11).

22
23 Bluntly, **no multiplier** is requested, or necessary, as the fees requested are **less than the**
24 actual fees incurred. Specifically, Plaintiffs’ Counsel has incurred approximately **\$123,095.00** in
25 actual fees. However, no multiplier is necessary as Plaintiffs are only requesting **\$83,330.00** in
26 fees. (Yaeckel decl. ¶52). In the event the Court deems a lodestar analysis is necessary it will

confirm that Plaintiffs' fees are reasonable. (*Id.*)VII.

PROPOSED TIMELINE OF

EVENTS AND FINAL APPROVAL

Assuming the Settlement merits Preliminary Approval from the Court, Plaintiffs request that the Court set a Final Approval Hearing in accordance with the chronology of events set forth below:

1. Within twenty (20) calendar days of Preliminary Approval, Defendant will provide to the Settlement Administrator the Class List including Class Members' names, last known addresses, social security numbers, and the dates of employment;
2. Within ten (10) calendar days of receiving the Class List, the Settlement Administrator will perform an NCOA check for information to update and correct for any known or identifiable address changes and shall mail a Notice Packet to all Class Members via regular First-Class U.S. Mail, using the most current, known mailing addresses identified in the Class List;
3. 45 calendar days after Settlement Administrator has mailed the Notice Packets to Class Members: Deadline for Class Members to postmark and submit all disputes of pay period information, request exclusion, or file written objection to the Settlement;
4. Approximately 7 Calendar days after the conclusion of the Notice and Response Period: Plaintiffs will file a Motion for Final Approval; and
5. 16 Court days after the filing of the Final Approval motion: the Court can conduct the Final Approval Hearing.

Consistent with the above timeline, Plaintiffs request a Final Approval Hearing on or around May 18, 2026 at 8:30 a.m. in department S26.

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2
3
4 Dated: April 9, 2026

SULLIVAN & YAECKEL LAW GROUP, APC

5
6 *Cody Archer*

7 Eric K. Yaeckel

8 Ryan T. Kuhn

9 Cody D. Archer

10 Attorneys for Plaintiffs DAVID ALEGRIA

11 Individually, and on behalf of other members
12 of the general public similarly situated
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