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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
IN AND FOR THE COUNTY OF SAN MATEO**

AMANDA NUNEZ and MARLISHA L.
JAMES, individuals, on behalf of themselves
and on behalf of all persons similarly situated,

Plaintiffs,

vs.

UNIVERSITY HEALTHCARE ALLIANCE,
a Corporation; and DOES 1 through 50,
inclusive,

Defendants.

CASE NO.: **23-CIV-05931**

**DECLARATION OF KYLE NORDREHAUG
IN SUPPORT OF MOTION FOR
PRELIMINARY APPROVAL OF CLASS
SETTLEMENT**

Hearing Date: January 27, 2026
Hearing Time: 2:00 p.m.

Judge: Hon. Nancy L. Fineman
Dept: 4
Courtroom: 4C

Date Filed: December 14, 2023
Trial Date: Not set

1 I, Kyle Nordrehaug, declare as follows:

2 1. I am a partner of the law firm of Blumenthal Nordrehaug Bhowmik De Blouw LLP,
3 counsel for Plaintiffs Amanda Nunez and Marlisha L. James (“Plaintiffs”) in this matter. As such, I
4 am fully familiar with the facts, pleadings and history of this matter. The following facts are within
5 my own personal knowledge, and if called as a witness, I could testify competently to the matters
6 stated herein.

7 2. This declaration is being submitted in support of Plaintiffs’ unopposed motion for
8 preliminary approval of the proposed class action settlement with Defendant Mainspring Energy,
9 Inc. (“Defendant”), which motion seeks entry of an order: (1) preliminarily approving the proposed
10 settlement of this class action with Defendant; (2) for settlement purposes only, conditionally
11 certifying the Class, which is comprised of “all individuals who were employed by Defendant in the
12 State of California and classified as non-exempt employees at any time during the Class Period”,
13 which is March 12, 2022 to June 14, 2025; (3) provisionally appointing Plaintiffs as the
14 representatives of the Class; (4) provisionally appointing Blumenthal Nordrehaug Bhowmik De
15 Blouw LLP, Centurion Trial Attorneys, APC, and James Hawkins, APLC as Class Counsel; (5)
16 approving the form and method for providing class-wide notice; (6) directing that notice of the
17 proposed settlement be given to the Class; (7) appointing Apex Class Action as Administrator, and
18 (8) scheduling a final approval hearing date, proposed for June 23, 2026, to consider Plaintiffs’
19 motion for final approval of the settlement and for approval of attorneys’ fees, expenses and the
20 service awards. Attached hereto as Exhibit #1 is a copy of the fully executed Class Action and
21 PAGA Settlement Agreement (“Agreement”) along with exhibits thereto. The form of the
22 Agreement is based upon the Los Angeles County Superior Court model form for a class and PAGA
23 settlement. This Declaration incorporates by reference the definitions in the Agreement, and all
24 terms defined therein shall have the same meaning as set forth in the Agreement.

25
26 Fairness of Settlement

27 3. As consideration for this Settlement, the non-reversionary Gross Settlement Amount
28 of One Million Nine Hundred Fifty Thousand Dollars (\$1,950,000) (the “Gross Settlement

Amount”) is to be paid by Defendant, as set forth in the Agreement. The Gross Settlement Amount will settle all issues pending in the Action between the Parties and will be made in full and final settlement of the Released Class Claims in exchange for the payments to Participating Class Members from the Net Settlement Amount, and includes (a) the costs of administration of the settlement, (b) all attorneys' fees and costs, (c) Class Representative Service Payments, and (d) the PAGA Penalties payment allocated 75% to the LWDA and 25% to the Aggrieved Employees. (Agreement at ¶ 1.22.) The following is a table of the key Settlement terms and the proposed deductions:

\$1,950,000 (Gross Settlement Amount)

- \$30,000 (Plaintiffs' proposed service awards - not to exceed amount)
- \$40,000 (Class Counsel Litigation Expenses Payment - not to exceed amount)
- \$650,000 (Class Counsel Fees Payment - not to exceed 1/3 of settlement)
- \$40,000 (PAGA Payment - 75% to LWDA / 25% to Aggrieved Employees)
- \$20,000 (Administration Expenses Payment - not to exceed amount)

\$1,170,000 (Net Settlement Amount)

4. The relief provided in the Settlement will benefit all members of the Class. The Settlement does not grant preferential treatment to Plaintiffs or segments of the Class in any way. Payments to the Class Members are all determined under a neutral methodology. Each Participating Class Member will receive the same opportunity to participate in and receive payment through a neutral formula that is based upon the Workweeks for that individual.

5. On April 9, 2025, the Parties participated in an all-day mediation with Tripper Ortman, a respected and experienced mediator of wage and hour class actions. In preparation for the mediation, Defendant provided Class Counsel with payroll, time and employment data, along with other information regarding the Class Members, various internal documents, and other compensation and employment-related materials. Class Counsel analyzed the data with the assistance of damages expert Berger Consulting and prepared and submitted a mediation brief to the mediator. The final settlement terms were negotiated and set forth in the Agreement now presented

1 for this Court's approval. Importantly, Plaintiffs and Class Counsel believe that this Settlement is
2 fair, reasonable and adequate.

3 6. Based upon 1,761 Class Members who worked an estimated 144,314 work weeks
4 (Agreement at ¶ 4.1), the Gross Settlement Amount provides an average value of \$1,107 per Class
5 Member and \$13.51 per workweek and after deductions the Net Settlement Amount provides an
6 average recovery of \$664.39 per Class Member and a recovery of \$8.10 per workweek. The
7 calculations to compensate for the amount due for the Class at the time of the mediation were
8 calculated by Berger Consulting, Plaintiffs' damage expert. As to the Class whose claims are at
9 issue in this Action, Plaintiff used the expert to analyze the data and determine the potential unpaid
10 wages for the employees. The maximum potential damages were calculated to be \$518,152 for the
11 alleged unpaid overtime, \$7,342,490 for the alleged unpaid wages for off-the-clock work at one
12 hour per week, \$102,190 for alleged underpaid meal priums and sick pay due to the alleged
13 miscalculation of the result rate, \$3,392,219 for alleged meal period damages based upon a 16.5%
14 potential violation rate for all shifts worked observed in the time records and after deduction for
15 meal primusm already paid by Defendant, \$2,532,538 for alleged rest period damages based one
16 missed rest period per pay period, \$305,232 for alleged unreimbursed expenses at \$5 per month. As
17 a result, the total damage valuation was calculated such that Defendant was subject to a maximum
18 damage claim in the amount of \$14,192,821. As to potential penalties, Plaintiff calculated that
19 potential waiting time penalties were a maximum of \$4,334,616, and potential maximum wage
20 statement penalties were \$4,601,750.¹ Defendant vigorously disputed Plaintiffs' calculations and
21 exposure theories. Consequently, the Gross Settlement Amount of \$1,950,000 represents more than
22 13.7% of the maximum value of the alleged damages at issue in this case at the time this Settlement
23

24
25 ¹ While Plaintiffs alleged claims for statutory penalties pursuant to Labor Code Sections 203 and
26 226, at mediation Plaintiffs recognized that these claims were subject to additional, separate
27 defenses asserted by Defendant, including, a good faith dispute defense as to whether any premium
28 wages for meal or rest periods or other wages were owed given Defendant's position that Plaintiffs
and Class Members were properly compensated. *See Nordstrom Commission Cases*, 186 Cal. App.
4th 576, 584 (2010) ("There is no willful failure to pay wages if the employer and employee have a
good faith dispute as to whether and when the wages were due.").

1 was negotiated.² Importantly, the recent decision that good faith belief of compliance by the
2 employer in *Naranjo v. Spectrum Sec. Servs., Inc.*, 15 Cal. 5th 1056, 1065 (2024), could completely
3 negate the claims for waiting time and wage statement penalties, even if wages were owed to the
4 Class. The above maximum calculations should then be realistically risk-adjusted in consideration
5 for both the risk of class certification and the risk of establishing class-wide liability on all claims.
6 Given the amount of the settlement as compared to the potential value of claims in this case and the
7 defenses asserted by Defendant, this settlement is fair and reasonable.

8
9 Procedural History of the Litigation

10 7. On November 20, 2023, Plaintiff Nunez filed with the LWDA and served on
11 Defendant a notice under Labor Code section 2699.3 identifying the alleged Labor Code violations
12 to recover civil penalties on behalf of Aggrieved Employees for various Labor Code violations. The
13 PAGA Notice by Plaintiff Nunez is attached hereto as Exhibit #3 for the Court's reference.

14 8. On December 14, 2023, Plaintiff Nunez filed a class action Complaint against
15 Defendant in the Superior Court of the State of California, County of San Mateo (the "Nunez Class
16 Action"). Plaintiff Nunez's Class Action Complaint asserted claims against Defendant for: (1)
17 unfair competition in violation of Cal. Bus & Prof. Code §§ 17200, et seq.; (2) failure to to pay
18 minimum wages in violation of California Labor Code §§ 1194, 1197, and 1197.1; (3) failure to pay
19 overtime wages in violation of California Labor Code §§ 510, 1194 & 1198; (4) failure to provide
20 required meal periods in violation of Cal. Labor Code §§ 226.7 and 512; (5) failure to provide
21 required rest periods in violation of Cal. Labor Code §§ 226.7 and 512; (6) failure to provide
22 accurate itemized wage statements in violation of California Labor Code § 226; (7) failure to
23 reimburse employees for required expenses in violation of California Labor Code § 2802; and, (8)
24 failure to pay sick pay wages in violation of California Labor Code §§ 201-204, 233, and 246. On
25 February 5, 2024, plaintiff Amanda Nunez filed a First Amended Complaint in the Nunez Class

26
27 ² Because the PAGA claim is not a class claim and primarily is paid to the State of California,
28 Plaintiffs have not included the PAGA claim in this discussion of the value of the class claims. The
PAGA claim is addressed below at ¶33.

1 Action which added a cause of action for violation of the Private Attorney General Act, Cal. Labor
2 Code §§ 2698, et seq. (“PAGA”). On October 10, 2024, Plaintiff Marlisha L. James filed a
3 complaint against Defendant in the Superior Court of California, County of Alameda (“James Class
4 Action”). Plaintiff James’s complaint asserted claims that Defendant: (a) Failed to pay minimum
5 wages; (b) Failed to pay overtime wages owed; (c) Failed to pay reporting time wages owed; (d)
6 Failed to provide meal periods; (e) Failed to authorize and permit rest periods; (f) Failed to
7 reimburse necessary expenses; (g) Failed to timely pay wages during employment; (h) Failed to
8 timely pay wages owed upon separation from employment; (I) Knowing and intentional failure to
9 comply with itemized wage statement provisions; and, (j) Violated the Unfair Competition Law.

10 9. As part of this Settlement, on August 12, 2025 the Parties filed a stipulation for
11 leave to file a Second Amended Complaint in the Nunez Class Action (1) adding plaintiff Marlisha
12 L. James as a named plaintiff, (2) adding the claims alleged in the James Class Action, (3)
13 maintaining the claims in the First Amended Complaint in the Nunez Class Action, and (4) agreeing
14 to dismiss the James Class Action (Case No. 24CV095252) after its claims are added into the
15 Second Amended Complaint in the Nunez Class Action. The Second Amended Complaint was filed
16 on August 20, 2025 and is the operative complaint in the Action (the “Operative Complaint”).

17 10. Over the course of litigation, the Parties engaged in the investigation of the claims,
18 including informal discovery and the production of documents, class data, and other information,
19 allowing for the full and complete analysis of liabilities and defenses to the claims in the Action.
20 The information for mediation obtained by Plaintiffs included: (1) data concerning the class; (2)
21 payroll data and time punch data for the Class covering 223 employees, 72,513 shifts and 16,685
22 workweeks, which was then extrapolated by Plaintiffs’ expert to the Class; (3) Defendant’s wage
23 and hour policies; (4) the employment files for the Plaintiffs; and, (5) samples of wage statements
24 provided by Defendant. As such, Class Counsel received the data and information for the Class,
25 which was sufficient for Plaintiffs’ expert to prepare the valuations of the claims for the Class.

26 11. Class Counsel has extensive experience in litigating wage and hour class actions in
27 California. The Parties have vigorously litigated the Action since inception. During the course of
28 litigation, the Parties each performed analysis of the merits and value of the claims. Plaintiffs and

1 Defendant have engaged in significant research and investigation in connection with the Action.
2 Class Counsel has thoroughly analyzed the value of the claims during the prosecution of this Action
3 and utilized an expert to perform an analysis of the data and valuation of the claims.

4 12. Plaintiffs and Defendant agreed to discuss resolution of the Action through a
5 mediation. Prior to mediation, the Parties engaged in the above investigation and the exchange of
6 documents and information in connection with the Action. On April 9, 2025, the Parties
7 participated in an all-day mediation presided over by Tripper Ortman, a respected and experienced
8 mediator of wage and hour class actions. Following the mediation, the Parties agreed to settle the
9 Action based upon a mediator's proposal which was memorialized in the form of a Memorandum of
10 Understanding. The Parties then negotiated the final terms of the settlement as set forth in the
11 Agreement. At all times, the negotiations were arm's length and contentious.

12 13. Although a settlement has been reached, Defendant denies any liability or
13 wrongdoing of any kind associated with the claims alleged in the Action and further denies that, for
14 any purpose other than settlement, the Action is appropriate for class and/or representative
15 treatment. Defendant contends, among other things, that it has complied at all times with the
16 California Labor Code, applicable Wage Order, and all other laws and regulations. Further,
17 Defendant contends that class certification is inappropriate for any reason other than for settlement.
18 Plaintiffs contend that Defendant violated California wage and hour laws. Plaintiffs further contend
19 that the Action is appropriate for class certification on the basis that the claims meet the requisites
20 for class certification. Without admitting that class certification is proper, Defendant has stipulated
21 that the above Class may be certified for settlement purposes only. (Agreement at ¶ 2.9.) The
22 Parties agree that certification for settlement purposes is not an admission that class certification is
23 proper. Further, the Agreement is not admissible in this or any other proceeding as evidence that the
24 Class could be certified absent a settlement. Solely for purposes of settling the Action, the Parties
25 stipulate and agree that the requisites for establishing class certification with respect to the Class are
26 satisfied.

27 14. Class Counsel has conducted an investigation into the facts of the class action.
28

1 Informal discovery was obtained, which included the production of relevant documents and data.
2 Class Counsel engaged in a thorough review and analysis of the relevant documents and data with
3 the assistance of an expert. Accordingly, the agreement to settle did not occur until Class Counsel
4 possessed sufficient information to make an informed judgment regarding the likelihood of success
5 on the merits and the results that could be obtained through further litigation. In addition, Class
6 Counsel previously negotiated settlements with other employers in actions involving nearly identical
7 issues and analogous defenses. Based on the foregoing data and their own independent
8 investigation, evaluation and experience, Class Counsel believes that the settlement with Defendant
9 on the terms set forth in the Agreement is fair, reasonable, and adequate and is in the best interest of
10 the Class in light of all known facts and circumstances, including the risk of significant delay,
11 defenses asserted by Defendant, and potential appellate issues.
12

13 Settlement Terms and Plan of Allocation

14 15. The Gross Settlement Amount is One Million Nine Hundred Fifty Thousand Dollars
15 (\$1,950,000). (Agreement at ¶ 1.22.) Under the Settlement, the Gross Settlement Amount consists
16 of the following elements: (1) payment of the Individual Class Payments to the Participating Class
17 Members; (2) Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment; (3)
18 Administration Expenses Payment; (4) the Class Representative Service Payments to the Plaintiffs;
19 and (5) the PAGA Penalties payment. (Agreement at ¶ 1.22.) The Gross Settlement Amount does
20 not include Defendant's share of payroll taxes. (Agreement at ¶ 3.1.) The Gross Settlement
21 Amount shall be all-in with no reversion to Defendant. (Agreement at ¶ 3.1.)

22 16. Defendant shall fund the Gross Settlement Amount and the amount necessary to pay
23 payroll taxes no later than thirty (30) days after the Effective Date. (Agreement at ¶ 4.3.) The
24 distribution of Individual Class Payments to Participating Class Members along with the other
25 Court-approved distributions shall be made within 14 days after the Defendant funds the settlement.
26 (Agreement at ¶ 5.1.)

27 17. The amount remaining in the Gross Settlement Amount after the deduction of
28

1 Court-approved amounts for Individual PAGA Payments, the LWDA PAGA Payment, the Class
2 Representative Service Payments, the Class Counsel Fees Payment, the Class Counsel Litigation
3 Expenses Payment, and the Administration Expenses Payment (called the "Net Settlement
4 Amount") shall be allocated to Class Members as their Individual Class Payments. (Agreement at
5 ¶¶ 1.28 and 3.2.) From the Net Settlement Amount, the Individual Class Payment for each
6 Participating Class Member will be calculated by (a) dividing the Net Settlement Amount by the
7 total number of Workweeks worked by all Participating Class Members during the Class Period and
8 (b) multiplying the result by each Participating Class Member's Workweeks. (Agreement at ¶
9 3.2(e).) Workweeks will initially be based on Defendant's records, however, Class Members will
10 have the right to challenge the number of Workweeks.

11 18. Class Members may choose to opt-out of the Settlement by following the directions
12 in the Class Notice. (Agreement at ¶ 8.5, Ex. A.) The Class Notice provides that Class Members
13 shall have sixty (60) days from the date that the Class Notice is mailed to them (the "Response
14 Deadline") to request exclusion (opt-out) or to submit a written objection. (Agreement at ¶¶ 1.42,
15 8.5, 8.7.) All Class Members who do not "opt out" will be deemed Participating Class Members
16 who will be bound by the Settlement and will be entitled to receive an Individual Class Payment.
17 (Agreement at ¶ 8.5(c).) All Aggrieved Employees, including those who submit an opt-out request,
18 will still be paid their allocation of the PAGA Penalties and will remain subject to the release of the
19 Released PAGA Claims regardless of their request for exclusion. (Agreement at ¶¶ 6.3 and 8.5(d).)
20 Finally, the Class Notice will advise the Class Members of their right to object to the Settlement
21 and/or dispute their Workweeks. (Agreement at ¶¶ 8.6 and 8.7, Ex. A.)

22 19. A Participating Class Member must cash his or her Individual Class Payment check
23 within 180 days after it is mailed. (Agreement at ¶ 5.2.) Any settlement checks not cashed within
24 180 days will be voided and any funds represented by such checks sent to Legal Aid at Work, a
25 nonprofit organization or foundation consistent with Code of Civil Procedure Section 384(b) ("Cy
26 Pres Recipient"), subject to Court approval. (Agreement at ¶ 5.4.) The Parties, Class Counsel and
27 Defense Counsel represent that they have no interest or relationship, financial or otherwise, with the
28 intended Cy Pres Recipient. (Agreement at ¶ 5.4.)

1 20. Subject to Court approval, the Parties have agreed on Apex Class Action to
2 administer the settlement in this action (“Administrator”). (Agreement at ¶ 1.2.) The Administrator
3 will be paid for settlement administration in an amount not to exceed \$20,000. (Agreement at ¶
4 3.2(c).) Apex Class Action provided an estimate of \$18,550 for administration expenses.

5 21. Subject to Court approval, the Agreement provides for Class Counsel to be awarded
6 a sum not to exceed one-third of the Gross Settlement Amount, as the Class Counsel Fees Payment.
7 (Agreement at ¶ 3.2(b).) Class Counsel will also be allowed to apply separately for an award of
8 Class Counsel Litigation Expenses Payment in an amount not to exceed \$40,000. (Agreement at ¶
9 3.2(b).) Subject to Court approval, the Agreement provides for a payment of no more than \$15,000
10 to each Plaintiff as the Class Representative Service Payments. (Agreement at ¶ 3.2(a).)

11 22. Subject to Court approval, the PAGA Penalties will be paid from the Gross
12 Settlement Amount for PAGA penalties under the California Private Attorneys General Act, Cal.
13 Labor Code Section 2698, *et seq.* (“PAGA”). The PAGA Penalties are \$40,000, to be allocated
14 75% (\$7,500) to the LWDA PAGA Payment and 25% (\$2,500) to the Individual PAGA Payments.³
15 (Agreement at ¶ 3.2(d).) The Individual PAGA Payments will be calculated by (a) dividing the
16 amount of the PAGA Group Members' 25% share of PAGA Penalties (\$10,000) by the total number
17 of PAGA Pay Periods worked by all PAGA Group Members during the PAGA Period and (b)
18 multiplying the result by each PAGA Group Member's PAGA Pay Periods. (Agreement at ¶ 3.2(d).)
19 As set forth in the accompanying proof of service, the LWDA has been served with this motion and
20 the Agreement.

21
22 Risks of Continued Litigation and Standards for Approval

23 23. Plaintiffs and Class Counsel recognize the expense and length of continuing to
24 litigate and trying this Action against Defendant through possible appeals which could take several
25 years. Class Counsel has also taken into account the uncertain outcome and risk of litigation,

26 _____
27 ³ The 75/25 allocation is required by Labor Code § 2699, because this action was filed and the
28 PAGA Notice was sent before June 2024, so it is not subject to the amended version of Labor Code
§ 2699.

1 especially in complex class actions such as this Action. Class Counsel is also mindful of and
2 recognize the inherent problems of proof under, and alleged defenses to, the claims asserted in the
3 Action. Based upon their evaluation, Plaintiffs and Class Counsel have determined that the
4 Settlement set forth in the Agreement is in the best interest of the Class Members.

5 24. A number of defenses asserted by Defendant present serious threats to the claims of
6 the Plaintiffs and the other Class Members. Defendant asserted that Defendant's practices complied
7 with all applicable Labor laws. Defendant argued that Class Members were paid for all time worked
8 and that work time was properly recorded and compensated. Defendant maintained there was no
9 miscalculation of the regular rate when it paid meal premiums and sick wages to the Plaintiffs and
10 the Class. Defendant contends that its meal and rest period policies fully complied with California
11 law and that Defendant did not fail to provide the opportunity for legally required meal and rest
12 breaks. Defendant could argue that the payment of significant meal period premiums was evidence
13 of its lawful practices. Defendant contends that there was no failure to pay for business expenses
14 and any cell phone usage was merely convenient and voluntary such that reimbursement was not
15 legally required. Finally, Defendant has an argument that the Supreme Court decision in *Brinker v.*
16 *Superior Court*, 53 Cal. 4th 1004 (2012), weakened Plaintiffs' claims, on liability, value, and class
17 certifiability as to the meal and rest period claims. Defendant also argues that based on its facially
18 lawful practices, Defendant acted in good faith and without willfulness, which if accepted would
19 negate the claims for waiting time penalties and/or inaccurate wage statements. See e.g. *Naranjo v.*
20 *Spectrum Sec. Servs., Inc.*, 15 Cal. 5th 1056, 1065 (2024) ("if an employer reasonably and in good
21 faith believed it was providing a complete and accurate wage statement in compliance with the
22 requirements of section 226, then it has not knowingly and intentionally failed to comply with the
23 wage statement law.") If successful, Defendant's defenses could eliminate or substantially reduce
24 any recovery to the Class. While Plaintiffs believe that these defenses could be overcome,
25 Defendant maintains these defenses have merit and therefore present a serious risk to recovery by
26 the Class.

27 25. There was also a significant risk that, if the Action was not settled, Plaintiffs would
28

1 be unable to obtain a certified class and maintain the certified class through trial, and thereby not
2 recover on behalf of any employees other than themselves. At the time of the mediation, Defendant
3 forcefully opposed the propriety of class certification, arguing that individual issues precluded class
4 certification. Further, as demonstrated by the California Supreme Court decision in *Duran v. U.S.*
5 *Bank National Assn.*, 59 Cal. 4th 1 (2014), there are significant hurdles to overcome for a class-wide
6 recovery even where the class has been certified. While other cases have approved class
7 certification in wage and hour claims, class certification in this action was hotly disputed and the
8 maintenance of a certified class through trial was by no means a foregone conclusion.

9 26. This settlement is therefore certainly entitled to preliminary approval. Were this
10 case to go to trial, the Plaintiffs and the other class members would need to prove, among other
11 things, that wages were owed on a class-wide basis. This was and is a substantial risk.

12 27. Plaintiffs will apply to the Court for Class Representative Service Payments in
13 consideration for their service and for the risks undertaken on behalf of the Class. (Agreement at ¶
14 3.2(a).) Plaintiffs performed their duties admirably by working with Class Counsel over the course
15 of litigation, and are giving a general release for this service award. The Declarations of the
16 Plaintiffs are submitted in support. At this stage, the requested service award is within the accepted
17 range of awards for purposes of preliminary approval. *See e.g. Andrews v. Plains All Am. Pipeline*
18 *L.P.*, 2022 U.S. Dist. LEXIS 172183, at *11 (C.D. Cal. 2022) (finding that the requested service
19 awards of \$15,000 each are appropriate); *Reynolds v. Direct Flow Med., Inc.*, 2019 U.S. Dist.
20 LEXIS 149865, at *19 (N.D. Cal. 2019) (granting request for \$12,500 service award); *Mathein v.*
21 *Pier 1 Imps. (U.S.), Inc.*, 2018 U.S. Dist. LEXIS 71386 (E.D. Cal. 2018) (awarding \$12,500 where
22 average class member payment was \$351); *Louie v. Kaiser Foundation Health Plan, Inc.*, 2008 WL
23 4473183, *7 (S.D. Cal. Oct. 06, 2008) (awarding \$25,000 service award to each of six plaintiffs in
24 overtime class action); *Glass v. UBS Fin. Servs.*, 2007 WL 221862, *16-17 (N.D. Cal. 2007)
25 (awarding \$25,000 service award in overtime class action and a pool of \$100,000 in enhancements).

26 28. The stage of the proceedings at which this Settlement was reached also militates in
27 favor of preliminary approval and ultimately, final approval of the Settlement. Class Counsel has
28 conducted a thorough investigation into the facts of the class action. Class Counsel began

1 investigating the Class Members' claims before the Action was filed, and during the course of
2 litigation, and Class Counsel and engaged in sufficient informal discovery to obtain necessary
3 information. Class Counsel conducted a review and analysis of the relevant documents and data.
4 Class Counsel was also experienced with these claims, as Class Counsel previously litigated and
5 settled similar claims in other actions. Accordingly, the agreement to settle did not occur until Class
6 Counsel possessed sufficient information to make an informed judgment regarding the likelihood of
7 success on the merits and the results that could be obtained through further litigation.

8 29. Based on the foregoing data and their own independent investigation and evaluation,
9 Class Counsel is of the opinion that the Settlement with Defendant for the consideration and on the
10 terms set forth in the Agreement is fair, reasonable, and adequate and is in the best interest of the
11 Class in light of all known facts and circumstances, including the risk of significant delay, defenses
12 asserted by Defendant, and numerous potential appellate issues. There can be no doubt that Counsel
13 for both Parties possessed sufficient information to make an informed judgment regarding the
14 likelihood of success on the merits and the results that could be obtained through further litigation.

15
16 Class Certification Issues

17 30. Plaintiffs contend that the proposed settlement meet all of the requirements for class
18 certification under California Code of Civil Procedure § 382 as demonstrated below, and therefore,
19 the Court may appropriately approve the Class as defined in the Agreement. This Court should
20 conditionally certify the Class for settlement purposes only, defined as follows:

21 All individuals who were employed by Defendant in the State of California and
22 classified as non-exempt employees at any time during the Class Period.

23 (Agreement at ¶ 1.5.)

24 The Class Period is from March 12, 2022 to June 14, 2025. (Agreement at ¶ 1.13.)

25 a. **Numerosity** - Plaintiffs assert that the 1,761 current and former employees
26 that comprise the Class can be identified based on Defendant's records and are sufficiently
27 numerous for class certification.

28 b. **Common Issues Predominate** - Plaintiffs contend that common questions of

1 law and fact are present, specifically the common questions of whether Defendant's practices were
2 lawful, whether Defendant failed to provide meal and rest periods to Class Members, whether Class
3 members were lawfully compensated for all hours worked, whether Defendant miscalculated the
4 regular rate when paying Class Member meal premiums and sick wages, whether Defendant failed
5 to provide required expense reimbursement, and whether Class Members are entitled to damages
6 and penalties as a result of these practices. Plaintiffs contend that certification of this Class is
7 appropriate because Defendant allegedly engaged in uniform practices with respect to the Class
8 Members. As a result, these common questions of liability could be answered on a class wide basis.

9 . c. **Typicality** - In this Action, Plaintiffs contend that the typicality requirement
10 is fully satisfied. Plaintiffs, like every other member of the Class, were employed by Defendant as
11 non-exempt employees, and, like every other member of the Class, were subject to the same
12 employment practices. Plaintiffs, like every other member of the Class, also claim owed
13 compensation as a result of the Defendant's uniform company policies and practices. Thus, the
14 claims of Plaintiffs and the members of the Class arise from the same course of conduct by
15 Defendant, involve the same issues, and are based on the same legal theories.

16 d. **Adequacy** - Plaintiffs contend that the Class Members are adequately
17 represented here because Plaintiff and representing counsel (a) do not have any conflicts of interest
18 with other class members, and (b) will prosecute the case vigorously on behalf of the class. This
19 requirement is met here. First, Plaintiffs are well aware of their duties as the representatives of the
20 Class and have actively participated in the prosecution of this case to date. Plaintiffs effectively
21 communicated with Class Counsel, provided documents and information to Class Counsel, and
22 participated in the investigation and resolution of the Action. The personal involvement of the
23 Plaintiffs was essential to the prosecution of the Action and the monetary settlement reached.
24 Second, Plaintiffs retained competent counsel who are experienced in employment class actions and
25 who have no conflicts. Third, there is no antagonism between the interests of the Plaintiffs and
26 those of the Class. Both the Plaintiffs and the Class Members seek monetary relief under the same
27 set of facts and legal theories. Under such circumstances, there can be no conflicts of interest, and
28 adequacy of representation is satisfied for purposes of this Settlement.

1 31. Class Counsel's Adequacy of Representation and Absence of Conflict; Blumenthal
2 Nordrehaug Bhowmik De Blouw LLP is experienced in prosecuting class action lawsuits and can
3 competently represent the Class. Other lawyers at my firm and I have extensive class litigation
4 experience. We have handled a number of class actions and complex cases and have acted both as
5 counsel and as lead and co-lead counsel in a variety of these matters. We have successfully
6 prosecuted and obtained significant recoveries in numerous class action lawsuits and other lawsuits
7 involving complex issues of law and fact. My firm is particularly experienced in wage and hour
8 employment law class actions, including claims for misclassification, overtime, expense
9 reimbursement, unlawful deduction of wages, and missed rest and meal periods. Blumenthal
10 Nordrehaug Bhowmik De Blouw LLP has been involved as class counsel in over hundreds of wage
11 and hour class actions. Blumenthal Nordrehaug Bhowmik De Blouw LLP has been found to be
12 adequate counsel by the courts throughout California. We have been approved as experienced class
13 counsel by both state and federal courts in California in contested class certification proceedings. A
14 true and correct copy of the resume of my firm is attached hereto as Exhibit #2. The Class in this
15 settlement is defined as "all individuals who were employed by Defendant in the State of California
16 and classified as non-exempt employees at any time during the Class Period." I have reviewed my
17 firm's cases and representation of other plaintiffs and there is no conflict or representation which
18 would prevent my firm from representing the interests of the Class this case. My firm only
19 represents employees, and not employers. My firm has never represented Defendant nor any
20 affiliate of the Defendant. My firm's only interest in the subject matter of this litigation is to ensure
21 a recovery to the Class and to maximize that recovery. Finally, our allegiance to the Class and the
22 claims of the Class is not inconsistent with our allegiance to pursue the claims on behalf of other
23 employees and classes as the claims are all against different and distinct employers. I can think of
24 no conflict that would arise in our representation of the Class and our adequate representation of the
25 Class is evidenced by the successful prosecution of the class claims to reach an excellent recovery
26 for the Class. Moreover, neither the Plaintiffs nor Class Counsel have any affiliation, relationship or
27 connection with the proposed Administrator for this settlement nor the Cy Pres Recipient. Thus, the
28 adequacy requirement for my firm is satisfied for purposes of this Settlement.

1 32. The Class Notice, drafted jointly and agreed upon by the Parties through their
2 respective counsel and to be approved by the Court, includes all relevant information. (See Exhibit
3 “A” to the Agreement.) In accordance with the Agreement, Defendant will provide to the
4 Administrator a confidential electronic spreadsheet containing the Class Data. (Agreement at ¶ 4.2.)
5 Within 14 days after receiving the Class Data, the Administrator will mail the Class Notice to all
6 Class Members via first-class U.S. Mail using the most current mailing address information
7 available. (Agreement at ¶ 8.4(b).) The Class Notice will include a Spanish translation.
8 (Agreement at ¶ 1.11.) The Class Notice will include, among other information: (i) information
9 regarding the Action; (ii) the impact on the rights of the Class Members if they do not opt out,
10 including a description of the applicable release; (iii) information to the Class Members regarding
11 how to opt out and how to object to the Settlement; (iv) the estimated Individual Class Payment for
12 each of the Class Members; (iii) the amount of attorneys’ fees and expenses to be sought; (v) the
13 amount of the Plaintiffs’ service award request; and (vi) the anticipated expenses of the
14 Administrator. The Class Notice will explain that the Class Members shall have sixty (60) days
15 from the date that the Class Notice is mailed to them (the “Response Deadline”) to request
16 exclusion (opt-out) or to submit a written objection. (Agreement at ¶¶ 1.42, 8.5, 8.7.) Class
17 Members shall be given the opportunity to object to the Settlement and the request for attorneys’
18 fees and expenses, and to appear at the Final Approval Hearing. (Agreement at ¶ 8.7.) Class
19 Members who do not submit a timely and proper request to opt-out will automatically receive a
20 payment of their Individual Class Payment. This notice program was designed to meaningfully
21 reach the Class Members and it advises them of all pertinent information concerning the Settlement.

22
23 33. The PAGA Claim -

24 a. **Approval of PAGA Settlements.** The decision in *O'Connor v. Uber*, 201
25 F.Supp.3d 1110, 1133 (N.D. Cal. 2016), and the LWDA's Response therein is illustrative. The
26 LWDA first states that "when viewing the monetary relief allocated to PAGA claims under a
27 settlement, the LWDA recognizes that the PAGA sum need not necessarily be viewed through the
28 same lens as the relief obtained by absent class members on other claims (i.e., the percentage of

1 recovery-to-exposure on the PAGA claims need not necessarily equal the percentage of recovery on
2 the other claims)." (LWDA Response at p.3). The LWDA also indicated that the payment of
3 money to the aggrieved employees furthers the purposes of PAGA and that the Court considers that
4 primary consideration. "The LWDA recognizes that this Court does not review the PAGA
5 allocation in isolation, but rather reviews the settlement as a whole, to determine whether it is
6 fundamentally fair, reasonable and adequate, with primary consideration for the interests of absent
7 class members." (LWDA Response at p.4).

8 b. **Valuation of the PAGA Claim.** For mediation, Plaintiff calculated the
9 value of the alleged PAGA claim as to Aggrieved Employees for civil penalties to be between
10 \$2,405,300 and \$4,810,600 for a single violation in every one of the 48,106 pay periods at issue in
11 the PAGA Period, depending on whether the violation was \$50 per pay period as in the case of
12 Labor Code § 558(a)(1) or the standard amount of \$100 per pay period for violation of Labor Code
13 § 1198. This valuation assumed that PAGA civil penalties would be awarded at the maximum rate
14 per pay period but without stacking.⁴ The PAGA allocation in the Settlement is the amount of
15 \$40,000. This allocation is justified by several important considerations. First, the PAGA claim
16 was subject to the same risks as the underlying class claims. Second, Defendant asserted additional
17 defenses to the PAGA claim, not only as to liability but also as to the amount of the penalties.
18 Defendant argued that significant PAGA penalties would be unlikely and would be reduced under
19 such particular "circumstances" under Cal. Labor Code §2699(e)(2) and/or "would result in an
20 award that is unjust, arbitrary and oppressive, or confiscatory" under Cal. Labor Code §2699(e)(2).
21 Defendant could also argue that no penalties prior to the PAGA notification should be awarded, and
22 I am aware of one trial court which has so ruled. These additional defenses present a risk to the
23 PAGA claim and the potential that some or all of the PAGA penalties sought may not be awarded.

24
25 ⁴ Stacking is where more than one civil penalty is imposed in a pay period for the same conduct.
26 The valuation of between \$2,405,300 and \$4,810,600 is the civil penalty amount without stacking.
27 If stacking is permitted, then the valuation increases with each additional penalty added to each pay
28 period. Plaintiff, however, is not aware of any PAGA award which permitted stacking and in the
cases cited herein, only one penalty per pay period was assessed, and the recent amendments to the
PAGA statute cast further doubt on stacking of penalties.

1 Third, in *Carrington v. Starbucks Corp.*, 30 Cal. App. 5th 504 (2018), the court affirmed a judgment
2 which only provided for a PAGA penalty of \$5 per violation. Therefore, at trial, any PAGA
3 penalties awarded could be significantly less than Plaintiffs' calculation even where Plaintiff
4 prevailed on the PAGA claim. Even if we assume that violations for all 48,106 pay periods were
5 established, using the valuation from *Carrington* results in a potential recovery of \$240,530 under
6 PAGA. This means that the PAGA allocation in the Agreement is a reasonable percentage of this
7 potential PAGA recovery. Fourth, the interests of PAGA are also served by the Class recovery
8 under the reasoning of the LWDA in *O'Connor v. Uber*.

9 c. **Comparable PAGA Settlements.** In reaching the settlement of the PAGA
10 claim, Class Counsel was also aware of what allocations other Courts have approved for similar
11 PAGA settlements as compared to the total settlement amount. A class settlement that allocates
12 approximately 2% of the total settlement value to resolve the PAGA claims applicable to the class is
13 also supported by what has been approved in other wage-and-hour class settlements. Indeed, Courts
14 typically approve PAGA settlement amounts in the range of between 0.27 to 2 percent of the total
15 settlement. See *Davis v. Brown Shoe Co.*, 2015 U.S. Dist. LEXIS 149010 (E.D. Cal. 2015) (PAGA
16 Payment of \$5,000 in a \$1.5 million class settlement); *Zamora v. Ryder Integrated Logistics, Inc.*,
17 2014 U.S. Dist. LEXIS 184096 (S.D. Cal. 2014) (\$7,500 payment to LWDA for PAGA on a \$1.5
18 million class settlement); *Lusby v. Gamestop Inc.*, 2015 U.S. Dist. LEXIS 42637 (N.D. Cal. 2015)
19 (PAGA Payment of \$5,000 in a \$500,000 class settlement); *Cruz v. Sky Chefs, Inc.*, 2014 U.S. Dist
20 Lexis 17693 (N.D. Cal. 2014) (approving payment of \$10,000 to the LWDA for PAGA out of
21 \$1,750,000 class settlement); *Chu v. Wells Fargo Investments, LLC*, 2011 WL 672645, *1 (N.D.
22 Cal. 2011) (approving PAGA payment of \$7,500 to the LWDA out of \$6.9 million common-fund
23 settlement); *Franco v. Ruiz Food Products, Inc.*, 2012 WL 5941801, *13 (E.D. Cal. 2012)
24 (approving PAGA payment of \$7,500 to the LWDA out of \$2.5 million common-fund settlement);
25 *Hopson v. Hanesbrands Inc.*, 2009 WL 928133, *9 (N.D. Cal. 2009) (approving PAGA allocation
26 that was .49% of \$408,420.32 gross settlement); *Garcia v. Gordon Trucking, Inc.*,
27 10-cv-00324-AWI-SKO, Dkt. 149-3, 165 (E.D. Cal.) (approving a class settlement of \$3,700,000,
28 with \$10,000 allocated to the PAGA claim); *McKenzie v. Federal Express Corp.*, CV 10-02420

1 GAF (PLAx), Dkt. 139 & 141 (C.D. Cal.) (court approved a settlement in an amount of \$8.25
2 million, with \$82,500 allotted to the PAGA claim); *DeStefan v Frito-Lay*, 8:10-cv-00112-DOC
3 (C.D. Cal.) (court approved a class settlement of \$2 million, with \$10,000 allocated to PAGA);
4 *Martino v. Ecolab Inc.*, No. 3:14CV04358 (N.D. Cal. 2017) (\$100,000 allotted as PAGA penalties
5 or 0.48% of \$21,000,000 settlement amount); *East v. Comprehensive Educational Services Inc.*,
6 Fresno Superior Court Case No. 11-CECG-04226 (2015) (\$10,000 allotted as PAGA penalties or
7 0.13% of \$7,595,846 settlement amount); *Bararsani v. Coldwell Banker Residential Brokerage*
8 *Company*, Los Angeles Superior Court Case No. BC495767 (2016) (\$10,000 allotted as PAGA
9 penalties or 0.22% of \$4,500,000 settlement amount); *Moppin v. Los Robles Medical Center*, No.
10 5:15CV01551 (C.D. Cal. 2017) (\$15,000 allotted as PAGA penalties or 0.40% of \$3,775,000
11 settlement amount); *Scott-George v. PVH Corporation*. No., 2:13CV00441 (E.D. Cal. 2017)
12 (\$15,000 allotted as PAGA penalties or 0.46% of \$3,250,000 settlement amount); *Nehrlich v. RPM*
13 *Mortgage Inc.*, Orange County Superior Court Case No. 30-2013-00666783-CU-OE-CXC (2017)
14 (\$10,000 allotted as PAGA penalties or 0.40% of \$2,500,000 settlement amount); *Rubio v. KTI*
15 *Incorporated*, San Bernardino Superior Court Case No. CIVDS-14-06132 (2015) (\$1,000 allotted as
16 PAGA penalties or 0.18% of \$550,000 settlement amount); *Gray v. Mountain View Child Care Inc.*,
17 San Bernardino Superior Court Case No. CIVDS-14-02285 (2016) (\$2,500 allotted as PAGA
18 penalties or 0.37% of \$675,000 settlement amount); *Perez v. West Coast Liquidators Inc. d/b/a Big*
19 *Lots*, San Bernardino Superior Court Case No. CIVDS-14-17863 (2016) (\$3,000 allotted as PAGA
20 penalties or 0.33% of \$900,000 settlement amount); *Penaloza vs. PPG Industries Inc.*, Los Angeles
21 Superior Court No. BC471369 (2013) (\$5,000 allotted as PAGA penalties or 0.38% of \$1,300,000
22 settlement amount); *Mejia v. DHL Express (USA) Inc.*, No. 2:15CV00890 (C.D. Cal. 2017) (\$5,000
23 allotted as PAGA penalties or 0.34% of \$1,450,000 settlement amount).

24
25 34. Attorneys' Fees - The Class Counsel Fees Payment is capped at one-third of the
26 Gross Settlement Amount. A fee award that is capped at one-third of the common fund is fair and
27 reasonable, and at the time of final approval, my firm will present lodestar to further support the
28 reasonableness of the requested fee award. My firm has been regularly awarded attorney's fees

1 equal to one-third of the common fund in Court-approved wage and hour class settlements. Some
2 of the class action awards obtained by Class Counsel in similar employment actions throughout the
3 state bear out the reasonableness of a fee and costs award equivalent to one-third (1/3) of the total
4 settlement value: On December 4, 2018, in *Panda Express Wage and Hour Cases* (Los Angeles
5 Superior Court, Case No. JCCP 4919) Judge Carolyn Kuhl awarded Class Counsel a one-third fee
6 award in a wage and hour class settlement. On February 1, 2019, in *Solarcity Wage and Hour*
7 *Cases* (San Mateo Superior Court, Case No. JCCP 4945) Judge Marie Weiner awarded Class
8 Counsel a one-third fee award in a wage and hour class settlement. On July 30, 2019, in *Erickson v.*
9 *John Muir Health*, (Contra Costa Superior Court Case No. MSC18-00307) Judge Edward Weil
10 awarded Class Counsel a one-third fee award in a wage and hour class settlement. On December
11 18, 2019, in *Velasco v. Lemonade Restaurant Group*, (Los Angeles Superior Court Case No.
12 BC672235) Judge William Highberger awarded Class Counsel a one-third fee award in a wage and
13 hour class settlement. On January 31, 2020, in *El Pollo Loco Wage and Hour Cases* (Orange
14 County Superior Court Case No. JCCP 4957) Judge William Claster awarded Class Counsel a one-
15 third award in a wage and hour class settlement. On December 3, 2020, in *Blackshear v. California*
16 *Fine Wine & Spirits* (Sacramento Superior Court Case No. 34-2018-00245842) Judge Christopher
17 Krueger awarded BNBD a one-third fee award in a wage and hour class settlement. On June 2,
18 2021, in *Pacia v. CIM Group, L.P.* (Los Angeles Superior Court Case No. BC709666), Judge Amy
19 D. Hogue awarded Class Counsel a one-third fee award in a wage and hour class settlement. On
20 November 8, 2021, in *Securitas Wage and Hour Cases* (Los Angeles Superior Court Case No.
21 JCCP4837) Judge David Cunningham awarded a one-third fee award in a wage and hour class
22 settlement. On March 17, 2022, in *See's Candies Wage and Hour Cases* (Los Angeles Superior
23 Court Case No. JCCP5004) Judge Maren Nelson awarded a one-third fee award in a wage and hour
24 class action settlement. On April 12, 2022, in *O'Donnell v. Okta, Inc.*, (San Francisco Superior
25 Court Case No. CGC-20-587665) Judge Richard Ulmer awarded a one-third fee award in a wage
26 and hour class action settlement. On June 30, 2022, in *Armstrong, et al. v. Prometric LLC* (Los
27 Angeles Superior Court Case No. 20STCV29967), Judge Maren E. Nelson awarded a one-third fee
28 award in a wage and hour class action. On July 13, 2022, in *Crum v. S&D Carwash Management*

1 *LLC*, (Sacramento Superior Court Case No. 2019-00251338), Judge Christopher E. Krueger
2 awarded a one-third fee award in a wage and hour class action settlement. On August 10, 2022, in
3 *Spears, et al. v. Health Net of California, Inc.*, (Sacramento Superior Court Case No. 34-2017-
4 00210560-CU-OE-GDS), Judge Christopher E. Krueger awarded a one-third fee award in a wage
5 and hour class action settlement. On September 7, 2022, in *Lucchese, et al. v. Kone, Inc.*, (San
6 Francisco Superior Court Case No. CGC-20-588225), Judge Richard B. Ulmer, Jr. awarded a one-
7 third fee award in a wage and hour class action settlement. On November 4, 2022, in *Infinity Energy*
8 *Wage and Hour Cases* (San Diego Superior Court, Case No. JCCP5139), Judge Keri Katz awarded
9 a one-third fee award in a wage and hour class action settlement. On February 1, 2023, in *Hogan v.*
10 *AECOM Technical Services, Inc.* (Los Angeles Superior Court Case No. 19STCV40072), Judge
11 Stuart Rice awarded a one-third fee award in a wage and hour class settlement. On February 28,
12 2023, in *Farthing v. Milestone Technologies* (San Francisco Superior Court Case No. CGC-21-
13 591251), Judge Richard B. Ulmer, Jr. awarded a one-third fee award in a wage and hour class action
14 settlement. On March 2, 2023, in *Leon v. Calaveras Materials* (Kings County Superior Court Case
15 No. 21C-0105), Judge Melissa D’Morias awarded a one-third fee award in a wage and hour class
16 settlement. On June 20, 2023, in *Gonzalez v. Pacific Western Bank* (San Bernardino County
17 Superior Court Case No. CIVSB2127657) Judge David Cohn awarded a one-third fee award in a
18 wage and hour class settlement, On June 30, 2023, in *Aguirre v. Headlands Ventures* (Sacramento
19 County Superior Court Case No. 34-2021-00297290), Judge Jill Talley approved a one-third fee
20 award in a wage and hour class settlement. On August 5, 2023, in *Correia v. Gallo Glass Company*
21 (Stanislaus County Superior Court Case No. CV-21-006459) Judge Sonny Sandhu approved a one-
22 third fee award in a wage and hour class settlement. On September 15, 2023, in *Moran v. Sharp*
23 *Healtcare* (San Diego County Superior Court Case No. 37-2019-00050203), Judge Richard Whitney
24 awarded a one-third fee award in a wage and hour class settlement. On October 10, 2023, in *Arango*
25 *v. Schlumberger Technology*, (Orange County Case No. 30-2019-01056839-CU- OE-CXC), Judge
26 William Claster approved a one-third fee award in a wage and hour class action. On October 16,
27 2023, in *Flores v. Walmart*, (San Bernardino County Superior Court Case No. CIVDS2023061)
28 Judge Joseph T. Ortiz awarded a one-third fee award in a wage and hour class settlement. On

1 October 20, 2023, in *Pond v. Glen Ivy Hot Springs* (Riverside County Superior Court Case No.
2 CVRI2104986), Judge Harold W. Hopp awarded a one-third fee award in a wage and hour class
3 settlement. On November 17, 2023, in *Silva v. Woodward HRT* (Los Angeles County Superior
4 Court Case No. 21STCV42692), Judge Maren Nelson awarded a one-third fee award in a wage and
5 hour class settlement. On November 20, 2023, in *Steele v. Legoland California* (San Diego County
6 Superior Court Case No. 37-2021-00052868), Judge Carolyn M. Caietti awarded a one-third fee
7 award in a wage and hour class settlement. On November 29, 2023, in *Ochoa-Andrade v. See's*
8 *Candies* (San Mateo County Superior Court Case no. 22-CIV-02481), Judge Marie Weiner
9 approved a one-third fee award in a wage and hour class settlement. A fee award equal to one-third
10 of the common fund is therefore reasonable in light of the fees that have been awarded in other
11 similar cases.

12
13 35. Class Representative Service Payments - The reasonableness of the requested service
14 award is also established by reference to the amounts that other California courts have found to be
15 reasonable in wage and hour class action settlements: *Zamora v. Balboa Life & Casualty, LLC*,
16 Case No. BC360036, Los Angeles County Superior Court (Mar. 7, 2013)(awarding \$25,000 service
17 award); *Aguilar v. Cingular Wireless, LLC*, Case No. CV 06-8197 DDP (AJWx)(C.D. Cal. Mar. 17,
18 2011)(awarding \$14,767 service award); *Magee v. American Residential Services, LLC*, Case No.
19 BC423798, Los Angeles County Superior Court (Apr. 21, 2011)(awarding \$15,000 service award);
20 *Mares v. BFS Retail & Commercial Operations, LLC*, Case No. BC375967, Los Angeles County
21 Superior Court (June 24, 2010)(awarding \$15,000 service award); *Baker v. L.A. Fitness Int'l, LLC*,
22 Case No. BC438654, L.A. County Superior Court (Dec. 12, 2012)(awarding \$10,000 service awards
23 to three named plaintiffs); *Blue v. Coldwell banker Residential Brokerage Co.*, Case No. BC417335,
24 Los Angeles County Superior Court (Mar. 21, 2011)(awarding \$10,000 service award); *Buckmire v.*
25 *Jo-Ann Stores, Inc.*, Case No. BC394795, Los Angeles County Superior Court (June, 11,
26 2010)(awarding \$10,000 service awards); *Coleman v. Estes Express Lines, Inc.*, Case No.
27 BC429042, Los Angeles County Superior Court (Oct. 3, 2013)(awarding \$10,000 service award);
28 *Ethridge v. Universal Health Services, Inc.*, Case No. BC391958, Los Angeles County Superior

1 Court (May 27, 2011)(awarding \$10,000 service award); *Hickson v. South Coast Auto Ins.*
2 *Marketing, Inc.*, Case No. BC390395, Los Angeles County Superior Court (Mar. 27,
3 2012)(awarding \$10,000 service award); *Hill v. sunglass Hut Int'l, Inc.*, Case No. BC422934, Los
4 Angeles County Superior Court (July 2, 2012)(awarding \$10,000 service award); *Kambamba v.*
5 *Victoria's Secret Stores, LLC*, Case No. BC368528, Los Angeles County Superior Court, (Aug. 19,
6 2011)(awarding \$10,000 service award together with additional compensation for their general
7 release); *Nevarez v. Trader Joe's Co.*, Case No. BC373910, Los Angeles County Superior Court
8 (Jan. 29, 2010)(awarding \$10,000 service award); *Ordaz v. Rose Hills Mortuary, L.P.*, Case No.
9 BC386500, Los Angeles County Superior Court, (Mar. 19, 2010)(awarding \$10,000 service award);
10 *Sheldon v. AHMC Monterey Park Hosp. LP*, Case No. BC440282, Los Angeles County Superior
11 Court (Feb. 22, 2013)(awarding \$10,000 service award); *Silva v. Catholic Mortuary Services, Inc.*,
12 Case No. BC408054, Los Angeles County Superior Court (Feb. 8, 2011)(awarding \$10,000
13 enhancement award); *Weisbarth v. Banc West Investment Services, Inc.*, Case No. BC422202, Los
14 Angeles County Superior Court (May 24, 2013)(awarding \$10,000 service award); *Lazar v. Kaiser*
15 *Foundation Health Plan*, Case No. 14-cv-273289, Santa Clara County Superior Court (Dec. 28,
16 2015) (awarding \$10,000 service award); *Acheson v. Express, LLC*, Case No. 109CV135335, Santa
17 Clara County Superior Court (Sept. 13, 2011)(awarding \$10,000 service award); *Bejarano v.*
18 *Amerisave Mortgage Corp.*, Case No. EDCV 08-00599 SGL (Opx)(C.D. Cal. June 22,
19 2010)(awarding \$10,000 service award); *Carbajal v. Sally Beauty Supply LLC*, Case No. CIVVS
20 1004307, San Bernardino County Superior Court (Aug. 6, 2012)(awarding \$10,000 service award);
21 *Contreras v. Serco Inc.*, Case No. 10-cv-04526-CAS-JEMx (C.D. Cal. Sep. 10, 2012)(awarding
22 \$10,000 service award); *Guerro v. R.R. Donnelley & Sons Co.*, Case No. RIC 10005196, Riverside
23 County Superior Court (July 16, 2013)(awarding \$10,000 service award); *Kisliuk v. ADT Security*
24 *Services Inc.*, Case No. CV08-03241 DSF (RZx)(C.D. Cal. Jan. 10, 2011)(awarding \$10,000 service
25 award); *Morales v. BCBG Maxazria Int'l Holdings, Inc.*, Case No. JCCP 4582, Orange County
26 Superior Court (Jan. 24, 2013)(awarding \$10,000 service award); *Barrett v. Doyon Security*
27 *Services, LLC*, Case No. BS900199, BS900517, San Bernardino County Superior Court (Apr. 23,
28 2010)(awarding \$10,000 service award); *Zirpolo v. UAG Stevens Creek II*, Santa Clara Superior

1 Court Case no. 17CV313457 (July 10, 2018) (awarding \$10,000 service award); *Taylor v. TIC - The*
2 *Industrial Company*, U.S.D.C. Central District of California Case No. EDCV 16-186-VAP (Aug. 1,
3 2018) (awarding \$10,000 service award).

4
5 36. Potentially Related Other Actions - I am not aware of any other pending matter or
6 action asserting claims that will be extinguished or affected by the Settlement. I have searched the
7 LWDA database for PAGA Notices, which showed no other pending PAGA claim (other than this
8 one).

9
10 37. Administration - After seeking bids from qualified administrators, the estimate from
11 Apex Class Action was selected, as it provided for an estimate of \$18,500 to perform the settlement
12 administration for up to 1,850 individuals, with any difference between the actual expenses and the
13 budget of \$20,000 to be retained in the Net Settlement Amount for distribution to the Class. I have
14 used Apex Class Action successfully as the administrator in more than twenty class settlements in
15 the last couple years and know them to be competent and experienced. My firm has no relationship
16 or connection with Apex Class Action, and thus no conflict of interest exists. Submitted in support
17 of the motion is the Declaration of Sean Hartranft of Apex Class Action which includes the estimate
18 for administration from Apex Class Action for this matter.

19
20 Service on the LWDA:

21 38. At the same time as the filing and service of this declaration, I am also serving the
22 LWDA with the entire motion for preliminary approval which includes the Class Action and PAGA
23 Settlement Agreement. This service is verified by the accompanying proof of service.

24
25 Defendant's Declaration Pursuant to Paragraph 7.1:

26 39. Attached hereto as Exhibit #4 is the Declaration of Seth Weisburst which discloses
27 all facts relevant to any actual or potential conflicts of interest with the Administrator, and the Cy
28 Pres Recipient, if any.

1 I declare under penalty of perjury under the laws of the State of California that the foregoing
2 is true and correct. Executed this 1st day of October, 2025, at South Lake Tahoe, California.

3
4 By: /s/ Kyle Nordrehaug
5 Kyle R. Nordrehaug, Esq.,
6 Attorney for Plaintiffs
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EXHIBIT #1

CLASS ACTION AND PAGA SETTLEMENT AGREEMENT

This Class Action and PAGA Settlement Agreement (“Agreement”) is made by and between plaintiff Amanda Nunez and Marlisha L. James (“Plaintiffs”) and defendant University Healthcare Alliance a.k.a. Stanford Medicine Partners (“Defendant”). The Agreement refers to Plaintiffs and Defendant collectively as the “Parties,” or individually as “Party.”

1. DEFINITIONS

In addition to other terms defined in this Agreement, the terms below have the following meaning in this Agreement:

- 1.1. “Actions” means the lawsuit alleging wage and hour violations against Defendant captioned *Nunez v. University Healthcare Alliance*, Case No. 23-CIV-05931, initiated on December 14, 2023, and pending in the Superior Court of California, County of San Mateo and the lawsuit alleging wage and hour violations against Defendant captioned *James v. University Healthcare Alliance*, Case No. 24CV095252, initiated on October 10, 2024 and pending in the Superior Court of California, County of Alameda. The Parties acknowledge that Plaintiff Nunez separately brought a PAGA action entitled *Amanda Nunez v. University Healthcare Alliance*, Alameda Superior Court, Case No. 24CV062541, filed on February 5, 2024, with a PAGA Letter service date of November 20, 2023 which was dismissed without prejudice and added to the *Nunez* Class Action in the First Amended Complaint filed August 12, 2024.
- 1.2. “Administrator” means Apex Class Action, the neutral entity the Parties have agreed to appoint to administer the Settlement.
- 1.3. “Administration Expenses Payment” means the amount the Administrator will be paid from the Gross Settlement Amount to reimburse its reasonable fees and expenses in accordance with the Administrator’s “not to exceed” bid submitted to the Court in connection with Preliminary Approval.
- 1.4. “PAGA Group Members” means all individuals who were employed by Defendant in the State of California and classified as non-exempt employees at any time during the PAGA Period.
- 1.5. “Class” means all individuals who were employed by Defendant in the State of California and classified as non-exempt employees at any time during the Class Period and are potentially part of the Class for settlement purposes only.
- 1.6. “Class Counsel” means Norman B. Blumenthal, Kyle R. Nordrehaug, and Aparajit Bhowmik of Blumenthal Nordrehaug Bhowmik De Blouw LLP, Ryan Stygar of Centurion Trial Attorneys, APC, and James. R. Hawkins and Mitchell J. Murray of

James Hawkins, APLC.

- 1.7. “Class Counsel Fees Payment” and “Class Counsel Litigation Expenses Payment” mean the amounts to be paid to Class Counsel for fees and expenses, respectively, as approved by the Court, to compensate Class Counsel for their legal work in connection with the Actions, including their pre-filing investigation, their filing of the Actions, all related litigation activities, all Settlement work, all post-Settlement compliance procedures, and related litigation expenses billed in connection with the Actions.
- 1.8. “Class Data” means Class Member identifying information in Defendant’s possession including the Class Member’s name, last-known mailing address, Social Security number, and number of Workweeks and PAGA Pay Periods.
- 1.9. “Class Member” means a member of the Class, for settlement purposes only, as either a Participating Class Member or Non-Participating Class Member (including a Non-Participating Class Member who qualifies as a PAGA Group Member).
- 1.10. “Class Member Address Search” means the Administrator’s investigation and search for current Class Member mailing addresses using all reasonably available sources, methods and means including, but not limited to, the National Change of Address database, skip traces, and direct contact by the Administrator with Class Members by use of available email addresses, phone numbers, social security numbers, credit reports, LinkedIn and Facebook.
- 1.11. “Class Notice” means the COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL, to be mailed to Class Members in English with a Spanish translation in the form, without material variation, attached as Exhibit A and incorporated by reference into this Agreement.
- 1.12. “Class Notice Packet” means the Class Notice to be provided to the Class Members by the Administrator in the form set forth as Exhibit A to this Agreement (other than formatting changes to facilitate printing by the Administrator).
- 1.13. “Class Period” means the period of time from March 12, 2022 to June 14, 2025.
- 1.14. “Class Representatives” means the named Plaintiffs in the Operative Complaint in the Actions seeking Court approval to serve as the Class Representatives.
- 1.15. “Class Representative Service Payment” means the service payment made to each of the Plaintiffs as the Class Representatives in order to compensate them for initiating the Actions, performing work in support of the Actions, undertaking the risk of liability for Defendant’s expenses, and for the general release of all claims by the Plaintiffs.

- 1.16. “Court” means the Superior Court of California, County of San Mateo.
- 1.17. “Defendant” means University Healthcare Alliance a.k.a. Stanford Medicine Partners.
- 1.18. “Defense Counsel” means Michael Bruno, Seth Weisburst, and Rachel Wintterle of Gordon Rees Scully Mansukhani, LLP.
- 1.19. “Effective Date” means the date by when both of the following have occurred: (a) the Court enters a Judgment on its Order Granting Final Approval of the Settlement; and (b) the Judgment is final. The Judgment is final as of the latest of the following occurrences: (i) if no Participating Class Member objects to the Settlement, the day the Court enters Judgment; (b) if one or more Participating Class Members objects to the Settlement, the day after the deadline for filing a notice of appeal from the Judgment; or (c) if a timely appeal from the Judgment is filed, the day after the appellate court affirms the Judgment and issues a remittitur.
- 1.20. “Final Approval” means the Court’s order granting final approval of the Settlement substantially in the form attached hereto as Exhibit C to this Agreement and incorporated by reference into this Agreement.
- 1.21. “Final Approval Hearing” means the Court’s hearing on the Motion for Final Approval of the Settlement to determine whether to approve finally and implement the terms of this Agreement and enter the Judgment.
- 1.22. “Gross Settlement Amount” means One Million Nine Hundred and Fifty Thousand Dollars (\$1,950,000) which is the maximum total amount to be paid by Defendant as provided by this Agreement except as may potentially be required by Paragraph 9 below. The Gross Settlement Amount will be used to pay Individual Class Payments, Individual PAGA Payments, the LWDA PAGA Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, the Class Representative Service Payment, and the Administration Expenses Payment. This Gross Settlement Amount is an all-in amount without any reversion to Defendant, and excludes any employer payroll taxes, if any, due on the portion of the Individual Class Payments allocated to wages which shall not be paid from the Gross Settlement and shall be the separate additional obligation of Defendant.
- 1.23. “Individual Class Payment” means the Participating Class Member’s pro rata share of the Net Settlement Amount calculated according to the number of Workweeks worked during the Class Period.
- 1.24. “Individual PAGA Payment” means the PAGA Group Member’s pro rata share of 25% of the PAGA Penalties calculated according to the number of PAGA Pay Periods worked during the PAGA Period.

- 1.25. “Judgment” means the judgment entered by the Court based upon Final Approval substantially in the form attached hereto as Exhibit C to this Agreement and incorporated by reference into this Agreement.
- 1.26. “LWDA” means the California Labor and Workforce Development Agency, the agency entitled, under Labor Code section 2699, subd. (i).
- 1.27. “LWDA PAGA Payment” means the 75% of the PAGA Penalties paid to the LWDA under Labor Code section 2699, subd. (i).
- 1.28. “Net Settlement Amount” means the Gross Settlement Amount, less the following payments in the amounts approved by the Court: Individual PAGA Payments, the LWDA PAGA Payment, the Class Representative Service Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, and the Administration Expenses Payment. The Net Settlement Amount is to be paid to Participating Class Members as Individual Class Payments.
- 1.29. “Non-Participating Class Member” means a Class Member who opts out of the Class Settlement by submitting a valid and timely Request for Exclusion to the Administrator.
- 1.30. “PAGA Pay Period” means any Pay Period during which a PAGA Group Member worked for Defendant for at least one day during the PAGA Period.
- 1.31. “PAGA Period” means the period of time from November 20, 2022 to June 14, 2025.
- 1.32. “PAGA” means California’s Private Attorneys General Act (Labor Code §§ 2698. et seq.).
- 1.33. “PAGA Notice” means Plaintiff Amanda Nunez’s November 20, 2023 letter to Defendant and the LWDA providing notice pursuant to Labor Code section 2699.3, subd.(a).
- 1.34. “PAGA Penalties” means the total amount of PAGA civil penalties to be paid from the Gross Settlement Amount, allocated 25% to the PAGA Group Members (\$10,000) and the 75% to LWDA (\$30,000) in settlement of PAGA claims.
- 1.35. “Participating Class Member” means a Class Member who does not submit a valid and timely Request for Exclusion.
- 1.36. “Plaintiffs” means Amanda Nunez and Marlisha L. James, the named plaintiffs in the Actions.
- 1.37. “Preliminary Approval” means the Court’s Order Granting Preliminary Approval of

the Settlement, substantially in the form attached hereto as Exhibit B to this Agreement and incorporated by this reference herein.

- 1.38. “Released Class Claims” means all claims, charges, complaints, liens, demands, causes of action, obligations, damages and liabilities, known or suspected, that each Participating Class Member had, now has, or may hereafter claim to have against the Released Parties that were asserted in the Operative Complaint based on claimed conduct during the Class Period, or that could have been asserted based on any of the facts, events, acts, omissions, or failures to act alleged in the Operative Complaint during the Class Period. The Released Class Claims specifically include claims for: (1) California Unfair Competition Law; (2) Failure to Pay Minimum Wage; (3) Failure to Pay Overtime Wages; (4) Failure to Provide Required Meal Periods; (5) Failure to Provide Required Rest Periods; (6) Failure to Provide Accurate Wage Statements; (7) Failure to Reimburse Business Expenses; (8) Untimely Payment of Wages; (9) Failure to Pay Sick Pay Wages; and (10) Failure to Provide Suitable Seating. The specific statutes released include the following (though this list does not narrow the scope of the release described above and below): Labor Code §§ 201-204 et seq., 210, 216, 218, 218.6, 221, 226, 226.7, 227.3, 233, 246 et seq., 510, 512, 558, 1174, 1182.12, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2698, 2699, 2699.3, and 2699.5; the applicable IWC Wage Orders, including Nos. 4-2001 and 5-2001; California Code of Regulations, Title 8, sections 11000, et seq., 11040, 11050, and 11070; 29 U.S.C. section 211; Civil Code section 3287; and Business & Professions Code sections 17200, et seq. Participating Class Members do not release claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing Act, unemployment insurance, disability, social security, workers’ compensation, pension or retirement benefits, claims based on facts occurring outside the Class Period, and any other claim or right that as a matter of law cannot be waived or released.
- 1.39. “Released PAGA Claims” means all claims, charges, complaints, liens, demands, causes of action, obligations, damages and liabilities, known or suspected that were asserted in the Operative Complaint based on claimed conduct during the PAGA Period or were asserted in the PAGA Notice, or that could have been asserted based on any of the facts, events, acts, omissions, or failures to act alleged in the Operative Complaint or the PAGA Notice that occurred during the PAGA Period. The specific statutes released include the following (though this list does not narrow the scope of the release described above and below): Labor Code §§ 201-204 et seq., 210, 216, 218, 218.6, 221, 226, 226.7, 227.3, 233, 246 et seq., 510, 512, 558, 1174, 1182.12, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2698, 2699, 2699.3, and 2699.5; the applicable IWC Wage Orders, including Nos. 4-2001 and 5-2001; California Code of Regulations, Title 8, sections 11000, et seq., 11040, 11050, and 11070. The Released PAGA Claims do not include claims for wrongful termination, discrimination, unemployment insurance, disability and worker’s compensation, claims outside of the PAGA Period, and any other claim or right that as a matter of law cannot be waived or released.

- 1.40. “Released Parties” means: Defendant, and Defendant’s affiliates, parents, and each of their company-sponsored employee benefit plans, and their respective successors and predecessors in interest, all of their respective officers, directors, employees, administrators, fiduciaries, trustees and agents, and of their past present and future officers, directors, shareholders, employees, agents, principals, heirs, representatives, attorneys, accountants, auditors, consultants, insurers, and reinsurers.
- 1.41. “Request for Exclusion” means a Class Member’s submission of a written request to be excluded from the Class Settlement signed by the Class Member.
- 1.42. “Response Deadline” means sixty (60) calendar days after the Administrator mails Class Notice Packet to Class Members and PAGA Group Members, and shall be the last date on which Class Members may: (a) submit Requests for Exclusion from the Settlement, or (b) submit his or her Objection to the Settlement. Class Members to whom Class Notice Packets are resent after having been returned undeliverable to the Administrator shall have an additional 14 calendar days beyond the Response Deadline has expired.
- 1.43. “Settlement” means the disposition of the Actions and all related claims effectuated by this Agreement and the Judgment.
- 1.44. “Workweek” means any week during the Class Period in which a Class Member worked for Defendant as a Class Member for at least one day.

2. RECITALS

- 2.1. On December 14, 2023, plaintiff Amanda Nunez filed a complaint against Defendant in the Superior Court of the State of California, County of San Mateo (“Nunez Class Action”). Plaintiff Nunez’s complaint asserted claims that Defendant:
- (a) Violated California Business and Professions Code § 17200 et seq.;
 - (b) Failed to pay minimum wages in violation of Cal. Lab. Code §§ 1194, 1197 and 1197.1;
 - (c) Failed to pay overtime wages in violation of California Labor Code § 510, et seq.;
 - (d) Failed to provide required meal periods in violation of California Labor Code §§ 226.7 & 512 and the applicable IWC Wage Order;
 - (e) Failed to provide required rest periods in violation of California Labor Code §§ 226.7 & 512 and the applicable IWC Wage Order;
 - (f) Failed to reimburse employees for required expenses in violation of California Labor Code § 2802.
 - (g) Failed to provide accurate itemized wage statements in violation of California Labor Code § 226;
 - (h) Failed to provide wages when due in violation of California Labor Code §§ 201, 202 and 203; and,

- (i) Failed to pay sick pay wages in violation of California Labor Code §§201-203, 233, 246.
- 2.2. On February 5, 2024, plaintiff Amanda Nunez filed a First Amended Complaint in the Nunez Class Action which added a cause of action for violation of the Private Attorney General Act, Cal. Labor Code §§ 2698, et seq. (“PAGA”).
- 2.3. On October 10, 2024, plaintiff Marlisha L. James filed a complaint against Defendant in the Superior Court of California, County of Alameda (“James Class Action”). Plaintiff James’s complaint asserted claims that Defendant:
 - (a) Failed to pay minimum wages;
 - (b) Failed to pay overtime wages owed;
 - (c) Failed to pay reporting time wages owed
 - (d) Failed to provide meal periods
 - (e) Failed to authorize and permit rest periods;
 - (f) Failed to reimburse necessary expenses;
 - (g) Failed to timely pay wages during employment;
 - (h) Failed to timely pay wages owed upon separation from employment;
 - (i) Knowing and intentional failure to comply with itemized wage statement provisions; and,
 - (j) Violated the Unfair Competition Law.
- 2.4. On August 12, 2025, the Parties filed a stipulation for leave for plaintiff Amanda Nunez to file a Second Amended Complaint in the Nunez Class Action (1) adding plaintiff Marlisha L. James as a named plaintiff, (2) adding the claims alleged in the James Class Action, (3) maintaining the claims in the First Amended Complaint in the Nunez Class Action, and (4) agreeing to dismiss the James Class Action (Case No. 24CV095252) after its claims are added into the Second Amended Complaint in the Nunez Class Action.
- 2.5. The Second Amended Complaint in the Nunez Class Action will be the operative complaint in the Actions (the “Operative Complaint”).
- 2.6. Defendant denies the allegations in the Operative Complaint, denies any failure to comply with the laws identified in in the Operative Complaint, and denies any and all liability for the causes of action alleged.
- 2.7. On April 9, 2025, the Parties participated in an all-day mediation presided over by Tripper Ortman, a respected mediator of wage and hour representative and class actions. Following the mediation, each side, represented by its respective counsel, was able to agree to settle the Actions based upon a mediator’s proposal which was memorialized in the form of a Memorandum of Understanding, which was the original basis for this Agreement which includes additional terms.

- 2.8. Prior to mediation, Plaintiffs obtained sufficient documents and information to sufficiently investigate the claims such that Plaintiffs' investigation was sufficient to satisfy the criteria for court approval set forth in *Dunk v. Foot Locker Retail, Inc.* (1996) 48 Cal.App.4th 1794, 1801 and *Kullar v. Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 129-130 ("*Dunk/Kullar*").
- 2.9. This Agreement represents a compromise and settlement of highly disputed claims. Nothing in this Agreement is intended or will be construed as an admission by Defendant that the claims in the Actions of Plaintiffs or the Class have merit or that Defendant bears any liability to Plaintiffs or the Class on those claims or any other claims, or as an admission by Plaintiffs that Defendant's defenses in the Actions have merit. The Parties agree to certification of the Class for purposes of this Settlement only. If for any reason the settlement does not become effective, Defendant reserves the right to contest certification of any class for any reason and reserves all available defenses to the claims in the Action.

3. MONETARY TERMS

- 3.1. Gross Settlement Amount. Except as otherwise provided by Paragraph 9 below, Defendant promises to pay \$1,950,000, and no more, as the Gross Settlement Amount. This amount is all-inclusive of all payments contemplated in this resolution, excluding any employer-side payroll taxes on the portion of the Individual Class Payments allocated to wages which shall be separately paid by Defendant to the Administrator. Defendant has no obligation to pay the Gross Settlement Amount (or any payroll taxes) prior to the deadline stated in Paragraph 4.3 of this Agreement. The Administrator will disburse the entire Gross Settlement Amount without asking or requiring Participating Class Members or PAGA Group Members to submit any claim as a condition of payment. None of the Gross Settlement Amount will revert to Defendant.
- 3.2. Payments from the Gross Settlement Amount. Subject to the terms and conditions of this Agreement, the Administrator will make the following payments out of the Gross Settlement Amount, in the amounts specified by the Court in the Final Approval.
- (a) To Plaintiffs: Class Representative Service Payments to the Class Representative of \$15,000 each (in addition to any Individual Class Payments and any Individual PAGA Payments the Class Representatives are entitled to receive as a Participating Class Members). Defendant will not oppose Plaintiffs' requests for Class Representative Service Payments that do not exceed these amounts. As part of the motion for the Class Counsel Fees Payment and the Class Litigation Expenses Payment, Plaintiffs will seek Court approval for any Class Representative Service Payment no later than 16 court days prior to the Final Approval Hearing. If the Court approves Class Representative Service Payments less than the amounts requested, the Administrator will retain the remainder in the Net Settlement Amount. The Administrator will pay the Class Representative Service Payments using IRS Form 1099. Plaintiffs assume

full responsibility and liability for employee taxes owed on the Class Representative Service Payment.

- (b) To Class Counsel: A Class Counsel Fees Payment of not more than one-third (1/3) of the Gross Settlement Amount, which is currently estimated to be \$650,000, and a Class Counsel Litigation Expenses Payment of not more than \$40,000. Defendant will not oppose requests for these payments provided that do not exceed these amounts. Plaintiffs and/or Class Counsel will file a motion for the Class Counsel Fees Payment and the Class Litigation Expenses Payment no later than 16 court days prior to the Final Approval Hearing. If the Court approves a Class Counsel Fees Payment and/or a Class Counsel Litigation Expenses Payment less than the amounts requested, the Administrator will allocate the remainder to the Net Settlement Amount. Released Parties shall have no liability to Class Counsel or any other Plaintiff's Counsel arising from any claim to any portion any Class Counsel Fee Payment and/or Class Counsel Litigation Expenses Payment. The Administrator will pay the Class Counsel Fees Payment and Class Counsel Expenses Payment using one or more IRS 1099 Forms. Class Counsel assumes full responsibility and liability for taxes owed on the Class Counsel Fees Payment and the Class Counsel Litigation Expenses Payment and holds Defendant harmless, and indemnifies Defendant, from any dispute or controversy regarding any division or sharing of any of these payments. The payment of Class Counsel Fees Payment shall be made as follows: 69.75% to Blumenthal Nordrehaug Bhowmik De Blouw LLP, 20.25% to Centurion Trial Attorneys, APC, and 10% to James Hawkins, APLC. Payment of Class Counsel Litigation Expenses Payment shall be made to the firm that incurred the expenses.
- (c) To the Administrator: An Administration Expenses Payment not to exceed \$20,000 except for a showing of good cause and as approved by the Court. To the extent the Administration Expenses Payment is less or the Court approves payment less than \$20,000, the Administrator will retain the remainder in the Net Settlement Amount for distribution to Participating Class Members.
- (d) To the LWDA and PAGA Group Members: PAGA Penalties in the amount of \$40,000 to be paid from the Gross Settlement Amount, with 75% (\$30,000) allocated to the LWDA PAGA Payment and 25% (\$10,000) allocated to the Individual PAGA Payments.
 - i. The Administrator will calculate each Individual PAGA Payment by (a) dividing the amount of the PAGA Group Members' 25% share of PAGA Penalties (\$10,000) by the total number of PAGA Pay Periods worked by all PAGA Group Members during the PAGA Period and (b) multiplying the result by each PAGA Group Member's PAGA Pay Periods. PAGA Group Members assume full responsibility and liability for any taxes owed on their Individual PAGA Payment.

- ii. If the Court approves PAGA Penalties of less than the amount requested, the Administrator will allocate the remainder to the Net Settlement Amount. The Administrator will report the Individual PAGA Payments on IRS 1099 Forms.
- (e) To Each Participating Class Member: An Individual Class Payment calculated by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Workweeks.
- i. Tax Allocation of Individual Class Payments. 20% of each Participating Class Member's Individual Class Payment will be allocated to settlement of wage claims (the "Wage Portion"). The Wage Portions are subject to tax withholding and will be reported on an IRS W-2 Form. 80% of each Participating Class Member's Individual Class Payment will be allocated to settlement of claims for non-wages, expense reimbursement, interest and penalties (the "Non-Wage Portion"). The Non-Wage Portions are not subject to wage withholdings and will be reported on IRS 1099 Forms. Participating Class Members assume full responsibility and liability for any employee taxes owed on their Individual Class Payment.
 - ii. Effect of Non-Participating Class Members on Calculation of Individual Class Payments. Non-Participating Class Members will not receive any Individual Class Payments. The Administrator will retain amounts equal to their Individual Class Payments in the Net Settlement Amount for distribution to Participating Class Members on a pro rata basis.

4. SETTLEMENT FUNDING

- 4.1. Class Workweeks and PAGA Group Member Pay Periods. Based on its records, Defendant estimated that as of the time of mediation, the estimated 1,761 Class Members collectively worked a total of 144,314 workweeks during the period of time from March 12, 2022 through the time of mediation on April 9, 2025.
- 4.2. Class Data. Not later than 30 days after the Court grants Preliminary Approval of the Settlement, Defendant will deliver the Class Data to the Administrator, in the form of a Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must maintain the Class Data in confidence, use the Class Data only for purposes of this Settlement and for no other purpose, and restrict access to the Class Data to Administrator employees who need access to the Class Data to effect and perform under this Agreement. Defendant has a continuing duty to immediately notify Class Counsel if it discovers that the Class Data omitted Class Member identifying information and to provide corrected or updated Class Data as soon as reasonably feasible. Without any extension of the deadline by which Defendant must send the Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts, in good

faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class Data.

- 4.3. Funding of the Gross Settlement Amount. Defendant shall fully fund the Gross Settlement Amount, and also fund the amounts necessary to fully pay Defendant's share of payroll taxes by transmitting the funds to the Administrator no later than 30 days after the Effective Date.

5. PAYMENTS FROM THE GROSS SETTLEMENT AMOUNT

- 5.1. Within 14 days after Defendant funds the Gross Settlement Amount, the Administrator will mail checks for all Individual Class Payments, all Individual PAGA Payments, the LWDA PAGA Payment, the Administration Expenses Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, and the Class Representative Service Payment.
- 5.2. The Administrator will issue checks for the Individual Class Payments and/or Individual PAGA Payments and send them to the Class Members via First Class U.S. Mail, postage prepaid. The face of each check shall prominently state the "void date", which is 180 days after the date of mailing, when the check will be voided. The Administrator will cancel all checks not cashed by the void date. The Administrator will send checks for Individual Class Payments to all Participating Class Members (including those for whom Class Notice was returned undelivered). The Administrator will send checks for Individual PAGA Payments to all PAGA Group Members including Non-Participating Class Members who qualify as PAGA Group Members (including those for whom Class Notice was returned undelivered). The Administrator may send Participating Class Members a single check combining the Individual Class Payment and the Individual PAGA Payment. Before mailing any checks, the Administrator must update the recipients' mailing addresses using the National Change of Address Database. If a Participating Class Member's or PAGA Group Member's check is not cashed within 120 days after its last mailing to the affected individual, the Administrator will also send the individual a notice informing him or her that unless the check is cashed by the void date, it will expire and become non-negotiable, and offer to replace the check if it was lost or misplaced but not cashed.
- 5.3. The Administrator must conduct a Class Member Address Search for all other Class Members whose checks are returned undelivered without USPS forwarding address. Within 7 days of receiving a returned check the Administrator must re-mail checks to the USPS forwarding address provided or to an address ascertained through the Class Member Address Search. The Administrator need not take further steps to deliver checks to Class Members whose re-mailed checks are returned as undelivered. The Administrator shall promptly send a replacement check to any Class Member whose original check was lost or misplaced, requested by the Class Member prior to the void date.

- 5.4. For any Class Member whose Individual Class Payment check or Individual PAGA Payment check is uncashed and cancelled after the void date, the Administrator shall transmit the funds represented by such checks to Legal Aid at Work, a Court-approved nonprofit organization or foundation consistent with Code of Civil Procedure Section 384(b) (“Cy Pres Recipient”). The Parties, Class Counsel and Defense Counsel represent that they have no interest or relationship, financial or otherwise, with the intended Cy Pres Recipient.
- 5.5. The payment of Individual Class Payments and Individual PAGA Payments shall not obligate Defendant to confer any additional benefits or make any additional payments to Class Members (such as 401(k) contributions or bonuses) beyond those specified in this Agreement.
- 6. RELEASE OF CLAIMS.** Effective on the date when Defendant fully funds the entire Gross Settlement Amount and funds all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, Plaintiff, Participating Class Members, PAGA Group Members and the LWDA will release claims against all Released Parties as follows:
- 6.1. Plaintiffs’ Release. Plaintiffs and their respective former and present spouses, representatives, agents, attorneys, heirs, administrators, successors, and assigns generally, upon the Effective Date, shall be deemed to have, and by operation of the contemplated final judgment shall have, fully, finally, and forever settled and released any and all of the Released Class Claims and Released PAGA Claims, whether known or unknown, suspected or unsuspected, contingent or non-contingent, which now exist, or have existed, upon any theory of law or equity now existing, including, but not limited to, conduct that is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Additionally, Plaintiffs release the Released Parties of all claims, charges, complaints, liens, demands, causes of action, obligations, damages and liabilities, known or suspected, arising from their employment with the Defendants, including, without limitation: (1) the Civil Rights Act of 1964, as amended; (2) 42 U.S.C. § 1981; (3) the California Fair Employment and Housing Act; (4) Section 503 of the Rehabilitation Act of 1973; (5) the Americans with Disabilities Act; (6) the Fair Labor Standards Act (including the Equal Pay Act); (7) the California and the United States Constitution; (8) the California Labor Code; (9) the Family and Medical Leave Act; (10) the California Family Rights Act; (11) the Worker Adjustment and Retraining Notification Act; (12) the Employee Retirement Income Security Act; (13) the Immigration Reform and Control Act; (14) the California Business and Professions Code, sections 17200, et seq.; (15) the California Government Code; and (16) the California Wage Orders (collectively “Claim” or “Claims”), which Plaintiffs now has, own or hold, or claim to have, own or hold, or which the Plaintiffs at any time had, owned or held, or claimed to have, own or hold against any of the Released Parties up to and including, as of the Effective Date. (All of the above is collectively referred to as “Plaintiffs’ Release”). Plaintiffs’ Release does not extend to any claims or actions to enforce this Agreement, or to any claims for vested

benefits, unemployment benefits, disability benefits, social security benefits, or workers' compensation benefits that arose at any time. Plaintiffs acknowledge that Plaintiffs may discover facts or law different from, or in addition to, the facts or law that Plaintiffs now knows or believes to be true but agree, nonetheless, that Plaintiffs' Release shall be and remain effective in all respects, notwithstanding such different or additional facts or Plaintiffs' discovery of them.

(a) Plaintiffs' Waiver of Rights Under Civil Code Section 1542. For purposes of Plaintiffs' Release, Plaintiffs expressly waive and relinquish the provisions, rights, and benefits, if any, of section 1542 of the California Civil Code, which reads:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release, and that if known by him or her would have materially affected his or her settlement with the debtor or Released Party.

6.2. Release by Participating Class Members. All Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, release all Released Parties from the Released Class Claims.

6.3. Release of PAGA Claims. All PAGA Group Members and the LWDA are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, all Released Parties from the Released PAGA Claims.

7. MOTION FOR PRELIMINARY APPROVAL. Class Counsel will prepare and file a motion for preliminary approval ("Motion for Preliminary Approval") that complies with the Court's procedures and instructions, after providing Defendant's Counsel with a reasonable opportunity to review and comment on the motion before filing.

7.1. Defendant's Responsibilities. Within 14 days of the full execution of this Agreement, Defendant will prepare and deliver to Class Counsel a signed Declaration disclosing all facts relevant to any actual or potential conflicts of interest with the Administrator, and the Cy Pres Recipient, if any.

7.2. Plaintiff's Responsibilities. Plaintiffs will prepare and deliver to Defense Counsel all documents necessary for obtaining Preliminary Approval, including: (i) a draft of the notice, and memorandum in support, of the Motion for Preliminary Approval that includes an analysis of the Settlement under *Dunk/Kullar* and a request for approval of the PAGA Settlement under Labor Code Section 2699, subd. (f)(2)); (ii) a draft proposed Order Granting Preliminary Approval and Approval of PAGA Settlement; (iii) a draft proposed Class Notice; (iv) a signed declaration from the Administrator attaching its "not to exceed" bid for administering the Settlement and attesting to its willingness to serve;

competency; operative procedures for protecting the security of Class Data; amounts of insurance coverage for any data breach, defalcation of funds or other misfeasance; all facts relevant to any actual or potential conflicts of interest with Class Members and/or the proposed Cy Pres Recipient; and the nature and extent of any financial relationship with Plaintiff, Class Counsel or Defense Counsel; (v) a signed declaration from Plaintiffs confirming willingness and competency to serve and disclosing all facts relevant to any actual or potential conflicts of interest with Class Members, and/or the Administrator and/or the proposed Cy Pres Recipient; (v) a signed declaration from each Class Counsel firm attesting to its competency to represent the Class Members; its timely transmission to the LWDA of all necessary PAGA documents (initial notice of violations (Labor Code section 2699.3, subd. (a)), Operative Complaint (Labor Code section 2699, subd. (l)(1)), this Agreement (Labor Code section 2699, subd. (l)(2)); (vi) a redlined version of the parties' Agreement showing all modifications made to the Model Agreement ready for filing with the Court; and (vii) all facts relevant to any actual or potential conflict of interest with Class Members, the Administrator and/or the Cy Pres Recipient. Class Counsel is responsible for expeditiously finalizing and filing the Motion for Preliminary Approval no later than 30 days after the full execution of this Agreement; obtaining a prompt hearing date for the Motion for Preliminary Approval; and for appearing in Court to advocate in favor of the Motion for Preliminary Approval. Class Counsel is responsible for delivering the Court's Preliminary Approval to the Administrator.

7.3. Duty to Cooperate. If the Parties disagree on any aspect of the proposed Motion for Preliminary Approval and/or the supporting declarations and documents, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to resolve the disagreement. If the Court does not grant Preliminary Approval or conditions Preliminary Approval on any material change to this Agreement, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to modify the Agreement and otherwise satisfy the Court's concerns.

8. SETTLEMENT ADMINISTRATION

8.1. Selection of Administrator. The Parties have jointly selected Apex Class Action LLC to serve as the Administrator and verified that, as a condition of appointment, Apex Class Action LLC agrees to be bound by this Agreement and to perform, as a fiduciary, all duties specified in this Agreement in exchange for payment of Administration Expenses. The Administrator's duties will include preparing, printing, and mailing the Class Notice Packet to all Class Members; conducting a National Change of Address search to update Class Member addresses before mailing the Class Notice Packets; re-mailing Class Notice Packets that are returned to the Class Member's new address; setting up a toll-free telephone number and email and a fax number to receive communications from Class Members; receiving and reviewing for validity completed Requests for Exclusion; providing the Parties with weekly status reports about the delivery of Class Notice Packets and receipt of Requests for Exclusion, objections and disputes; calculating Individual

Class Payments and Individual PAGA Payments; issuing the checks to effectuate the payments due under the Settlement; issuing the tax reports required under this Settlement; and otherwise administering the Settlement pursuant to this Agreement. The Parties and their Counsel represent that they have no interest or relationship, financial or otherwise, with the Administrator other than a professional relationship arising out of prior experiences administering settlements.

8.2. Employer Identification Number. The Administrator shall have and use its own Employer Identification Number for purposes of calculating payroll tax withholdings and providing reports state and federal tax authorities.

8.3. Qualified Settlement Fund. The Administrator shall establish a settlement fund that meets the requirements of a Qualified Settlement Fund (“QSF”) under US Treasury Regulation section 468B-1.

8.4. Notice to Class Members.

- (a) No later than three (3) business days after receipt of the Class Data, the Administrator shall notify Class Counsel that the list has been received and state the number of Class Members, PAGA Members, Workweeks, and Pay Periods in the Class Data.
- (b) Using best efforts to perform as soon as possible, and in no event later than 14 days after receiving the Class Data, the Administrator will send to all Class Members identified in the Class Data, via first-class United States Postal Service (“USPS”) mail, the Class Notice with Spanish translation substantially in the form attached to this Agreement as Exhibit A. The first page of the Class Notice shall prominently estimate the dollar amounts of any Individual Class Payment and/or Individual PAGA Payment payable to the Class Member, and the number of Workweeks and PAGA Pay Periods (if applicable) used to calculate these amounts. Before mailing Class Notices, the Administrator shall update Class Member addresses using the National Change of Address database.
- (c) Not later than 7 days after the Administrator’s receipt of any Class Notice returned by the USPS as undelivered, the Administrator shall re-mail the Class Notice using any forwarding address provided by the USPS. If the USPS does not provide a forwarding address, the Administrator shall conduct a Class Member Address Search, and re-mail the Class Notice to the most current address obtained. The Administrator has no obligation to make further attempts to locate or send Class Notice to Class Members whose Class Notice is returned by the USPS a second time.
- (d) The deadlines for Class Members’ written objections, Challenges to Workweeks and/or Pay Periods, and Requests for Exclusion will be extended an additional 14 days beyond the Response Deadline provided in the Class Notice for all Class Members

whose notice is re-mailed. The Administrator will inform the Class Member of the extended deadline with the re-mailed Class Notice.

- (e) If the Administrator, the Parties, Defense Counsel or Class Counsel is contacted by or otherwise discovers any persons who believe they should have been included in the Class Data and should have received Class Notice, the Parties will expeditiously meet and confer in person or by telephone, and in good faith, in an effort to agree on whether to include them as Class Members. If the Parties agree, such persons will be Class Members entitled to the same rights as other Class Members, and the Administrator will send, via email or overnight delivery, a Class Notice requiring them to exercise options under this Agreement not later than 14 days after receipt of Class Notice, or the deadline dates in the Class Notice, whichever are later.

8.5. Requests for Exclusion (Opt-Outs).

- (a) Class Members who wish to exclude themselves (opt-out of) the Class Settlement must send the Administrator, by fax, email, or mail, a signed written Request for Exclusion not later than the Response Deadline (plus an additional 14 days for Class Members whose Class Notice is re-mailed). A Request for Exclusion is a letter from a Class Member or his/her representative that reasonably communicates the Class Member's election to be excluded from the Settlement and includes the Class Member's name, address and email address or telephone number. To be valid, a Request for Exclusion must be timely faxed, emailed, or postmarked by the Response Deadline.
- (b) The Administrator may not reject a Request for Exclusion as invalid because it fails to contain all the information specified in the Class Notice. The Administrator shall accept any Request for Exclusion as valid if the Administrator can reasonably ascertain the identity of the person as a Class Member and the Class Member's desire to be excluded. The Administrator's determination shall be final and not appealable or otherwise susceptible to challenge. If the Administrator has reason to question the authenticity of a Request for Exclusion, the Administrator may demand additional proof of the Class Member's identity. The Administrator's determination of authenticity shall be final and not appealable or otherwise susceptible to challenge.
- (c) Every Class Member who does not submit a timely and valid Request for Exclusion is deemed to be a Participating Class Member under this Agreement, entitled to all benefits and bound by all terms and conditions of the Settlement, including the Participating Class Members' Releases under Paragraph 6.2 of the Agreement, regardless whether the Participating Class Member actually receives the Class Notice or objects to the Settlement.
- (d) Every Class Member who submits a valid and timely Request for Exclusion is a Non-Participating Class Member and shall not receive an Individual Class Payment or have

the right to object to the class action components of the Settlement. Because future PAGA claims are subject to claim preclusion upon entry of the Judgment, Non-Participating Class Members who are PAGA Group Members are deemed to release the claims identified in Paragraph 6.3 of this Agreement and will be sent an Individual PAGA Payment by the Administrator. If a Class Member submits both a Request for Exclusion and an objection, only the Request for Exclusion will be accepted and the objection will be void.

8.6. Challenges to Calculation of Workweeks. Each Class Member shall have until the Response Deadline (plus an additional 14 days for Class Members whose Class Notice is re-mailed) to challenge the number of Class Workweeks and PAGA Pay Periods (if any) allocated to the Class Member in the Class Notice. The Class Member may challenge the allocation by communicating with the Administrator via fax, email or mail. The Administrator must encourage the challenging Class Member to submit supporting documentation. In the absence of any contrary documentation, the Administrator is entitled to presume that the Workweeks contained in the Class Notice are correct so long as they are consistent with the Class Data. The Administrator's determination of each Class Member's allocation of Workweeks and/or Pay Periods shall be final and not appealable or otherwise susceptible to challenge. The Administrator shall promptly provide copies of all challenges to calculation of Workweeks and/or Pay Periods to Defense Counsel and Class Counsel and the Administrator's determination as to the challenges

8.7. Objections to Settlement.

- (a) Only Participating Class Members may object to the class action components of the Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Class Representative Service Payment.
- (b) Participating Class Members may send written objections to the Administrator, by fax, email, or mail. In the alternative, or in addition to a written objection, Participating Class Members may appear in Court (or hire an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A Participating Class Member who elects to send a written objection to the Administrator must do so not later than the Response Deadline (plus an additional 14 days for Class Members whose Class Notice was re-mailed).
- (c) Non-Participating Class Members have no right to object to any of the class action components of the Settlement. If a Class Member submits both a Request for Exclusion and an objection, only the Request for Exclusion will be accepted and the objection will be void.

8.8. Administrator Duties. The Administrator has a duty to perform or observe all tasks to be performed or observed by the Administrator contained in this Agreement or otherwise.

- (a) Website, Email Address and Toll-Free Number. The Administrator will establish and maintain and use an internet website to post information of interest to Class Members including the date, time and location for the Final Approval Hearing and copies of the Settlement Agreement, Motion for Preliminary Approval, the Preliminary Approval, the Class Notice, the Motion for Final Approval, the Motion for Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and Class Representative Service Payment, the Final Approval and the Judgment. The Administrator will also maintain and monitor an email address and a toll-free telephone number to receive Class Member calls, faxes and emails.
- (b) Request for Exclusion (Opt-Outs) and Exclusion List. The Administrator will promptly review on a rolling basis Requests for Exclusion to ascertain their validity. Not later than 7 days after the expiration of the deadline for submitting Requests for Exclusion, the Administrator shall email a list to Class Counsel and Defense Counsel containing (a) the names and other identifying information of Class Members who have timely submitted valid Requests for Exclusion (“Exclusion List”); (b) the names and other identifying information of Class Members who have submitted invalid Requests for Exclusion; (c) copies of all Requests for Exclusion from Settlement submitted (whether valid or invalid).
- (c) Workweek and/or Pay Period Challenges. The Administrator has the authority to address and make final decisions consistent with the terms of this Agreement on all Class Member challenges over the calculation of Workweeks and/or Pay Periods. The Administrator’s decision shall be final and not appealable or otherwise susceptible to challenge.
- (d) Weekly Reports. The Administrator must, on a weekly basis, provide written reports to Class Counsel and Defense Counsel that, among other things, tally the number of: Class Notices mailed or re-mailed, Class Notices returned undelivered, Requests for Exclusion (whether valid or invalid) received, objections received, challenges to Workweeks and/or Pay Periods received and/or resolved, and checks mailed for Individual Class Payments and Individual PAGA Payments (“Weekly Report”). The Weekly Reports must include the Administrator’s assessment of the validity of Requests for Exclusion and attach copies of all Requests for Exclusion and objections received.
- (e) Administrator’s Declaration. Not later than 7 days before the date by which Plaintiffs are required to file the Motion for Final Approval of the Settlement, the Administrator will provide to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its due diligence and compliance with all of its obligations under this Agreement, including, but not limited to, its mailing of Class Notice, the

Class Notices returned as undelivered, the re-mailing of Class Notices, attempts to locate Class Members, the total number of Requests for Exclusion from Settlement it received (both valid or invalid), the number of written objections and attach the Exclusion List. The Administrator will supplement its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible for filing the Administrator's declaration(s) in Court.

- (f) Final Report by Administrator. Within 10 days after the Administrator disburses all funds of the Gross Settlement Amount, the Administrator will provide Class Counsel and Defense Counsel with a final report detailing its disbursements by employee identification number only of all payments made under this Agreement. At least 7 days before any deadline set by the Court, the Administrator will prepare, and submit to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its disbursement of all payments required under this Agreement. Class Counsel is responsible for filing the Administrator's declaration in Court. If a second declaration attesting to the distribution of uncashed checks is required, the Administrator shall provide this second declaration at least 7 days before any deadline for a second declaration and Class Counsel shall be responsible for filing the second declaration with the Court.

9. CLASS SIZE MODIFICATION AND ESCALATOR CLAUSE. Based on its records, Defendant provided estimated total as to the total number of workweeks worked by the Class, as set forth in paragraph 4.1 above, through the time of mediation. This is a material representation for Plaintiffs to enter into this Settlement. In the event the released number of workweeks is more than ten percent (10%) greater than the estimate of workweeks stated herein in paragraph 4.1, Defendant may elect to either (1) increase the Gross Settlement Amount on a pro rata basis for those workweeks over the 10% cushion, or (2) cut off the release period as of the date the ten percent (10%) cushion is exhausted. Defendant shall notify Class Counsel of Defendant's election prior to the filing of Plaintiffs' Motion for Preliminary Approval and this notification shall happen prior to the filing for Plaintiffs' Motion for Preliminary Approval if such filing takes place after the end of the Class Period.

10. DEFENDANT'S RIGHT TO WITHDRAW. If the number of valid Requests for Exclusion identified in the Exclusion List exceeds 5% of the total of all Class Members, Defendant may, but is not obligated, elect to withdraw from the Settlement. The Parties agree that, if Defendant withdraws, the Settlement shall be void *ab initio*, have no force or effect whatsoever, and that neither Party will have any further obligation to perform under this Agreement; provided, however, Defendant will remain responsible for paying all Administration Expenses incurred as of the date Defendant makes this election to withdraw. Defendant must notify Class Counsel and the Court of its election to withdraw not later than 7 days after the Administrator sends the final Exclusion List to Defense Counsel. Invalid Requests for Exclusion will have no effect on this threshold for an election.

11. MOTION FOR FINAL APPROVAL. Unless otherwise ordered by the Court, not later than 16 court days before the calendared Final Approval Hearing, Plaintiffs will file in Court, a motion for final approval of the Settlement that includes a request for approval of the PAGA settlement under Labor Code section 2699(l), a Proposed Final Approval Order and a proposed Judgment (collectively “Motion for Final Approval”). Plaintiffs shall provide drafts of these documents to Defense Counsel not later than 7 days prior to filing the Motion for Final Approval. Class Counsel and Defense Counsel will expeditiously meet and confer and in good faith, to resolve any disagreements concerning the Motion for Final Approval.

11.1. Response to Objections. Each Party retains the right to respond to any objection raised by a Participating Class Member, including the right to file responsive documents in Court no later than 5 court days prior to the Final Approval Hearing, or as otherwise ordered or accepted by the Court.

11.2. Duty to Cooperate. If the Court does not grant Final Approval or conditions Final Approval on any material change to the Settlement (including, but not limited to, the scope of release to be granted by Class Members), the Parties will expeditiously work together in good faith to address the Court’s concerns by revising the Agreement as necessary to obtain Final Approval. The Court’s decision to award less than the amounts requested for a Class Representative Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Administration Expenses Payment shall not constitute a material modification to the Agreement within the meaning of this paragraph.

11.3. Continuing Jurisdiction of the Court. The Parties agree that, after entry of Judgment, the Court will retain jurisdiction over the Parties, Action, and the Settlement under C.C.P. section 664.6 solely for purposes of (i) enforcing this Agreement and/or Judgment, (ii) addressing settlement administration matters, and (iii) addressing such post-Judgment matters as are permitted by law.

11.4. Waiver of the Right to Appeal. Provided the Judgment is consistent with the terms and conditions of this Agreement, specifically including the Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment set forth in this Settlement, the Parties, their respective counsel, and all Participating Class Members who did not object to the Settlement as provided in this Agreement, waive all rights to appeal from the Judgment, including all rights to post-judgment and appellate proceedings, the right to file motions to vacate judgment, motions for new trial, extraordinary writs, and appeals. The waiver of appeal does not include any waiver of the right to oppose such motions, writs or appeals. If an objector appeals the Judgment, the Parties’ obligations to perform under this Agreement will be suspended until such time as the appeal is finally resolved and the Judgment becomes final, except as to matters that do not affect the amount of the Net Settlement Amount.

11.5. Appellate Court Orders to Vacate, Reverse, or Materially Modify Judgment. If the reviewing Court vacates, reverses, or modifies the Judgment in a manner that requires a

material modification of this Agreement (including, but not limited to, the scope of release to be granted by Class Members), this Agreement shall be null and void. The Parties shall nevertheless expeditiously work together in good faith to address the appellate court's concerns and to obtain Final Approval and entry of Judgment, sharing, on an equal basis, any additional Administration Expenses reasonably incurred at the time of remittitur. An appellate decision to vacate, reverse, or modify the Court's award of the Class Representative Service Payment or any payments to Class Counsel shall not constitute a material modification of the Judgment within the meaning of this paragraph, as long as the Gross Settlement Amount remains unchanged.

12. AMENDED JUDGMENT. If any amended judgment is required under Code of Civil Procedure section 384, the Parties will work together in good faith to jointly submit and a proposed amended judgment.

13. ADDITIONAL PROVISIONS

13.1. No Admission of Liability, Class Certification or Representative Manageability for Other Purposes. This Agreement represents a compromise and settlement of highly disputed claims. Nothing in this Agreement is intended or should be construed as an admission by Defendant that any of the allegations in the Operative Complaint have merit or that Defendant has any liability for any claims asserted; nor should it be intended or construed as an admission by Plaintiffs that Defendant's defenses in the Actions have merit. The Parties agree that class certification and representative treatment is for purposes of this Settlement only. If, for any reason the Court does not grant Preliminary Approval, Final Approval or Judgment pursuant to this Agreement, Defendant reserves the right to contest certification of any class for any reasons, and Defendant reserves all available defenses to the claims in the Actions, and Plaintiffs reserve the right to move for class certification on any grounds available and to contest Defendant's defenses. The Settlement, this Agreement and Parties' willingness to settle the Actions will have no bearing on, and will not be admissible in connection with, any litigation (except for proceedings to enforce or effectuate the Settlement and this Agreement).

13.2. Confidentiality Prior to Preliminary Approval. Plaintiffs, Class Counsel, Defendant and Defense Counsel separately agree that, until the Motion for Preliminary Approval of Settlement is filed, they and each of them will not disclose, disseminate and/or publicize, or cause or permit another person to disclose, disseminate or publicize, any of the terms of the Agreement directly or indirectly, specifically or generally, to any person, corporation, association, government agency, or other entity except: (1) to the Parties' attorneys, accountants, or spouses, all of whom will be instructed to keep this Agreement confidential; (2) counsel in a related matter; (3) to the extent necessary to report income to appropriate taxing authorities; (4) in response to a court order or subpoena; or (5) in response to an inquiry or subpoena issued by a state or federal government agency. Each Party agrees to immediately notify each other Party of any judicial or agency order, inquiry, or subpoena seeking such information. Plaintiff, Class Counsel, Defendant and

Defense Counsel separately agree not to, directly or indirectly, initiate any conversation or other communication, before the filing of the Motion for Preliminary Approval, any with third party regarding this Agreement or the matters giving rise to this Agreement except to respond only that “the matter was resolved,” or words to that effect. This paragraph does not restrict Class Counsel’s communications with Class Members in accordance with Class Counsel’s ethical obligations owed to Class Members. The Parties agree that this is a material term of this Agreement.

- 13.3. No Solicitation. The Parties separately agree that they and their respective counsel and employees have not and will not solicit any Class Member to opt out of or object to the Settlement, or appeal from the Judgment. Nothing in this paragraph shall be construed to restrict Class Counsel’s ability to communicate with Class Members in accordance with Class Counsel’s ethical obligations owed to Class Members.
- 13.4. Integrated Agreement. Upon execution by all Parties and their counsel, this Agreement together with its attached exhibits shall constitute the entire agreement between the Parties relating to the Settlement, superseding any and all oral representations, warranties, covenants, or inducements made to or by any Party.
- 13.5. Attorney Authorization. Class Counsel and Defense Counsel separately warrant and represent that they are authorized by Plaintiffs and Defendant, respectively, to take all appropriate action required or permitted to be taken by such Parties pursuant to this Agreement to effectuate its terms, and to execute any other documents reasonably required to effectuate the terms of this Agreement including any amendments to this Agreement.
- 13.6. Cooperation. The Parties and their counsel will cooperate with each other and use their best efforts, in good faith, to implement the Settlement by, among other things, modifying the Settlement Agreement, submitting supplemental evidence and supplementing points and authorities as requested by the Court. In the event the Parties are unable to agree upon the form or content of any document necessary to implement the Settlement, or on any modification of the Agreement that may become necessary to implement the Settlement, the Parties will seek the assistance of a mediator and/or the Court for resolution.
- 13.7. Prior Assignments. The Parties separately represent and warrant that they have not directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or encumber to any person or entity and portion of any liability, claim, demand, action, cause of action, or right released and discharged by the Party in this Settlement.
- 13.8. Tax Advice. Neither Plaintiff, Class Counsel, Defendant nor Defense Counsel are providing any advice regarding taxes or taxability, nor shall anything in this Settlement be relied upon as such within the meaning of United States Treasury Department Circular 230 (31 CFR Part 10, as amended) or otherwise.

- 13.9. Modification of Agreement. This Agreement, and all parts of it, may be amended, modified, changed, or waived only by an express written instrument signed by all Parties or their representatives, and approved by the Court.
- 13.10. Agreement Binding on Successors. This Agreement will be binding upon, and inure to the benefit of, the successors of each of the Parties.
- 13.11. Applicable Law. All terms and conditions of this Agreement and its exhibits will be governed by and interpreted according to the internal laws of the state of California, without regard to conflict of law principles.
- 13.12. Cooperation in Drafting. The Parties have cooperated in the drafting and preparation of this Agreement. This Agreement will not be construed against any Party on the basis that the Party was the drafter or participated in the drafting.
- 13.13. Confidentiality. To the extent permitted by law, all agreements made, and orders entered during Actions and in this Agreement relating to the confidentiality of information shall survive the execution of this Agreement.
- 13.14. Use and Return of Class Data. Information provided to Class Counsel pursuant to Cal. Evid. Code § 1152, and all copies and summaries of the Class Data provided to Class Counsel by Defendant in connection with the mediation, other settlement negotiations, or in connection with the Settlement, may be used only with respect to this Settlement, and no other purpose, and may not be used in any way that violates any existing contractual agreement, statute, or rule of court. Not later than 90 days after the date when the Court discharges the Administrator's obligation to provide a Declaration confirming the final pay out of all Settlement funds, Plaintiffs shall destroy, all paper and electronic versions of Class Data received from Defendant.
- 13.15. Headings. The descriptive heading of any section or paragraph of this Agreement is inserted for convenience of reference only and does not constitute a part of this Agreement.
- 13.16. Calendar Days. Unless otherwise noted, all reference to "days" in this Agreement shall be to calendar days. In the event any date or deadline set forth in this Agreement falls on a weekend or federal legal holiday, such date or deadline shall be on the first business day thereafter.
- 13.17. Notice. All notices, demands or other communications between the Parties in connection with this Agreement will be in writing and deemed to have been duly given as of the third business day after mailing by United States mail, or the day sent by email or messenger, addressed as follows:

To Plaintiffs and the Class:

Norman B. Blumenthal
Kyle R. Nordrehaug
Blumenthal Nordrehaug Bhowmik De Blouw LLP
2255 Calle Clara
La Jolla, CA 92037
Tel.: (858) 551-1223
Fax: (858) 551-1232
E-Mail: norm@bamlawca.com
kyle@bamlawca.com

Ryan Stygar
Centurion Trial Attorneys, APC
8880 Rio San Diego Dr # 800,
San Diego, CA 92108
Tel.: (888) 225-5792

James R. Hawkins
Mitchell J. Murray
James Hawkins, APLC
9880 Research Drive, Suite 200
Irvine, CA 92618
E-Mail: james@jameshawkinsaplc.com
mitchell@jameshawkinsaplc.com

To Defendant:

Michael Bruno
Seth Weisburst
Rachel Wintterle
Gordon Rees Scully Mansukhani, LLP
315 Pacific Avenue
San Francisco, CA 94111
Tel.: (415) 986-5900
Fax: (415) 986-8054
E-Mail: mbruno@grsm.com
sweisburst@grsm.com
rwintterle@grsm.com

13.18. Execution in Counterparts. This Agreement may be executed in one or more counterparts by facsimile, electronically (i.e. DocuSign), or email which for purposes of this Agreement shall be accepted as an original. All executed counterparts and each of

them will be deemed to be one and the same instrument if counsel for the Parties will exchange between themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove the existence and contents of this Agreement.

13.19. Stay of Litigation. The Parties agree that upon the execution of this Agreement the litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further agree that upon the signing of this Agreement that pursuant to CCP section 583.330 to extend the date to bring a case to trial under CCP section 583.310 for the entire period of this settlement process from the mediation on April 9, 2025 until the earlier of the Effective Date or the date this Agreement shall no longer be of any force or effect.

13.20. Fair Settlement. The Parties, Class Counsel and Defense Counsel believe and warrant that this Agreement reflects a fair, reasonable, and adequate settlement of the Actions and have arrived at this Agreement through arms-length negotiations, taking into account all relevant factors, both current and potential.

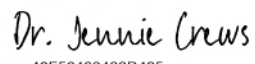
14. EXECUTION BY PARTIES AND COUNSEL

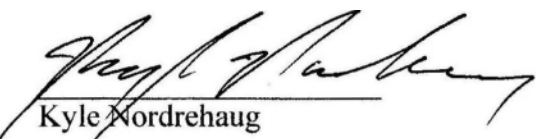
The Parties and their counsel hereby execute this Agreement.

Dated: 08/12/2025

 Plaintiff Amanda Nunez

Dated: _____
 Plaintiff Marlisha L. James

August 20, 2025
 Dated: _____
 Signed by:

 48F56483422D425...
 Jennie Crews [name]
 For Defendant University Healthcare Alliance

Dated: 8/15/25

 Kyle Nordrehaug
 Blumenthal Nordrehaug Bhowmik De Blouw LLP
 Attorney for Plaintiff

Dated: _____
 Ryan Stygar
 Centurion Trial Attorneys, APC
 Attorney for Plaintiff

them will be deemed to be one and the same instrument if counsel for the Parties will exchange between themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove the existence and contents of this Agreement.

13.19. Stay of Litigation. The Parties agree that upon the execution of this Agreement the litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further agree that upon the signing of this Agreement that pursuant to CCP section 583.330 to extend the date to bring a case to trial under CCP section 583.310 for the entire period of this settlement process from the mediation on April 9, 2025 until the earlier of the Effective Date or the date this Agreement shall no longer be of any force or effect.

13.20. Fair Settlement. The Parties, Class Counsel and Defense Counsel believe and warrant that this Agreement reflects a fair, reasonable, and adequate settlement of the Actions and have arrived at this Agreement through arms-length negotiations, taking into account all relevant factors, both current and potential.

14. EXECUTION BY PARTIES AND COUNSEL

The Parties and their counsel hereby execute this Agreement.

Dated: _____
Plaintiff Amanda Nunez

Dated: 08/14/25

Marlisha James (Aug 14, 2025 12:47:34 PDT)
Plaintiff Marlisha L. James

Dated: _____

[name]
For Defendant University Healthcare Alliance

Dated: _____

Kyle Nordrehaug
Blumenthal Nordrehaug Bhowmik De Blouw LLP
Attorney for Plaintiff

Dated: 08/18/2025

Ryan Stygar
Centurion Trial Attorneys, APC
Attorney for Plaintiff

Dated: August 14, 2025

A handwritten signature in black ink, appearing to read "James R. Hawkins", written over a horizontal line.

James R. Hawkins
James Hawkins APLC
Attorney for Plaintiff

Dated: _____

Seth Weisburst
Gordon Rees Scully Mansukhani, LLC
Attorney for Defendant

Dated: August 21, 2025



Seth Weisburst
Gordon Rees Scully Mansukhani, LLC
Attorney for Defendant

EXHIBIT A

[NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION AND HEARING DATE FOR
FINAL COURT APPROVAL]

EXHIBIT “A”

**COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT
AND HEARING DATE FOR FINAL COURT APPROVAL**

***Nunez v. University Healthcare Alliance, Superior Court of the State of California,
County of San Mateo, Case No. 23-CIV-05931***

***The Superior Court for the State of California authorized this Notice. Read it carefully!
It's not junk mail, spam, an advertisement, or solicitation by a lawyer. You are not being sued.***

**YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR DO NOT
ACT. PLEASE READ THIS NOTICE CAREFULLY.**

You may be eligible to receive money from an employee class action lawsuit (“Action”) against Defendant University Healthcare Alliance a.k.a. Stanford Medicine Partners (“Defendant”) for alleged wage and hour violations. The Action was filed by Plaintiffs Amanda Nunez and Marlisha L. James (“Plaintiffs”). No court or jury has made any determination regarding the merits of Plaintiffs’ claims, or that the claim can be pursued as a class action. The Parties have voluntarily reached a settlement to avoid the expenses and disruption of class litigation.

The proposed Settlement has two main parts: (1) a class Settlement whereby Defendant has agreed to fund Individual Class Payments to all individuals who were employed by Defendant in the State of California and classified as non-exempt employees at any time during the Class Period (March 12, 2022 through June 14, 2025) (“Class Members”), and (2) a PAGA settlement whereby Defendant has agreed to fund the PAGA Penalties to pay civil penalties to the California Labor and Workforce Development Agency (“LWDA”) and to all individuals who were employed by Defendant in the State of California and classified as non-exempt employees at any time during the PAGA Period (November 20, 2022 through June 14, 2025) (“PAGA Group Members”).

Based on Defendant’s records, and the Parties’ current assumptions, **your Individual Class Payment is estimated to be <<\$ _____>> (less withholding), and your Individual PAGA Payment is estimated to <<be \$ _____>>.** The actual amount you may receive likely will be different and will depend on a number of factors. (If no amount is stated for your Individual PAGA Payment, then according to Defendant’s records, you are not eligible for an Individual PAGA Payment under the settlement because you didn’t work during the PAGA Period.)

The above estimates are based on Defendant’s records showing that **you worked << _____>> Workweeks** during the Class Period and **you worked << _____>> pay periods** during the PAGA Period. If you believe that you worked more workweeks and/or pay periods during either period, you can submit a challenge by the deadline date. See Section 5 of this Notice below.

The Court has already preliminarily approved the proposed settlement and approved this Notice, meaning that the Court has preliminarily determined that the settlement is fair and reasonable. The Court has not yet decided whether to grant final approval. Your legal rights are affected whether you act or do not act. Read this Notice carefully. You will be deemed to have

carefully read and understood it. At the Final Approval Hearing, the Court will decide whether to finally approve the settlement and how much of the settlement will be paid to Plaintiffs and Plaintiffs' attorneys ("Class Counsel"). The Court will also decide whether to enter a judgment reflecting the terms of the settlement, under which Defendant will make payments under the settlement and Participating Class Members and PAGA Group Members will give up their rights to assert certain claims against Defendant.

If you worked for Defendant during the Class Period and/or the PAGA Period, you have two basic options under the settlement:

- (1) **Do Nothing.** You don't have to do anything to participate in the proposed settlement and be eligible for an Individual Class Payment, and/or an Individual PAGA Payment. As a Participating Class Member, though, you will give up your right to assert Released Class Claims against Defendant as described below in Section 4 below.
- (2) **Opt-Out of the Class Settlement.** You can exclude yourself from the class settlement (opt-out) by submitting the written Request for Exclusion or otherwise notifying the Administrator in writing. If you opt-out of the settlement, you will not receive an Individual Class Payment, however you will preserve your right to personally pursue Released Class Claims against Defendant. If you are a PAGA Group Member, you remain eligible for an Individual PAGA Payment. You cannot opt-out of the PAGA portion of the proposed settlement and you will be bound by the PAGA Release Claims.

Defendant will not retaliate against you for any actions you take with respect to the proposed settlement.

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:	
You Don't Have to Do Anything to Participate in the Settlement	If you do nothing, you will be a Participating Class Member, eligible for an Individual Class Payment and an Individual PAGA Payment (if any). In exchange, you will give up your right to assert the Released Class Claims against Defendant, as described in Section 4 below.
You Can Opt-out of the Class Settlement but not the PAGA Settlement The Opt-out Deadline is ____.	If you don't want to fully participate in the proposed settlement, you can opt-out of the class settlement by sending the Administrator a written Request for Exclusion. Once excluded, you will be a Non-Participating Class Member and no longer eligible for an Individual Class Payment. Non-Participating Class Members cannot object to any portion of the proposed settlement. See Section 7 of this Notice. However, you cannot opt-out of the PAGA portion of the proposed settlement. If you are also a PAGA Group Member and exclude yourself, you will still be paid an Individual PAGA Payment (if applicable) and will remain subject to the release of the Released PAGA Claims regardless of whether you submit a request for exclusion.
Participating Class	All Class Members who do not opt-out ("Participating Class

Members Can Object to the Class Settlement Written Objections Must be Submitted by the Response Deadline _____	Members”) can object to any aspect of the proposed settlement. The Court’s decision whether to finally approve the settlement will include a determination of how much will be paid to Class Counsel and Plaintiffs who pursued the Action on behalf of the Class. You are not personally responsible for any payments to Class Counsel or Plaintiffs, but every dollar paid to Class Counsel and Plaintiffs reduces the overall amount paid to Participating Class Members. You can object to the settlement and/or the amounts requested by Class Counsel or Plaintiffs if you think they are unreasonable. See Section 8 of this Notice.
You Can Participate in the _____ Final Approval Hearing	The Court’s Final Approval Hearing is scheduled to take place on _____ at _____ [a.m./p.m.], at the San Mateo County Superior Court, located at 400 County Center, Redwood City, CA 94063, in Department 4 and Courtroom 4C before Judge Nancy L. Fineman. This hearing may change as explained below in Section 9. You don’t have to attend but you do have the right to appear (or hire an attorney to appear on your behalf at your own cost), in person, by telephone or by using the Court’s virtual appearance platform. Participating Class Members can verbally object to the Settlement at the Final Approval Hearing. See Section 9 of this Notice
You Can Challenge the Calculation of Your Workweeks / Pay Periods Witten Challenges Must be Submitted by the Response Deadline (_____)	The amount of your Individual Class Payment depends on how many workweeks you worked at least one day during the Class Period. The amount of your Individual PAGA Payment depends on how many pay periods you worked at least one day during the PAGA Period. The number of Class Period workweeks and number of PAGA Period pay periods you worked according to Defendant’s records is stated on the first page of this Notice. If you disagree with either of these numbers, you must challenge it by _____. See Section 5 of this Notice

1. What is action about?

Plaintiffs were employees of Defendant. The Action claims that Defendant violated California labor laws by failing to pay minimum wages, failing to pay overtime wages, failing to provide required meal periods and unpaid premiums, failing to provide required rest periods and unpaid premiums, failing to provide required expense reimbursement, failing to provide accurate itemized wage statements, failing to provide wages when due, failure to pay sick wages, and engaging in unfair competition. Plaintiffs also seek civil penalties under the Private Attorneys General Act (“PAGA”). The Second Amended Complaint filed on August 20, 2025 is the Operative Complaint in the Action.

Defendant strongly denies violating any laws and maintains that it has paid all employees all wages and sick pay due and in a timely manner, provided all required meal and rest breaks, paid

all required expense reimbursements, and furnished accurate wage statements.

You have received this Notice because you have been identified as a member of the Class, which is defined as:

All individuals who were employed by Defendant in the State of California and classified as non-exempt employees at any time during the Class Period (March 12, 2022 through June 14, 2025) (the “Class”).

2. What does it mean that the action has settled?

So far, the Court has made no determination whether Defendant or Plaintiffs are correct on the merits, or that Plaintiffs can bring this claim on behalf of anyone but themselves. In the meantime, Plaintiffs and Defendant hired an experienced, neutral mediator in an effort to resolve the Action by negotiating an to end the case by agreement (settle the case) rather than continuing the expensive and time-consuming process of litigation. The negotiations were successful. By signing a written Class Action and PAGA Settlement Agreement (“Agreement”) and agreeing to jointly ask the Court to enter a judgment ending the Action and enforcing the Agreement, Plaintiffs and Defendant have negotiated a proposed Settlement that is subject to the Court’s Final Approval. Both sides agree the proposed settlement is a compromise of disputed claims. By agreeing to settle, Defendant does not admit any violations or concede the merit of any claims. Plaintiffs and Class Counsel strongly believe the settlement is a good deal for you because they believe that: (1) Defendant has agreed to pay a fair, reasonable and adequate amount considering the strength of the claims and the risks and uncertainties of continued litigation; and (2) settlement is in the best interests of the Class Members and PAGA Group Members. The Court preliminarily approved the proposed settlement as fair, reasonable and adequate, authorized this Notice, and scheduled a hearing to determine Final Approval.

3. What are the terms of the Settlement?

Gross Settlement Amount. Defendants has agreed to pay an “all in” amount of One Million Nine Hundred and Fifty Thousand Dollars (\$1,950,000) (“Gross Settlement Amount”) to fund the settlement of the Action. The Gross Settlement Amount includes all payments of Individual Class Payments, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, Class Representative Service Payments, the Administration Expenses Payment, and the PAGA Penalties for civil penalties under PAGA. Any employer-side payroll taxes on the portion of the Individual Class Payments allocated to wages shall be separately paid by Defendant. Defendant shall fully fund the Gross Settlement Amount, and also fund the amounts necessary to fully pay Defendant’s share of payroll taxes, by transmitting the funds to the Administrator no later than 30 days after the Effective Date. The “Effective Date” means the date the Judgment is entered, unless there are objections or an appeal, in which case it is the date the Judgment is final and no longer subject to appeal. Within 14 days after Defendant funds the Gross Settlement Amount, the Administrator will mail checks for all Individual Class Payments to Participating Class Members.

Court Approved Deductions from Gross Settlement Amount. The proposed payments to Class Counsel, to Plaintiffs, to the Administrator, and the PAGA Penalties, subject to Court approval, will be deducted from the Gross Settlement Amount before payments of Individual Class Payments are made to Participating Class Members. At the Final Approval Hearing, Plaintiffs and/or Class Counsel will ask the Court to approve the following deductions from the Gross

Settlement, the amounts of which will be decided by the Court at the Final Approval Hearing:

- Administration Expenses Payment. Payment to the Administrator, estimated not to exceed \$20,000 for expenses, including expenses of notifying the Class Members of the settlement, processing opt outs, and distributing settlement checks and tax forms.
- Class Counsel's Attorneys' Fees and Costs. Payment to Class Counsel of reasonable attorneys' fees not to exceed one-third (1/3) of the Gross Settlement Amount, which presently equals \$650,000.00, and an additional amount to reimburse actual litigation costs incurred by the Plaintiffs not to exceed \$40,000.00. Class Counsel has been prosecuting the Action on behalf of Plaintiffs and the Class on a contingency fee basis (that is, without being paid any money to date) and has been paying all litigation costs and expenses. The payment of Class Counsel Fees Payment shall be made as follows: 69.75% to Blumenthal Nordrehaug Bhowmik De Blouw LLP, 20.25% to Centurion Trial Attorneys, APC, and 10% to James Hawkins, APLC. The amounts stated are what Class Counsel will be requesting and the final amounts to be paid will be decided at the Final Approval Hearing.
- Class Representative Service Payments. Class Representative Service Payments in an amount not more than \$15,000.00 each to the Plaintiffs as service awards, or such lesser amount as may be approved by the Court, to compensate them for services on behalf of the Class in initiating and prosecuting the Action, and for the risks they undertook. The amount stated is what Plaintiffs will be requesting and the final amount to be paid will be decided at the Final Approval Hearing.
- PAGA Penalties. A payment of \$40,000.00 relating to the PAGA claims, \$30,000.00 of which will be paid to the State of California's Labor and Workforce Development Agency ("LWDA"). The remaining \$10,000.00 will be distributed to the PAGA Group Members as Individual PAGA Payments. The Administrator will calculate each Individual PAGA Payment by (a) dividing the amount of the PAGA Group Members' 25% share of PAGA Penalties (\$10,000) by the total number of PAGA Pay Periods worked by all PAGA Group Members during the PAGA Period and (b) multiplying the result by each PAGA Group Member's PAGA Pay Periods. "PAGA Pay Period" means any Pay Period during which an PAGA Group Member worked for Defendant for at least one day during the PAGA Period, which is November 20, 2022 through June 14, 2025.

Participating Class Members have the right to object to any of these deductions. The Court will consider all objections.

Calculation of Payments to Class Members. After all of the payments of the court-approved Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, the Class Representative Service Payments, the PAGA Penalties, and the Administration Expenses Payment are deducted from the Gross Settlement Amount, the remaining portion, the "Net Settlement Amount", shall be distributed as Individual Class Payments to the Participating Class Members. The Net Settlement Amount is estimated to be at least \$ [REDACTED]. The Administrator will pay an Individual Class Payment from the Net Settlement Amount to each Participating Class Member. The Individual Class Payment for each Participating Class Member will be calculated by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Workweeks. "Workweek" means any week during the

Class Period in which a Participating Class Member worked for Defendant as a Class Member for at least one day. The number of Workweeks will be based on Defendant's records; however, Class Members may challenge the number of Workweeks as explained below.

If the Settlement is approved by the Court and you do not exclude yourself, you will automatically be mailed a check for your Individual Class Payment and/or Individual PAGA Payment to the same address as this Class Notice. You do not have to do anything to receive a payment. If your address has changed, you must contact the Administrator to inform them of your correct address to ensure you receive your payment. If you do not inform the Administrator of an updated address, the Administrator's mailing of your settlement check to the address on file shall be deemed sufficient proof of delivery of your settlement proceeds.

Tax Matters. Twenty Percent (20%) of each Participating Class Member's Individual Class Payment is in settlement of wage claims (the "Wage Portion"). Accordingly, the Wage Portion is subject to wage withholdings and shall be reported on IRS Form W-2. Eighty Percent (80%) of each Participating Class Member's Individual Class Payment is in settlement of claims for non-wages, expense reimbursement, interest and penalties allegedly due (collectively, the "Non-Wage Portion"). The Non-Wage Portion and any Individual PAGA Payment shall not be subject to wage withholdings and shall be reported on IRS Form 1099. You are responsible for paying all taxes (including penalties and interest on back taxes) on any payments received from the proposed settlement. Although Plaintiffs and Defendant have agreed to these allocations, neither Class Counsel nor Defendant's Counsel intend anything contained in this Class Notice to constitute advice regarding whether your payments are taxable or how much you might owe in taxes. The tax issues for each Participating Class Member are unique to each individual, and each Participating Class Member may wish to consult a tax advisor concerning the tax consequences of the payments received under the settlement.

Conditions of Settlement. This settlement and your receipt of the Individual Class Payment is conditioned upon the Court entering an order granting final approval of the Settlement and entering judgment.

The Proposed Settlement Will be Void if the Court Denies Final Approval. It is possible the Court will decline to grant Final Approval of the settlement or decline to enter a Judgment. Plaintiffs and Defendant have agreed that, in either case, the Settlement will be void: Defendant will not pay any money and Class Members will not release any claims against Defendant.

Need to Promptly Cash Payment Checks. The front of every check issued will show the date when the check expires (the void date). If you don't cash it by the void date, your check will be automatically cancelled, and the funds represented by such checks remitted to Legal Aid at Work, a Court-approved nonprofit organization or foundation consistent with Code of Civil Procedure Section 384(b) ("Cy Pres Recipient"). The Parties, Class Counsel and Defense Counsel represent that they have no interest or relationship, financial or otherwise, with the intended Cy Pres Recipient.

Administrator. The Court has appointed a neutral company, Apex Class Action (the "Administrator") to send this Notice, calculate and make payments, and process Class Members' Requests for Exclusion. The Administrator will also decide Class Member challenges over Workweeks, mail and re-mail settlement checks and tax forms, and perform other tasks necessary to administer the Settlement. The Administrator's contact information is contained in Section 8 of

this Notice.

4. What Do I Release Under the Settlement?

Participating Class Members' Released Class Claims. Upon the Effective Date and full funding of the Gross Settlement Amount, all Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, release all Released Parties from the Released Class Claims. The "Released Class Claims" are all claims, charges, complaints, liens, demands, causes of action, obligations, damages and liabilities, known or suspected, that each Participating Class Member had, now has, or may hereafter claim to have against the Released Parties that were asserted in the Operative Complaint based on claimed conduct during the Class Period, or that could have been asserted based on any of the facts, events, acts, omissions, or failures to act alleged in the Operative Complaint during the Class Period. The Released Class Claims specifically include claims for: (1) California Unfair Competition Law; (2) Failure to Pay Minimum Wage; (3) Failure to Pay Overtime Wages; (4) Failure to Provide Required Meal Periods; (5) Failure to Provide Required Rest Periods; (6) Failure to Provide Accurate Wage Statements; (7) Failure to Reimburse Business Expenses; (8) Untimely Payment of Wages; (9) Failure to Pay Sick Pay Wages; and (10) Failure to Provide Suitable Seating. The specific statutes released include the following (though this list does not narrow the scope of the release described above and below): Labor Code §§ 201-204 et seq., 210, 216, 218, 218.6, 221, 226, 226.7, 227.3, 233, 246 et seq., 510, 512, 558, 1174, 1182.12, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2698, 2699, 2699.3, and 2699.5; the applicable IWC Wage Orders, including Nos. 4-2001 and 5-2001; California Code of Regulations, Title 8, sections 11000, et seq., 11040, 11050, and 11070; 29 U.S.C. section 211; Civil Code section 3287; and Business & Professions Code sections 17200, et seq. Participating Class Members do not release claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing Act, unemployment insurance, disability, social security, workers' compensation, pension or retirement benefits, claims based on facts occurring outside the Class Period, and any other claim or right that as a matter of law cannot be waived or released.

This means that, if you do not timely and validly exclude yourself from the settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendant and any other Released Party about the Released Class Claims resolved by this settlement. It also means that all of the Court's orders in the Action will apply to you and legally bind you.

PAGA Group Members' Released PAGA Claims. Upon the Effective Date and full funding of the Gross Settlement Amount, all PAGA Group Members and the LWDA are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, all Released Parties from the Released PAGA Claims. The "Released PAGA Claims" are all claims, charges, complaints, liens, demands, causes of action, obligations, damages and liabilities, known or suspected that were asserted in the Operative Complaint based on claimed conduct during the PAGA Period or were asserted in the PAGA Notice, or that could have been asserted based on any of the facts, events, acts, omissions, or failures to act alleged in the Operative Complaint or the PAGA Notice that occurred during the PAGA Period. The specific statutes released include the following (though this list does not narrow the scope of the release described above and below): Labor Code §§ 201-204 et seq., 210, 216, 218, 218.6, 221, 226, 226.7, 227.3, 233, 246 et seq., 510, 512, 558, 1174, 1182.12, 1194, 1194.2, 1197, 1197.1, 1198, 2802, 2698, 2699,

2699.3, and 2699.5; the applicable IWC Wage Orders, including Nos. 4-2001 and 5-2001; California Code of Regulations, Title 8, sections 11000, *et seq.*, 11040, 11050, and 11070. The Released PAGA Claims do not include claims for wrongful termination, discrimination, unemployment insurance, disability and worker's compensation, claims outside of the PAGA Period, and any other claim or right that as a matter of law cannot be waived or released.

Released Parties. The "Released Parties" are Defendant, and Defendant's affiliates, parents, and each of their company-sponsored employee benefit plans, and their respective successors and predecessors in interest, all of their respective officers, directors, employees, administrators, fiduciaries, trustees and agents, and of their past present and future officers, directors, shareholders, employees, agents, principals, heirs, representatives, attorneys, accountants, auditors, consultants, insurers, and reinsurers.

5. How much will my payment be?

Individual Class Payments. The Administrator will calculate Individual Class Payments by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Workweeks.

Defendant's records reflect that you worked <<____>> Workweeks during the Class Period (March 12, 2022 through June 14, 2025).

Based on this information, your estimated Individual Class Payment from the Net Settlement Amount is <<____>>.

Defendant's records reflect that you worked <<____>> PAGA Pay Periods during the during the PAGA Period (November 20, 2022 through June 14, 2025). Based on this information your estimated Individual PAGA Payment is <<____>>.

If you wish to challenge the information set forth above, then you must submit a written, signed dispute challenging the information along with supporting documents, to the Administrator at the address provided in this Class Notice no later than the Response Deadline, which is _____ [sixty (60) days after the mailing of the Class Notice or 60 days from the date of re-mailing]. You may also fax the dispute to _____ or email the dispute to _____ by no later than the Response Deadline. Any dispute should include credible written evidence and will be resolved by the Administrator.

You need to support your challenge by sending copies of pay stubs or other records. The Administrator will accept Defendant's calculation of Workweeks based on Defendant's records as accurate unless you send copies of records containing contrary information. You should send copies rather than originals because the documents will not be returned to you. The Administrator will resolve Workweek challenges based on your submission and on the Parties' counsel. The Administrator's decision is final. You can't appeal or otherwise challenge its final decision.

6. How can I get a payment?

To get money from the Settlement, you do not have to do anything. A check for your Individual Class Payment will be mailed automatically to the same address as this Class Notice.

Your check will be sent to the same address as this Class Notice. If you change your address, be sure to notify the Administrator as soon as possible. Section 8 of this Class Notice has the Administrator's contact information.

7. What if I don't want to be a part of the Settlement?

If you do not wish to participate in the settlement, you may exclude yourself from the Class portion of the settlement or "opt out." **If you opt out, you will not receive an Individual Class Payment from the settlement, and you will not be bound by its terms, which means you will retain the right to sue Defendant for the Released Class Claims.** However, PAGA Group Members who opt out will still be paid their allocation of the PAGA Penalties and will remain subject to the release of the Released PAGA Claims regardless of whether they submit a request for exclusion.

To opt out of the class settlement, you must submit to the Administrator a written, signed, and dated request to opt-out postmarked no later than the Response Deadline which is _____. You may also fax your request to opt out to _____ or email the dispute to _____ by no later than the Response Deadline, or it will be invalid. The request to opt-out should state in substance that you wish to be excluded from the class settlement in the *Nunez v. University Healthcare Alliance* lawsuit. The request to opt-out should state the Class Member's full name, address and email address or telephone number. Please include the name and number of the case, which is *Nunez v. University Healthcare Alliance*, Case No. 23-CIV-05931. The request to opt-out must be completed and signed by you. No other person may opt-out for a living member of the Class.

The address for the Administrator is _____. **Written requests for exclusion that are postmarked after _____, or are incomplete or unsigned will be rejected, and those Class Members will remain bound by the Settlement and the release described above.**

8. How do I Object to the Settlement?

Only Participating Class Members have the right to object to the settlement. A Participating Class Member who disagrees with any aspect of the Agreement, the Motion for Class Counsel Fees, Class Counsel Litigation Expenses, and the Class Representative Service Award may wish to object to the Settlement. **The Response Deadline for sending written objections to the Administrator is _____** [sixty (60) days after the date of the Notice or 60 days from the date of re-mailing]. You may also fax the dispute to _____ or email the dispute to _____ by no later than this Response Deadline. Be sure to tell the Administrator what you object to, why you object, and any facts that support your objection. Make sure you identify the Action, *Nunez v. University Healthcare Alliance*, Case No. 23-CIV-05931, and include your name, current address, email or telephone number, and approximate dates of employment for Defendant, and sign the objection. The Administrator's contact information is as follows:

Administrator:

Name of Company: Apex Class Action

Email Address: _____

Mailing Address: _____

Telephone Number: _____

Fax Number: _____

Settlement Website: _____

Alternatively, a Participating Class Member can object (or personally retain a lawyer to object at your own cost) by attending the Final Approval Hearing. You (or your attorney) should be ready to tell the Court what you object to, why you object, and any facts that support your objection. You also have the option to appear at the hearing remotely through the Court's procedure. Instructions on how to do so are available on the Court's website at https://www.sanmateocourt.org/court_divisions/civil/. Check the Court's website for the most current information. See Section 9 of this Notice (immediately below) for specifics regarding the Final Approval Hearing.

Class Counsel and their contact information is as follows:

Kyle Nordrehaug
Blumenthal Nordrehaug Bhowmik De Blouw
2255 Calle Clara
La Jolla, CA 92037
Tel.: (858) 551-1223
Fax: (858) 551-1232
E-Mail: kyle@bamlawca.com

9. Can I Attend the Final Approval Hearing?

You can, but don't have to, attend the Final Approval Hearing at _____ (Pacific Standard Time) on _____, in Department 4 Courtroom 4C of the Superior Court of California, County of San Mateo, at 400 County Center, Redwood City, CA 94063, before Judge Nancy L. Fineman. At this hearing, the Court will consider whether the settlement is fair, reasonable, and adequate. The purpose of this hearing is for the Court to determine whether to grant final approval to the Settlement and to fix the amounts to be paid as attorneys' fees and costs to Class Counsel and as a service payments to Plaintiffs. If there are objections, the Court will consider them. **You are not required to attend** the Final Approval Hearing, although any Class Member is welcome to attend the hearing remotely using the Court Connect procedure at https://www.sanmateocourt.org/court_divisions/civil/. You may also appear in person. Check the Court's website for the most current information on appearing in Court.

It's possible the Court will reschedule the Final Approval Hearing. If the hearing is continued, notice will be posted on the Internet via the Case Inquiry page for the California Superior Court for the County of San Mateo (<https://odyportal-ext.sanmateocourt.org/portal-external>) and entering the Case No. 23-CIV-05931.

10. How Can I Get More Information?

You may call the Administrator at [REDACTED] or write to *Nunez v. University Healthcare Alliance* Administrator, c/o [REDACTED].

This Class Notice summarizes the proposed settlement. More details are in the Agreement. You may receive a copy of the Agreement, the Judgment, the motion for attorneys' fees, costs and service awards, the motion for final approval or other Settlement documents by going to Class Counsel's website at www.bamlawca.com under "Class Notices" for *Nunez v. University Healthcare*, where these documents will be posted as they become available. You may also

examine the Court's file on the Internet via the Case Inquiry page for the California Superior Court for the County of San Mateo (<https://odyportal-ext.sanmateocourt.org/portal-external>) and entering the Case No. 23-CIV-05931. If you wish to view the Court files in person, you do so at the Clerk's Office at 400 County Center, Redwood City, CA 94063.

PLEASE DO NOT CALL THE COURT ABOUT THIS NOTICE.

IMPORTANT:

- **What if Your Address Changes** - To receive your check, you should immediately notify the Administrator if you move or otherwise change your mailing address.
- **What if You Fail to Cash a Check** - Settlement checks will be null and void 180 days after issuance if not deposited or cashed, and this expiration date is printed on the check. In such events, the Administrator shall direct all unclaimed funds to be paid to Legal Aid at Work, a Court-approved nonprofit organization or foundation consistent with Code of Civil Procedure Section 384(b).
- **What if You Lose Your Check** - If your check is lost or misplaced, you should contact the Administrator immediately to request a replacement.

EXHIBIT B

[ORDER GRANTING PRELIMINARY APPROVAL]

EXHIBIT "B"

SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF SAN MATEO

AMANDA NUNEZ and MARLISHA L.
JAMES, individuals, on behalf of
themselves and on behalf of all persons
similarly situated,

Plaintiffs,

vs.

UNIVERSITY HEALTHCARE
ALLIANCE, a Corporation; and DOES 1
through 50, inclusive,

Defendants.

CASE NO.: 23-CIV-05931

**[PROPOSED] PRELIMINARY APPROVAL
ORDER**

Hearing Date: _____
Hearing Time: _____

Judge: Hon. Nancy L. Fineman
Dept: 4
Courtroom: 4C

Date Filed: December 14, 2023
Trial Date: Not set

This matter came before the Honorable Nancy L. Fineman of the Superior Court of the State of California, in and for the County San Mateo, on _____, 2025, for hearing on the unopposed motion by Plaintiffs Amanda Nunez and Marlisha L. James ("Plaintiffs") for preliminary approval of the Settlement with Defendant University Healthcare Alliance a.k.a.

PRELIMINARY APPROVAL ORDER

1 Stanford Medicine Partners (“Defendant”). The Court, having considered the briefs, argument of
2 counsel and all matters presented to the Court and good cause appearing, hereby GRANTS
3 Plaintiffs’ Motion for Preliminary Approval of Class Action Settlement.

4
5 **IT IS HEREBY ORDERED:**

6 1. The Court preliminarily approves the Class Action and PAGA Settlement
7 Agreement (“Agreement”) attached as Exhibit ____ to the Declaration of Kyle Nordrehaug in
8 Support of Plaintiffs’ Motion for Preliminary Approval of Class Action Settlement. This is based
9 on the Court’s determination that the Settlement set forth in the Agreement is within the range of
10 possible final approval, pursuant to the provisions of Section 382 of the California Code of Civil
11 Procedure and California Rules of Court, rule 3.769.

12 2. This Order incorporates by reference the definitions in the Agreement, and all
13 terms defined therein shall have the same meaning in this Order as set forth in the Agreement.

14 3. The Gross Settlement Amount that Defendant shall pay is One Million Nine
15 Hundred and Fifty Thousand Dollars (\$1,950,000). It appears to the Court on a preliminary basis
16 that the settlement amount and terms are fair, adequate and reasonable as to all potential Class
17 Members when balanced against the probable outcome of further litigation and the significant
18 risks relating to certification, liability and damages issues. It further appears that investigation and
19 research have been conducted such that counsel for the Parties are able to reasonably evaluate
20 their respective positions. It further appears to the Court that the Settlement will avoid substantial
21 additional costs by all Parties, as well as avoid the delay and risks that would be presented by the
22 further prosecution of the Action. It further appears that the Settlement has been reached as the
23 result of serious and non-collusive, arm’s-length negotiations.

24 4. The Court preliminarily finds that the Settlement appears to be within the range of
25 reasonableness of a settlement that could ultimately be given final approval by this Court. The
26 Court has reviewed the monetary recovery that is being granted as part of the Settlement and
27 preliminarily finds that the monetary settlement made available to the Class is fair, adequate, and
28

1 reasonable when balanced against the probable outcome of further litigation and the significant
2 risks relating to certification, liability, and damages issues.

3 5. The Agreement specifies for an attorneys' fees award not to exceed one-third of the
4 Gross Settlement Amount, which is currently estimated to be \$650,000, an award of litigation
5 expenses incurred, not to exceed \$40,000, and proposed Class Representative Service Payments to
6 the Plaintiffs in an amount not to exceed \$15,000 each, which are payable out of the Gross
7 Settlement Amount. The Court will not approve the amount of attorneys' fees and costs, nor the
8 amount of any service award, until the Final Approval Hearing. Plaintiffs will be required to
9 present evidence supporting these requests, including lodestar, prior to final approval.

10 6. The Court recognizes that Plaintiffs and Defendant stipulate and agree to
11 representative treatment and certification of a class for settlement purposes only. This stipulation
12 will not be deemed admissible in this or any other proceeding should this Settlement not become
13 final. For settlement purposes only, the Court conditionally certifies the Class which consists of
14 "all individuals who were employed by Defendant in the State of California and classified as non-
15 exempt employees at any time during the Class Period." The "Class Period" is March 12, 2022 to
16 June 14, 2025.

17 7. The Court concludes that, for settlement purposes only, the Class meets the
18 requirements for certification under section 382 of the California Code of Civil Procedure in that:
19 (a) the Class is ascertainable and so numerous that joinder of all members of the Class is
20 impracticable; (b) common questions of law and fact predominate, and there is a well-defined
21 community of interest amongst the members of the Class with respect to the subject matter of the
22 litigation; (c) the claims of the Plaintiffs are typical of the claims of the members of the Class; (d)
23 the Plaintiffs will fairly and adequately protect the interests of the members of the Class; (e) a
24 class action is superior to other available methods for the efficient adjudication of this controversy;
25 and (f) counsel for the Class is qualified to act as counsel for the Class and the Plaintiffs are
26 adequate representatives of the Class.

27 8. The Court provisionally appoints Plaintiffs as the representative of the Class. The
28

1 Court provisionally appoints Norman B. Blumenthal, Kyle R. Nordrehaug, and Aparajit Bhowmik
2 of Blumenthal Nordrehaug Bhowmik De Blouw LLP, Ryan Stygar of Centurion Trial Attorneys,
3 APC, and James. R. Hawkins and Mitchell J. Murray of James Hawkins, APLC as Class Counsel
4 for the Class.

5 9. The Agreement provides for a PAGA Penalties out of the Gross Settlement
6 Amount of \$40,000, which shall be allocated \$30,000 to the Labor & Workforce Development
7 Agency (“LWDA”) as the LWDA’s 75% share of the settlement of civil penalties paid under this
8 Agreement pursuant to the PAGA and \$10,000 to the PAGA Group Members as the Individual
9 PAGA Payments. “PAGA Group Members” are all individuals who were employed by Defendant
10 in the State of California and classified as non-exempt employees at any time during the PAGA
11 Period (November 20, 2022 through June 14, 2025). The Administrator will calculate each
12 Individual PAGA Payment by (a) dividing the amount of the PAGA Group Members’ 25% share
13 of PAGA Penalties (\$10,000) by the total number of PAGA Pay Periods worked by all PAGA
14 Group Members during the PAGA Period and (b) multiplying the result by each PAGA Group
15 Member’s PAGA Pay Periods. Pursuant to Labor Code section 2699, the LWDA will be provided
16 notice of the Agreement and these settlement terms. The Court finds the PAGA Penalties to be
17 reasonable.

18 10. The Court hereby approves, as to form and content, the Class Notice attached to the
19 Agreement as Exhibit A. The Court finds that the Class Notice appears to fully and accurately
20 inform the Class of all material elements of the proposed Settlement, of the Class Members’ right
21 to be excluded from the Class by submitting a written opt-out request, and of the Participating
22 Class Members’ right and opportunity to object to the Settlement. The Court further finds that the
23 distribution of the Class Notice substantially in the manner and form set forth in the Agreement
24 and this Order meets the requirements of due process, is the best notice practicable under the
25 circumstances, and shall constitute due and sufficient notice to all persons entitled thereto. The
26 Court orders the mailing of the Class Notice by first class mail pursuant to the terms set forth in
27 the Agreement. If a Class Notice Packet is returned because of an incorrect address, the
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1 Administrator will promptly search for a more current address for the Class Member and re-mail
2 the Class Notice Packet to any new address for the Class Member no later than seven (7) days
3 after the receipt of the undelivered Class Notice.

4 11. The Court hereby appoints Apex Class Action LLC as the Administrator. No later
5 than thirty (30) days after this Order, Defendant will provide the Class Data to the Administrator.
6 The Administrator will perform address updates and verifications as necessary prior to the first
7 mailing. Using best efforts to mail it as soon as possible, and in no event later than fourteen (14)
8 days after receiving the Class Data, the Administrator will mail the Class Notice Packet to all
9 Class Members via first-class regular U.S. Mail to their last known address.

10 12. The Court hereby preliminarily approves the proposed procedure for exclusion
11 from the Settlement. Any Class Member may individually choose to opt out of and be excluded
12 from the Class as provided in the Class Notice by following the instructions for requesting
13 exclusion from the Class that are set forth in the Class Notice. All requests for exclusion must be
14 postmarked or received no later than sixty (60) calendar days after the date of the mailing of the
15 Class Notice ("Response Deadline"). If a Class Notice Packet is re-mailed, the Response Deadline
16 for requests for exclusion will be extended an additional fourteen (14) days. A Request for
17 Exclusion may also be faxed or emailed to the Administrator as indicated in the Class Notice.
18 Any such person who chooses to opt out of and be excluded from the Class will not be entitled to
19 any recovery under the Class Settlement and will not be bound by the Class Settlement or have
20 any right to object, appeal or comment thereon. Class Members who have not requested exclusion
21 shall be bound by all determinations of the Court, the Agreement and the Judgment. A request for
22 exclusion may only opt out that particular individual, and any attempt to effect an opt-out of a
23 group, class, or subclass of individuals is not permitted and will be deemed invalid.

24 13. Any Class Member who has not opted out may appear at the final approval hearing
25 and may object or express the Member's views regarding the Settlement and may present evidence
26 and file briefs or other papers that may be proper and relevant to the issues to be heard and
27 determined by the Court as provided in the Class Notice. Participating Class Members will have
28

1 until the Response Deadline to submit their written objections to the Administrator. Written
2 objections may also be faxed or emailed to the Administrator as indicated in the Class Notice. If a
3 Class Notice Packet is re-mailed, the Response Deadline for written objections will be extended an
4 additional fourteen (14) days. Alternatively, Participating Class Members may appear at the Final
5 Approval Hearing to make an oral objection.

6 14. A final approval hearing shall be held before this Court on _____
7 _____ at _____ in Department 4 / Courtroom 4C of the San Mateo County
8 Superior Court to hear the motion for final approval and for attorneys' fees and costs, and to
9 determine all necessary matters concerning the Settlement, including: whether the proposed
10 settlement of the Action on the terms and conditions provided for in the Agreement is fair,
11 adequate and reasonable and should be finally approved by the Court; whether the Final Approval
12 Order and Judgment should be entered herein; whether the plan of allocation contained in the
13 Agreement should be approved as fair, adequate and reasonable to the Class Members; and to
14 finally approve attorneys' fees and costs, service awards, and the expenses of the Administrator.
15 All papers in support of the motion for final approval and for attorneys' fees, costs and service
16 award shall be filed with the Court and served on all counsel no later than sixteen (16) court days
17 before the hearing and the motion shall be heard at this final approval hearing.

18 15. Neither the Settlement nor any exhibit, document, or instrument delivered
19 thereunder shall be construed as a concession or admission by Defendant in any way that the
20 claims asserted have any merit or that this Action was properly brought as a class or representative
21 action, and shall not be used as evidence of, or used against Defendant as, an admission or
22 indication in any way, including with respect to any claim of any liability, wrongdoing, fault or
23 omission by Defendant or with respect to the truth of any allegation asserted by any person.
24 Whether or not the Settlement is finally approved, neither the Settlement, nor any exhibit,
25 document, statement, proceeding or conduct related to the Settlement, nor any reports or accounts
26 thereof, shall in any event be construed as, offered or admitted in evidence as, received as or
27 deemed to be evidence for any purpose adverse to the Defendant, including, but not limited to,

1 evidence of a presumption, concession, indication or admission by Defendant of any liability,
2 fault, wrongdoing, omission, concession or damage.

3 16. In the event the Settlement does not become effective in accordance with the terms
4 of the Agreement, or the Settlement is not finally approved, or is terminated, canceled or fails to
5 become effective for any reason, this Order shall be rendered null and void and shall be vacated,
6 and the Parties shall revert to their respective positions as of before entering into the Agreement,
7 and expressly reserve their respective rights regarding the prosecution and defense of this Action,
8 including all available defenses and affirmative defenses, and arguments that any claim in the
9 Action could not be certified as a class action and/or managed as a representative action . In such
10 an event, the Court's orders regarding the Settlement, including this Order, shall not be used or
11 referred to in litigation for any purpose. Nothing in this paragraph is intended to alter the terms of
12 the Agreement with respect to the effect of the Agreement if it is not approved.

13 17. The Court reserves the right to adjourn or continue the date of the final approval
14 hearing and all dates provided for in the Agreement without further notice to Class Members and
15 retains jurisdiction to consider all further applications arising out of or connected with the
16 proposed Settlement.

17 18. The Action is stayed, subject to further orders of the Court at the Final Approval
18 Hearing.

19 **IT IS SO ORDERED.**

20 Dated: _____

21 _____
22 HON. NANCY L. FINEMAN
23 JUDGE OF THE SUPERIOR COURT OF CALIFORNIA
24
25
26
27
28

EXHIBIT C

[FINAL APPROVAL ORDER AND JUDGMENT]

EXHIBIT "C"

SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF SAN MATEO

AMANDA NUNEZ and MARLISHA L.
JAMES, individuals, on behalf of themselves
and on behalf of all persons similarly situated,

Plaintiffs,

vs.

UNIVERSITY HEALTHCARE ALLIANCE,
a Corporation; and DOES 1 through 50,
inclusive,

Defendants.

CASE NO.: 23-CIV-05931

**[PROPOSED] FINAL APPROVAL
ORDER AND JUDGMENT**

Hearing Date: _____
Hearing Time: _____

Judge: Hon. Nancy L. Fineman
Dept: 4
Courtroom: 4C

Date Filed: December 14, 2023
Trial Date: Not set

FINAL APPROVAL ORDER AND JUDGMENT

1 The unopposed motion of Plaintiffs Amanda Nunez and Marlisha L. James (“Plaintiffs”)
2 for an order finally approving the Class Action and PAGA Settlement Agreement (“Agreement”)
3 with Defendant University Healthcare Alliance a.k.a. Stanford Medicine Partners (“Defendant”),
4 Class Counsel Fees and Class Counsel Litigation Expenses, Class Representative Service
5 Payments, and the expenses of the Administrator duly came on for hearing on _____
6 before the Honorable Nancy L. Fineman.

7 **I.**

8 **FINDINGS**

9 Based on the oral and written argument and evidence presented in connection with the
10 motion, the Court makes the following findings:

11 1. All terms used herein shall have the same meaning as defined in the Agreement.

12 2. This Court has jurisdiction over the subject matter of this litigation pending before
13 the Superior Court for the State of California, in and for the County of San Mateo, and over all
14 Parties to this litigation, including the Class.

15 3. Based on a review of the papers submitted by Plaintiffs and a review of the
16 applicable law, the Court finds that the Gross Settlement Amount of is One Million Nine Hundred
17 and Fifty Thousand Dollars (\$1,950,000) and the terms set forth in the Agreement are fair,
18 reasonable, and adequate. The Gross Settlement Amount will be used to pay Individual Class
19 Payments, Individual PAGA Payments, the LWDA PAGA Payment, Class Counsel Fees Payment,
20 Class Counsel Litigation Expenses Payment, Class Representative Service Payments, and the
21 Administration Expenses Payment. This Gross Settlement Amount is an all-in amount without any
22 reversion to Defendant, and excludes any employer payroll taxes, if any, due on the portion of the
23 Individual Class Payments allocated to wages, which shall not be paid from the Gross Settlement
24 and shall be the separate additional obligation of Defendant.

25 4. The Court further finds that the Settlement was the result of arm’s length
26 negotiations conducted after Class Counsel had adequately investigated the claims and became
27 familiar with the strengths and weaknesses of those claims. In particular, the amount of the
28

1 Settlement, and the assistance of an experienced mediator in the settlement process, among other
2 factors, support the Court's conclusion that the Settlement is fair, reasonable, and adequate.

3 **Preliminary Approval of the Settlement**

4 5. On _____, the Court granted preliminary approval of the Settlement. At
5 this same time, the Court approved conditional certification of the Class for settlement purposes
6 only.

7 **Notice to the Class**

8 6. In compliance with the Preliminary Approval Order, the Court-approved Class
9 Notice was mailed by first class mail to members of the Class at their last-known addresses on or
10 about _____. Mailing of the Class Notice to their last-known addresses was the best
11 notice practicable under the circumstances and was reasonably calculated to communicate actual
12 notice of the litigation and the proposed settlement to the Class. The Class Notice given to the
13 Class Members fully and accurately informed the Class Members of all material elements of the
14 proposed Settlement and of their opportunity to object to or comment thereon or to seek exclusion
15 from the Settlement; was valid, due, and sufficient notice to all Class Members; and complied
16 fully with the laws of the State of California, the United States Constitution, due process and other
17 applicable law. The Class Notice fairly and adequately described the Settlement and provided
18 Class Members adequate instructions and a variety of means to obtain additional information.

19 7. The Response Deadline for opting out or submitting written objections to the
20 Settlement was _____, which for re-mailings was extended by fourteen (14) days. There
21 was an adequate interval between notice and the deadline to permit Class Members to choose what
22 to do and to act on their decision. A full and fair opportunity has been afforded to the
23 Participating Class Members to participate in this hearing, and all Participating Class Members
24 and other persons wishing to be heard have had a full and fair opportunity to be heard. Class
25 Members also have had a full and fair opportunity to exclude themselves from the proposed
26 Settlement and Class. Accordingly, the Court determines that all Class Members who did not
27 timely and properly submit a request for exclusion ("Participating Class Members") are bound by
28

1 the Settlement and this Final Approval Order and Judgment, provided that PAGA Group Members
2 will released the PAGA Released Claims as set forth in the Agreement, and will be send a portion
3 of the PAGA Payment, regardless of whether they opt out of the Settlement.

4 **Fairness of the Settlement**

5 8. The Agreement is entitled to a presumption of fairness. *Dunk v. Ford Motor Co.*
6 48 Cal.App.4th 1794, 1801 (1996).

7 a. The settlement was reached through arm's-length bargaining between the
8 Parties during an all-day mediation before Tripper Ortman, an experienced mediator of wage and
9 hour class actions. There has been no collusion between the Parties in reaching the Settlement.

10 b. Plaintiffs and Class Counsel's investigation and discovery have been
11 sufficient to allow the Court and counsel to act intelligently.

12 c. Counsel for all Parties are experienced in similar employment class action
13 litigation. Class Counsel recommended approval of the Agreement.

14 d. The percentage of objectors and requests for exclusion is small. ____
15 objections were received. _____ requests for exclusion were received.

16 e. The participation rate was high. _____ Participating Class Members will
17 be mailed a settlement payment, representing ____% of the overall Class.

18 9. The consideration to be given to the Participating Class Members under the terms
19 of the Agreement is fair, reasonable and adequate considering the strengths and weaknesses of the
20 claims asserted in this action and is fair, reasonable and adequate compensation for the release of
21 Participating Class Members' claims, given the uncertainties and significant risks of the litigation
22 and the delays which would ensue from continued prosecution of the action.

23 10. The Agreement is finally approved as fair, adequate and reasonable and in the best
24 interests of the Class Members.

25 **Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment**

26 11. From the Gross Settlement Amount, an award of \$_____ for Class
27 Counsel's attorneys' fees, representing one-third of the Gross Settlement Amount, and
28

1 \$ _____ for Class Counsel’s litigation costs and expenses, is reasonable, in light of the
2 contingent nature of Class Counsel’s fee, the hours worked by Class Counsel, and the results
3 achieved by Class Counsel. The requested awards have been supported by Class Counsel’s
4 lodestar and billing statement.

5 **Class Representative Service Payments**

6 12. The Agreement provides for a Class Representative Service Payment of not more
7 than \$15,000 each to the Plaintiffs, subject to the Court’s approval. The Court finds that Class
8 Representative Service Payments in the amount of \$ _____ each from the Gross Settlement
9 Amount to the Plaintiffs are reasonable in light of the risks and burdens undertaken by the
10 Plaintiffs in this litigation and for his time and effort in bringing and prosecuting this matter on
11 behalf of the Class.

12 **Administration Expenses Payment**

13 13. The Administrator shall calculate and administer the payments to be made to the
14 Participating Class Members and the PAGA Payments to the LWDA and to PAGA Group
15 Members, transmit payment for attorneys’ fees and costs to Class Counsel, transmit the Class
16 Representative Service Payments to the Plaintiffs, issue all required tax reporting forms, calculate
17 withholdings and perform the other remaining duties set forth in the Agreement. The
18 Administrator has documented \$ _____ in fees and expenses, and this amount is reasonable in
19 light of the work performed by the Administrator.

20 **PAGA Penalties**

21 14. The Agreement provides for a PAGA Penalties out of the Gross Settlement
22 Amount of \$40,000, which shall be allocated \$30,000 to the Labor & Workforce Development
23 Agency (“LWDA”) as the LWDA’s 75% share of the settlement of civil penalties and \$10,000 to
24 the PAGA Group Members as the Individual PAGA Payments pursuant to the PAGA. The
25 Administrator will calculate each Individual PAGA Payment by (a) dividing the amount of the
26 PAGA Group Members’ 25% share of PAGA Penalties (\$10,000) by the total number of PAGA
27 Pay Periods worked by all PAGA Group Members during the PAGA Period and (b) multiplying
28

1 the result by each PAGA Group Member's PAGA Pay Periods. "PAGA Group Members" are a ll
2 individuals who were employed by Defendant in the State of California and classified as non-
3 exempt employees at any time during the PAGA Period (November 20, 2022 through June 14,
4 2025). Pursuant to Labor Code section 2699, the LWDA was provided notice of the Agreement
5 and these settlement terms and has not indicated any objection thereto. The Court finds and
6 determines that the notice of the Settlement complies with the statutory requirements of PAGA.
7 The Court finds the resolution of the PAGA Released Claims and the PAGA Penalties to be
8 reasonable, fair, and appropriate.

9
10 **II.**
ORDERS

11 Based on the foregoing findings, and good cause appearing, IT IS HEREBY ORDERED:

12 15. The Class is certified for the purposes of settlement only. The Class is defined as
13 follows:

14 All individuals who were employed by Defendant in the State of California and
15 classified as non-exempt employees at any time during the Class Period (March 12,
2022 to June 14, 2025).

16 16. All persons who meet the foregoing definition are members of the Class, except for
17 those individuals who filed a valid request for exclusion ("opt out") from the Class. [Identify the
18 names of any opt outs.]

19 17. The Agreement is hereby finally approved as fair, reasonable, adequate, and in the
20 best interest of the Class. Defendant shall fully fund the Gross Settlement Amount, and also fund
21 the amounts necessary to fully pay Defendant's share of payroll taxes by transmitting the funds to
22 the Administrator no later than 30 days after the Effective Date.

23 18. Class Counsel are awarded attorneys' fees in the amount of \$_____ and
24 costs in the amount of \$_____, payable from the Gross Settlement Amount. Class Counsel
25 shall not seek or obtain any other compensation or reimbursement from Defendant, Plaintiffs or
26 members of the Class.

1 19. The payment of a Class Representative Service Payment from the Gross Settlement
2 Amount in the amount of \$ _____ to the Plaintiffs is approved.

3 20. The payment of \$ _____ to the Administrator for its fees and expenses
4 from the Gross Settlement Amount is approved.

5 21. The PAGA Penalties amount of \$40,000 is approved and is to be distributed from
6 the Gross Settlement Amount and allocated in accordance with the Agreement.

7 22. Pursuant to Labor Code section 2699, Class Counsel shall submit a copy of this
8 Final Approval Order and Judgment to the LWDA within 10 days after its entry.

9 23. Neither the Agreement nor this Settlement is an admission by Defendant, nor is this
10 Final Approval Order and Judgment a finding, of the validity of any claims in the Action or of any
11 wrongdoing by Defendant or that this Action is appropriate for class or representative treatment
12 (other than for settlement purposes). Neither this Final Approval Order and Judgment, the
13 Agreement, nor any document referred to herein, nor any action taken to carry out the Agreement
14 is, may be construed as, or may be used as, an admission by or against Defendant of any fault,
15 wrongdoing or liability whatsoever. The entering into or carrying out of the Agreement, and any
16 negotiations or proceedings related thereto, shall not in any event be construed as, or deemed to be
17 evidence of, an admission or concession with regard to the denials or defenses by Defendant.
18 Notwithstanding these restrictions, Defendant may file in the Action or in any other proceeding
19 this Final Approval Order and Judgment, the Agreement, or any other papers and records on file in
20 the Action as evidence of the Settlement to support a defense of *res judicata*, collateral estoppel,
21 release, or other theory of claim or issue preclusion or similar defense as to the Released Class
22 Claims and/or Released PAGA Claims.

23 24. Notice of entry of this Final Approval Order and Judgment shall be given to all
24 Parties by Class Counsel on behalf of Plaintiffs and all Participating Class Members. The Final
25 Approval Order and Judgment shall be posted on Class Counsel's website as set forth in the Class
26 Notice to the Class. It shall not be necessary to send notice of entry of this Final Approval Order
27 and Judgment to individual Class Members.

1 25. If the Agreement does not become final and effective in accordance with the terms
2 of the Agreement, then this Final Approval Order and Judgment, and all orders entered in
3 connection herewith, shall be rendered null and void and shall be vacated, and the Parties shall
4 revert to their respective positions as of before entering into the Agreement, and expressly reserve
5 their respective rights regarding the prosecution and defense of this Action, including all available
6 defenses and affirmative defenses, and arguments that any claim in the Action could not be
7 certified as a class action and/or managed as a representative action.

8 **IT IS HEREBY ORDERED, ADJUDICATED AND DECREED THAT:**

9 26. Except as set forth in the Agreement and this Final Approval Order and Judgment,
10 Plaintiffs, and all members of the Class, shall take nothing in the Action.

11 27. All Parties shall bear their own attorneys' fees and costs, except as otherwise
12 provided in the Agreement and in this Final Approval Order and Judgment.

13 28. Effective on the date when Defendant fully funds the entire Gross Settlement
14 Amount and funds all employer payroll taxes owed on the Wage Portion of the Individual Class
15 Payments, Plaintiff, Participating Class Members, PAGA Group Members and the LWDA will
16 release claims against all Released Parties as follows:

17 (a) All Participating Class Members, on behalf of themselves and their
18 respective former and present representatives, agents, attorneys, heirs, administrators, successors,
19 and assigns, release all Released Parties from the Released Class Claims. The "Released Class
20 Claims" are all claims, charges, complaints, liens, demands, causes of action, obligations, damages
21 and liabilities, known or suspected, that each Participating Class Member had, now has, or may
22 hereafter claim to have against the Released Parties that were asserted in the Operative Complaint
23 based on claimed conduct during the Class Period, or that could have been asserted based on any
24 of the facts, events, acts, omissions, or failures to act alleged in the Operative Complaint during
25 the Class Period. The Released Class Claims specifically include claims for: (1) California Unfair
26 Competition Law; (2) Failure to Pay Minimum Wage; (3) Failure to Pay Overtime Wages; (4)
27 Failure to Provide Required Meal Periods; (5) Failure to Provide Required Rest Periods; (6)
28

1 Failure to Provide Accurate Wage Statements; (7) Failure to Reimburse Business Expenses; (8)
2 Untimely Payment of Wages; (9) Failure to Pay Sick Pay Wages; and (10) Failure to Provide
3 Suitable Seating. The specific statutes released include the following (though this list does not
4 narrow the scope of the release described above and below): Labor Code §§ 201-204 et seq., 210,
5 216, 218, 218.6, 221, 226, 226.7, 227.3, 233, 246 *et seq.*, 510, 512, 558, 1174, 1182.12, 1194,
6 1194.2, 1197, 1197.1, 1198, 2802, 2698, 2699, 2699.3, and 2699.5; the applicable IWC Wage
7 Orders, including Nos. 4-2001 and 5-2001; California Code of Regulations, Title 8, sections
8 11000, *et seq.*, 11040, 11050, and 11070; 29 U.S.C. section 211; Civil Code section 3287; and
9 Business & Professions Code sections 17200, *et seq.* Participating Class Members do not release
10 claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing
11 Act, unemployment insurance, disability, social security, workers' compensation, pension or
12 retirement benefits, claims based on facts occurring outside the Class Period, and any other claim
13 or right that as a matter of law cannot be waived or released. The "Released Parties," as used
14 herein, are Defendant, and Defendant's affiliates, parents, and each of their company-sponsored
15 employee benefit plans, and their respective successors and predecessors in interest, all of their
16 respective officers, directors, employees, administrators, fiduciaries, trustees and agents, and of
17 their past present and future officers, directors, shareholders, employees, agents, principals, heirs,
18 representatives, attorneys, accountants, auditors, consultants, insurers, and reinsurers.

19 (b) All PAGA Group Members and the LWDA are deemed to release, on
20 behalf of themselves and their respective former and present representatives, agents, attorneys,
21 heirs, administrators, successors, and assigns, all Released Parties from the Released PAGA
22 Claims. The "Released PAGA Claims" are all claims, charges, complaints, liens, demands, causes
23 of action, obligations, damages and liabilities, known or suspected that were asserted in the
24 Operative Complaint based on claimed conduct during the PAGA Period or were asserted in the
25 PAGA Notice, or that could have been asserted based on any of the facts, events, acts, omissions,
26 or failures to act alleged in the Operative Complaint or the PAGA Notice that occurred during the
27 PAGA Period. The specific statutes released include the following (though this list does not
28

1 narrow the scope of the release described above and below): Labor Code §§ 201-204 et seq., 210,
2 216, 218, 218.6, 221, 226, 226.7, 227.3, 233, 246 *et seq.*, 510, 512, 558, 1174, 1182.12, 1194,
3 1194.2, 1197, 1197.1, 1198, 2802, 2698, 2699, 2699.3, and 2699.5; the applicable IWC Wage
4 Orders, including Nos. 4-2001 and 5-2001; California Code of Regulations, Title 8, sections
5 11000, *et seq.*, 11040, 11050, and 11070. The Released PAGA Claims do not include claims for
6 wrongful termination, discrimination, unemployment insurance, disability and worker's
7 compensation, claims outside of the PAGA Period, and any other claim or right that as a matter of
8 law cannot be waived or released.

9 (c) Plaintiffs and their respective former and present spouses, representatives,
10 agents, attorneys, heirs, administrators, successors, and assigns generally, release and discharge
11 Released Parties from the Plaintiffs' Release, as set forth fully in the Agreement.

12 29. For any Class Member or PAGA Group Member whose Individual Class Payment
13 check or Individual PAGA Payment check is uncashed and cancelled after the void date, the
14 Administrator shall transmit the funds represented by such checks to Legal Aid at Work as the Cy
15 Pres Recipient under California Code of Civil Procedure Section 384, subd. (b).

16 30. The Court hereby enters judgment in the entire Action as of the filing date of this
17 Order and Judgment, pursuant to the terms set forth in the Settlement. Without affecting the
18 finality of this Order and Judgment in any way, the Court hereby retains continuing jurisdiction
19 over the interpretation, implementation, and enforcement of the Settlement and all orders entered
20 in connection therewith pursuant to California Code of Civil Procedure section 664.6.

21 **LET JUDGMENT BE FORTHWITH ENTERED ACCORDINGLY. IT IS SO ORDERED.**

22
23 Dated: _____

24 _____
25 HON. NANCY L. FINEMAN
26 JUDGE OF THE SUPERIOR COURT OF CALIFORNIA
27
28

EXHIBIT #2

Blumenthal Nordrehaug Bhowmik De Blouw LLP

2255 Calle Clara, La Jolla, California 92037

Tel: (858) 551-1223

Fax: (885) 551-1232

FIRM RESUME

Areas of Practice: Employee, Consumer and Securities Class Actions, Wage and Hour Class Actions, Civil Litigation, Business Litigation.

ATTORNEY BIOGRAPHIES

Norman B. Blumenthal

Partner, Blumenthal Nordrehaug Bhowmik De Blouw LLP (2018 to present)

Practice Areas: Consumer and Securities Class Action, Civil Litigation, Wage and Hour Class Actions, Transactional Law

Admitted: 1973, Illinois; 1976, California

Biography: Law Clerk to Justice Thomas J. Moran, Illinois Supreme Court, 1973-1975, while on Illinois Court of Appeals. Instructor, Oil and Gas Law: California Western School of Law, 1981; University of San Diego School of Law, 1983. Sole Practitioner 1976-1987. Partner, Blumenthal & Ostroff, 1988-1995. Partner, Blumenthal, Ostroff & Markham, 1995-2001. Partner, Blumenthal & Markham, 2001-2007. Partner, Blumenthal & Nordrehaug, 2007. Partner, Blumenthal, Nordrehaug & Bhowmik, 2008-2018. Partner, Blumenthal Nordrehaug Bhowmik De Blouw LLP, 2018 - present.

Member: San Diego County, Illinois State and American Bar Associations; State Bar of California.

Educated: University of Wisconsin (B.A., 1970); Loyola University of Chicago (J.D., 1973);

Summer Intern (1971) with Harvard Voluntary Defenders

Kyle R. Nordrehaug

Partner, Blumenthal Nordrehaug Bhowmik De Blouw LLP (2018 to present)

Practice Areas: Consumer and Securities Class Actions, Wage and Hour Class Actions, Civil Litigation

Admitted: 1999, California

Biography: Associate, Blumenthal, Ostroff & Markham, 1999-2001. Associate, Blumenthal & Markham, 2001-2007. Partner, Blumenthal & Nordrehaug, 2007. Partner, Blumenthal, Nordrehaug & Bhowmik, 2008-2017

Member: State Bar of California, Ninth Circuit Court of Appeals, Third Circuit Court of Appeals

Educated: University of California at Berkeley (B.A., 1994); University of San Diego School of Law (J.D. 1999)

Awards: Top Labor & Employment Attorney 2016; Top Appellate Reversal - Daily Journal 2015; Super Lawyer 2015-2018

Aparajit Bhowmik

Partner, Blumenthal Nordrehaug Bhowmik De Blouw LLP (2018 to present)

Practice Areas: Civil Litigation; Consumer Class Actions, Wage and Hour Class Actions

Admitted: 2006, California

Educated: University of California at San Diego (B.A., 2002); University of San Diego School of Law (J.D. 2006)

Biography: Partner, Blumenthal, Nordrehaug & Bhowmik, 2008-2017

Awards: Rising Star 2015

Nicholas J. De Blouw

Partner, Blumenthal Nordrehaug Bhowmik De Blouw LLP (2018 to present)

Practice Areas: Civil Litigation; Consumer Class Actions, Wage and Hour Class Actions

Admitted: 2011, California

Educated: Wayne State University (B.A. 2008); California Western School of Law (J.D. 2011)

Piya Mukherjee

Associate Attorney

Practice Areas: Civil Litigation; Consumer Class Actions, Wage and Hour Class Actions

Admitted: 2010, California

Educated: University of California, San Diego (B.S. 2006); University of Southern California, Gould School of Law (J.D. 2010)

Victoria Rivapalacio

Associate Attorney

Practice Areas: Civil Litigation; Consumer Class Actions, Wage and Hour Class Actions

Admitted: 2011, California

Educated: University of California at San Diego (B.A., 2003); George Washington University Law School (J.D. 2010)

Ricardo Ehmman

Associate Attorney

Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2018, California; 2004, Nevada

Educated: University of California, San Diego (B.A. 1998); Loyola Law School (J.D. 2001)

Jeffrey S. Herman

Associate Attorney

Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2011, California; 2016 Arizona

Educated: University of Michigan (B.A. 2008); California Western School of Law (J.D. 2011)

Charlotte James

Associate Attorney

Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2016, California

Educated: San Diego State University; California Western School of Law

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Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2012, California

Educated: University of California, Irvine; California Western School of Law

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Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2016, California

Educated: Arizona State University; University of San Diego School of Law

Scott Blumenthal

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Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2020, New Mexico

Educated: University of Southern California; California Western School of Law

Sergio Julian Puche

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Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2013, California

Educated: University of California, Irvine; California Western School of Law

Trevor Moran

Associate Attorney

Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2020, California

Educated: University of Rhode Island; California Western School of Law

Adolfo Sanchez Contreras

Associate Attorney

Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2024, California; 2014, Mexico

Educated: The Juarez University

Brooke Wilkinson Waldrop

Associate Attorney

Practice Areas: Civil Litigation; Wage and Hour Class Actions

Admitted: 2017, California;

Educated: Westminster University (B.A. 2004); University of Utah (J.D. 2008)

REPORTED CASES

Sakkab v. Luxottica Retail N. Am., Inc., 803 F.3d 425 (9th Cir. 2015) (The panel reversed the district court's order granting Luxottica Retail North America, Inc.'s motion to compel arbitration of claims and dismissing plaintiff's first amended complaint, in a putative class action raising class employment-related claims and a non-class representative claim for civil penalties under the Private Attorney General Act.);

Securitas Security Services USA, Inc. v. Superior Court, 234 Cal. App. 4th 1109 (Cal. Feb. 27, 2015) (Court of Appeal concluded the trial court correctly ruled that *Iskanian* rendered the PAGA waiver within the parties' dispute resolution agreement unenforceable. However, the Court of Appeal then ruled the trial court erred by failing to invalidate the non-severable class action waiver from the agreement and remanded the entire complaint, including class action and PAGA claims, be litigated in the Superior Court);

Sussex v. United States Dist. Court for the Dist. of Nev., 781 F.3d 1065 (9th Cir. 2015) (The panel determined that the district court clearly erred in holding that its decision to intervene mid-arbitration was justified under *Aerojet-General*. Specifically, the panel held that the district court erred in predicting that an award issued by the arbitrator would likely be vacated because of his "evident partiality" under 9 U.S.C. § 10(a)(2).);

Provost v. YourMechanic, Inc., 2020 Cal. App. Lexis 955 (Oct. 15, 2020) (Court of Appeals affirmed denial of arbitration of PAGA claim, and held in a case of first impression, that there was

no additional standing rules for PAGA claim brought by independent contractor); In re Tobacco Cases II, 41 Cal. 4th 1257 (2007); Washington Mutual Bank v. Superior Court, 24 Cal. 4th 906 (2001); Rocker v. KPMG LLP, 148 P.3d 703; 122 Nev. 1185 (2006); PCO, Inc. v. Christensen, Miller, Fink, Jacobs, Glaser, Weil & Shapiro, LLP, 150 Cal. App. 4th 384 (2007); Hall v. County of Los Angeles, 148 Cal. App. 4th 318 (2007); Coshov v. City of Escondido, 132 Cal. App. 4th 687 (2005); Daniels v. Philip Morris, 18 F.Supp 2d 1110 (S.D. Cal.1998); Gibson v. World Savings & Loan Asso., 103 Cal. App. 4th 1291 (2003); Jordan v. Department of Motor Vehicles, 75 Cal. App. 4th 445 (1999); Jordan v. Department of Motor Vehicles, 100 Cal.App. 4th 431 (2002); Norwest Mortgage, Inc. v. Superior Court, 72 Cal.App.4th 214 (1999); Hildago v. Diversified Transp. Sya, 1998 U.S. App. LEXIS 3207 (9th Cir. 1998); Kensington Capital Mgal. v. Oakley, Inc., 1999 U.S. Dist LEXIS 385; Fed.Sec.L.Rep. (CCH) P90, 411 (1999 C.D. Cal.); Lister v. Oakley, Inc., 1999 U.S. Dist. LEXIS 384; Fed. Sec. L. Rep. (CCH) P90,409 (C.D Cal. 1999); Olszewski v. Scripps Health, 30 Cal. 4th 798 (2003); Steroid Hormone Product Cases, 181 Cal. App. 4th 145 (2010); Owen v. Macy's, Inc., 175 Cal. App. 4th 462 (2009); Taiheiyo Cement Corp. v. Superior Court, 117 Cal. App. 4th 380 (2004); Taiheiyo Cement Corp. v. Superior Court, 105 Cal.App. 4th 398 (2003); McMeans v. Scripps Health, Inc., 100 Cal. App. 4th 507 (2002); Ramos v. Countrywide Home Loans, 82 Cal.App. 4th 615 (2000); Tevssier v. City of San Diego, 81 Cal.App. 4th 685 (2000); Washington Mutual Bank v. Superior Court, 70 Cal. App. 4th 299 (1999); Silvas v. E*Trade Mortg. Corp., 514 F.3d 1001 (9th Cir. 2008); Silvas v. E*Trade Mortg. Corp., 421 F. Supp. 2d 1315 (S.D. Cal. 2006); McPhail v. First Command Fin. Planning, Inc., 2009 U.S. Dist. LEXIS 26544 (S.D. Cal. 2009); McPhail v. First Command Fin. Planning, Inc., 251 F.R.D. 514 (S.D. Cal. 2008); McPhail v. First Command Fin. Planning, Inc., 247 F.R.D. 598 (S.D. Cal. 2007); Barcia v. Contain-A-Way, Inc., 2009 U.S. Dist. LEXIS 17118 (S.D. Cal. 2009); Barcia v. Contain-A-Way, Inc., 2008 U.S. Dist. LEXIS 27365 (S.D. Cal. 2008); Wise v. Cubic Def. Applications, Inc., 2009 U.S. Dist. LEXIS 11225 (S.D. Cal. 2009); Gabisan v. Pelican Prods., 2009 U.S. Dist. LEXIS 1391 (S.D. Cal. 2009); La Jolla Friends of the Seals v. Nat'l Oceanic & Atmospheric Admin. Nat'l Marine Fisheries Serv., 630 F. Supp. 2d 1222 (S.D. Cal. 2009); La Jolla Friends of the Seals v. Nat'l Oceanic & Atmospheric Admin. Nat'l Marine Fisheries Serv., 2008 U.S. Dist. LEXIS 102380 (S.D. Cal. 2008); Louie v. Kaiser Found. Health Plan, Inc., 2008 U.S. Dist. LEXIS 78314 (S.D. Cal. 2008); Weltman v. Ortho Mattress, Inc., 2010 U.S. Dist. LEXIS 20521 (S.D. Cal. 2010); Weltman v. Ortho Mattress, Inc., 2008 U.S. Dist. LEXIS 60344 (S.D. Cal. 2008); Curry v. CTB McGraw-Hill, LLC, 2006 U.S. Dist. LEXIS 5920; 97 A.F.T.R.2d (RIA) 1888; 37 Employee Benefits Cas. (BNA) 2390 (N.D. Cal. 2006); Reynov v. ADP Claims Servs. Group, 2006 U.S. Dist. LEXIS 94332 (N.D. Cal. 2006); Kennedy v. Natural Balance Pet Foods, Inc., 2010 U.S. App. LEXIS 248 (9th Cir. 2010); Kennedy v. Natural Balance Pet Foods, Inc., 2008 U.S. Dist. LEXIS 38889 (S.D. Cal. 2008); Kennedy v. Natural Balance Pet Foods, Inc., 2007 U.S. Dist. LEXIS 57766 (S.D. Cal. 2007); Sussex v. Turnberry/MGM Grand Towers, LLC, 2009 U.S. Dist. LEXIS 29503 (D. Nev. 2009); Picus v. Wal-Mart Stores, Inc., 256 F.R.D. 651 (D. Nev. 2009); Tull v. Stewart Title of Cal., Inc., 2009 U.S. Dist. LEXIS 14171 (S.D. Cal. 2009); Keshishzadeh v. Gallagher, 2010 U.S. Dist. LEXIS 46805 (S.D. Cal. 2010); Keshishzadeh v. Arthur J. Gallagher Serv. Co., 2010 U.S. Dist. Lexis 116380 (S.D. Cal. 2010); In re Pet Food Prods. Liab. Litig., MDL Docket No. 1850 (All Cases), 2008 U.S. Dist. LEXIS 94603 (D.N.J. 2008); In re Pet Food Prods. Liab. Litig., 629 F.3d 333 (3rd Cir. 2010); Puentes v. Wells Fargo Home Mortgage, Inc., 160 Cal. App. 4th 638 (2008); Rezec v. Sony Pictures Entertainment, Inc., 116 Cal. App. 4th 135 (2004); Badillo v. Am. Tobacco Co., 202 F.R.D. 261 (D. Nev. 2001); La Jolla Friends of the Seals v. Nat'l Oceanic & Atmospheric Admin., 2010 U.S. App. Lexis 23025 (9th Cir. 2010); Dirienzo v. Dunbar Armored, Inc., 2011 U.S. Dist. Lexis 36650 (S.D. Cal. 2011); Rix v. Lockheed Martin Corp., 2011 U.S. Dist Lexis 25422 (S.D. Cal. 2011); Weitzke v. Costar Realty Info., Inc., 2011 U.S. Dist Lexis 20605 (S.D. Cal. 2011); Goodman v. Platinum Condo. Dev., LLC, 2011 U.S. Dist. LEXIS 36044 (D. Nev. 2011); Sussex v. Turnberry/MGM Grand Towers, LLC, 2011 U.S. Dist. LEXIS 14502 (D. Nev 2011); Smith v. Kaiser Foundation Hospitals, Inc., 2010 U.S. Dist. Lexis 117869 (S.D. Cal. 2010); Dobrosky v. Arthur J. Gallagher Serv. Co.,

LLC, No. EDCV 13-0646 JGB (SPx), 2014 U.S. Dist. LEXIS 106345 (C.D. Cal. July 30, 2014); Metrow v. Liberty Mut. Managed Care LLC - Class Certification Granted, Metrow v. Liberty Mut. Managed Care LLC, No. EDCV 16-1133 JGB (KKx), 2017 U.S. Dist. LEXIS 73656 (C.D. Cal. May 1, 2017); Nelson v. Avon Products, Inc., Class Certification Granted, U.S. District Court for The Northern District of California, Case No. 13-cv-02276-BLF, 2015 U.S. Dist. LEXIS 51104 (N.D. Cal. Apr. 17, 2015); Orozco v. Illinois Tool Works Inc., Class Certification Granted, 2017 U.S. Dist. LEXIS 23179 (E.D. Cal. Feb. 16, 2017); Rieve v. Coventry Health Care, Summary Judgment *Sua Sponte* Granted for Plaintiff, Rieve v. Coventry Health Care, Inc., 870 F. Supp. 2d 856 (C.D. Cal. 2012)

CLASS ACTION & REPRESENTATIVE CASES

4G Wireless Wage Cases, Orange County Superior Court, JCCP No. 4736; Classic Party Rentals Wage & Hour Cases, Los Angeles Superior Court, Case No. JCCP No. 4672; Abu-Arafeh v. Norco Delivery Service, Inc., San Francisco County Superior Court, Case No. CGC-14-540601; Aburto v. Verizon, U.S. District Court, Southern District California, Case No. 11-cv-0088; Adkins v. Washington Mutual Bank, Class Certification Granted, San Diego County Superior Court, Case No. GIC819546; Agah v. CompUSA, U.S. District Court, Central District of California, Case No. SA CV05-1087 DOC (Anx); Akers v. The San Diego Union Tribune, San Diego County Superior Court, Case No 37-2010-00088571; Altman v. SolarCity Corporation, San Diego County Superior Court, Case No. 37-2014-00023450-CU-OE-CTL; Aquino v. Macy's West Stores, Orange County Superior Court, Case No. 30-2010-00395420; Baker v. Advanced Disability Management, Inc., Sacramento County Superior Court, Case No. 34-2014-00160711; Barcia v. Contain-A-Way, U.S. District Court, Southern District California, Case No. 07 cv 0938; Bates v. Verengo, Inc., Orange County Superior Court, Case No. 30-2012-00619985-CU-OE-CXC; Battle v. Charming Charlie Inc., San Diego County Superior Court, Case No. 37-2014-00005608; Behar v. Union Bank, Orange County Superior Court, Case No. 30-2009-00317275; Bell v. John Stweart Company, Alameda County Superior Court, Case No. RG14728792; Bennett v. Custom Built Personal Training Monterey County Superior Court, Case No. M127596; Bermant v. Bank of America, Investment Services, Inc., Los Angeles Superior Court, Civil Action No. BC342505; Bethley v. Raytheon Company, United States District Court, Central District of California, Case No. SACV10-01741; Betorina v. Randstad US, L.P., U.S. District Court Northern District of California, Case No. 3:15-cv-03646-MEJ; Beverage v. Edcoa Inc., Sacramento County Superior Court, Case No. 2013-00138279; Bova v. Washington Mutual Bank / JP Morgan Chase, U.S. District Court, Southern District California, Case No. 07-cv-2410; Bowden v. Sunset Parking Services, LLC & LAZ Parking California, LLC - Settled San Diego County Superior Court, Case No. 37-2012-00101751-CU-OE-CTL; Briseno v. American Savings Bank, Class Certification Granted, Orange County Superior Court, Case No. 774773; Brueske v. Welk Resorts, San Diego Superior Court, Case No 37-2010-00086460; Bueche v. Fidelity National Management Services, U.S. District Court, Eastern District of California, Case No. 13-cv-01114; Bunch v. Pinnacle Travel Services, LLC, Los Angeles County Superior Court, Case No. BC552048; Butler v. Stericycle, Inc & Appletree Answering Services of California, Inc., Sacramento County Superior Court, Case No. 34-2015-00180282; Cabral v. Creative Communication Tech., Class Certification Granted, Los Angeles Superior Court, Case No. BC402239; Cardoza v. Wal-Mart Associates, Inc., U.S. District Court Northern District of California, Case No. 4:15-cv-01634-DMR; Castro v. Vivint Solar, Inc., San Diego County Superior Court, Case No. 37-2014-00031385-CU-OE-CTL; Cavazos v. Heartland Automotive Services, Inc., Riverside County Superior Court, Case No. PSC 1401759; Cohen v. Bosch Tool, San Diego Superior Court, Case No. GIC 853562; Comstock v. Washington Mutual Bank - Class Certification Granted, San Diego County Superior Court, Case No. GIC820803; Conley v. Norwest, San Diego County Superior Court, Case No. N73741; Connell v. Sun Microsystems, Alameda Superior Court, Case No. RG06252310; Corrente

v. Luxe Valet, Inc., San Francisco County Superior Court, Case No. CGC-15-545961; Cruz v. Redfin Corporation, U.S. District Court Northern District of California, Case No. 3:14-cv-05234-THE; Culley v. Lincare Inc. & Alpha Respiratory Inc., U.S. District Court eastern District of California, Case No. 2:15-cv-00081-GEb-CMK; Cunningham v. Leslie's Poolmart, Inc., U.S. District Court, Central District of California, Case No. 13-cv-02122-CAS; Curry v. California Testing Bureau/McGraw Hill, U.S. District Court, Northern District of California, Case No. C-05-4003 JW; Daniels, et al. v. Philip Morris,(In Re Tobacco Cases II) – Class Certification Granted, San Diego Superior Court, Case No. JCCP 4042; Davis v. Genex Holdings Inc., Santa Clara County Superior Court, Case No. 1-13-cv-240830; Davis v. Clear Connection, LLC, San Diego County Superior Court, Case No. 37-2014-00035173-CU-OE-CTL; Day v. WDC Exploration, Orange County Superior Court, Case No. 30-2010-00433770; Dedrick v. Hollandia Dairy, San Diego County Superior Court, Case No. 37-2014-00004311-Cu-OE-CTL; Delmare v. Sungard Higher Education - Settled U.S. District Court, Southern District of California, Case No. 07-cv-1801; Del Rio v. Tumi Stores, Inc., San Diego County Superior Court, Case No. 37-2015-00022008-CU-OE-CTL; Dewane v. Prudential, U.S. District Court, Central District of California, Case No. SA CV 05-1031; Diesel v. Wells Fargo Bank, Orange County Superior Court, Case No. 30-2011-00441368; Dirienzo v. Dunbar Armored, U.S. District Court, Southern District of California, Case No. 09-cv-2745; Dobrosky v. Arthur J. Gallagher Service Company, LLC, Class certification Granted, No. EDCV 13-0646 JGB (Spx); Dodds v. Zaven Tootikian, Los Angeles County Superior Court, Case No. BC494402; Drumheller v. Radioshack Corporation, United States District Court, Central District of California, Case No. SACV11-355; Enger v. Kaiser Foundation Health Plan, U.S. District Court, Southern District of California, Case No. 09-cv-1670; Escobar v. Silicon Valley Security & Patrol, Inc., Santa Clara County Superior Court, Case No. 1-14-cv272514; Fierro v. Chase Manhattan - Class Certification Granted, Settled San Diego Superior Court, Case No. GIN033490; Figueroa v. Circle K Stores, Inc., San Diego County Superior Court, Case No. 37-2012-00101193-CU-OE-CTL; Finch v. Lamps Plus, (Lamps Plus Credit Transaction Cases), San Diego Superior Court, Case No. JCCP 4532; Fletcher v. Verizon, U.S. District Court, Southern District of California, Case No. 09-cv-1736; Francisco v. Diebold, U.S. District Court, Southern District of California, Case No. 09-cv-1889; Friend v. Wellpoint, Los Angeles Superior Court, Case No. BC345147; Frudakis v. Merck Sharp & Dohme, U.S. District Court, Central District California, Case No. SACV 11-00146; Fulcher v. Olan Mills, Inc., U.S. District Court, Northern District of California, Case No. 11-cv-1821; Gabisan v. Pelican Products, U.S. District Court, Southern District California, Case No. 08 cv 1361; Galindo v. Sunrun Installation Services Inc., San Diego County Superior Court, Case No. 37-2015-00008350-CU-OE-CTL; Gallagher v. Legacy Partners Commercial, Santa Clara County Superior Court, Case No. 112-cv-221688; Ghattas v. Footlocker Retail, Inc., U.S. District Court Central District of California, Case No. CV 13-0001678 PA; Gibson v. World Savings, Orange County Superior Court, Case No. 762321; Goerzen v. Interstate Realty Management, Co., Stanislaus County Superior Court, Case No. 679545; Gomez v. Enterprise Rent-A-Car, U.S. District Court, Southern District of California, Case No. 3:10-cv-02373; Gordon v. Wells Fargo Bank, U.S. District Court, Southern District of California, Case No. 3:11-cv-00090; Grabowski v. CH Robinson, U.S. District Court, Southern District of California, Case No. 10-cv-1658; Gross v. ACS Compig Corporation, Orange County Superior Court, Case No. 30-2012-00587846-CU-OE-CXC; Gripenstraw v. Buffalo Wild Wings, U.S. District Court, Eastern District of California, Case No. 12-CV-00233; Gruender v. First American Title, Orange County Superior Court, Case No. 06 CC 00197; Guillen v. Univision Television Group, Inc. & Univision Management Co., San Francisco County Superior Court, Case No. CGC-12-526445; Gujjar v. Consultancy Services Limited, Orange County Superior Court, Case No. 30-2010-00365905; Gutierrez v. Five Guys Operations, LLC, San Diego County Superior Court, Case No. 37-2012-00086185-CU-OE-CTL; Handler v. Oppenheimer, Los Angeles Superior Court, Civil Action No. BC343542; Harley v. Tavistock Freebirds, LLC, Sacramento County Superior Court, Case No. 34-2014-00173010; Harrington v. Corinthian Colleges – Class Certification Granted, Orange Superior Court; United States Bankruptcy Court

District of Delaware; Harvey v. PQ Operations, Inc., Los Angeles County Superior Court, Case No. BC497964; Henshaw v. Home Depot U.S.A., United States District Court, Central District of California, Case No. SACV10-01392; Heithold v. United Education Institute, Orange County Superior Court, Case No. 30-2013-00623416-CU-OE-CXC; Hibler v. Coca Cola Bottling, Settled U.S. District Court, Southern District of California, Case No. 11cv0298; Hildebrandt v. TWC Administration LLC & Time Warner NY Cable, LLC, U.S. District Court, Central District of California, Case No. ED-cv-13-02276-JGB; Hopkins v. BCI Coca-Cola Bottling Company of Los Angeles, United States District Court, Central District of California; U.S. Court of Appeals 9th Circuit; Howard v. Southern California Permanente Medical Group, Los Angeles Superior Court, Case No. BC586369; Hughes v. Parexel International, Los Angeles County Superior Court, Case No. BC485950; Hurley v. Comcast of California/Colorado/Texas/Washington, Inc., Sonoma County Superior Court, Case No. SCV-253801; Irving v. Solarcity Corporation, San Mateo County Superior Court, Case No. CIV525975; Jacobs v. Nu Horizons - Settled Santa Clara County Superior Court, Case No. 111cv194797; Jefferson v. Bottling Group LLC (Pepsi) - Class Certification Granted, Orange County Superior Court, Case No. 30-2009-0018010; Jones v. E*Trade Mortgage, U.S. District Court, Southern District California Case No. 02-CV-1123 L (JAH); Kennedy v. Natural Balance - Dismissal Reversed on Appeal, San Diego Superior Court, Case No. 37-2007-00066201; Keshishzadeh v. Arthur J. Gallagher Service Co., U.S. District Court, Southern District of California, Case No. 09-cv-0168; Kinney v. AIG Domestic Claims / Chartis, U.S. District Court, Central District of California, Case No. 8:10-cv-00399; Kizer v. Tristar Risk Management, Orange County Superior Court, Case No. 30-2014-00707394-CU-OE-CXC; Kleinberg v. Reeve Trucking Company, Inc., San Diego County Superior Court, Case No. 37-2015-00001601-CU-OE-CTL; Kove v. Old Republic Title, Alameda County Superior Court, Case No. RG09477437; Krellcom v. Medley Communications, Inc., San Diego County Superior Court, Case No. 37-2013-00050245-CU-OE-CTL; Ladd v. Extreme Recovery, LP, Contra Costa County Superior Court, Case No. MSC11-02790; Langille v. EMC, U.S. District Court, Southern District of California, Case No. 09-cv-0168; Lawson v. Marquee Staffing, Los Angeles County Superior Court, Case No. 37-2012-00103717-CU-OE-CTL; Lazar v. Kaiser Foundation Health Plan, Inc., Santa Clara County Superior Court, Case No. 1-14-cv-273289; Lemmons v. Kaiser Foundation Hospitals, Inc., Sacramento County Superior Court, Case No. 34-2012-00125488; Levine v. Groeniger, Alameda County Superior Court, Case No. RG09476193; Linder v. OCWEN (In re Ocwen Federal Bank FSB Servicing Litig.) U.S. District Court, Central District California, Case No. 07cv501, U.S. District Court, Northern Dist. Illinois, Case No. MDL 1604; Litton v. Diebold, Incorporated, San Mateo County Superior Court, Case No. CIV524776; Lohn v. Sodexo, Inc. & SDH Services West, LLC, U.S. District Court Central District of California, Case No. 2:15-CV-05409; Lopez v. K-Mart, Ventura County Superior Court, Case No. BC351983; Louie / Stringer v. Kaiser, U.S. District Court, Southern District California, Case No. 08-cv-0795; Lucero v. Sears, U.S. District Court Southern District of California, Case No. 3:14-cv-01620-AJB; Lucero v. Kaiser Foundation Hospitals, Inc., San Diego County Superior Court, Case No. 37-2013-00075933-CU-OE-CTL; Magallanes v. TSA Stores, Inc., Santa Clara County Superior Court, Case No. 1-15-cv-283586; Magana v. El Pollo Loco, Inc., Orange County Superior Court, Case No. 30-2012-00613901-CU-OE-CXC; Maitland v. Marriott, U.S. District Court, Central District California, Case No. SACV 10-00374; Mann v. NEC Electronics America, Santa Clara County Superior Court, Case No. 109CV132089; Martinez v. Hydro-Scape Products, Inc., San Diego County Superior Court, Case No. 37-2014-00029157-CU-OE-CTL; Mathies v. Union Bank - Class Certification Granted, San Francisco County Superior Court, Case No. CGC-10-498077; McDermott v. Catalina Restaurant Group Inc., Orange County Superior Court, Case No. 30-2012-00574113-CU-OE-CXC; McPhail v. First Command, United States District Court for the Southern District of California, Case No. 05CV0179 IEG (JMA); Medina v. Universal Protection Service, LP, Santa Clara County Superior Court, Case No. BC572848; Meierdiercks v. 8x8, Inc., Santa Clara County Superior Court, Case No. 110CV162413; Metrow v. Liberty Mut. Managed Care LLC - Class Certification Granted, U.S. District Court Eastern District of California, Case No. 16-1133

JGB (Kkx); Meyer v. Thinktank Learning, Inc., Santa Clara County Superior Court, Case No. 1-15-cv-282698; Morales v. Wells Fargo Insurance Services USA, Inc., U.S. District Court Northern District of California, Case No. 3:13-cv-03867-EDL; Morse v. Marie Callender Pie Shop, U.S. District Court, Southern District California, Case No. 09-cv-1305; Moynihan v. Escalante Golf, Inc. & Troon Golf, LLC, San Diego County Superior Court, Case No. 37-2012-00083250-CU-OE-CTL; Muntz v. Lowe's HIW, San Diego County Superior Court, Case No. GIC880932; Najarian v. Macy's West Stores, Orange County Superior Court, Case No. 30-2010-00418401; Nelson v. Avon Products, Inc., Class Certification Granted, U.S. District Court for The Northern District of California, Case No. 13-cv-02276-BLF; Nguyen v. Wells Fargo Home Mortgage, Orange County Superior Court, Case No. 05 CC 00116; Ochoa v. Eisai, Inc., U.S. District Court, Northern District California, Case No. 3:11-cv-01349; Ogans v. Nationwide Credit, Inc., Sacramento County Superior Court, Case No. 34-2012-00121054; Ohayon v. Hertz, United States District Court, Northern District of California, Case No. 11-1662; Olvera v. El Pollo Loco, Inc., Orange County Superior Court, Case No. 30-2014-00707367-CU-OE-CXC; Orozco v. Illinois Tool Works Inc., Class Certification Granted, U.S. District Court, Eastern District of California, Case No. 14-cv-02113-MCE; Ortega v. Prime Healthcare Paradise Valley, LLC, San Diego County Superior Court, Case No. 37-2014-00011240-CU-OE-CTL; Patel v. Nike Retail Services, Inc., U.S. District Court Northern District of California, Case No. 3:14-cv-04781-RS; Patelski v. The Boeing Company, United States District Court, Southern District of New York; transferred to United States District Court, Eastern District of Missouri; Pearlman v. Bank of America, San Diego Superior Court; Perry v. AT&T, U.S. District Court, Northern District California, Case No. 11-cv 01488; Picus v. Wal-Mart Stores, U.S. District Court, District of Nevada, Case No. 2:07-CV-00682; Pittard v. Salus Homecare, U.S. District Court, Southern District California, Case No. 08 cv 1398; Port v. Southern California Permanente Medical Group, San Diego County Superior Court, Case No. 37-2007-00067538; Postema v. Lawyers Title Ins. Corp., Orange County Superior Court, Case No. 30-2010-00418901; Pratt v. Verizon, Orange County Superior Court, Case No. 30-2010-00430447; Proctor v. Ameriquest, Orange County Superior Court, Case No. 06CC00108; Ramirez v. Estenson Logistics, LLC, Orange County Superior Court, Case No. 30-2015-00803197-CU-OE-CXC; Ray v. Lawyers Title, Fidelity National, Commonwealth Land Title, Chicago Title, Orange County Superior Court, Case No. 30-2010-00359306; Renazco v. Unisys Technical Services, L.L.C., San Francisco County Superior Court, Case No. CGC-14-539667; Reynolds v. Marlboro/Philip Morris U.S.A., United States Court of Appeals for the Ninth Circuit, Case No. 08-55114, U.S. District Court, Southern District of California, Case No. 05 CV 1876 JAH; Rezec v. Sony, San Diego Superior Court; Rix v. Lockheed Martin Corporation, U.S. District Court, Southern District of California, Case No. 09-cv-2063; Rieve v. Coventry Health Care, Summary Judgment *Sua Sponte* Granted for Plaintiff, *Rieve v. Coventry Health Care, Inc.*, 870 F. Supp. 2d 856 (C.D. Cal. 2012); Ritchie v. Mauran Ambulance Services, Inc., Los Angeles County, Case No. BC491206; Rivers v. Veolia Transportation Services, Class Certification Granted, Sonoma County Superior Court, Case No. SCV 255350; Roeh v. JK Hill, San Diego Superior Court, Case No. 37-2011-00089046; Rodriguez v. Protransport-1, LLC, San Francisco County Superior Court, Case No. CGC-12-522733; Romero v. Central Payment Co., LLC, Marin County Superior Court, Case No. CIV 1106277; Salas v. Evolution Hospitality, LLC, San Diego County Superior Court, Case No. 37-2012-00083240-CU-OE-CTL; Salem v. Alliance Human Services, Inc., San Diego County Superior Court, Case No. CIVRS1401129; Sanchez v. Beena Beauty Holding, Inc. d/b/a Planet Beauty, Los Angeles County Superior Court, BC566065; Santone v. AT&T – Settled United States District Court, Southern District of Alabama; Santos v. Sleep Train (Sleep Train Wage and Hour Cases), Orange County Superior Court, Case No. 30-2008-00214586, San Francisco County Superior Court, Case No. JCCP 4553; Saravia v. O.C. Communciations, Sacramento County Superior Court, Case No. 34-2015-00180734; Sawyer v. Vivint, Inc., U.S. District Court, Northern District of Illinois, Case No. 1:14-cv-08959; Sayaman v. Baxter Healthcare, U.S. District Court, Central District of California, Case No. CV 10-1040; Schuler v. Ecolab, Inc., U.S. District Court, Southern District of California, Case No. 3:10-cv-02255; Schulz

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Court, Case No. 19STCV39529; Celis v. Theatre Box - San Diego, LLC – San Diego County Superior Court, Case No. _____; Ignacio v. Laboratory Corporation of America – U.S. District Court, California Central District, Case No. 2:19-cv-06079-AB-RAO; Kovnas v. Cahill Contractors LLC – Alameda County Superior Court, Case No. RG19037852; Hersh v. Mrs. Gooch's Natural Food – Los Angeles County Superior Court, Case No. 19STCV10444; Miller v. The Permanente Medical Group – Alameda County Superior Court, Case No. RG19045904; Vasquez v. Autoalert, LLC – Orange County Superior Court, Case No. 30-2019-01114549-CU-OE-CXC; Cavanaugh v. Morton Golf, LLC – Sacramento County Superior Court, Case No. 34-2019-00270176; Coley v. Monroe Operations, LLC – Alameda County Superior Court, Case No. RG20063188; Ramirez v. Sierra Aluminum Company – U.S. District Court, California Central District Court, Case No. 5:20-cv-00417-JGB-KK; Marrero v. Stat Med, P.C. – Alameda County Superior Court, Case No. HG19043214; Enriquez v. Solari Enterprises, Inc. – Los Angeles County Superior Court, Case No. 20STCV11129; Craig v. Hometown Heart – San Francisco County Superior Court, Case No. CGC-20-582454; Lopez v. Hy0Lang Electric California, Inc. – San Diego County Superior Court, Case No. 37-2020-00012543-CU-OE-CTL; Heuklom v. Clara Medical Group, P.C. – San Francisco County Superior Court, Case No. CGC-20-585918; Dominguez v. Lifesafer of Northern California – Monterey County Superior Court, Case No. 20CV002586; Kiseleva v. Totalmed Staffing Inc. – U.S. District Court, California Northern District, Case No. 5:19-cv-06480; Vires v. Sweetgreen, Inc. – Santa Clara County Superior Court, Case No. 20CV365918; Kim v. Wireless Vision, LLC – San Bernardino County Superior Court, Case No. CIVDS2000074; Senoren v. Air Canada Corporation – Los Angeles County Superior Court, Case No. 20STCV13942; Clark v. Quest Diagnostics Incorporated – San Bernardino County Superior Court, Case No. CIVDS2018707; Green v. Shipt, Inc. – Los Angeles County Superior Court, Case No. 20STCV01001; Respass v. The Scion Group LLC – Sacramento County Superior Court, Case No. 34-2020-00285265; Jackson v. Decathlon USA LLC – Alameda County Superior Court, Case No. RG2003024; Avacena v. FTG Aerospace Inc. – Los Angeles County Superior Court, Case No. 20STCV28767; Perez v. Butler America, LLC – Los Angeles County Superior Court, Case No. 20STCV20218; Christensen v. Carter's Retail, Inc. – Orange County Superior Court, Case No. 30-2020-01138792-CU-OE-CXC; Astudillo v. Torrance Health Association, Inc. – Los Angeles County Superior Court, Case No. 20STCV18424; Hansen v. Holiday AI Management Sub LLC – Contra Costa County Superior Court, Case No. CIVMSC20-00779; Almahdi v. Vitamin Shoppe Industries Inc – Santa Clara County Superior Court, Case No. 20CV365150; Krisinda v. Loyal Source Government Services LLC – U.S. District Court, California Southern District, Case No. 3:20-cv-879-LAB-NLS; Ettedgui v. WB Studio Enterprises Inc – U.S. District Court, California Central District, Case No. 2:20-CV-08053-MCS (MAAx); Fernandez v. Nuvision Federal Credit Union – Orange County Superior Court, Case No. 30-2020-01161691-CU-OE-CJC; Aviles v. UPS Supply Chain Solutions, Inc. – Riverside County Superior Court, Case No. RIC2000727; Alcocer v. DSV Solutions, LLC – San Bernardino Superior Court, Case No. CIVDS2010345; Wilson v. Wholesome Harvest Baking, LLC – U.S. District Court, California Northern District, Case No. 4:20-cv-05186-YGR; Gregory v. Verio Healthcare, Inc. – Los Angeles County Superior Court, Case No. 20STCV37254; Rose v. Impact Group, LLC – Orange County Superior Court, Case No. 30-2020-01141107-CU-OE-CXC; Monasterio v. Citibank, N.A. – San Mateo County Superior Court, Case No. 20-CIV-03650; Martinez-Lopez v. Medamerica, Inc. – San Diego County Superior Court, Case No. 37-2020-00034393-CU-OE-CTL; Cox v. PRB Management, LLC – Solano County Superior Court, Case No. FCS055514; Nash v. K. Hovnanian Companies, LLC – Riverside County Superior Court, Case No. RIC2003319; Kyler v. Harbor Freight Tools USA, Inc. – San Diego County Superior Court, Case No. 37-2020-00015828-CU-OE-CTL; Roberts v. Solantic Corporation – Los Angeles County Superior Court, Case No. 20STCV41117; Price v. Mistras Group, Inc. – Los Angeles County Superior Court, Case No. 20STCV22485; Macias v. ABM Electrical & Lighting Solutions, Inc. – San Diego County Superior Court, Case No. 37-2020-00024997-CU-OE-CTL; Basu-Kesselman v. Garuda Labs, Inc. – San Francisco County Superior Court, Case No. CGC-20-585229; Armstrong v. Prometric LLC – Los Angeles County Superior

Court, Case No. 20STCV29967; Ashlock v. Advantis Medical Staffing, LLC – San Diego County Superior Court, Case No. 37-2020-00022305-CU-OE-CTL; Wilson v. WXI Global Solutions, LLC – Los Angeles County Superior Court, Case No. 20STCV25007; Gandhale v. Select Rehabilitation, LLC – Monterey County Superior Court, Case No. 20CV002240; Starvoice v. G4S Secure Solutions (USA) Inc. – San Diego County Superior Court, Case No. 37-2020-00029421-CU-OE-CTL; Mbise v. Axlehire, Inc. – Alameda County Superior Court, Case No. RG20067350; Points v. C&J Services, Inc. – Kern County Superior Court, Case No. BCV-20-102483; Marshall v. PHI Air Medical, LLC – Lassen County Superior Court, Case No. 62973; Jauregui v. Cytotec Engineered Materials, Inc. – Orange County Superior Court, Case No. 30-2020-01164932-CU-OE-CXC

EXHIBIT #3

BLUMENTHAL NORDREHAUG BHOWMIK DE BLOUW LLP

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**WRITERS EXT:
1004**

**November 20, 2023
CA3096**

VIA ONLINE FILING TO LWDA AND CERTIFIED MAIL TO DEFENDANT

**Labor and Workforce Development Agency
Online Filing**

**University Healthcare Alliance
Certified Mail #9589071052701316527929
DEBRA L. ZUMWALT
450 JANE STANFORD WAY, BUILDING 170, 3RD
FLOOR
STANFORD, CA 94305**

Re: Notice Of Violations Of California Labor Code Sections §§ 201, 202, 203, 204 et seq., 210, 218, 221, 226(a), 226.7, 227.3, 246 et seq., 510, 512, 558(a)(1)(2), 1194, 1197, 1197.1, 1198, 2802, California Code of Regulations, Title 8, Section 11040, Subdivision 5(A)-(B), California Code of Regulations, Title 8, Section 1 1070(14) (Failure to Provide Seating), and Violation of Applicable Industrial Welfare Commission Wage Order(s), Brought Pursuant To California Labor Code Section 2699.5.

Dear Sir/Madam:

“Aggrieved Employees” refers to all individuals who are or previously were employed by University Healthcare Alliance in California, including any employees staffed with University Healthcare Alliance by a third party, and classified as non-exempt employees during the time period of November 20, 2022 until a date as determined by the Court. Our offices represent Plaintiff Amanda Nunez (“Plaintiff”) and other Aggrieved Employees in a lawsuit against University Healthcare Alliance (“Defendant”). Plaintiff was employed from February of 2023 to October of 2023 by Defendant in California. Plaintiff was at all times classified by Defendant as a non-exempt employee, paid on an hourly basis, and entitled to the legally required meal and rest periods and payment of minimum and overtime wages due for all time worked. Defendant, however, unlawfully failed to record and pay Plaintiff and other Aggrieved Employees for, including but not limited to, all of their time worked, including minimum and overtime wages and sick pay wages at the correct rate, for all of their missed meal and rest breaks at the correct regular rates, and for all of their time spent working off the clock. Moreover, when Defendant required Plaintiff and Aggrieved Employees to report for work, but “furnished less than half said employee’s usual or scheduled day’s work,” Defendant violated Cal. Code Regs., tit. 8 § 11040, subd. 5(A) by failing to pay Plaintiff and Aggrieved Employees for at least two (2) hours’ worth of work at their regular rate of pay. In addition, when Defendant required Plaintiff and Aggrieved Employees to respond to and engage in additional work, this resulted in a second reporting for work in a single workday, and Defendant failed to pay these employees reporting time pay as required by Cal. Code Regs., tit. 8, § 11040, subd. 5(B). Further, Defendant failed to advise Plaintiff and the other Aggrieved Employees of their right to take separately and

hourly paid duty-free ten (10) minute rest periods. *See Vaquero v. Stoneledge Furniture, LLC*, 9 Cal. App. 5th 98, 110 (2017). Additionally, pursuant to Labor Code § 204 *et seq.*, Defendant failed to timely provide Plaintiff and other Aggrieved Employees with their wages. Plaintiff further contends that Defendant failed to provide accurate wage statements to Plaintiff, and other Aggrieved Employees, in violation of California Labor Code section 226(a). Specifically, PLAINTIFF and the AGGRIEVED EMPLOYEES were paid on an hourly basis. As such, the wage statements should reflect all applicable hourly rates during the pay period and the total hours worked, and the applicable pay period in which the wages were earned pursuant to California Labor Code Section 226(a). The wage statements Defendant provided to PLAINTIFF and the AGGRIEVED EMPLOYEES failed to identify such information. More specifically, the wage statements failed to identify the accurate total hours worked each pay period in violation of Cal. Lab. Code Section 226(a)(2). Additionally, Plaintiff contends that Defendant failed to comply with Industrial Wage Order 7(A)(3) in that Defendant failed to keep time records showing when Plaintiff began and ended each shift and meal period. Plaintiff and other Aggrieved Employees perform tasks that reasonably permit sitting, and a seat would not interfere with their performance of any of their tasks that may require them to stand. Defendant failed to provide Plaintiff and other Aggrieved Employees with suitable seats. Said conduct, in addition to the foregoing, as well as the conduct alleged in the incorporated Complaint, violates Labor Code §§ 201, 202, 203, 204 *et seq.*, 210, 218, 221, 226(a), 226.7, 227.3, 246, 510, 512, 558(a)(1)(2), 1194, 1197, 1197.1, 1198, 2802, California Code of Regulations, Title 8, Section 11040, Subdivision 5(A)-(B), California Code of Regulations, Title 8, Section 11070(14) (Failure to Provide Seating), Violation of the applicable Industrial Welfare Commission Wage Order(s), and is therefore actionable under California Labor Code section 2699.3.

A true and correct copy of the Complaint by Plaintiff against Defendant, which (i) identifies the alleged violations, (ii) details the facts and theories which support the alleged violations, (iii) details the specific work performed by Plaintiff, (iii) sets forth the people/entities, dates, classifications, violations, events, and actions which are at issue to the extent known to Plaintiff, and (iv) sets forth the illegal practices used by Defendant, is attached hereto. This information provides notice to the Labor and Workforce Development Agency of the facts and theories supporting the alleged violations for the agency's reference. Plaintiff therefore incorporates the allegations of the attached Complaint into this letter as if fully set forth herein. If the agency needs any further information, please do not hesitate to ask.

This notice is provided to enable Plaintiff to proceed with the Complaint against Defendant as authorized by California Labor Code section 2699, *et seq.* The lawsuit consists of other Aggrieved Employees. As counsel, our intention is to vigorously prosecute the claims as alleged in the Complaint, and to procure civil penalties as provided by the Private Attorney General Statute of 2004 on behalf of Plaintiff and all Aggrieved Employees.

Your earliest response to this notice is appreciated. If you have any questions or concerns, please do not hesitate to contact me at the above number and address.

Respectfully,

/s/ Nicholas J. De Blouw

Nicholas J. De Blouw, Esq.

EXHIBIT #4

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Attorneys for Defendant
UNIVERSITY HEALTHCARE ALLIANCE
dba STANFORD MEDICINE PARTNERS

SUPERIOR COURT OF THE STATE OF CALIFORNIA
IN AND FOR THE COUNTY OF SAN MATEO

AMANDA NUNEZ and MARLISHA L.
JAEMS, individuals, on behalf of themselves
and no behalf of all persons similarly situated,

Plaintiff,

v.

UNIVERSITY HEALTHCARE ALLIANCE, a
corporation; and DOES 1 through 50,
inclusive,

Defendants.

Case No. 23-CIV-05931

**DECLARATION OF SETH
WEISBURST IN SUPPORT OF
PLAINTIFFS' MOTION FOR
PRELIMINARY APPROVAL OF
CLASS ACTION SETTLEMENT**

Date: October 21, 2025

Time: 2:00 p.m.

Dept.: 4

Complaint Filed: December 14, 2023

1 I, Seth Weisburst, declare:

2 1. I am an attorney at law licensed to practice in the State of California and a partner
3 with the law firm of Gordon Rees Scully Mansukhani, LLP, attorneys of record for Defendant
4 UNIVERSITY HEALTHCARE ALLIANCE dba STANFORD MEDICINE PARTNERS
5 (“SMP”). I submit this declaration in support of Plaintiffs’ motion for preliminary approval of the
6 class action and PAGA action settlement in the above-captioned consolidated cases. I have
7 personal knowledge of the facts set forth in this declaration and if called as a witness, I could and
8 would competently testify as follows:

9 2. Neither Gordon Rees Scully Mansukhani, LLP nor SMP has any personal or
10 financial interest in the organization Apex Class Action.

11 3. Additionally, neither Gordon Rees Scully Mansukhani, LLP nor SMP has any
12 personal or financial interest in the organization Legal Aid at Work.

13 I declare under penalty of perjury under the laws of the State of California that the foregoing
14 is true and correct.

15 Executed this 4th day of September, 2025, in San Francisco, California.

16 

17 _____
Seth Weisburst