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HERNANDEZ, individually, and on behalf of
other similarly situated employees and Aggrieved
Employees pursuant to the California Private
Attorneys General Act

**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF LOS ANGELES**

JOSEPHINE HERNANDEZ, individually, and
on behalf of other similarly situated employees
and Aggrieved Employees pursuant to the
California Private Attorneys General Act,

Plaintiff,

vs.

LIBERTY UTILITIES (APPLE VALLEY
RANCHOS WATER) CORP.; LIBERTY
UTILITIES (PARK WATER) CORP.; LIBERTY
UTILITIES (CALPECO ELECTRIC) LLC; and
DOES 1 through 25, inclusive,

Defendants.

Case No. 25STCV06335

Honorable Timothy P. Dillon
Department 15

**NOTICE OF MOTION AND MOTION FOR
PRELIMINARY APPROVAL OF CLASS
ACTION AND PAGA SETTLEMENT;
MEMORANDUM OF POINTS AND
AUTHORITIES IN SUPPORT THEREOF**

Date: September 15, 2026
Time: 10:00 a.m.
Dept.: 15

Complaint Filed: March 5, 2025
FAC Filed: February 20, 2026
SAC Filed: May 28, 2026
Trial Date: Not Set

1 **TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:**

2 **PLEASE TAKE NOTICE THAT** on September 15, 2026, at 10:00 a.m. in Department 15 of
3 the above-captioned Court located at 312 North Spring Street, Los Angeles, California 90012, pursuant
4 to California Code of Civil Procedure section 382 and Rule 3.769 of the California Rules of Court,
5 Plaintiff Josephine Hernandez (“Plaintiff”) will and hereby does move the Court for an Order granting
6 preliminary approval of the proposed class action and PAGA settlement between Plaintiff and Defendants
7 Liberty Utilities (Apple Valley Ranchos Water) Corp., Liberty Utilities (Park Water) Corp., and Liberty
8 Utilities (CalPeco Electric) LLC (collectively, “Defendants”).

9 Plaintiff requests that the Court enter an Order:

10 1. Preliminarily approving the Joint Stipulation of Class Action and PAGA Settlement
11 (“Settlement” or “Settlement Agreement”) attached as Exhibit 3 to the Declaration of Alexandra Rose in
12 Support of Plaintiff’s Motion for Preliminary Approval of Class Action and PAGA Settlement (“Rose
13 Decl.”);

14 2. Certifying the Class for Settlement purposes;

15 3. Appointing Plaintiff Josephine Hernandez as the Class Representative for Settlement
16 purposes;

17 4. Appointing Jonathan M. Genish, Ryan A. Quadrel, Shelby L. Miner, Alexandra Rose, and
18 Theodore R. Tang of Blackstone Law, APC as Class Counsel for Settlement purposes;

19 5. Approving the proposed Notice of Class Action Settlement (“Class Notice”), attached as
20 Exhibit A to the Settlement Agreement, to be mailed to the Class;

21 6. Approving the opt-out and objection procedures provided in the Settlement Agreement
22 and set forth in the Class Notice;

23 7. For each Class Member, directing Defendants to furnish their full name, last known
24 mailing address, Social Security number, Workweeks during the Class Period, and Pay Periods during
25 the PAGA Period to the Settlement Administrator within fourteen (14) calendar days after entry of the
26 order granting preliminary approval of the Settlement;

27 8. Approving the proposed deadlines for the settlement administration process; and

28 9. Setting a Final Approval Hearing.

1 Pursuant to California Labor Code section 2699(s)(2), on July 9, 2026, a copy of the Settlement
2 was submitted to the California Labor and Workforce Development Agency (“LWDA”) via online
3 submission through the LWDA’s website. (Rose Decl., ¶ 47 and Exh. 4).

4 This Motion is based upon this Notice, the attached Memorandum of Points and Authorities, the
5 Declaration of Alexandra Rose, the Declaration of Plaintiff Josephine Hernandez, the Declaration of the
6 Settlement Administrator (Kimberly Sutherland of Apex Class Action Administration), the pleadings and
7 other records on file with the Court in this matter, and any other further evidence or argument that the
8 Court may properly receive at or before the hearing.

9 Respectfully submitted,

10 Dated: July 9, 2026

BLACKSTONE LAW, APC

11 By: 
12 Alexandra Rose
13 Attorneys for Plaintiff Josephine Hernandez

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I. INTRODUCTION**

3 Plaintiff Josephine Hernandez (“Plaintiff”) seeks preliminary approval of the Joint Stipulation of
4 Class Action and PAGA Settlement (“Settlement” or “Settlement Agreement”) entered into between
5 Plaintiff and Defendants Liberty Utilities (Apple Valley Ranchos Water) Corp., Liberty Utilities (Park
6 Water) Corp., and Liberty Utilities (CalPeco Electric) LLC (collectively, “Defendants”) (collectively,
7 with Plaintiff, the “Parties”). (Declaration of Alexandra Rose in Support of Plaintiff’s Motion for
8 Preliminary Approval of Class Action and PAGA Settlement [“Rose Decl.”], Exh. 3). The Settlement
9 terms are outlined as follows:

- 10 • Class Size: Approximately 298 individuals.
- 11 • Gross Settlement Amount: One Million Eight Hundred Thousand Dollars and Zero Cents
- 12 (\$1,800,000.00).
- 13 • Attorneys’ Fees and Costs: Attorneys’ fees of one-third (1/3) of the Gross Settlement Amount
- 14 (\$600,000.00 if the Gross Settlement Amount is \$1,800,000.00) plus actual litigation costs
- 15 and expenses not to exceed Thirty-Five Thousand Dollars and Zero Cents (\$35,000.00), to
- 16 be paid from the Gross Settlement Amount.
- 17 • Enhancement Payment: Ten Thousand Dollars and Zero Cents (\$10,000.00), to be paid from
- 18 the Gross Settlement Amount.
- 19 • Settlement Administration Costs: Not to exceed Seven Thousand Seven Hundred Ninety
- 20 Dollars and Zero Cents (\$7,790.00), to be paid from the Gross Settlement Amount.
- 21 • PAGA Amount: One Hundred Twenty Thousand Dollars and Zero Cents (\$120,000.00) from
- 22 the Gross Settlement Amount, 65% of which will be paid to the Labor and Workforce
- 23 Development Agency (“LWDA”) and the remaining 35% to be paid to the PAGA
- 24 Employees.
- 25 • Estimated Net Settlement Amount: One Million Twenty-Seven Thousand Two Hundred Ten
- 26 Dollars and Zero Cents (\$1,027,210.00) to be distributed to Settlement Class Members.

27 As set forth herein, the Settlement is the product of informed discovery and arms-length

28 negotiations, and provides a fair, adequate, and reasonable recovery for the Class. Plaintiff therefore

respectfully requests that the Court grant preliminarily approval of the Settlement, conditionally certify

the Class, approve the proposed Notice of Class Action Settlement (“Class Notice”), and set a hearing date for final settlement approval, among other requested relief.

II. FACTUAL AND PROCEDURAL BACKGROUND

Defendants are utility companies. Plaintiff was employed by Defendant Liberty Utilities (Apple Valley Ranchos Water) Corp. as an hourly-paid, non-exempt Customer Service Representative from approximately June 2017 to approximately April 2023. (Declaration of Plaintiff Josephine Hernandez in Support of Motion for Preliminary Approval of Class Action and PAGA Settlement [“Hernandez Decl.”], ¶ 2).

On March 5, 2025, Plaintiff filed a Class Action Complaint in the action entitled *Josephine Hernandez v. Liberty Utilities (Apple Valley Ranchos Water) Corp., et al.*, Los Angeles County Superior Court Case No. 25STCV06335 (“Action”), thereby commencing a putative class action against Liberty Utilities (Apple Valley Ranchos Water) Corp. and Algonquin Power & Utilities Corp. (Rose Decl., ¶ 9).

On September 2, 2025, pursuant to the joint stipulation of the Parties, Algonquin Power & Utilities Corp. was dismissed from the Action without prejudice. (*Id.*, ¶ 10).

On January 21, 2026, the Parties participated in a formal mediation conducted by Eve Wagner, Esq. (“Mediator”), a neutral and respected mediator with extensive experience in complex wage and hour matters, and with the assistance of the Mediator’s evaluations, the Parties were able to reach an agreement to resolve this dispute on a class and representative basis. (*Id.*, ¶ 11). The Parties then worked diligently to negotiate and memorialize the terms in a long form settlement agreement. (*Ibid*).

On February 20, 2026, Plaintiff filed a First Amended Class Action Complaint in the Action, which added Defendants Liberty Utilities (Park Water) Corp. and Liberty Utilities (CalPeco Electric) LLC as named defendants. (*Id.*, ¶ 12).

On February 23, 2026, Plaintiff provided written notice to the LWDA by online submission and to Defendants by U.S. Certified Mail, pursuant to California Labor Code Section 2699.3, of the specified provisions of the California Labor Code alleged to have been violated by Defendants (“PAGA Letter”). (Rose Decl., ¶ 13 and Exh. 2).

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1 On May 28, 2026, Plaintiff filed a Second Amended Class and Representative Action Complaint
2 (“Operative Complaint”) in the Action, which added one cause of action under the Private Attorneys
3 General Act of 2004 pursuant to California Labor Code section 2698, *et seq.* (“PAGA”) against
4 Defendants. (*Id.*, ¶ 14). The Operative Complaint alleges ten (10) causes of action for violations of the
5 California Labor Code for failure to pay minimum wages, failure to pay overtime wages, failure to
6 provide compliant meal periods and/or premium payments in lieu thereof, failure to provide compliant
7 rest periods and/or premium payments in lieu thereof, failure to timely pay wages during employment,
8 failure to provide compliant wage statements, failure to timely pay wages upon termination, failure to
9 reimburse necessary business expenses, violation of California Business & Professions Code section
10 17200, *et seq.* based on the aforementioned California Labor Code violations, and for civil penalties
11 under PAGA based on the aforementioned California Labor Code violations. (*Ibid.*).

12 On April 28, 2026, the Parties executed the Settlement Agreement. (*Id.*, ¶ 15 and Exh. 3).

13 **III. THE SETTLEMENT TERMS**

14 **A. Class Definition**

15 For purposes of settlement only, the Parties have agreed that the Class shall be defined as “all
16 current and former non-exempt or hourly employees who worked for Defendants in the State of California
17 during the Class Period” (“Class” or Class Member(s)). (Rose Decl., ¶ 16; Settlement Agreement, ¶
18 10.b). The Class Period is defined as the period from March 5, 2021 through March 22, 2026. (Rose
19 Decl., ¶ 16; Settlement Agreement, ¶ 10.f). Based on information provided by Defendants, it is estimated
20 that there are a total of two hundred and ninety-eight (298) Class Members. (Rose Decl., ¶ 16).

21 **B. Amount of Settlement**

22 The Parties have agreed to settle the class and PAGA claims at issue in the Operative Complaint
23 for a non-reversionary Gross Settlement Amount of One Million Eight Hundred Thousand Dollars and
24 Zero Cents (\$1,800,000.00), exclusive of employer-side payroll taxes. (Rose Decl., ¶ 17; Settlement
25 Agreement, ¶ 10.q).

26 **C. Allocation and Funding of the Gross Settlement Amount**

27 The Gross Settlement Amount is a common fund and therefore includes all requested costs, fees,
28 and other allocations. (Rose Decl., ¶ 17; Settlement Agreement, ¶ 10.q). The Gross Settlement Amount

includes: (1) Class Counsel’s fees in the amount of one-third (1/3) of the Gross Settlement Amount (i.e., \$600,000.00 if the Gross Settlement Amount is \$1,800,000.00); (2) Class Counsel’s actual litigation costs and expenses, not to exceed Thirty-Five Thousand Dollars and Zero Cents (\$35,000.00); (3) Settlement Administration Costs, not to exceed Seven Thousand Seven Hundred Ninety Dollars and Zero Cents (\$7,790.00); (4) Enhancement Payment in the amount of Ten Thousand Dollars and Zero Cents (\$10,000.00); and (5) PAGA Amount in the amount of One Hundred Twenty Thousand Dollars and Zero Cents (\$120,000.00). (Rose Decl., ¶ 17; Settlement Agreement, ¶¶ 10.q, 13, 14, 15, and 16). After the above-estimated amounts are deducted from the Gross Settlement Amount, the Net Settlement Amount that will be available for Class Members is currently estimated to be One Million Twenty-Seven Thousand Two Hundred Ten Dollars and Zero Cents (\$1,027,210.00). (Rose Decl., ¶ 17). Additionally, 35% of the PAGA Amount (“PAGA Employee Amount”), which is \$42,000.00 out of \$120,000.00, will be fully distributed to “all current and former non-exempt or hourly employees who worked for Defendants in the State of California during the PAGA Period” (“PAGA Employee(s)”). (Rose Decl., ¶ 17; Settlement Agreement, ¶¶ 10.y and 10.z). The “PAGA Period” is defined as the period from February 23, 2025 through March 22, 2026. (Rose Decl., ¶ 17; Settlement Agreement, ¶ 10.aa).

The Net Settlement Amount will be distributed and paid to all Class Members who do not submit a timely and valid Request for Exclusion (“Settlement Class Member(s)”) on a *pro rata* basis based on the number of weeks each Class Member worked for Defendants as a non-exempt or hourly employee in California during the Class Period (“Workweeks”). (Rose Decl., ¶ 18; Settlement Agreement, ¶¶ 10.mm and 10.nn). The *pro rata* share of the Net Settlement Amount that a Class Member may be eligible to receive under the Class Settlement (“Individual Settlement Share”) will be calculated by the Settlement Administrator, in accordance with the Settlement Agreement. (Rose Decl., ¶ 18; Settlement Agreement, ¶¶ 10.t and 18).

The PAGA Employee Amount will be distributed and paid to all PAGA Employees on a *pro rata* basis based on the number of pay periods each PAGA Employee worked for Defendants as a non-exempt or hourly employee in California during the PAGA Period (“Pay Periods”). (Rose Decl., ¶ 19; Settlement Agreement, ¶ 10.cc). The *pro rata* share of the PAGA Employee Amount that a PAGA Employee may be eligible to receive under the PAGA Settlement (“Individual PAGA Payment”) will be calculated by

1 the Settlement Administrator, in accordance with the Settlement Agreement. (Rose Decl., ¶ 19;
2 Settlement Agreement, ¶¶ 10.r and 19).

3 Each Individual Settlement Share will be allocated as follows: fifteen percent (15%) wages and
4 eighty-five percent (85%) penalties, interest, and non-wage damages. (Rose Decl., ¶ 20; Settlement
5 Agreement, ¶ 20). The portion allocated to wages will be reported on an IRS Form W-2 and the portions
6 allocated to penalties, interest, and non-wage damages will be reported on an IRS Form 1099 (if
7 applicable) by the Settlement Administrator. (Rose Decl., ¶ 20; Settlement Agreement, ¶ 20). The
8 Settlement Administrator will withhold the employee's share of taxes and withholdings with respect to
9 the wages portion of the Individual Settlement Shares, and issue checks to Settlement Class Members for
10 their net payment of their Individual Settlement Share ("Individual Settlement Payment"). (Rose Decl.,
11 ¶ 20; Settlement Agreement, ¶¶ 10.s and 20). The employer's share of taxes and contributions in
12 connection with the wages portion of Individual Settlement Shares will be paid separately and in addition
13 to the Gross Settlement Amount. (Rose Decl., ¶ 20; Settlement Agreement, ¶¶ 10.q and 20). Each
14 Individual PAGA Payment will be allocated as one hundred percent (100%) penalties and will be reported
15 on an IRS Form 1099 (if applicable) by the Settlement Administrator. (Rose Decl., ¶ 20; Settlement
16 Agreement, ¶ 20).

17 The Settlement Agreement is a non-reversionary and non-claims made settlement. (Rose Decl.,
18 ¶ 21; Settlement Agreement, ¶¶ 10.q). The Net Settlement Amount will be fully distributed to Settlement
19 Class Members and the PAGA Amount will be fully distributed to the LWDA and PAGA Employees, in
20 accordance with the Settlement Agreement. (Rose Decl., ¶ 21; Settlement Agreement, ¶¶ 18 and 19). No
21 money will revert to Defendants. (Rose Decl., ¶ 21; Settlement Agreement, ¶ 10.q).

22 Each Individual Settlement Payment and Individual PAGA Payment check will be valid and
23 negotiable for one hundred and eighty (180) calendar days from the date the checks are issued, and
24 thereafter, shall be canceled. (Rose Decl., ¶ 22; Settlement Agreement, ¶ 38). Any funds associated with
25 such canceled checks will be distributed by the Settlement Administrator to the State of California's
26 Unclaimed Property Division in the name of the Settlement Class Member and/or PAGA Employee.
27 (Rose Decl., ¶ 22; Settlement Agreement, ¶ 38).

28 ///

1 **D. Released Claims**

2 Upon the Effective Date and full funding of the Gross Settlement Amount and Employer Taxes,
3 Plaintiff and all Settlement Class Members will be deemed to have fully, finally, and forever released,
4 settled, compromised, relinquished, and discharged the Released Parties of all Released Class Claims.
5 (Settlement Agreement, ¶ 39).

6 Upon the Effective Date and full funding of the Gross Settlement Amount and Employer Taxes,
7 Plaintiff, the State of California with respect to all PAGA Employees, and all PAGA Employees will be
8 deemed to have fully, finally, and forever released, settled, compromised, relinquished, and discharged
9 the Released Parties of all Released PAGA Claims. (*Id.*, ¶ 40).

10 “Released Class Claims” means all claims, rights, demands, liabilities, and causes of action that
11 are alleged, or reasonably could have been alleged, based on the facts and claims asserted in the Operative
12 Complaint as to the Released Parties, arising during the Class Period, including the following claims: (i)
13 failure to pay minimum wages; (ii) failure to pay overtime wages; (iii) failure to provide meal breaks;
14 (iv) failure to provide rest breaks; (v) failure to timely pay wages during employment; (vi) violation of
15 California Labor Code section 226; (vii) failure to timely pay final wages upon separation of employment;
16 (viii) failure to reimburse necessary business expenses; and (ix) unfair or unlawful business practices
17 under California Business & Professions Code section 17200, *et seq.* (*Id.*, ¶ 10.ff).

18 “Released PAGA Claims” means any and all claims for the recovery of civil penalties and
19 attorneys’ fees and costs permissible under PAGA which Plaintiff and/or the PAGA Employees had, or
20 may claim to have, against the Released Parties, arising out of the violations alleged in the Operative
21 Complaint and/or the PAGA Letter, arising during the PAGA Period, including: (i) failure to pay
22 minimum wages; (ii) failure to pay overtime wages; (iii) failure to provide meal breaks; (iv) failure to
23 provide rest breaks; (v) failure to timely pay wages during employment; (vi) violation of California Labor
24 Code section 226; (vii) failure to timely pay final wages upon separation of employment; (viii) failure to
25 reimburse necessary business expenses; and (ix) violations of California Labor Code sections 201, 202,
26 203, 204, 210, 226, 226.3, 226.7, 227.3, 510, 512, 558, 1174, 1174.5, 1194, 1194.2, 1197, 1197.1, 1198,
27 2698, *et seq.*, 2699, *et seq.*, 2800, and 2802. (*Id.*, ¶ 10.gg).

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1 “Released Parties” means Defendants and any of their past, present, and future direct or indirect
2 parents, subsidiaries, predecessors, successors, affiliates, sectors, divisions, assigns, joint venturers, third
3 parties, and other related entities, as well as each of their present and/or future officers, directors,
4 administrators, trustees, staff, members, managers, employees, agents, representatives, attorneys,
5 insurers, re-insurers, partners, investors, and shareholders, as well as any individual or entity which could
6 be jointly liable with Defendants. (*Id.*, ¶ 10.hh).

7 IV. STANDARD OF REVIEW FOR PRELIMINARY APPROVAL

8 The settlement of a class action requires court approval. (*See Dunk v. Ford Motor Co.*, 48 Cal.
9 App. 4th 1794 (1996)). “In general, questions whether a settlement was fair and reasonable, whether
10 notice to the class was adequate, whether certification of the class was proper, and whether the attorney
11 fee award was proper are matters addressed to the trial court’s broad discretion.” (*Wershba v. Apple*
12 *Computer, Inc.*, 91 Cal.App.4th 224, 234-35 (2001) (disapproved on other grounds)).

13 The review and approval of a proposed class action settlement involves a two-step process. (*See*
14 *Cal. Rules of Court, Rule 3.769(c)*). First, counsel submit the proposed terms of the settlement and the
15 Court makes a preliminary assessment of whether the settlement appears to be sufficiently within the
16 range of a fair settlement to justify providing notice of the proposed settlement to class members. After
17 notice is provided to the class, the Court must conduct a second inquiry into whether the proposed
18 settlement is fair, reasonable, and adequate. (*Ibid*).

19 The initial evaluation of the settlement at the preliminary approval step “is not a fairness hearing.”
20 (*Armstrong v. Board of School Directors of City of Milwaukee*, 616 F.2d 305, 314 (7th Cir. 1980)).
21 Rather, the limited purpose of this initial inquiry is to determine, at a threshold level, only whether the
22 proposed settlement is within the range of possible approval and, as a result, “whether there is any reason
23 to notify the class members of the proposed settlement and to proceed with a fairness hearing.” A
24 presumption of fairness exists where: (1) the settlement is reached through arm’s length bargaining; (2)
25 investigation and discovery are sufficient to allow counsel and the court to act intelligently; (3) counsel
26 is experienced in similar litigation; and (4) the percentage of objectors is small. (*See In re Microsoft I-V*
27 *Cases*, 135 Cal. App. 4th 706, 723 (2006)).

28 ///

1 Preliminary approval does not require a court to make a final determination that the settlement is
2 fair, reasonable, and adequate. Rather, that decision is made only at the final approval stage, after notice
3 of the settlement has been given to the class members and they have had an opportunity to object or
4 exclude themselves from the settlement. (*See* Cal. Rules of Court, Rule 3.769(g)). In considering a
5 potential class settlement, the court need not reach any ultimate conclusions on the issues of fact and law
6 which underlie the merits of the dispute and need not engage in a trial on the merits. (*See Officers for*
7 *Justice v. Civil Service Commission*, 688 F.2d 615, 625 (9th Cir. 1982)).

8 **V. THE SETTLEMENT IS PRESUMED FAIR**

9 **A. The Settlement Was Reached as a Result of Arm's-Length Bargaining**

10 California courts recognize that “a presumption of fairness exists where . . . [a] settlement is
11 reached through arm's-length bargaining.” (*Wershba*, 91 Cal.App.4th at 245; *see also Clark v. Am.*
12 *Residential Servs. LLC*, 175 Cal.App.4th 785, 799 (2009)). Here, the Parties' settlement negotiations
13 were at all times made at arm's-length and were adversarial. (Rose Decl., ¶ 23). The Settlement was
14 reached following a full day of mediation with a highly respected mediator with substantial experience
15 mediating wage and hour cases. (*Ibid*).

16 **B. Sufficient Investigation and Discovery by Experienced Class Counsel**

17 Courts typically assess the status of discovery in determining whether a class action settlement
18 agreement is fair, reasonable, and adequate. (*Dunk*, 48 Cal.App.4th at 1801). Prior to mediation,
19 Defendants produced a fifty percent (50%) random sampling of putative class members' time and payroll
20 data for the period May 2023 through July 2025 as well as Defendants' relevant wage and hour policies,
21 and information regarding the total number of putative class members, the number of current versus
22 former employees, and the total workweeks worked by the putative class members. (Rose Decl., ¶ 24).

23 This allowed Class Counsel to conduct an informed assessment and analysis of the strengths and
24 weaknesses of the claims and the potential class-wide damages. (*Id.*, ¶ 25). Based on information
25 exchanged, the Parties extensively briefed issues regarding class certification and liability and provided
26 their analyses to the Mediator who helped the Parties debate the strengths and weakness of their respective
27 positions. (*Id.*, ¶ 26). At all times, Plaintiff was willing and prepared to litigate this matter through class
28 certification and trial if the Parties had been unable to reach a favorable resolution. (*Ibid*). Defendants

1 contended that they were willing to oppose same and try the case. (*Ibid*). Additionally, settlement
2 negotiations were conducted by highly capable and experienced counsel. (Rose Decl., ¶¶ 1-8).
3 Accordingly, Class Counsel had sufficient information and experience to act intelligently in negotiating
4 the Settlement.

5 **C. The Settlement is Fair and Reasonable in Light of the Parties' Respective Legal Positions**
6 **and Recovery Risks**

7 A settlement is not judged against what might have been recovered had a plaintiff prevailed at
8 trial, nor does the settlement have to obtain 100% of the damages sought to be fair and reasonable.
9 (*Wershba*, 91 Cal.App.4th at 246, 250). In evaluating the reasonableness of a settlement, a trial court
10 must consider “the strength of plaintiffs’ case, the risk, expense, complexity and likely duration of further
11 litigation, the risk of maintaining class action status through trial, the amount offered in settlement, the
12 extent of discovery completed and the stage of the proceedings, the experience and views of counsel, the
13 presence of a governmental participant, and the reaction of the class members to the proposed settlement.”
14 (*Kullar v. Foot Locker Retail, Inc.*, 168 Cal.App.4th 116, 128 (2008)).

15 Here, the reasonableness of the Settlement is underscored by the fact that Defendants have legal
16 and factual grounds for defending the Action. Based upon Class Counsel’s experience litigating similar
17 complex matters, Plaintiff reasonably expected a vigorous and lengthy defense to both class certification
18 and the merits of the Action absent a settlement.

19 **1. Class Counsel’s Analysis of the Maximum Available Liability and Damages**

20 Class Counsel analyzed all available data and Class Counsel’s estimate of Defendants’ maximum
21 potential exposure was approximately \$15,430,342, assuming the litigation was successful at trial on all
22 claims at issue and every employee had a violation for each claim on every shift worked. (Rose Decl., ¶
23 27). This maximum potential analysis was then reduced based on the challenges facing the likelihood of
24 obtaining class certification, prevailing at trial, and other attendant risks. (*Ibid*). This estimate is based
25 off an estimated maximum liability for all claims at issue and the total aggregate workweeks during the
26 relevant time period, less interest, and based on the analysis of the data which was extrapolated out for
27 all class members across the entire putative class period, which included: \$1,691,117 for meal period
28 violations (less meal period premiums paid); \$8,311,445 for rest period violations at a 100% violation

1 rate; \$1,903,867 for unpaid off-the-clock work for 1 hour per workweek of off-the-clock work;
2 \$1,102,142 for unpaid overtime wages at the required regular rate of pay; \$321,660 for unreimbursed
3 business expenses; \$1,272,111 for waiting time penalties; and \$828,000 for wage statement penalties.
4 (*Ibid*). Plaintiff further estimated that Defendants faced an additional exposure of \$870,200 in potential,
5 non-stacked (i.e., one penalty, per employee, per pay period during the PAGA statutory period) PAGA
6 penalties. (*Ibid*). Together with the PAGA penalties, Class Counsel's estimate of Defendants' maximum
7 potential exposure totaled \$16,300,542. (*Ibid*).

8 **2. Class Counsel's Risk-Based Analysis and Risk-Based Adjustments**

9 While Plaintiff believes that Defendants faced significant exposure, substantial barriers to a
10 recovery existed. As a threshold issue, Defendants contended that a number of putative class members
11 executed valid and binding arbitration agreements with class action waivers, which Defendants contended
12 would preclude such individuals from pursuing class claims or being included in any putative class in the
13 instant matter. (Rose Decl., ¶ 28). Defendants further contended that Plaintiff's claims are not suitable
14 for class certification because individual issues and affirmative defenses would predominate should this
15 case go to trial. (Rose Decl., ¶ 30; *See Brinker Restaurant Corp. v. Superior Court*, 53 Cal.4th 1004
16 (2012); *Duran v. U.S. Bank Nat. Assn.*, 59 Cal.4th 1, 35-36 (2014); *Wal-Mart Stores, Inc. v. Dukes*, 131
17 S.Ct. 2541, 2551 (2011)). While Plaintiff and Class Counsel disagree with Defendants, they also
18 recognize that there is a significant risk that the Court may deny class certification. (Rose Decl., ¶ 30;
19 *see also, Findings of the Study of California Class Action Litigation*, 2000-2006, available at
20 <http://www.courts.ca.gov/documents/class-action-lit-study.pdf> (finding that only 21.4% of all class
21 actions were certified either as part of a settlement or as part of a contested certification motion)).

22 For example, Plaintiff's meal period claims, which were based on Defendants' alleged failure to
23 provide lawful meal periods, have been increasingly difficult to certify in recent years. (Rose Decl., ¶
24 31; *See Brinker*, 53 Cal. 4th at 1034-35). Plaintiff's theory of liability for meal period violations was
25 based on allegations that Defendants had failed to implement their policies and that their practices failed
26 to allow Class Members to take meal breaks in the manner required under California law. (Rose Decl.,
27 ¶ 31; *See Bradley v. Networkers Int'l, LLC*, 211 Cal.App.4th 1129, 1150 (2012) (holding that "the lack
28 of a meal/rest break policy and the uniform failure to authorize such breaks are matters of common

proof.”)). Defendants contended that their policies and practices at all times during the Class Period were lawful. (Rose Decl., ¶ 31). Indeed, Plaintiff’s analysis revealed only a 20.4% potential violation rate for purported unique meal period violations after offsetting for meal period premiums paid. (*Ibid*). Defendants further denied the potential violation rate, and argued that the rate of purported meal period violations reflected in the data would require individualized inquiry and that such inquiry would prohibit a class from being certified. (*Ibid*). Class Counsel also had to consider the risk that the Court would find validity in an individualized proof defense to certification of Plaintiff’s meal period claim. (*Ibid*).

Additionally, a large portion of Defendants’ overall potential liability lies in Plaintiff’s rest period allegations. (*Id.*, ¶ 32). As with the meal period allegations, Defendants contended that they had a lawful written rest period policy, provided compliant rest breaks, and relieved Class Members of all duties as required under California law. (*Ibid*). Additionally, this claim was even more uncertain given the difficulties associated with proving the alleged rest period violations, as Defendants were not required to record these breaks. (*Ibid*). Given the lack of records demonstrating rest period violations, there were legitimate concerns Plaintiff would not be able to certify these claims or prove substantial damages with requisite certainty, which made the estimated value of this claim subject to much challenge. (*Ibid*).

Plaintiff also faced challenges certifying and proving liability for her minimum wage and overtime claims. (*Id.*, ¶ 33). These claims were largely based on alleged unrecorded, off-the-clock work performed by the Class Members both before and after their shifts and during meal periods. (*Ibid*). For example, Plaintiff alleged that she and Class Members were required to perform opening and closing duties before their shifts, including arriving 15 minutes before a scheduled shift to prepare the cash register, as well as staying after clocking-out to close the register. (*Ibid*). However, the individualized nature of whether and why this work was performed and the individualized nature of damages presented substantial concerns regarding the manageability of the case and the risk the Court could find these issues prevented certification, and the estimated damages for this claim were based on an assumption that all Class Members worked off the clock for at least one hour per week. (*Ibid*).

Plaintiff also contended that Defendants failed to reimburse Plaintiff and the other Class Members for necessary business-related expenses, such as the usage of personal cell phones and internet service for work-related purposes. (*Id.*, ¶ 34). Defendants contended that they had a policy and practice of

1 reimbursing employees for necessary business-related expenses. (*Ibid*). Defendants further contended
2 that, with respect to the alleged expenses for which Plaintiff and the other Class Members never requested
3 reimbursement from Defendants, they did not know and had no reason to know that alleged business-
4 related expenses had been incurred. (*Ibid*). Defendants further contended that the reason for why a Class
5 Member undertook certain expenses, while not others, and failed to receive reimbursement for certain
6 expenses while not others, would raise individual issues that could not be certified. (*Ibid*).

7 There are also substantial risks associated with Plaintiff's waiting time penalties and wage
8 statement claims. (*Id.*, ¶ 35). First, these claims were derivative of Plaintiff's claims for unpaid meal
9 period premiums, unpaid rest period premiums, unpaid minimum wages, and unpaid overtime wages,
10 and if certification was denied on those underlying claims, these derivative claims for penalties would
11 also likely fail. (*Ibid*). Further, even if Plaintiff prevailed on her underlying claims, she would still be
12 required to demonstrate that Defendants' violations of California Labor Code section 203 were *willful*
13 violations. (Rose Decl., ¶ 35; *See, e.g., Choate v. Celite Corp.*, 215 Cal App. 4th 1460, 1468 (2013)
14 (holding that "an employer's reasonable, good faith belief that wages are not owed may negate a finding
15 of willfulness")). Wage statement claims have also seen varying treatment at the appellate level because
16 such claims have an element of discretion attached to them rather than a pure calculation of damages after
17 liability is proven. (Rose Decl. ¶ 35; *Jaimez v. DAIOHS USA, Inc.*, 181 Cal.App.4th 1286 (2010) *with*
18 *Price v. Starbucks Corp.*, 192 Cal.App.4th 1136 (2011)). Accordingly, these derivative claims, which
19 comprised a substantial portion of Defendants' potential estimated liability, were therefore uncertain.
20 (Rose Decl., ¶ 35).

21 Class Counsel also recognized that, even if Plaintiff prevailed at class certification, proving the
22 amount of wages due to Class Members would be an expensive, time-consuming, and uncertain
23 proposition. (*Id.*, ¶ 36). In order to prove liability and damages, Class Counsel will need to request and
24 analyze thousands of pages of documents, obtain the Class Members' contact information, contact them,
25 and obtain their declarations at great expense. (*Ibid*). Moreover, even if Plaintiff prevailed at class
26 certification and trial, possible appeals would substantially delay any recovery by the Class. (*Ibid*).

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1 Class Counsel also contemplated the risks of proceeding with the PAGA claims and potential
2 liability Defendants could face from these claims as part of the global resolution. (*Id.*, ¶ 37). Existing
3 case law makes PAGA claims subject to the same defenses and risks as Plaintiff's underlying wage
4 claims. (Rose Decl., ¶ 37; see, *Elliot v. Spherion Pac. Work, LLC*, 572 F.Supp.2d 1169, 1181-82 (C.D.
5 Cal. 2008) ("Plaintiff's claim under [PAGA] is wholly dependent upon her other claims. Because all of
6 Plaintiff's other claims fail as a matter of law, so does her PAGA claim")). Class Counsel also anticipated
7 that even if successful on the merits at trial, the imposition of cumulative civil penalties based on the
8 alleged wage and hour violations could be considered unjust, arbitrary and oppressive, or confiscatory,
9 and that Defendants would argue that they made a good faith attempt to comply with their legal
10 obligations warranting a reduction in penalties. (Rose Decl., ¶ 37).¹ Class Counsel also anticipated
11 Defendants would argue that the violations per pay period were low, warranting an additional reduction
12 in civil penalties. (*Ibid.*). Finally, Class Counsel was also mindful of the fact that PAGA is fundamentally
13 not intended to be compensatory in nature but is instead intended to facilitate enforcement of California's
14 labor laws by financing state activities and educating and deterring non-compliance. (*Ibid.*).

15 Accordingly, after considering this multitude of factors, Plaintiff and Class Counsel concluded
16 that it was in the best interest of the State of California and the PAGA Employees to enter into the
17 Settlement, and to allocate One Hundred Twenty Thousand Dollars and Zero Cents (\$120,000.00) of the
18 Gross Settlement Amount towards the PAGA claims, which represents approximately 6.67% of the Gross
19 Settlement Amount. (*Id.*, ¶ 38). This percentage of the settlement is well within the range of wage and
20 hour settlements regularly approved in both state and federal court for cases that include both a class and
21 PAGA action. (*Ibid.*). Typically, such hybrid cases allocate only 1% of the gross settlement value to
22 resolve PAGA claims, and, indeed, settlements allocations as little as 0.22% of the gross settlement
23

24 ¹ See, e.g., *Thurman v. Bayshore Transit Management, Inc.*, 203 Cal.App.4th 1112, 1131 (2012) (imposition of both statutory
25 and civil penalties for same conduct is impermissible double recovery) (disapproved on other grounds); *Aguirre v. Genesis*
26 *Logistics*, 2013 WL 10936035, at *3 (C.D. Cal. 2013) ("duplicative effect" of penalties is a factor in favor of "reducing ...the
27 PAGA penalty"); *Smith v. Lux Retail North America, Inc.*, 2013 WL 2932243, at *3 (N.D. Cal. June 13, 2013) (declining to
28 stack penalties based on the same conduct); *Castillo v. ADT, LLC*, 2017 WL 363108, at *4 (E.D. Cal. Jan. 25, 2017); *Sanchez*
v. McDonalds Restaurants of California, Inc., 2017 WL 4620746 (LA Super. Ct. July 6, 2017) (declining to stack penalties
arising out of the same conduct); *Fleming v. Covidien, Inc.*, 2011 WL 756304718, at *4 (C.D. Cal. Aug. 12, 2011) (reducing
PAGA penalties by 80%); *Cotter v. Lyft, Inc.*, 193 F.Supp.3d 1030 (N.D. Cal. 2016) (indicating that a substantial reduction is
warranted where good faith dispute exists in legal obligations); *Carrington v. Starbucks Corp.*, 30 Cal.App.5th 504, 529 (2018)
(approving reduction in penalties from \$50 per pay period to \$5 per pay period based on good faith attempts to comply with
obligations and minimal violations).

1 amount have been approved as reasonable by courts. (*Ibid*).

2 Therefore, taking into account how each of the above risks potentially effected Plaintiff's ability
3 to first obtain class certification, and then prove the underlying violations, the Court's broad discretion
4 in civil penalties, plus the cost and delay in recovering the alleged potential damages, Class Counsel
5 discounted Defendants' estimated liability based on the challenges facing her ability to succeed on class
6 certification by 65% to \$5,705,190. (*Id.*, ¶ 39). The merits-based risk factors further reduced Defendants'
7 estimated liability by an additional 65%. (*Ibid*). This resulted in an adjusted estimated liability of
8 \$1,996,817. (*Ibid*). In light thereof, the settlement amount of \$1,800,000 is a significant settlement.
9 (*Ibid*).

10 Class Counsel therefore submits that the Settlement is in the best interest of the Class Members,
11 the PAGA Employees, and the State of California and is within the accepted range of recoveries for this
12 type of litigation given the inherent risk of litigation, the risk of obtaining and maintaining class
13 certification, and the costs of further litigation. (*Id.*, ¶ 40).

14 VI. CERTIFICATION FOR SETTLEMENT PURPOSES IS WARRANTED

15 California Code of Civil Procedure Section 382 provides that three basic requirements must be
16 met in order to sustain any class action: (1) there must be an ascertainable class; (2) there must be a well-
17 defined community of interest in the question of law or fact affecting the parties to be represented; and
18 (3) certification will provide substantial benefits to litigants and the courts, i.e., proceeding as a class
19 action is superior to other methods. (*See Dunk*, 48 Cal.App.4th at 1806). Plaintiff contends that the
20 Action, which is based upon Defendants' wage and hour policies and practices, satisfies the class
21 certification requirements under California Code of Civil Procedure section 382. Defendants deny
22 Plaintiff's allegations, but for purposes of the Settlement only, Defendants have stipulated to certification
23 of the Class.

24 A. An Ascertainable Class Exists Which is So Numerous That Joinder of All Members is 25 Impracticable

26 Whether an ascertainable class exists turns on three factors: (1) the class definition, (2) the size of
27 the class, and (3) the means of identifying the class members. (*See Miller v. Woods*, 148 Cal.App.3d 862,
28 873 (1983)). In this case, all three considerations strongly favor class certification. Here, the Class is

1 defined as “all current and former non-exempt or hourly employees who worked for Defendants in the
2 State of California during the Class Period.” (Rose Decl., ¶ 16; Settlement Agreement, ¶ 10.b). This
3 provides a clear and definite scope for the proposed class.

4 Next, the Class is sufficiently numerous. There is no magic number that satisfies the numerosity
5 requirement. Courts have certified classes with as few as ten or twenty-eight class members. (*Rose v.*
6 *City of Hayward*, 126 Cal.App.3d 926, 934 (1981); *Bowles v. Superior Court*, 44 Cal. 2d 574 (1995);
7 *Hebbard v. Colgrove*, 28 Cal.App.3d 1017, 1030 (1972)). Here, the estimated Class size of two hundred
8 and ninety-eight (298) individuals meets the numerosity requirement.

9 Finally, the question of whether class members are easily identifiable turns on whether a plaintiff
10 can establish “the existence of an ascertainable class.” (*Daar v. Yellow Cab Co.*, 67 Cal.2d 695 at 706
11 (1967)). The existence of an ascertainable class in this case can be established through Defendants’
12 payroll records, and the Class definition is sufficiently specific to enable the Parties, Class Members, and
13 the Court to determine the parameters of the Class. (*See Clothesrigger, Inc. v. GTE Corp.*, 191
14 Cal.App.3d 605, 617 (1987)).

15 **B. The Class Shares a Well-Defined Community of Interest**

16 The community of interest requirement embodies three factors: (1) predominant questions of law
17 and fact; (2) class representatives with claims or defenses typical of the class; and (3) class representatives
18 who can adequately represent the class. (*Dunk*, 48 Cal.App.4th at 1806). Here, all three requirements
19 are easily satisfied.

20 The commonality criterion requires the existence of common question of law or fact and is
21 generally established with the issues of predominance and typicality. (*See Daar*, 67 Cal.2d 695, 706).
22 What is required is that a common question of fact or law exists which predominates over issues unique
23 to individual plaintiffs. The existence of individual issues or facts—generally present in any case arising
24 from employment—is not a bar to class certification as long as they do not render class litigation
25 unmanageable or predominate over the common issues. (*See B.W.I. Custom Kitchen v. Owens-Illinois,*
26 *Inc.*, 191 Cal.App.3d 1341, 1354 (1987)).

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1 Here, Plaintiff's claims present common issues of law and fact that predominate and warrant class
2 certification for settlement purposes. Plaintiff alleges that Defendants denied compliant meal and rest
3 periods to employees, required employees to perform work off-the-clock, and failed to fully compensate
4 employees for all time actually worked. These policies and practices meant that Defendants allegedly
5 failed to pay minimum and overtime wages, and other related claims. Plaintiff further alleges that
6 Defendants' policies and practices were uniform as to all Class Members. Thus, class treatment is
7 appropriate.

8 To satisfy the typicality requirement, California law does not require that plaintiffs' claims be
9 identical to the other class members. Rather, the test of typicality for a class representative is whether
10 other members have the same or similar injury, whether the action is based on conduct which is not
11 unique to the named plaintiff, and whether other class members have been injured by the same course of
12 conduct. (*See Seastrom v. Neways, Inc.*, 149 Cal.App.4th 1496, 1502 (2007)). The typicality requirement
13 for a class representative refers to the nature of the claim or defense of the representative, and not to the
14 specific facts from which it arose or the relief sought. (*See Ibid*).

15 Here, Plaintiff alleges that her claims are similar to that of the other Class Members and that
16 Plaintiff's claims arise out of the same alleged course of conduct giving rise to the claims of the other
17 Class Members. Because Plaintiff's claims are based upon the same alleged conduct and business
18 practices as those of Class Members, the typicality requirement has been satisfied.

19 Finally, the question of adequacy of representation "depends on whether the plaintiff's attorney
20 is qualified to conduct the proposed litigation and the plaintiff's interests are not antagonistic to the
21 interests of the class." (*McGee v. Bank of America*, 60 Cal.App.3d 442, 450 (1976)). Here, these
22 considerations are satisfied. Class Counsel is well-regarded and is qualified and experienced in
23 employment-related, class-action litigation. (Rose Decl., ¶¶ 1-8). Plaintiff will vigorously, adequately,
24 and fairly represent the interests of the Class. Plaintiff has, at all times, throughout the course of the
25 litigation considered the interests of the Class and understood that she must take steps to adequately
26 represent the Class and their interests. (Hernandez Decl., ¶ 10). Because Plaintiff's claims are typical of
27 other Class Members and are not based on unique circumstances, there is no antagonism between the
28 interests of Plaintiff and the Class.

1 **C. A Class Action is Superior to a Multiplicity of Litigation**

2 Under the circumstances, proceeding as a class action is a superior means of resolving this dispute,
3 as the Class Members and the Court will derive substantial benefits. Class certification would serve as
4 the only means to deter and redress the alleged violations. (*See Linder v. Thrifty Oil Co.*, 23 Cal.4th 429,
5 434 (2000) (relevant considerations include the probability that each class member will come forward to
6 prove his or her separate claim and whether the class approach would actually serve to deter and redress
7 the alleged wrongdoing)). Further, individual actions arising out of the same operative facts would
8 unduly burden the courts and could result in inconsistent results. Therefore, class action proceedings are
9 superior to individual litigation.

10 **VII. THE REQUESTED ENHANCEMENT PAYMENT IS REASONABLE**

11 Plaintiffs in class action lawsuits are eligible for reasonable incentive payments as compensation
12 “for the expense or risk they have incurred in conferring a benefit on other members of the class.” (*Munoz*
13 *v. BCI Coca-Cola Bottling Co.*, 186 Cal.App.4th 399, 412 (2010)). Courts routinely grant approval of
14 class action settlement agreements containing enhancements for the class representative, which are
15 necessary to provide incentive to represent the class and are appropriate given the benefit the class
16 representatives help to bring about for the class. (*See In re Online DVD Rental*, 779 F.3d 934, 947-948
17 (9th Cir. 2014) (approving incentive award 417 times larger than individual payments where incentive
18 award made up a mere .17% of the settlement); *Gaudin v. Saxon Mortgage Servs., Inc.*, 2015 WL
19 7454183, at *10 (N.D. Cal. Nov. 23, 2015) (finding service award of \$15,000 to be “fair and
20 reasonable”)).

21 Plaintiff initiated this litigation on behalf of her former co-workers who will now be entitled to
22 receive payment from the Settlement. Plaintiff invested substantial time and effort into litigation,
23 including her own research, reviewing documents, and extensive discussions with Class Counsel.
24 (Hernandez Decl., ¶¶ 3-5). Further, the requested amount for Plaintiff is also reasonable given the average
25 award and the benefit gained by other Class Members. For these reasons, Plaintiff requests that an
26 Enhancement Payment of Ten Thousand Dollars and Zero Cents (\$10,000.00) to Plaintiff be preliminarily
27 approved by the Court. (Rose Decl., ¶ 41; Hernandez Decl., ¶ 12).

28 ///

1 **VIII. THE REQUESTED ATTORNEYS' FEES AND COSTS ARE REASONABLE**

2 Trial courts have “wide latitude” in assessing the value of attorneys’ fees and their decisions are
3 “not to be disturbed on appeal absent a manifest abuse of discretion.” (*Lealao v. Beneficial Cal, Inc.*, 82
4 Cal.App.4th 19, 41 (2000)). California law provides that attorney fee awards should be equivalent to fees
5 paid in the legal marketplace to compensate for the result achieved and risk incurred. (*Id.* at 47). In cases
6 where class members present claims against a common fund and the defendant agrees to a percentage of
7 the fund as part of the settlement, use of the percentage method is appropriate. (*Id.* at 32).

8 Here, the requested attorneys’ fees of one-third (1/3) of the Gross Settlement Amount (i.e.,
9 \$600,000.00 if the Gross Settlement Amount is \$1,800,000.00) is disclosed to Class Members in the
10 proposed Class Notice. (Settlement Agreement, Exh. A). The requested fee was freely negotiated, is
11 common in the legal marketplace, and is not opposed by Defendants. The Motion for Final Approval
12 will elaborate on the nature of the legal services provided and will also support Class Counsel’s request
13 for the reimbursement of litigation costs and expenses not to exceed Thirty-Five Thousand Dollars and
14 Zero Cents (\$35,000.00). (Rose Decl., ¶¶ 42 and 44). The Attorneys’ Fees and Costs that are not
15 ultimately approved by the Court shall instead be included in the Net Settlement Amount to be distributed
16 to Settlement Class Members. (Settlement Agreement, ¶ 13).

17 **IX. THE CLASS NOTICE AND THE OPT-OUT AND OBJECTION PROCESS**
18 **MEETS DUE PROCESS REQUIREMENTS**

19 The Parties have jointly drafted the Class Notice, which will be mailed to the Class Members.
20 (*Id.*, Exh. A). The Class Notice will include information regarding the nature of the Action, a summary
21 of the terms of the Settlement, the Class definition, information on how to object to and/or opt-out of the
22 Class Settlement and to dispute Workweeks and/or Pay Periods, and the date of the Final Approval
23 Hearing. (*Ibid*). The Class Notice will list each Class Member’s total Workweeks and their estimated
24 Individual Settlement Share and each PAGA Employee’s total Pay Periods and their estimated Individual
25 PAGA Payment. (*Ibid*).

26 Within fourteen (14) calendar days after entry of the preliminary approval order, Defendants will
27 provide a list in a formatted readable Microsoft Office Excel spreadsheet to the Settlement Administrator
28 containing the following information for each Class Member: full name; last known mailing address;

1 Social Security number; Workweeks during the Class Period; and Pay Periods during the PAGA Period
2 (collectively referred to as the “Class List”). (*Id.*, ¶¶ 10.d and 27). Within fourteen (14) calendar days
3 after receiving the Class List from Defendants, the Settlement Administrator will perform a search based
4 on the National Change of Address Database or other similar services available, such as provided by
5 Experian, for information to update and correct for any known or identifiable address changes, and will
6 mail a Class Notice in English and Spanish to all Class Members via First-Class U.S. Mail, using the
7 most current, known mailing addresses identified by the Settlement Administrator. (*Id.*, ¶ 28.a). The
8 Parties have agreed to use Apex Class Action Administration as the Settlement Administrator. (Rose
9 Decl., ¶ 45; Settlement Agreement, ¶ 10.kk).

10 In determining whether to approve a settlement of a class action, a trial court has virtually
11 complete discretion as to the manner of giving notice to class members, and review on appeal is governed
12 by the abuse of discretion standard. (*See In re Cellphone Fee Termination Cases*, 186 Cal.App.4th 1380
13 (2010)). Here, Class Counsel is not aware of an alternative method of providing notice to the Class which
14 would result in a higher likelihood of actual notice. (Rose Decl., ¶ 46). The original source of the mailing
15 addresses is from Class Members, who provided the information to Defendants. (*Ibid*).

16 Class Members will have forty-five (45) calendar days from the initial mailing of the Class Notice
17 by the Settlement Administrator to submit a Request for Exclusion, Notice of Objection, and/or Dispute
18 (“Response Deadline”). (Settlement Agreement, ¶ 10.jj).

19 Any Class Notice returned to the Settlement Administrator as undeliverable on or before the
20 Response Deadline will be sent promptly via First-Class U.S. Mail to the forwarding address affixed
21 thereto and the Settlement Administrator will indicate the date of such re-mailing on the Class Notice.
22 (*Id.*, ¶ 28.b). If no forwarding address is provided, the Settlement Administrator will promptly attempt
23 to determine a correct address using a skip-trace or other search, using the name, address, and/or Social
24 Security number of the Class Member, and perform a single re-mailing within five (5) calendar days.
25 (*Ibid*). In the event that a Class Notice is re-mailed to a Class Member, the Response Deadline for that
26 Class Member shall be extended fifteen (15) calendar days from the original Response Deadline. (*Id.*, ¶
27 10.jj).

28 ///

1 Class Members will have an opportunity to dispute the number of Workweeks and/or Pay Periods
2 to which they have been credited, as reflected in their respective Class Notices, by submitting a timely
3 and valid letter (“Dispute”) to the Settlement Administrator, by mail, postmarked on or before the
4 Response Deadline. (*Id.*, ¶¶ 10.j and 29). A Dispute must: (a) contain the case name and number of the
5 Action; (b) contain the Class Member’s full name, signature, address, telephone number, and the last four
6 (4) digits of the Class Member’s Social Security number; (c) clearly state that the Class Member disputes
7 the number of Workweeks and/or Pay Periods credited to the Class Member and what the Class Member
8 contends is the correct number; and (d) be returned by mail to the Settlement Administrator at the
9 specified address, postmarked on or before the Response Deadline. (*Id.*, ¶ 10.j).

10 Any Class Member wishing to be excluded from the Class Settlement must submit a timely and
11 valid letter (“Request for Exclusion”) to the Settlement Administrator, by mail, postmarked on or before
12 the Response Deadline. (*Id.*, ¶¶ 10.ii and 30). A Request for Exclusion must: (a) contain the case name
13 and number of the Action; (b) contain the Class Member’s full name, signature, address, telephone
14 number, and last four (4) digits of the Class Member’s Social Security number; (c) clearly state that the
15 Class Member does not wish to be included in the Class Settlement; and (d) be returned by mail to the
16 Settlement Administrator at the specified address, postmarked on or before the Response Deadline. (*Id.*,
17 ¶ 10.ii). Notwithstanding the above, all PAGA Employees will be bound by the PAGA Settlement and
18 will be issued their Individual PAGA Payment, irrespective of whether they submit a Request for
19 Exclusion. (*Id.*, ¶ 30).

20 To object to the Class Settlement, Settlement Class Members must submit a timely and complete
21 written objection (“Notice of Objection”) to the Settlement Administrator, by mail, postmarked on or
22 before the Response Deadline. (*Id.*, ¶¶ 10.w and 31). A Notice of Objection must: (a) contain the case
23 name and number of the Action; (b) contain the objector’s full name, signature, address, telephone
24 number, and the last four (4) digits of the objector’s Social Security number; (c) contain a written
25 statement of all grounds for the objection accompanied by any legal support for such objection; (d)
26 contain copies of any papers, briefs, or other documents upon which the objection is based; and (e) be
27 returned by mail to the Settlement Administrator at the specified address, postmarked on or before the
28 Response Deadline. (*Id.*, ¶ 10.w). Settlement Class Members, individually or through counsel, may also

1 present their objection orally at the Final Approval Hearing, regardless of whether they have submitted a
2 Notice of Objection. (*Id.*, ¶ 31).

3 **X. CONCLUSION**

4 For all of the foregoing reasons, Plaintiff respectfully requests that this Court grant Plaintiff's
5 Motion for Preliminary Approval of Class Action and PAGA Settlement and the relief requested herein.

6 Respectfully submitted,

7 Dated: July 9, 2026

BLACKSTONE LAW, APC

8
9 By:



Alexandra Rose
Attorneys for Plaintiff Josephine Hernandez