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13 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**

14 **IN AND FOR THE COUNTY OF ALAMEDA**

15 JOHNATHAN VIGIL and TAMARA
16 CASTEEL, individuals, on behalf of
17 themselves and all persons similarly situated,
18 and on behalf of the State of California, as
19 private attorney genera,

20 Plaintiffs,

21 vs.

22 ALASKA AIRLINES, INC., a corporation;
23 and DOES 1 through 50, inclusive,

24 Defendants.

CASE NO.: **24CV060637**

**MEMORANDUM OF POINTS AND
AUTHORITIES IN SUPPORT OF
UNOPPOSED MOTION FOR
PRELIMINARY APPROVAL OF CLASS
AND REPRESENTATIVE ACTION
SETTLEMENT**

Hearing Date: February 27, 2025

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Dept.: 15

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1 **I. INTRODUCTION**

2 Plaintiffs Johnathan Vigil and Tamara Casteel (“Plaintiffs”) respectfully submit this
3 memorandum in support of the unopposed motion for preliminary approval of the proposed class and
4 representative action settlement with Defendant Alaska Airlines, Inc. (“Defendant”), and seek entry of
5 an order: (1) preliminarily approving the Class Action and Private Attorneys General Act Settlement
6 Agreement (“Agreement”); (2) for settlement purposes only, conditionally certifying the Class, which
7 is comprised of “Flight Attendants employed by Alaska Airlines, Inc. and based in the state of
8 California at any time during the Class Period”, which is October 3, 2019 through November 21, 2024;
9 (3) provisionally appointing Plaintiffs as the Class and PAGA representatives for settlement purposes
10 only; (4) provisionally appointing Norman B. Blumenthal, Kyle R. Nordrehaug, Aparajit Bhowmik,
11 Nicholas De Blouw, Andrew Ronan, Christine T. LeVu and Victoria Rivapalacio of Blumenthal
12 Nordrehaug Bhowmik De Blouw LLP as Class Counsel; (5) approving the form and method for
13 providing class-wide notice; (6) directing that notice of the proposed settlement be given to the class;
14 (7) provisionally appointing and approving ILYM Group, Inc. as Administrator, and (8) scheduling a
15 final approval hearing date, proposed for July 31, 2025, which is at least 120 days from preliminary
16 approval, to consider Plaintiffs’ motion for final approval of the settlement and for approval of
17 attorneys’ fees and expenses.

18 Plaintiff and Defendant (collectively the “Parties”) have reached a full and final settlement of
19 the above-captioned action, which is embodied in the Agreement filed concurrently with the Court.¹
20 A copy of the fully executed Agreement is attached as Exhibit #1 to the Declaration of Kyle Nordrehaug
21 (“Decl. Nordrehaug”), served and filed herewith. The form of the Agreement is based upon the Los
22 Angeles County Superior Court model form for a class and PAGA settlement. Plaintiffs now seek
23 preliminary approval of the Agreement, which will resolve the litigation in its entirety.

24 As consideration for this Settlement, the non-reversionary Gross Settlement Amount of Four
25 Million Five Hundred Thousand Dollars (\$4,500,000) (the “Gross Settlement Amount”) is to be paid
26 by Defendant, as set forth in the Agreement. The Gross Settlement Amount will settle all issues
27

28 ¹ Capitalized terms shall have the same meaning as defined in the Agreement.

1 pending in the Actions between the Parties and will be made in full and final settlement of the Released
2 Class Claims and Released PAGA Claims in exchange for the Individual PAGA Payments to
3 Participating Class Members from the Net Settlement Amount, Individual PAGA Payments to
4 Aggrieved Employees, and also includes (a) the costs of administration of the settlement, (b) all
5 attorneys' fees and costs, (c) Class Representative Service Payments, and (d) the PAGA Penalties
6 payment allocated 75% to the LWDA and 25% to the Aggrieved Employees. (Agreement at ¶ 1.24.)
7 Decl. Nordrehaug at ¶3. The following is a table of the key financial terms of the Settlement and the
8 proposed deductions:

9 **\$4,500,000 (Gross Settlement Amount)**

- 10 - \$20,000 (Plaintiffs' proposed Class Representative Service Payments not to exceed
\$10,000 each)
11 - \$45,000 (Class Counsel Litigation Expenses Payment - not to exceed amount)
12 - \$1,500,000 (Class Counsel Fees Payment - not to exceed 1/3 of settlement)
- \$100,000 (PAGA Payment - 75% to LWDA / 25% to Aggrieved Employees)
- \$30,350 (Administration Expenses Payment - not to exceed amount)

13 **\$2,804,650 (Net Settlement Amount)**

14 Based upon 3,986 Class Members who collectively worked 357,707 Workweeks, the Gross Settlement
15 Amount provides an average value of \$1,128.95 per Class Member and \$12.58 per Workweek and after
16 deductions the Net Settlement Amount provides an average recovery of \$703.62 per Class Member and
17 a recovery of \$7.84 per Workweek. Decl. Nordrehaug at ¶6.

18 On August 23, 2024, the Parties participated in an all-day mediation session presided over Hon.
19 James Otero (ret.), a respected jurist and experienced mediator of wage and hour class actions.
20 Following the mediation, the Parties agreed on the basic terms of a settlement pursuant to a mediator's
21 proposal which was memorialized in the form of a Memorandum of Understanding. Decl. Nordrehaug
22 at ¶5. A presumption of fairness exists as: (1) the Settlement was reached through extensive, mediated
23 arm's-length negotiations; (2) sufficient investigation and discovery was conducted, which allowed
24 Class Counsel to act intelligently; and (3) Class Counsel are experienced in similar wage-and-hour class
25 litigation. Additionally, preliminary approval is appropriate as the Settlement is fair, reasonable and
26 adequate, and should be preliminarily approved because there is a substantial monetary payment, and
27 there are significant litigation and class-certification risks. Therefore, Plaintiffs respectfully request that
28 this Court grant preliminary approval of the Agreement and enter the proposed order submitted

herewith.

II. DESCRIPTION OF THE SETTLEMENT

The Gross Settlement Amount is Four Million Five Hundred Thousand Dollars (\$4,500,000). (Agreement at ¶ 1.24.) Under the Settlement, the Gross Settlement Amount consists of the following elements: (1) payment of the Individual Class Payments to the Participating Class Members; (2) Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment; (3) Administration Expenses Payment; (4) the Class Representative Service Payments to the Plaintiffs; and (5) the PAGA Penalties payment which is allocated between the LWDA PAGA Payment and the Individual PAGA Payments. (Agreement at ¶ 1.24.) The Gross Settlement Amount does not include Defendant's share of employer-side payroll taxes. (Agreement at ¶ 3.1.) The Gross Settlement Amount shall be all-in with no reversion to Defendant. (Agreement at ¶ 3.1.) Decl. Nordrehaug at ¶15.

Within 30 days of the Effective Date, Defendant shall deposit the Gross Settlement Amount with the Administrator. (Agreement at ¶4.3.) The distribution of Individual Class Payments to Participating Class Members along with the other Court-approved distributions shall be made by the Administrator within fourteen (14) days after Defendant funds the Gross Settlement Amount. (Agreement at ¶ 5.1.) Decl. Nordrehaug at ¶16.

The amount remaining in the Gross Settlement Amount after the deduction of Court-approved amounts for Individual PAGA Payments, the LWDA PAGA Payment, the Class Representative Service Payments, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, and the Administration Expenses Payment (called the "Net Settlement Amount") shall be allocated to Class Members as their Individual Class Payments. (Agreement at ¶¶ 1.30 and 3.2.) From the Net Settlement Amount, the Individual Class Payment for each Participating Class Member will be calculated by (a) dividing the Net Settlement Amount by the aggregate total number of months worked in which the Participating Class Members were based in California during the Class Period and had a flight assignment or unassigned reserve period ("Class Work Months"), and (b) multiplying that result by the total number of months worked in which the individual Participating Class Member was based in California during the Class Period and had a flight assignment or unassigned reserve period, less applicable withholdings. (Agreement at ¶ 3.2(e).) Class Work Months and PAGA Pay Periods will be

1 based on Defendant's records, however, Class Members will have the right to challenge the number of
2 Class Work Months and PAGA Pay Periods. Decl. Nordrehaug at ¶17.

3 Class Members may choose to opt-out of the Settlement by following the directions in the Class
4 Notice. (Agreement at ¶ 8.5, Ex. A.) All Class Members who do not "opt out" will be deemed
5 Participating Class Members who will be bound by the Settlement and will be entitled to receive an
6 Individual Class Payment. (Agreement at ¶ 8.5(c).) All Aggrieved Employees, including those who
7 submit an opt-out request, will still be paid their allocation of the PAGA Penalties and will remain
8 subject to the release of the Released PAGA Claims regardless of their request for exclusion.
9 (Agreement at ¶¶ 6.3 and 8.5(d).) Finally, the Class Notice will advise the Class Members of their right
10 to object to the Settlement and/or dispute their Class Work Months and PAGA Pay Periods.
11 (Agreement at ¶¶ 8.6 and 8.7, Ex. A.) Decl. Nordrehaug at ¶18.

12 A Participating Class Member must cash his or her Individual Class Payment check within 180
13 days after it is mailed. (Agreement at ¶ 5.2.) Any settlement checks not cashed within 180 days will
14 be voided and any funds represented by such checks sent to the California Controller's Unclaimed
15 Property Fund in the name of the Class Member thereby leaving no "unpaid residue" subject to the
16 requirements of C.C.P. § 384(b). (Agreement at ¶ 5.4.) Decl. Nordrehaug at ¶19.

17 Subject to Court approval, the Parties have agreed on ILYM Group, Inc. to administer the
18 Settlement ("Administrator"). (Agreement at ¶ 1.2.) The Administrator will be paid for settlement
19 administration in an amount not to exceed \$30,350. (Agreement at ¶ 3.2(c).) ILYM Group provided
20 an estimate of \$27,550 for administration expenses. Decl. Nordrehaug at ¶20.

21 Subject to Court approval, the Agreement provides for Class Counsel to be awarded a sum not
22 to exceed one-third of the Gross Settlement Amount, as the Class Counsel Fees Payment. (Agreement
23 at ¶ 3.2(b).) Class Counsel will also be allowed to apply separately for an award of Class Counsel
24 Litigation Expenses Payment in an amount not to exceed \$45,000. (Agreement at ¶ 3.2(b).) Subject
25 to Court approval, the Agreement provides for a payment of no more than \$10,000 each to the Plaintiffs
26 as their Class Representative Service Payments. (Agreement at ¶ 3.2(a).) Decl. Nordrehaug at ¶21.

27 Subject to Court approval, the PAGA Penalties will be paid from the Gross Settlement Amount
28 for PAGA penalties under the California Private Attorneys General Act, Cal. Labor Code Section 2698,

1 *et seq.* (“PAGA”). The PAGA Penalties are \$100,000. (Agreement at ¶¶ 1.37 and 3.2(d).) Pursuant
2 to the express requirements of Labor Code § 2699(i), the PAGA Payment shall be allocated as follows:
3 75% shall be allocated to the Labor Workforce Development Agency ("LWDA") as its share of the civil
4 penalties and 25% allocated to the Individual PAGA Payments to be distributed to the Aggrieved
5 Employees based on the number of months in which the Aggrieved Employee was based in California
6 during the PAGA Period and had a flight assignment or unassigned reserve period, compared to the
7 aggregate total number of months in which all Aggrieved Employees were based in California during
8 the PAGA Period and had a flight assignment or unassigned reserve period (“PAGA Pay Periods”).
9 (Agreement at ¶ 3.2(d).) As set forth in the accompanying proof of service, the LWDA has been served
10 with this motion and the Agreement. Decl. Nordrehaug at ¶22.

11 The Effective Date of the Settlement is the date when all of the following have occurred: (a) the
12 Court has conducted the Final Settlement Approval Hearing and fully and finally approved all material
13 terms of this Agreement; (b) the Court enters a Final Approval Order granting final approval of the
14 Agreement and timely notice and any other required items have been given to the LWDA; (c) a
15 Judgment has been entered in the State Action, and the time period to appeal the Final Approval Order
16 and Judgment has been exhausted without any appeals having been filed; (d) the Federal Action is
17 dismissed with prejudice as to Plaintiff Vigil and dismissed without prejudice as to everyone else as
18 described in Section 11.6 of the Agreement; and (e) to the extent any timely appeal was filed, all such
19 appeals have been voluntarily or involuntarily dismissed, or the appropriate appellate court or the
20 appellate courts have entered a final judgment affirming the Final Approval Order without material
21 modification and the final judgment is no longer subject to any further appellate challenge or procedure.
22 (Agreement at ¶ 1.20.)

23 **III. CASE BACKGROUND**

24 On October 3, 2023, Plaintiff filed a putative class action in Alameda Superior Court against
25 Defendant for eight causes of action: (1) unfair competition in violation of the California Business and
26 Professions Code § 17200, *et seq.*; (2) failure to pay minimum wages; (3) failure to pay overtime wages;
27 (4) failure to provide meal periods; (5) failure to provide rest breaks, (6) failure to provide accurate
28 itemized wage statements; (7) failure to reimburse business expenses; and (8) waiting time penalties

1 for failure to pay all wages at termination, including sick pay wages (hereinafter the "Federal Action").
2 Defendant timely removed the case to the United States District Court for the Northern District of
3 California, and vigorously litigated the Federal Action - opposing a motion to remand and filing
4 multiple motions to dismiss. The Federal Action is currently stayed pending approval of the Settlement.
5 See *Vigil v. Alaska Airlines, Inc.*, No. 4:23-cv-5701-YGR (N.D. Cal. Dec. 30, 2024), Dkt. No. 46
6 (Order Granting Joint Stipulation to Maintain Stay).

7 On January 19, 2024 Plaintiff filed a PAGA-only complaint in this Court (the "State Action").
8 On May 9, 2024, the Court stayed the State Action. Decl. Nordrehaug at ¶¶ 7-8. The Parties engaged
9 in thorough investigation and the exchange of documents and information in connection with the Action
10 for more than a year which permitted Class Counsel to perform a thorough analysis of the claims. Decl.
11 Nordrehaug, ¶¶ 10 and 14. The Parties participated in mediation on August 23, 2024 with Hon. James
12 Otero (ret.), which after arms' length negotiations, resulted in this Settlement. Decl. Nordrehaug, ¶12.
13 Following mediation, and pursuant to the Settlement, the Parties filed a First Amended Complaint (the
14 "Operative Complaint") in this Court that consolidated the causes of actions in the two actions. Decl.
15 Nordrehaug, ¶9.

16 **IV. THE SETTLEMENT MEETS THE CRITERIA NECESSARY FOR THIS COURT TO**
17 **GRANT PRELIMINARY APPROVAL**

18 California "[p]ublic policy generally favors the compromise of complex class action litigation."
19 *Nordstrom Comm'n Cases*, 186 Cal. App. 4th 576, 581 (2010) quoting *Cellphone Termination Fee*
20 *Cases*, 180 Cal.App.4th 1110, 1117-18 (2009). Class action settlements are approved where the
21 proposed settlement is "fair, adequate and reasonable." *Dunk v. Ford Motor Co.*, 48 Cal.App.4th 1794,
22 1801 (1996) (citing *Officers for Justice v. Civil Serv. Comm'n*, 688 F.2d 615, 625 (9th Cir. 1982), cert.
23 denied, 459 U.S. 1217 (1983)).

24 Preliminary approval is the first of three steps that comprise the approval procedure for
25 settlements of class actions. The second step is the dissemination of notice of the settlement to all class
26 members. The third step is a final settlement approval hearing, at which evidence and argument
27 concerning the fairness, adequacy, and reasonableness of the settlement may be presented, and class
28 members may be heard regarding the settlement. See *Dunk v. Ford Motor Co.*, 48 Cal.App.4th 1794,

1 1801 (1996); *Manual for Complex Litigation, Second* §30.44 (1993); Cal. Rules of Court, rule 3.769.

2 The primary question presented on an application for preliminary approval of a proposed class
3 action settlement is whether the proposed settlement is “within the range of possible approval.” *Manual*
4 *for Complex Litigation, Second* §30.44 at 229; *Gautreaux v. Pierce*, 690 F.2d 616, 621 n.3 (7th Cir.
5 1982).² Preliminary approval is merely the prerequisite to giving notice so that “the proposed
6 settlement... may be submitted to members of the prospective Class for their acceptance or rejection.”
7 *Sayaman v. Baxter Healthcare Corp.*, 2010 U.S. Dist. LEXIS 151997, *3 (C.D. Cal. 2010). There is
8 “a presumption of fairness . . . where . . . [a] settlement is reached through arms-length bargaining.”
9 *Wershba v. Apple Computer, Inc.*, 91 Cal.App.4th 224, 245 (2001) (citation omitted); see also *Cho v.*
10 *Seagate Tech. Holdings, Inc.*, 177 Cal. App. 4th 734, 742-45 (2009) (upholding trial court's
11 determination that settlement was “fair, reasonable and adequate” where the settlement “provided
12 valuable benefits to the class . . . that were ‘particularly valuable in light of the risks plaintiff would have
13 faced if she proceeded to litigate her case.’”); *Newberg*, 3d Ed., §11.41, p.11-88. However, the ultimate
14 question of whether the proposed settlement is fair, reasonable and adequate is made after notice of the
15 settlement is given to the class members and a final settlement hearing is held by the Court.

16 **A. The Role Of The Court In Preliminary Approval Of A Class Action Settlement**

17 The approval of a proposed settlement of a class action suit is a matter within the broad
18 discretion of the trial court. *Wershba, supra*, 91 Cal.App.4th at 234-235; *Dunk*, 48 Cal.App.4th 1794.
19 In considering a potential settlement for preliminary approval purposes, the trial court does not have
20 to reach any ultimate conclusions on the issues of fact and law which underlie the merits of the dispute,
21 and need not engage in a trial on the merits. *Wershba, supra*, 91 Cal.App.4th at 239-40; *Dunk, supra*,
22 48 Cal.App. 4th at 1807. The Ninth Circuit explains, “the very essence of a settlement is compromise,
23 ‘a yielding of absolutes and an abandoning of highest hopes.’” *Officers for Justice*, 688 F.2d at 624.
24 Thus, when analyzing the settlement, the amount is “not to be judged against a hypothetical or
25

26 ² California courts look to federal authority on class actions. *Vasquez v. Superior Court*, 4 Cal.3d
27 800, 821 (1971). “It is well established that in the absence of relevant state precedents trial courts are
28 urged to follow the procedures prescribed in Rule 23 of the Federal Rules of Civil Procedure for
conducting class actions.” *Frazier v. City of Richmond*, 184 Cal. App.3d 1491, 1499 (1986), citing
Green v. Obledo, 29 Cal.3d 126, 145-146 (1981).

1 speculative measure of what might have been achieved by the negotiators.” *Officers for Justice*, 688
2 F.2d at 625, 628.

3 With regard to class action settlements, the opinions of counsel should be given considerable
4 weight both because of counsel’s familiarity with this litigation and previous experience with cases such
5 as these. *Officers for Justice*, 688 F.2d at 625. As a result, courts hold that the recommendation of
6 counsel is entitled to significant weight. *Nat’l Rural Telecomms. Coop. v. DIRECTV, Inc.*, 221 F.R.D.
7 523, 528 (C.D. Cal. 2004).

8 **B. Factors To Be Considered In Granting Preliminary Approval**

9 A number of factors are to be considered in evaluating a settlement for purposes of preliminary
10 approval. In determining whether to grant preliminary approval, the court considers whether the "(1)
11 the proposed settlement appears to be the product of serious, informed, non-collusive negotiations, (2)
12 has no obvious deficiencies, (3) does not improperly grant preferential treatment to class representatives
13 or segments of the class, and (4) falls within the range of possible approval." *In re Tableware Antitrust*
14 *Litig.*, 484 F.Supp. 2d 1078, 1079 (N.D. Cal. 2007). Courts hold that “a presumption of fairness exists
15 where: (1) the settlement is reached through arm’s-length bargaining; (2) investigation and discovery
16 are sufficient to allow counsel and the court to act intelligently; (3) counsel is experienced in similar
17 litigation; and (4) the percentage of objectors is small.” *Kullar v. Foot Locker Retail, Inc.*, 168 Cal.
18 App. 4th 116, 128 (2008). Here, the Settlement meets all of these criteria for preliminary approval and
19 therefore the presumption applies.

20 **1. The Settlement is the Product of Serious, Informed and**
21 **Arm’s Length Negotiations by Experienced Counsel**

22 This settlement is the result of extensive and hard-fought litigation as well as negotiations before
23 an experienced and well-respected mediator. Defendant has expressly denied and continues to deny any
24 wrongdoing or legal liability arising out of the conduct alleged in the Action. Plaintiff and Class
25 Counsel have determined that it is desirable and beneficial to the Class to resolve the Released Class
26 Claims of the Class in accordance with this Settlement. The Released Class Claims are appropriately
27 tethered to the allegations of the Operative Complaint. (Agreement at ¶¶ 1.42 and 6.2.)

28 Class Counsel are experienced and qualified to evaluate the class claims, the defenses asserted,

1 and the risks and benefits of trial and settlement, and Class Counsel are particularly experienced in wage
2 and hour employment class actions, as Class Counsel have previously litigated and certified similar
3 claims against other employers. Decl. Nordrehaug at ¶31. The view of qualified and well-informed
4 counsel that a class action settlement is fair, adequate, and reasonable is entitled to significant weight.
5 *See Kullar v. Foot Locker*, 168 Cal. App. 4th 116, 133 (2008) (the trial court "undoubtedly should
6 continue to place reliance on the competence and integrity of counsel, the involvement of a qualified
7 mediator, and the paucity of objectors to the settlement."); *Dunk*, 48 Cal. App. 4th at 1802.

8 The Parties attended an arms-length mediation session with James Otero, a respected and
9 experienced mediator of wage and hour class actions, in order to reach this Settlement. In preparation
10 for the mediation, Defendant provided Class Counsel with payroll and employment data and other
11 information regarding the Class Members, various internal documents, and other compensation and
12 employment-related materials. Class Counsel analyzed the data with the assistance of damages expert
13 Berger Consulting and prepared and submitted a mediation brief to the mediator. The final settlement
14 terms were negotiated and set forth in the Agreement now presented for this Court's approval. Decl.
15 Nordrehaug at ¶ 5. Importantly, Plaintiffs and Class Counsel believe that this Settlement is fair,
16 reasonable, and adequate.

17 As consideration for this Settlement, the Gross Settlement Amount to be paid by Defendant is
18 Four Million Five Hundred Thousand Dollars (\$4,500,000). The Settlement is all-in with no reversion
19 to Defendant and no need to submit a claim form. Decl. Nordrehaug at ¶ 3.

20 Class Counsel has conducted an investigation into the facts of the class action. Both formal and
21 informal discovery were obtained, which included the production of hundreds of pages of relevant
22 documents. Class Counsel engaged in a thorough review and analysis of the relevant documents and
23 data with the assistance of an expert. Accordingly, the agreement to settle did not occur until Class
24 Counsel possessed sufficient information to make an informed judgment regarding the likelihood of
25 success on the merits and the results that could be obtained through further litigation. In addition, Class
26 Counsel previously negotiated settlements with other employers in actions involving nearly identical
27 issues and analogous defenses. Based on the foregoing data and their own independent investigation,
28 evaluation and experience, Class Counsel believe that the Settlement with Defendant on the terms set

1 forth in the Agreement is fair, reasonable, and adequate and is in the best interest of the Class in light
2 of all known facts and circumstances, including the risk of significant delay, defenses asserted by
3 Defendant, and potential appellate issues. Decl. Nordrehaug at ¶ 14.

4 Plaintiffs and Class Counsel recognize the expense and length of continuing to litigate and trying
5 this Action against Defendant through possible appeals which could take several years. Class Counsel
6 has also taken into account the uncertain outcome and risk of litigation, especially in complex class
7 actions such as this Action. Class Counsel is also mindful of and recognize the inherent problems of
8 proof under, and alleged defenses to, the claims asserted in the Action. Based upon their evaluation,
9 Plaintiffs and Class Counsel have determined that the Settlement set forth in the Agreement is in the
10 best interest of the Class Members. Decl. Nordrehaug, ¶ 23.

11 Here, there can be no dispute that the litigation has been hard-fought with aggressive and
12 capable advocacy on both sides. The Parties were represented by experienced and capable counsel who
13 zealously advocated their positions. Accordingly, “[t]here is likewise every reason to conclude that
14 settlement negotiations were vigorously conducted at arms’ length and without any suggestion of undue
15 influence.” *In re Wash. Public Power Supply System Sec. Litig.*, 720 F. Supp. at 1392.

16 **2. The Settlement Has No “Obvious Deficiencies” and Falls Well Within**
17 **the Range for Approval**

18 The proposed Settlement herein has no "obvious deficiencies" and is well within the range of
19 possible approval. All Class Members will receive an opportunity to participate in the Settlement and
20 receive payment according to the same formula. (Agreement at ¶ 3.2(e).) Based upon 3,986 Class
21 Members who collectively worked 357,707 Workweeks, the Gross Settlement Amount provides an
22 average value of \$1,128.95 per Class Member and \$12.58 per Workweek and after deductions the Net
23 Settlement Amount provides an average recovery of \$703.62 per Class Member and a recovery of \$7.84
24 per Workweek. Decl. Nordrehaug, ¶6.

25 The calculations to compensate for the amount due for the Class at the time of the mediation
26 were calculated by Berger Consulting, Plaintiffs’ damage expert. As to the Class whose claims are at
27 issue in this Action, Plaintiffs used the expert to analyze the data and determine the potential unpaid
28 wages for the employees. The maximum potential damages were calculated to be \$37,545 for the

1 alleged unpaid wages due to “Block In Time Adjustments” that reduced sit in pay, and the claims for
2 overtime, meal and rest periods, sick pay were determined to have no value because of federal
3 preemption applicable to the airline industry under the Railway Labor Act, 45 U.S.C. § 151 et seq., the
4 CBA addressing these issues, and California Labor Code §§ 204, 512.2, and Wage Order 9-2001. The
5 expense reimbursement claim had negligible value because of Defendant’s policy which provided
6 mobile devices to the Class. Decl. Nordrehaug, ¶6. As to potential penalties, Plaintiffs calculated that
7 potential waiting time penalties were \$2,950,382, and potential maximum wage statement penalties
8 were between \$54,100 and \$7,383,600, depending on the predicate violation giving rise to the penalty.³
9 As a result, this action was driven by the potential wage statement penalties under Cal. Labor Code §
10 226. Defendant vigorously disputed Plaintiffs’ calculations and exposure theories. Decl. Nordrehaug,
11 ¶6.

12 Consequently, the Gross Settlement Amount of \$4,500,000 represents more than the maximum
13 value of the alleged damages, and more than 40% of the combination of the maximum damages and
14 statutory penalties, at the time this Settlement was negotiated.⁴ Importantly, the recent decision that
15 good faith belief of compliance by the employer in *Naranjo v. Spectrum Sec. Servs., Inc.*, 15 Cal. 5th
16 1056, 1065 (2024), could completely negate the claims for waiting time and wage statement penalties,
17 even if wages were owed to the Class. The above maximum calculations should then be adjusted in
18 consideration for both the risk of class certification and the risk of establishing class-wide liability on
19 all claims. Given the amount of the Settlement as compared to the potential value of claims in this case
20
21

22 ³ While Plaintiff alleged claims for statutory penalties pursuant to Labor Code Sections 203 and 226,
23 at mediation Plaintiff recognized that these claims were subject to additional, separate defenses asserted
24 by Defendants, including, a good faith dispute defense as to whether any premium wages for meal or
25 rest periods or other wages were owed given Defendant’s position that Plaintiff and Class Members
26 were properly compensated. *See Nordstrom Commission Cases*, 186 Cal. App. 4th 576, 584 (2010)
27 (“There is no willful failure to pay wages if the employer and employee have a good faith dispute as to
28 whether and when the wages were due.”).

27 ⁴ Because the PAGA claim is not a class claim and primarily is paid to the State of California,
28 Plaintiff did not include the PAGA claim in this discussion of the value of the class claims. The PAGA
claim is addressed in the Decl. Nordrehaug at ¶33.

1 and the defenses asserted by Defendant, this Settlement is fair and reasonable.⁵ Clearly, the goal of this
2 litigation has been met. Decl. Nordrehaug, ¶6.

3 Where both sides face significant uncertainty, the attendant risks favor settlement. *Hanlon v.*
4 *Chrysler Corp.*, 150 F.3d 1011, 1026 (9th Cir. 1998). Here, a number of defenses asserted by
5 Defendant present serious threats to the claims of the Plaintiffs and the other Class Members.
6 Defendant asserted that Defendant's practices complied with all applicable Labor laws. Defendant
7 argued that Plaintiffs' claims were barred by federal preemption applicable to the airline industry under
8 the Railway Labor Act, 45 U.S.C. § 151 et seq. Defendant argued that Class Members were properly
9 paid all wages, there was no failure to pay overtime wages, and that such claims were barred by Wage
10 Order 9 as set forth in Cal. Code Regs. Title 8, § 11090(1)(E). Defendant contends that its meal and rest
11 period policies fully complied applicable law and the CBA, and that claims under California law were
12 barred by California Labor Code § 512.2. Defendant contends that there was no failure to pay for
13 business expenses because Defendant provided each flight attendant with an "inflight Mobile Device"
14 at no cost, and therefore any cell phone usage was merely convenient and voluntary such that
15 reimbursement was not legally required. Finally, Defendant could argue that the Supreme Court
16 decision in *Brinker v. Superior Court*, 53 Cal. 4th 1004 (2012), weakened Plaintiffs' claims, on liability,
17 value, and class certifiability as to the meal and rest period claims. Defendant also argues that based
18 on its facially lawful practices, Defendant acted in good faith and without willfulness, which if accepted
19 would negate the claims for waiting time penalties and/or inaccurate wage statements. See e.g. *Naranjo*
20 *v. Spectrum Sec. Servs., Inc.*, 15 Cal. 5th 1056, 1065 (2024) ("[I]f an employer reasonably and in good
21

22 ⁵ See *Glass v. UBS Fin. Servs.*, 2007 U.S. Dist. LEXIS 8476 (N.D. Cal. 2007) (approving a
23 settlement where the settlement amount constituted approximately 25% of the estimated overtime
24 damages for the class); *Stovall-Gusman v. W.W. Granger, Inc.*, 2015 U.S. Dist. LEXIS 78671, at *12
25 (N.D. Cal. 2015) (granting final approval where "the proposed Total Settlement Amount represents
26 approximately 10% of what class might have been awarded had they succeeded at trial."); *Dunleavy v.*
27 *Nadler (In re Mego Fin. Corp. Sec. Litig.)*, 213 F.3d 454, 459 (9th Cir. 2000) (affirming approval of
28 a class settlement which represented "roughly one-sixth of the potential recovery".) See also *Viceral v.*
Mistras Grp., Inc., 2016 WL 5907869 (N.D. Cal. 2016) (approving wage and hour class action
settlement amounting to 8.1% of full value); *Ma v. Covidien Holding, Inc.*, 2014 WL 2472316, (C.D.
Cal. 2014) (approving wage and hour class action settlement worth "somewhere between 9% and 18%"
of maximum valuation).

1 faith believed it was providing a complete and accurate wage statement in compliance with the
2 requirements of section 226, then it has not knowingly and intentionally failed to comply with the wage
3 statement law.”). If successful, Defendant’s defenses could eliminate or substantially reduce any
4 recovery to the Class. While Plaintiffs believe that these defenses could be overcome, Defendant
5 maintains these defenses have merit and therefore present a serious risk to recovery by the Class. Decl.
6 Nordrehaug, ¶ 24.

7 There was also a significant risk that, if the Action was not settled, Plaintiffs would be unable
8 to obtain a certified class and maintain the certified class through trial, and thereby not recover on behalf
9 of any employees other than themselves. At the time of the mediation, Defendant forcefully opposed
10 the propriety of class certification, arguing that individual issues precluded class certification. Further,
11 as demonstrated by the California Supreme Court decision in *Duran v. U.S. Bank National Assn.*, 59
12 Cal. 4th 1 (2014), there are significant hurdles to overcome for a class-wide recovery even where the
13 class has been certified. While other cases have approved class certification in wage and hour claims,
14 class certification in this action was hotly disputed and the maintenance of a certified class through trial
15 was by no means a foregone conclusion. Decl. Nordrehaug, ¶ 25.

16 After arm’s length negotiations between experienced and informed counsel, the Parties
17 recognized the potential risks and agreed on the Settlement with a Gross Settlement Amount of
18 \$4,500,000. As the Court held in *Glass*, where the parties faced uncertainties similar to those here:

19 In light of the above-referenced uncertainty in the law, the risk, expense, complexity,
20 and likely duration of further litigation likewise favors the settlement. Regardless of how
21 this Court might have ruled on the merits of the legal issues, the losing party likely
22 would have appealed, and the parties would have faced the expense and uncertainty of
litigating an appeal. “The expense and possible duration of the litigation should be
considered in evaluating the reasonableness of [a] settlement.”

23 2007 WL 221862, at *4 (quoting *In re Mego Financial Corp. Securities Litigation*, 213 F.3d 454, 458
24 (9th Cir. 2000)).

25 **3. The Settlement Does Not Improperly Grant Preferential Treatment To** 26 **Class Representatives or Segments Of The Class**

27 The relief provided in the Settlement will benefit all members of the Class. The Settlement does
28 not grant preferential treatment to Plaintiffs or segments of the Class in any way. Payments to the Class
Members are all determined under a neutral methodology. Each Participating Class Member will

1 receive the same opportunity to participate in and receive payment through a neutral formula that is
2 based upon the Class Work Months and PAGA Pay Periods for that individual. Decl. Nordrehaug, ¶4.

3 Plaintiffs will apply to the Court for Class Representative Service Payments in consideration
4 for their service and for the risks undertaken on behalf of the Class. (Agreement at ¶ 3.2(a).) Plaintiffs
5 performed their duties admirably by working with Class Counsel over the course of litigation. The
6 Declarations of the Plaintiffs are submitted herewith in support. Decl. Nordrehaug at ¶27. At this stage,
7 the requested service award of \$10,000 is within the accepted range of awards for purposes of
8 preliminary approval. *See e.g. Andrews v. Plains All Am. Pipeline L.P.*, 2022 U.S. Dist. LEXIS 172183,
9 at *11 (C.D. Cal. 2022) (finding that the requested service awards of \$15,000 each are appropriate);
10 *Reynolds v. Direct Flow Med., Inc.*, 2019 U.S. Dist. LEXIS 149865, at *19 (N.D. Cal. 2019) (granting
11 request for \$12,500 service award); *Mathein v. Pier 1 Imps. (U.S.), Inc.*, 2018 U.S. Dist. LEXIS 71386
12 (E.D. Cal. 2018) (awarding \$12,500 where average class member payment was \$351); *Louie v. Kaiser*
13 *Foundation Health Plan, Inc.*, 2008 WL 4473183, *7 (S.D. Cal. 2008) (awarding \$25,000 service award
14 in overtime class action); *Glass v. UBS Fin. Servs.*, 2007 WL 221862, *16-17 (N.D. Cal. 2007)
15 (awarding \$25,000 service award in overtime class action and a pool of \$100,000 in enhancements).
16 As explained in *Glass*, service awards are routinely awarded to class representatives to compensate the
17 employees for the time and effort expended on the case, for the risk of litigation, for the fear of suing
18 an employer and retaliation there from, and to serve as an incentive to vindicate the statutory rights of
19 all employees. 2007 WL 221862 at *16-17.

20 **4. The Stage Of The Proceedings Are Sufficiently Advanced To Permit** 21 **Preliminary Approval Of The Settlement**

22 The stage of the proceedings at which this Settlement was reached also militates in favor of
23 preliminary approval and ultimately, final approval of the Settlement. Class Counsel has conducted a
24 thorough investigation into the facts of the class action. Class Counsel began investigating the Class
25 Members' claims before the Actions were filed, and during the course of litigation, Class Counsel
26 engaged in informal discovery to obtain necessary information. Class Counsel conducted a review and
27 analysis of the relevant documents and data. Class Counsel was also experienced with the claims at
28 issue here, as Class Counsel previously litigated and settled similar claims in other actions.

1 Accordingly, the agreement to settle did not occur until Class Counsel possessed sufficient information
2 to make an informed judgment regarding the likelihood of success on the merits and the results that
3 could be obtained through further litigation. Decl. Nordrehaug at ¶28.

4 Based on the foregoing data and their own independent investigation and evaluation, Class
5 Counsel is of the opinion that the Settlement with Defendant for the consideration and on the terms set
6 forth in the Agreement is fair, reasonable, and adequate and is in the best interest of the Class in light
7 of all known facts and circumstances, including the risk of significant delay, defenses asserted by
8 Defendant, and numerous potential appellate issues. There can be no doubt that Counsel for both
9 parties possessed sufficient information to make an informed judgment regarding the likelihood of
10 success on the merits and the results that could be obtained through further litigation. Decl. Nordrehaug
11 at ¶29.

12 **V. THE CLASS IS PROPERLY CERTIFIED FOR SETTLEMENT PURPOSES ONLY**

13 Plaintiffs contend that the proposed Settlement meet all of the requirements for class
14 certification under California Code of Civil Procedure § 382 as demonstrated below, and therefore, the
15 Court may appropriately approve the Class as defined in the Agreement. This Court should
16 conditionally certify the Class for settlement purposes only, defined as follows:

17 Flight Attendants employed by Alaska Airlines, Inc. and based in the state of California
18 at any time during the Class Period.

19 (Agreement at ¶ 1.5.)

20 The Class Period is October 3, 2019 through November 21, 2024. (Agreement at ¶ 1.13.)

21 **A. California Code of Civil Procedure § 382**

22 Plaintiffs seek certification of this Class for settlement purposes under California Code of Civil
23 Procedure § 382. The California Supreme Court has summarized the standard for determining whether
24 class certification is appropriate as follows:

25 Code of Civil Procedure Section 382 authorizes class actions “when the question is one
26 of a common or general interest, of many persons, or when the parties are numerous, and
27 it is impracticable to bring them all before the court...” The party seeking certification
28 has the burden to establish the existence of both an ascertainable class and a well-
defined community of interest among class members. (*citations omitted*). The
“community of interest” requirement embodies three factors: (1) predominant common
questions of law or fact; (2) class representatives with claims or defenses typical of the
class; and (3) class representatives who can adequately represent the class.

1 *Sav-On Drug Stores, Inc. v. Superior Court*, 34 Cal. 4th 319, 326 (2004).

2 While Defendant reserves all rights to dispute that the Plaintiffs can satisfy these requirements,
3 the Parties agree that Defendant will not dispute that these requirements may be satisfied in this case
4 for purposes of settlement only and therefore, the proposed Class should be certified for purposes of
5 settlement only. (Agreement at ¶ 2.10.)

6 **B. The Proposed Class Is Ascertainable and Numerous**

7 Plaintiffs bring this action on behalf of a Class of Flight Attendant employees in California
8 during the Class Period. Plaintiffs assert that all of these individuals are ascertainable because the class
9 members can readily be determined through examination of Defendant's files. Given that the Class
10 consists of approximately 3,986 individuals, Plaintiffs maintain that numerosity is clearly satisfied. *See*
11 *Bowles v. Superior Court*, 44 Cal.2d 574 (1955) (class with 10 members sufficiently numerous); *Rose*
12 *v. City of Hayward*, 126 Cal.App.3d 926, 934 (1981) (class of 48 members satisfies numerosity
13 requirement.) Here, Plaintiffs assert that the 3,986 current and former Flight Attendants that comprise
14 the Class can be identified based on Defendant's records and are sufficiently numerous for class
15 certification. Decl. Nordrehaug at ¶30.

16 **C. Common Issues of Law and Fact Predominate**

17 Predominance of common issues of law or fact does not require that the common issues be
18 dispositive of the entire controversy or even that they be dispositive of all liability issues. 1 *Newberg*
19 *on Class Actions*, Section 4.25 at 4-82, 4-83 (1992). "Predominance is a comparative concept, and 'the
20 necessity for class members to individually establish eligibility and damages does not mean individual
21 fact questions predominate.'" *Sav-On*, 34 Cal. 4th at 334.

22 Commonality exists if there is a predominant common legal question regarding how an
23 employer's policies impact its employees. *Ghazaryan v. Diva Limousine, Ltd.*, 169 Cal. App. 4th 1524,
24 1536 (2008) ("[T]he common legal question remains the overall impact of Diva's policies on its
25 drivers.") Whether the plaintiff is likely to prevail on their theory of recovery is irrelevant at the
26 certification stage since the question is "essentially a procedural one that does not ask whether an action
27 is legally or factually meritorious." *Linder v. Thrifty Oil Co.*, 23 Cal. 4th 429, 439-440 (2003).
28

1 Here, Plaintiffs contend that common questions of law and fact are present, specifically the
2 common questions of whether Defendant's employment practices were lawful, whether Plaintiffs'
3 claims were preempted by federal law, whether Defendant failed to provide meal and rest periods to
4 Class Members, whether Class Members were lawfully compensated for all hours worked, whether
5 Defendant's Block In Adjustment resulted in unpaid wages, whether Defendant failed to provide
6 required expense reimbursement, and whether Class Members are entitled to damages and penalties as
7 a result of these practices. Plaintiffs contend that certification of this Class is appropriate because
8 Defendant allegedly engaged in uniform practices with respect to the Class Members. As a result, these
9 common questions of liability could be answered on a class wide basis. Decl. Nordrehaug, ¶30.
10 Defendant disputes that common questions predominate but will not oppose such a finding for purposes
11 of this Settlement only.

12 **D. The Claims of the Plaintiffs Are Typical of the Class Claims**

13 The typicality requirement requires the Plaintiffs to demonstrate that the members of the class
14 have the same or similar claims as the Plaintiffs. "The typicality requirement is met when the claims
15 of the [p]laintiff arise from the same event or are based on the same legal theories." *Tate v.*
16 *Weyerhaeuser Co.*, 723 F.2d 598, 608 (8th Cir. 1983). In *Hanlon, supra*, 150 F.3d at 1020, the Ninth
17 Circuit held that "[u]nder the rule's permissive standards, representative claims are 'typical' if they are
18 reasonably coextensive with those of absent class members; they need not be substantially identical."

19 In this Action, Plaintiffs contend that the typicality requirement is fully satisfied. Plaintiffs, like
20 every other member of the Class, were employed as a Flight Attendant in California, and, alleges like
21 every other member of the Class, were subject to the same employment practices. Plaintiffs, like every
22 other member of the Class, also claim owed compensation as a result of the Defendant's company
23 policies and practices. Thus, the claims of Plaintiffs and the members of the Class arise from the same
24 course of conduct by Defendant, involve the same issues, and are based on the same legal theories.
25 Decl. Nordrehaug at ¶30. For purposes of settlement, Plaintiffs assert that the typicality requirement
26 is met as to the common issues presented in this case. Defendant does not oppose a finding of typicality
27 for purposes of this Settlement only.
28

1 **E. The Class Representation Fairly and Adequately Protected the Class**

2 Plaintiffs contend that the Class Members are adequately represented here because Plaintiffs and
3 representing counsel (a) do not have any conflicts of interest with other class members, and (b) will
4 prosecute the case vigorously on behalf of the class. *Hanlon*, 150 F.3d at 1020. This requirement is
5 met here. First, Plaintiffs are well aware of their duties as the representatives of the Class and have
6 actively participated in the prosecution of this case to date. Plaintiffs effectively communicated with
7 Class Counsel, provided documents and information to Class Counsel, and participated in the
8 investigation and resolution of the Action. The personal involvement of the Plaintiffs was essential to
9 the prosecution of the Action and the monetary settlement reached. Second, Plaintiffs retained
10 competent counsel who are experienced in employment class actions and who have no conflicts. Decl.
11 Nordrehaug at ¶ 31. Third, there is no antagonism between the interests of the Plaintiffs and those of
12 the Class. Both the Plaintiffs and the Class Members seek monetary relief under the same set of facts
13 and legal theories. Under such circumstances, there can be no conflicts of interest, and adequacy of
14 representation is satisfied. *Reaves v. Ketoro, Inc.*, 2020 U.S. Dist. Lexis 167926, *23 (C.D. Cal. 2020).
15 Defendant disputes that the adequacy requirement is satisfied but will not oppose such a finding for
16 purposes of this Settlement only.

17 **F. The Superiority Requirement Is Met**

18 To certify a class, the Court must also determine that a class action is superior to other available
19 methods for the fair and efficient adjudication of the controversy. “Where classwide litigation of
20 common issues will reduce litigation costs and promote greater efficiency, a class action may be
21 superior to other methods of litigation.” *Valentino v. Carter-Wallace, Inc.*, 97 F.3d 1227, 1234 (9th Cir.
22 1996). As courts have previously observed:

23 Absent class treatment, each individual plaintiff would present in separate, duplicative
24 proceedings the same or essentially the same arguments and evidence, including expert
25 testimony. The result would be a multiplicity of trials conducted at enormous expense
26 to both the judicial system and the litigants. “It would be neither efficient nor fair to
27 anyone, including defendants, to force multiple trials to hear the same evidence and
28 decide the same issues.”

Sav-On, 34 Cal. 4th at 340, citing *Boggs v. Divested Atomic Corp.*, 141 F.R.D. 58, 67 (S.D. Ohio 1991).

1 Here, Plaintiffs contend that a class action is the superior mechanism for resolution of the claims
2 as pled by the Plaintiffs, as class certification would serve as the only means to deter and redress the
3 alleged Labor Code violations. *Linder, supra*, 23 Cal.4th at 434 (relevant considerations include the
4 probability that each class member will come forward ultimately to prove his or her separate claim to
5 a portion of the total recovery and whether the class approach would actually serve to deter and redress
6 the alleged wrongdoing) While Defendant disputes that class treatment is superior, Defendant does not
7 dispute a finding of superiority in this action for purposes of this Settlement only.

8 **VI. THE PAGA SETTLEMENT IS REASONABLE AND SHOULD BE APPROVED**

9 The settlement of a PAGA claim requires court approval. See Cal. Lab. Code § 2699(l). The
10 Court's review should be consistent with the fundamental maxim that California courts have a strong
11 policy in favor of settlement. See, e.g., *Stambaugh v. Superior Court*, 62 Cal.App.3d 231, 236 (1976).
12 “[A] PAGA claim asserted on a non-class representative basis...is not considered a class action but a
13 law enforcement action, and therefore does not have to meet the requirements of [a class action].” See
14 *Casida v. Sears Holdings Corp.*, 2012 WL 253217, *3 (E.D. Cal. Jan. 26, 2012). A court's review of
15 a PAGA settlement also implicates the following unique features that render the approval process
16 distinct from approval of a class action settlement.

17 First, in evaluating the adequacy of the settlement amount, the focus is not concerned with the
18 amount(s) that “aggrieved employees” are to receive, but rather on the total settlement amount in
19 achieving PAGA's objective. Indeed, “[t]he remedy sought in a PAGA suit consists of civil penalties,
20 not individual or class damages.” *Mendez v. Tween Brands, Inc.*, 2010 WL 2650571, *4 (E.D. Cal. July
21 1, 2010). “Unlike class actions, these civil penalties are not meant to compensate unnamed employees
22 because the action is fundamentally a law enforcement action.” *Ochoa-Hernandez v. Cjaders Foods,*
23 *Inc.* 2010 WL 1340777, *4 (N.D. Cal. Apr. 2, 2010). Moreover, while “[a PAGA] action is ... designed
24 to protect the public and penalize the employer for past illegal conduct” *Mendez, supra*, 2010 WL
25 2650571 at p. *4, a reviewing Court must take into account the fact that settlement of a PAGA
26 claim-like any settlement-involves a “compromise” that stops short of rendering findings of liability and
27 damages. As such, the Court must not lose sight of the fact that “[u]nlike a class action seeking damages
28 or injunctive relief for injured employees, the purpose of PAGA is to incentivize private parties to

1 recover civil penalties for the government that otherwise may not have been assessed and collected by
2 overburdened state enforcement agencies.” See *Ochoa-Hernandez, supra*, 2010 WL 1340777 at p. *4.
3 Second, consistent with the fact that unnamed employees have no property interest in a PAGA claim,
4 “[u]nnamed employees need not be given notice of the PAGA claim, nor do they have the ability to
5 opt-out of the representative PAGA claim.” *Id.* at p. *5; see also *Robinson v. Southern Counties Oil*
6 *Company*, 53 Cal.App.5th 476, 482 (2020) (holding that there is no opt-out mechanism for PAGA
7 claims and “nonparty employees as well as the government are bound by the judgment in an action
8 brought under [PAGA].”). This renders the approval process of a PAGA settlement distinct from a class
9 action settlement, as there is no need for the Court to employ the “two-step approval process” required
10 under California Rule of Court, Rule 3.769.

11 Plaintiffs’ calculations of PAGA exposure are discussed more thoroughly in the Nordrehaug
12 Declaration at ¶33. Notably, these theories are derivative of the underlying violations that Defendant
13 vigorously disputes, both as to certification and the merits. *Green v. Lawrence Service Co.*, 2013 WL
14 3907506, *5, fn. 5 (C.D. Cal. 2013) (explaining that a PAGA claim's success was determined by the
15 merits of its underlying claims). Plaintiffs also recognize that the Court has discretion to substantially
16 reduce any award of PAGA penalties. Decl. Nordrehaug at ¶ 33(b); see also Labor Code § 2699(e)(2).

17 For example, during the penalty phase of the trial in *Carrington v. Starbucks Corp.*, 30 Cal.App.5th
18 504 (2018), the plaintiff requested PAGA penalties in the amount of approximately \$70 million. *Id.* at
19 528. The trial court instead awarded only \$150,000 - or 0.21% of the maximum - and stated that this
20 reduction was warranted because imposing the maximum penalty would be “unjust, arbitrary, and
21 oppressive” based on Starbucks’s “good faith attempts” to comply with meal period obligations and
22 because the court found the violations were minimal. *Id.* at 517. The Court of Appeal affirmed the lower
23 court's reduced award of a \$150,000 penalty under PAGA. *Id.* at 529. Accordingly, the \$100,000 PAGA
24 Penalties amount is reasonable and should be approved.

25 **VII. THE PROPOSED METHOD OF CLASS NOTICE IS APPROPRIATE**

26 The Court has broad discretion in approving a practical notice program. The Parties have agreed
27 upon procedures by which the Class Members will be provided with written notice of the Settlement
28 similar to that approved and utilized in hundreds of class action settlements. In accordance with the

1 Agreement, Defendant will provide to the Administrator a confidential electronic spreadsheet
2 containing the Class Data. (Agreement at ¶ 4.2.) Within 21 days after receiving the Class Data, the
3 Administrator will mail the Class Notice to all Class Members via first-class U.S. Mail using the most
4 current mailing address information available. (Agreement at ¶ 8.4(b).)

5 “The principal purpose of notice to the class is the protection of the integrity of the class action
6 process.” *Cartt v. Superior Court*, 50 Cal.App.3d 960, 970 (1975). The notice “must fairly apprise the
7 class members of the terms of the proposed compromise and of the options open to the dissenting class
8 members.” *Wershba, supra*, 91 Cal.App.4th at 224, 251. Additionally, the notice given should have a
9 reasonable chance of reaching a substantial percentage of the class members. *Cartt, supra*, 50
10 Cal.App.3d at 974. However, there is no statutory or due process requirement that all class members
11 receive action notice. *Id.*

12 The Class Notice, drafted jointly and agreed upon by the Parties through their respective counsel
13 and to be approved by the Court, includes all relevant information. (*See Exhibit “A”* to the Agreement.)
14 The Class Notice will include, among other information: (i) information regarding the Action; (ii) the
15 impact on the rights of the Class Members if they do not opt out, including a description of the
16 applicable release; (iii) information to the Class Members regarding how to opt out and how to object
17 to the Settlement; (iv) the estimated Individual Class Payment for each of the Class Members; (iii) the
18 amount of attorneys’ fees and expenses to be sought; (v) the amount of the Plaintiffs’ service award
19 request; and (vi) the anticipated expenses of the Administrator. Decl. Nordrehaug at ¶32.

20 The Class Notice will state that the Class Members shall have forty-five (45) days from the date
21 that the Class Notice is mailed to them (the “Response Deadline”) to request exclusion (opt-out) or to
22 submit a written objection, which deadline shall be extended by 15 days for re-mailings. (Agreement
23 at ¶¶ 1.45, 8.5, 8.7.) Class Members shall be given the opportunity to object to the Settlement and/or
24 requests for attorneys’ fees and expenses and to appear at the Final Approval Hearing. (Agreement at
25 ¶ 8.7.) Class Members who do not submit a timely and proper request to opt-out will automatically
26 receive a payment of their Individual Class Payment. This notice program was designed to
27 meaningfully reach the Class Members and it advises them of all pertinent information concerning the
28 Settlement. Decl. Nordrehaug at ¶32. The mailing and distribution of the Class Notice satisfies the

1 requirements of due process and is the best notice practicable under the circumstances and complies
2 with Rules of Court 3.766 and 3.769(f).

3 **VIII. CONCLUSION**

4 Plaintiffs respectfully request that the Court preliminarily approve the proposed settlement and
5 sign the proposed Preliminary Approval Order, which is submitted herewith, and schedule the final
6 approval hearing for the proposed date of July 31, 2025, which date is at least one hundred twenty (120)
7 days from the date of Preliminary Approval.

8 Dated: January 30, 2025

BLUMENTHAL NORDREHAUG BHOWMIK DE BLOUW LLP

By: /s/ Kyle Nordrehaug

Kyle R. Nordrehaug, Esq.,
Attorney for Plaintiffs