



NOTICE OF LABOR CODE VIOLATIONS - PAGA

(Labor Code § 2699.3)

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RECIPIENTS California Labor and Workforce Development Agency
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**SUBJECT: CARLOS DANILO LIMA RIVERA v. CAL TECH PRECISION, INC. —
NOTICE OF LABOR CODE VIOLATIONS UNDER CAL. LABOR CODE §2699.3**

To LWDA and to Employer:

This office represents Carlos Danilo Lima Rivera (“Mr. Lima” or Complainant) with respect to his claims against Cal Tech Precision, Inc. (“Cal Tech”) (referred to as “Employer”) for violations of the California Labor Code and IWC Wage Orders. This letter is being sent to Employer by certified mail.

Cal Tech Precision, Inc. is a California corporation doing business in the State of California. Employers employed Mr. Lima from approximately August 2019 to about October 10, 2025. During his employment with Employers, Mr. Lima was employed as a “Quality Control Inspector”. Mr. Lima performed his job duties at Employer’s main location, located at 1830 N. Lemon St., Anaheim, California 92801.

Mr. Lima intends to seek penalties for violations of the California Labor Code which are recoverable under California Labor Code section 2698, et seq., the Private Attorneys General Act of 2004 (“PAGA”). Mr. Lima is seeking penalties on behalf of himself and in a representative capacity on behalf of the State of California and all current and former non-exempt hourly employees of any of the Employers working within California holding various positions who experienced at least one or more of the same violations as Complainant as set forth in this notice. (“Aggrieved Employees”). This letter is being sent in compliance with California Labor Code section 2699.3.

As detailed below, Employer violated several of California’s wage and hour laws during Complainant’s employment, including but not limited to violations of California Labor Code sections 201, 202, 203, 204, 226(a), 226.7, 227.3, 510, 512(a), 1174(d), 1194, 1197, 1197.1, 1198, 2800, 2802, California Code of Regulations, Title 8, section 3395, the applicable Industrial Welfare Commission Wage Orders (“IWC Wage Order”) and 13(b) of the applicable Industrial Welfare Commission Wage

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Order. The claims made on behalf of Complainant and Aggrieved Employees are based upon the following facts and theories:

1. FAILURE TO PAY FOR ALL TIME WORKED AT CORRECT RATES OF PAY, INCLUDING MINIMUM WAGES, STRAIGHT TIME WAGES, AND OVERTIME COMPENSATION

Employer has engaged in numerous unlawful practices which resulted in the failure to pay Complainant and Aggrieved Employees for all time worked at the correct rates of pay, including minimum wages, straight time wages, and overtime compensation. Complainant and Aggrieved Employees received non-discretionary compensation entitled “bonus” on their wage statements that was not included in the calculation of their regular rate of pay. This deprived Complainant and Aggrieved Employees of all compensable wages they were owed.

First, Employer regularly required Complainant and Aggrieved Employees to work off-the-clock in order to complete their duties and responsibilities. This off-the-clock work included, amongst other things, requiring Complainant and Aggrieved Employees to: (1) respond to Employer and work-related requests and perform work while clocked out for purported meal breaks; (2) perform work before clocking in for the start of their shift; and (3) perform work after clocking out for the end of their shift. Complainant and Aggrieved Employees were not compensated for this off-the-clock work, resulting in a failure to pay minimum wages and straight time wages. Specifically, after clocking out, Complainant would often be required to return to work to finish tasks on his computer or answer work related questions without compensation for his time. Complainant and Aggrieved Employees were not paid for this off-the-clock work.

Employer also failed to accurately record the actual time worked by Complainant and Aggrieved Employees. Complainant is informed and believes that Employer unlawfully adjusted Complainant and Aggrieved Employees’ time records such that the time records do not accurately reflect the start and end times of their shifts. Finally, and as a matter of policy and/or practice, Employer failed to pay overtime to Complainant and Aggrieved Employees for all overtime hours worked based on regular rates of pay correctly calculated to include all applicable remuneration and all compensable work time.

As a result of the foregoing practices, Employer has failed to pay Complainant and Aggrieved Employees all owed wages for all wages earned at the correct rates of pay, including minimum wages, straight time wages, and overtime compensation. Accordingly, Employer violated the applicable IWC Wage Order and California Labor Code sections 204, 510, 1194, 1197, 1197.1 and 1198.

Complainant and Aggrieved Employees will therefore seek PAGA default penalties; penalties pursuant to paragraph (20)(A) of the applicable IWC Wage Order; penalties pursuant to California Labor Code sections 558, 1197.1, and 1199; and attorneys’ fees and costs.

2. FAILURE TO PROVIDE MEAL PERIODS AND PAY MEAL PERIOD PREMIUMS

Employer required Complainant and Aggrieved Employees to work more than five (5) hours per day but were not provided with uninterrupted 30-minute meal periods. Further, Complainant and Aggrieved Employees were not provided with a second uninterrupted 30-minute meal period when

they worked over ten (10) hours per day. Employer regularly required Complainant and Aggrieved Employees to work through their first and second meal periods, to take their first meal period after their fifth (5th) hour of work, and/or to take their second meal periods after their tenth (10th) hour of work. Specifically, Complainant was required to follow a bell system that signaled the start and end of his meal breaks, however, his meal breaks would often be missed, interrupted, or cut short because his supervisors would often ask him to finish tasks before going on his meal break, ask him work related questions, or asked him to complete inspections or to generate inspection reports.

Employer's policies, practices, and procedures were responsible for the violations alleged above and prevented Complainant and Aggrieved Employees from taking timely, complete and duty-free meal periods because, amongst other reasons: (1) Employer did not have legally-compliant policies regarding the provision and timing of meal periods or systematically disregarded its own purported meal period policies; (2) Employer's management at various levels, affecting all non-exempt employees, failed and refused to implement and enforce legally compliant meal period policies and practices; (3) Employer failed to adequately inform and train Complainant and other Aggrieved Employees regarding their right to take timely, uninterrupted, and duty-free meal periods; (4) Employer failed to adequately inform and train Complainant and other Aggrieved Employees about their right to premium wages for non-compliant meal periods; (5) Employer failed to ensure that there was adequate staffing to allow Complainant and other Aggrieved Employees to take timely, uninterrupted, and duty-free meal periods; and (6) Employer's policy and culture prevented Complainant and other Aggrieved Employees from taking timely, uninterrupted, and duty-free meal periods because of the priority placed on completing job requirements over employees' right to receive timely, uninterrupted, and duty-free meal periods.

Accordingly, Employer violated of the applicable IWC Wage Order and California Labor Code sections 226.7(a), 512(a) and 1198.

Complainant and Aggrieved Employees will therefore seek PAGA default penalties pursuant to Labor Code section 2699; penalties of the applicable IWC Wage Order; penalties pursuant to California Labor Code California Labor Code sections 558 and 1199; and attorneys' fees and costs.

3. FAILURE TO AUTHORIZE AND PERMIT REST PERIODS AND PAY REST PERIOD PREMIUMS

Employer failed to authorize and permit Complainant and Aggrieved Employees to take legally compliant 10-minute periods. Employer required Complainant and Aggrieved Employees to work through rest periods. Complainant and Aggrieved Employees were not provided with uninterrupted, duty-free rest periods when they worked more than four (4) hours or a major fraction thereof. Further, Complainant and Aggrieved Employees were not provided with a second uninterrupted, duty-free rest period when they worked more than six (6) hours in a day, or a third uninterrupted, duty-free rest period after working more than ten (10) hours in day. In the rare event that a rest period was taken, it was frequently interrupted or cut short. Specifically, Employer required Complainant to follow a bell system that signaled the start and end of his rest breaks, however, he would often be required to complete tasks that could not be left unfinished, thereby preventing him from taking uninterrupted, duty-free rest periods. Moreover, Complainant and Aggrieved Employees were never completely relieved of duty as required by *Augustus v. ABM Security Services, Inc.*, 2 Cal.5th 257 (2016).

Employer's policies, practices, and procedures were responsible for the violations alleged above and prevented Complainant and other Aggrieved Employees from taking timely and complete rest periods because, amongst other reasons: (1) Employer did not have legally-compliant policies regarding the provision and timing of rest periods or systematically disregarded its own purported rest period policies; (2) Employer's management at various levels, affecting all hourly-non-exempt employees, failed and refused to implement and enforce legally compliant rest period policies and practices; (3) Employer failed to adequately inform and train Complainant and other Aggrieved Employees regarding their right to take timely, uninterrupted, and duty-free rest periods; (4) Employer failed to adequately inform and train Complainant and other Aggrieved Employees about their right to premium wages for non-compliant rest periods; (5) Employer failed to ensure that there was adequate staffing to allow Complainant and other Aggrieved Employees to take timely, uninterrupted, and duty-free rest periods; (6) Employer required Complainant and other Aggrieved Employees to remain on-call and respond to work-related requests at all times, including during rest periods, which included responding to in person verbal interruptions; and (7) Employer's policy and culture prevented Complainant and other Aggrieved Employees from taking timely, uninterrupted, and duty-free rest periods because of the priority placed on completing job requirements over employees' right to receive rest periods.

Complainant and Aggrieved Employees did not receive an extra hour of wages at their regular rate of pay for the rest periods which were not provided to them in compliance with California law.

Accordingly, Employer violated the applicable IWC Wage Order and California Labor Code sections 226.7(a), and 1198.

Complainant and Aggrieved Employees will therefore seek PAGA default penalties pursuant to Labor Code section 2699; penalties pursuant to the applicable IWC Wage Order; California Labor Code sections 558 and 1199 penalties; and attorneys' fees and costs.

4. FAILURE TO TIMELY PAY WAGES DURING EMPLOYMENT

As to each pay period, Employer has failed to timely pay all wages earned because Complainant and Aggrieved Employees were not paid all the wages they were owed including, *inter alia*, overtime compensation, straight time wages, and meal and rest period premiums at the correct rate of pay. Accordingly, Employer violated California Labor Code section 204(a).

Complainant and Aggrieved Employees will therefore seek PAGA default penalties pursuant to Labor Code section 2699; penalties pursuant to California Labor Code sections 210 and 1199; and attorneys' fees and costs.

5. FAILURE TO PAY ALL WAGES DUE TO DISCHARGED AND QUITTING EMPLOYEES/WAITING TIME PENALTIES

Employer has failed to timely pay all wages earned either at the time Complainant and Aggrieved Employees were terminated or resigned because Complainant and Aggrieved Employees were not paid all the wages they were owed including, *inter alia*, overtime compensation, straight time wages,

and meal and rest period premiums at the correct rate of pay. Accordingly, Employer violated California Labor Code section 201(a), 202(a) and 203(a).

Complainant and Aggrieved Employees will therefore seek PAGA default penalties pursuant to Labor Code section 2699; penalties pursuant to California Labor Code sections 210 and 1199; and attorneys' fees and costs.

6. FAILURE TO PROVIDE ACCURATE ITEMIZED WAGE STATEMENTS

As a result of the practices described above, the wage statements provided to Complainant and Aggrieved Employees did not include the actual hours worked and the correct amount of wages owed, as the time worked was either not recorded, detrimentally rounded, did not include the correct name and address of the legal employing entity, and the correct rates of pay. Accordingly, Employer violated California Labor Code section 226(a).

Complainant and Aggrieved Employees will therefore seek PAGA default penalties; penalties pursuant to California Labor Code section 226.3; and attorneys' fees and costs.

7. FAILURE TO MAINTAIN ACCURATE RECORDS

Employer has failed to maintain accurate records relating to Complainant and Aggrieved Employees' work periods, meal periods, total daily hours worked, and total hours worked per payroll period. Accordingly, Employer violated the applicable IWC Wage Order and California Labor Code sections 1198.5 and 1174(d).

Complainant and Aggrieved Employees will therefore seek PAGA default penalties pursuant to Labor Code section 2699; penalties pursuant the applicable IWC Wage Order; California Labor Code section 1174.5 penalties; and attorneys' fees and costs.

8. FAILURE TO REIMBURSE NECESSARY EXPENDITURES

California Labor Code sections 2800 and 2802 require a Employers to reimburse its employees for all necessary expenditures incurred by the employee in direct consequence of the discharge of his or her job duties or in direct consequence of his or her obedience to the directions of the employers. IWC Wage Order requires employers to provide employees with the tools or equipment necessary for the performance of their job. During the relevant time period, Complainant and Aggrieved Employees incurred necessary business-related expenses and costs that were not fully reimbursed by Employers. These costs include but are not limited to the use of Complainant and Aggrieved Employees' use of their personal cell phones to communicate with supervisors and colleagues regarding job duties and for other work-related purposes. Specifically, Complainant was required to be part of a group chat to send photos of helicopter parts to his Supervisor and the Director of Quality Control. Complainant was not reimbursed for the use of his personal cell phone or cellular data plan.

Accordingly, Employers violated IWC Order and California Labor Code sections 2800 and 2802.

Complainant and Aggrieved Employees will therefore seek PAGA default penalties pursuant to Labor Code section 2699; penalties pursuant to paragraph (20)(A) of IWC Wage Order; and attorneys' fees and costs.

9. FAILURE TO PAY VACATION TIME

Pursuant to California Labor Code section 227.3, “. . . whenever a contract of employment or Employer’s policy provides for paid vacations, and an employee is terminated without having taken off his vested vacation time, all vested vacation shall be paid to him as wages at his final rate in accordance with such contract of employment or Employer’s policy respecting eligibility or time served.” During the relevant time period, Employer failed to pay Complainant and other Aggrieved Employees for all earned and unused and accrued vacation time at their final rate of pay at the time of termination of their employment. Accordingly, Employers have violated California Labor Code section 227.3.

Complainant and Aggrieved Employees will therefore seek PAGA default penalties pursuant to Labor Code section 2699; California Labor Code section 227 penalties; and attorneys’ fees and costs.

10. FAILURE TO PAY SICK TIME

Pursuant to California Labor Code section 246(l), all sick pay must be paid at the regular rate of pay. Employer has failed to pay Complainant and Aggrieved Employees their sick pay at the correct rate of pay. Accordingly, Employer has violated California Labor Code section 246(l).

Complainant and Aggrieved Employees will therefore seek PAGA penalties pursuant to Labor Code section 2699; California Labor Code section 227 penalties; relief under California Labor Code 248.5, and attorneys’ fees and costs.

11. FAILURE TO PROVIDE PREVENTATIVE COOL-DOWN RECOVERY PERIODS

Employer required Complainant and Aggrieved Employees to work indoors in extreme weather conditions and were not provided with preventative cool-down rest periods to prevent overheating and heat illness. Further, Complainant and Aggrieved Employees were not provided with water, shade, or access to first aid. Employers regularly required Complainant and Aggrieved Employees to work through extreme heat without allowing at least a 5-minute cool-down period every two hours as needed, or a minimum of 10-minute preventative rest period when the temperatures reach 95 degrees or above. When a compliant cool down recovery period was not provided, a wage premium was not paid to Aggrieved Employees.

Employer’s policies, practices, and procedures were responsible for the violations alleged above and prevented Complainant and Aggrieved Employees from taking cool-down rest periods to prevent overheating because, amongst other reasons: (1) Employer did not have legally-compliant policies regarding the provision and timing of preventative cool-down rest period of no less than five (5) minutes at a time when needed; (2) Employer’s management at various levels, affecting all non-exempt employees, failed and refused to implement and enforce legally compliant preventative cool-down rest periods; (3) Employer failed to adequately inform and train Complainant and other Aggrieved Employees regarding their right to take timely, uninterrupted, and duty-free preventative cool-down rest periods; (4) Employer failed to adequately inform and train Complainant and other Aggrieved Employees about their right to premium wages for non-compliant preventative cool-down rest periods; (5) Employer failed to provide water, shade, cool-down rest periods, and access to first

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aid; and (6) Employer's policy and culture prevented Complainant and other Aggrieved Employees from taking preventative cool-down rest periods because of the priority placed on completing job requirements over employees' right to receive access to water, shade, cool-down rest periods, and access to first aid.

12. FAILURE TO PROVIDE POTABLE DRINKING WATER

California Labor Code §2441 requires employers to provide fresh, free, and pure drinking water for employees, at reasonable and convenient times and places. 8 CCR §3395 requires the provision of "potable drinking water meeting the requirements of §§ 1524, 3363, and 3457, as applicable, including but not limited to the requirements that it be fresh, pure, suitably cool, and provided to employees free of charge." 8 CCR § 3457(c) further requires the provision of potable drinking water and single-use cups.

Employer did not provide employees with sufficient water and single use cups at worksites. For example, Complainant and Aggrieved Employees consistently purchased and brought their own water to work and were not provided with single use containers.

13. FAILURE TO PROVIDE SUITABLE RESTING FACILITIES

Per section 13 of all applicable IWC Wage Orders, employees shall be provided with suitable resting facilities. Section 13(B) of the Wage Orders provides: "Suitable resting facilities shall be provided in an area separate from the toilet rooms and shall be available to employee during work hours."

Employer did not provide resting facilities or bathrooms. Employer could have provided employees with a resting facility, or at minimum a portable toilet, but instead denied and continue to deny employees with suitable areas to rest. As a result, employees were forced to adjust to areas not conducive to resting. Employer did not provide employees with suitable resting facilities during their hours of work, particularly during meal and/or rest periods. Employer's failure to provide suitable resting facilities to aggrieved employees violated and continues to violate the applicable Wage Order, section 13(8) and the California Labor Code, including but not limited to section 1198

Sincerely,

A handwritten signature in blue ink that reads "Justin Lo". The signature is written in a cursive, flowing style.

JUSTIN LO, ESQ.

Notice to Employers via Certified Mail

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Agent for Service of Process,
C/O Guy Haarlammert
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