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COMPLETE TREE CARE, INC.

SUPERIOR COURT OF THE STATE OF CALIFORNIA

FOR THE COUNTY OF LOS ANGELES

KEVIN LIBERATO CAMPOS VARGAS, an
individual and on behalf of all others
similarly situated,

Plaintiff,

v.

CALIFORNIA ARBORIST COMPLETE
TREE CARE, INC., a California corporation;
and DOES 1 through 100, inclusive,

Defendants.

CASE NO.: 24STCV11469

[Assigned for all purposes to the Hon.
Theresa Traber in Dept. 1]

**FIRST AMENDED CLASS AND PAGA
SETTLEMENT AGREEMENT**

Action Filed: May 7, 2024

Trial Date: None Set

This First Amended Class and PAGA Settlement Agreement (“Agreement”) is made by and between plaintiff Kevin Liberato Campos Vargas (“Plaintiff”) and defendant California Arborist Complete Tree Care, Inc. (“Defendant”). The Agreement refers to Plaintiff and Defendant collectively as “Parties,” or individually as “Party.”

1. DEFINITIONS

1.1. “Action” or “Actions” means the Plaintiff’s lawsuits alleging wage and hour violations against Defendant, captioned *Kevin Liberato Campos Vargas v. California Arborist Complete Tree Care, Inc.*, Case No. 24STCV11469, initiated on May 7, 2024, and pending in Superior Court of the State of California, County of Los Angeles; and *Kevin Liberato Campos Vargas v. California Arborist Complete Tree Care, Inc.*, Case No. 24STCV20153, initiated on August 9, 2024, and pending in Superior Court of the State of California, County of Los Angeles.

1.2. “Administrator” means Phoenix Class Action Administration Solutions (“Phoenix”), the neutral entity the Parties have agreed to appoint to administer the Settlement.

1.3. “Administration Expenses Payment” means the amount the Administrator will be paid from the Gross Settlement Amount to reimburse its reasonable fees and expenses in accordance with the Administrator’s “not to exceed” bid submitted to the Court in connection with Preliminary Approval of the Settlement.

1.4. “Aggrieved Employee” means a person employed by Defendant in California and classified as a non-exempt, hourly-paid employee who worked for Defendant during the PAGA Period.

1.5. “Class” means all persons employed by Defendant in California and classified as non-exempt, hourly-paid employees who worked for Defendant during the Class Period.

1.6. “Class Counsel” means David D. Bibiyan and Vedang J. Patel of Bibiyan Law Group, P.C.

1.7. “Class Counsel Fees Payment” and “Class Counsel Litigation Expenses Payment” mean the amounts allocated to Class Counsel for reimbursement of reasonable attorneys’ fees and expenses, respectively, incurred to prosecute the Action.

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1.8. “Class Data” means Class Member identifying information in Defendant’s custody, possession, or control, including the Class Member’s (1) name; (2) last known address(es); (3) last known telephone number(s); (4) last known Social Security Number(s); and (5) the dates of employment (i.e., hire dates, and, if applicable, re-hire date(s) and/or separation date(s)).

1.9. “Class Member” or “Settlement Class Member” means a member of the Class, as either a Participating Class Member or Non-Participating Class Member (including a Non- Participating Class Member who qualifies as an Aggrieved Employee).

1.10. “Class Member Address Search” means the Administrator’s investigation and search for current Class Member mailing addresses using all reasonably available sources, methods and means including, but not limited to, the National Change of Address database, skip traces, and direct contact by the Administrator with Class Members.

1.11. “Class Notice” means the COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING DATE FOR FINAL COURT APPROVAL, to be mailed to Class Members in English and Spanish in the form, without material variation, attached as Exhibit A and incorporated by reference into this Agreement.

1.12. “Class Period” means the period from May 7, 2020 through April 7, 2026.

1.13. “Class Representative” means the named Plaintiff in the operative complaint in the Action seeking Court approval to serve as a Class Representative.

1.14. “Class Representative Service Payment” means the payment to the Class Representative for initiating the Action and providing services in support of the Action.

1.15. “Court” means the Superior Court of California, County of Los Angeles.

1.16. “Defendant” means named defendant California Arborist Complete Tree Care, Inc.

1.17. “Defense Counsel” means Ann Qushair and Aarin Zeif of Lewis Brisbois Bisgaard & Smith LLP.

1.18. “Effective Date” means the later of: (a) the Court enters a Judgment on its Order Granting Final Approval of the Settlement; and (b) the Judgment is final. The Judgment is final as of the latest of the following occurrences: (a) if no Participating Class Member objects to the Settlement, the day the Court enters Judgment; (b) if one or more Participating Class Members

objects to the Settlement, the day after the deadline for filing a notice of appeal from the Judgment; or if a timely appeal from the Judgment is filed, the day after the appellate court affirms the Judgment and issues a remittitur.

1.19. “Final Approval” means the Court’s order granting final approval of the Settlement.

1.20. “Final Approval Hearing” means the Court’s hearing on the Motion for Final Approval of the Settlement.

1.21. “Final Judgment” means the Judgment entered by the Court based upon the Final Approval.

1.22. “Gross Settlement Amount” means \$200,000.00 (Two Hundred Thousand Dollars and Zero Cents) which is the total amount Defendant agrees to pay under the Settlement, except as provided in Paragraph 8.1 below and any and all employer payroll taxes owed on the Wage Portions of the Individual Class Payments. The Gross Settlement Amount will be used to pay Individual Class Payments, Individual PAGA Payments, the LWDA PAGA Payment, Class Counsel Fees, Class Counsel Litigation Expenses, Class Representative Service Payment, and Administrator’s Expenses.

1.23. “Individual Class Payment” means the Participating Class Member’s pro rata share of the Net Settlement Amount calculated according to the number of Workweeks worked during the Class Period.

1.24. “Individual PAGA Payment” means the Aggrieved Employee’s pro rata share of 25% of the PAGA Penalties calculated according to the number of Workweeks worked during the PAGA Period.

1.25. “Judgment” means the judgment entered by the Court based upon Final Approval.

1.26. “LWDA” means the California Labor and Workforce Development Agency, the agency entitled, under Labor Code section 2699, subd. (i).

1.27. “LWDA PAGA Payment” means the 75% of the PAGA Penalties paid to the LWDA under Labor Code section 2699, subd. (i).

1.28. “Net Settlement Amount” means the Gross Settlement Amount, less the following payments in the amounts approved by the Court: Individual PAGA Payments, the LWDA PAGA

Payment, Class Representative Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, and Administration Expenses Payment. The remainder is to be paid to Participating Class Members as Individual Class Payments.

1.29. “Non-Participating Class Member” means any Class Member who opts out of the Settlement by sending the Administrator a valid and timely Request for Exclusion.

1.30. “PAGA Pay Period” means any Pay Period during which an Aggrieved Employee worked for Defendant for at least one day during the PAGA Period, based on hire dates, re-hire dates (as applicable), and termination dates (as applicable).

1.31. “PAGA Period” means the period from May 7, 2023 through the end of the Class Period.

1.32. “PAGA” means the Private Attorneys General Act (Labor Code §§ 2698. *et seq.*).

1.33. “PAGA Notice” means Plaintiff’s May 7, 2024 letter to Defendant and the LWDA, providing notice pursuant to Labor Code section 2699.3 subd. (a).

1.34. “PAGA Penalties” means the total amount of PAGA civil penalties to be paid from the Gross Settlement Amount, allocated 25% to the Aggrieved Employees (\$5,000.00) and the 75% to the LWDA (\$15,000.00) in settlement of PAGA claims.

1.35. “Participating Class Member” means a Class Member who does not submit a valid and timely Request for Exclusion from the Settlement.

1.36. “Plaintiff” means Kevin Liberato Campos Vargas, the named plaintiff in the Action.

1.37. “Preliminary Approval” means the Court’s Order Granting Preliminary Approval of the Settlement.

1.38. “Preliminary Approval Order” means the proposed Order granting Preliminary Approval and Approval of PAGA Settlement.

1.39. “Released Class Claims” means the claims being released as described in Paragraph 5.2 below.

1.40. “Released PAGA Claims” means the claims being released as described in Paragraph 5.4 below.

1.41. “Released Parties” means: Defendant, and each of its former, present and future owners, parents, and subsidiaries, and all of their current, former, and future officers, directors, members,

managers, employees, consultants, partners, shareholders, joint venturers, agents, predecessors, successors, assigns, accountants, insurers, reinsurers, and/or legal representatives.

1.42. “Request for Exclusion” means a Class Member’s submission of a written request to be excluded from the Class Settlement signed by the Class Member.

1.43. “Response Deadline” means forty-five (45) days after the Administrator mails Notice to Class Members and Aggrieved Employees, and shall be the last date on which Class Members may: (a) mail Requests for Exclusion from the Settlement, or (b) mail his or her Objection to the Settlement. Class Members to whom Notice Packets are resent after having been returned undeliverable to the Administrator shall have an additional 15 days beyond the Response Deadline has expired.

1.44. “Settlement” means the disposition of the Action effected by this Agreement and the Judgment.

1.45. “Workweek” means any week during which a Class Member worked for Defendant, for at least one day during the Class Period, based on hire dates, re-hire dates (as applicable), and termination dates (as applicable).

2. RECITALS

2.1. On May 7, 2024, Plaintiff commenced this Action by filing a complaint against Defendant for: failure to pay overtime and minimum wages; failure to provide meal breaks, rest breaks, or compensation in lieu thereof; waiting time penalties; wage statement violations; failure to timely pay wages during employment; failure to indemnify for business expenses; failure to pay unused vested vacation time; and unfair competition (the “Class Action”).

2.2. Also, on May 7, 2024, Plaintiff filed with the LWDA and served on Defendant, a notice under Labor Code section 2699.3, stating Plaintiff intended to serve as a proxy of the LWDA to recover civil penalties on behalf of Aggrieved Employees for alleged Labor Code violations (“PAGA Notice”).

2.3. On August 9, 2024, after sixty-five (65) days had passed without any action by the LWDA with respect to the Labor Code violations alleged in the PAGA Notice, Plaintiff filed a separate

representative action under PAGA against Defendant, Case No. 24STCV20153, for the Labor Code violations set out in the PAGA Notice (the “PAGA Action”).

2.4. Thereafter, the Parties agreed to exchange informal discovery and attend mediation.

2.5. Prior to mediation, Plaintiff obtained, through informal discovery: (a) time and payroll records for the putative class members from May 7, 2020 through mediation; (b) data points, including the number of putative class members, workweeks, shifts and the average hourly rate of pay from May 7, 2020 through mediation, and the number of aggrieved employees from May 7, 2023 through mediation, among others; (c) wage and hour policy documents of Defendant; and (d) all documents pertaining to Plaintiff available to Defendant.

2.6. Plaintiff’s investigation was sufficient to satisfy the criteria for court approval set forth in *Dunk v. Ford Motor Co.* (1996) 48 Cal.App.4th 1794, 1801 and *Kullar v. Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 129-130 (“Dunk/Kullar”).

2.7. On April 7, 2025, the Parties participated in an all-day mediation presided over by Tagore Subramaniam, Esquire. The mediation was successful, and the Parties agreed to globally resolve all class and PAGA claims in the Action. As part and parcel to the Agreement, the Parties agreed to stipulate to Plaintiff’s filing of a First Amended Complaint in the Class Action, adding all allegations in the PAGA Action, and request for dismissal without prejudice of the PAGA Action, thereby effectively consolidating the allegations in the two actions for purposes of the Settlement. The First Amended Complaint in the Class Action is the operative complaint in the Action (“Operative Complaint”).

2.8. The Court has not granted class certification.

2.9. The Parties, Class Counsel, and Defense Counsel represent that they are not aware of any other pending matter or action asserting claims that will be extinguished or affected by the Settlement.

3. MONETARY TERMS

3.1. Gross Settlement Amount. Except as otherwise provided by Paragraph 8.1 below, Defendant promises to pay \$200,000.00 as the Gross Settlement Amount, unless increased pursuant to Paragraph 8.1 of this Agreement, and to separately pay any and all employer payroll

1 taxes owed on the Wage Portions of the Individual Class Payments. Defendant has no obligation
2 to pay the Gross Settlement Amount (or any payroll taxes) prior to the deadline stated in
3 Paragraph 4.3 of this Agreement. The Administrator will disburse the entire Gross Settlement
4 Amount without asking or requiring Participating Class Members or Aggrieved Employees to
5 submit any claim as a condition of payment. None of the Gross Settlement Amount will revert to
6 Defendant.

7 3.2. Payments from the Gross Settlement Amount. The Administrator will make and deduct
8 the following payments from the Gross Settlement Amount, in the amounts specified by the Court
9 in the Final Approval:

10 3.2.1. To Plaintiff: Class Representative Service Payment to Plaintiff of not more than
11 \$7,500.00 in addition to any Individual Class Payment and any Individual PAGA
12 Payment Plaintiff is entitled to receive as a Participating Class Member. Defendant will
13 not oppose Plaintiff's request for a Class Representative Service Payment that does not
14 exceed this amount. As part of the motion for Class Counsel Fees Payment and Class
15 Litigation Expenses Payment, Plaintiff will seek Court approval for any Class
16 Representative Service Payments prior to the Final Approval Hearing. If the Court
17 approves a Class Representative Service Payment less than the amount requested, the
18 Administrator will retain the remainder in the Net Settlement Amount. The
19 Administrator will pay the Class Representative Service Payment using IRS Form 1099.
20 Plaintiff assumes full responsibility and liability for employee taxes owed on the Class
21 Representative Service Payment.

22 3.2.2. To Class Counsel: A Class Counsel Fees Payment of not more than 35% of the
23 Gross Settlement Amount, which, unless escalated pursuant to Paragraph 8.1 of this
24 Agreement, is currently estimated to be \$70,000.00 and a Class Counsel Litigation
25 Expenses Payment of not more than \$30,000.00. Defendant will not oppose requests for
26 these payments provided that do not exceed these amounts. Plaintiff and/or Class
27 Counsel will endeavor to file a motion for Class Counsel Fees Payment and Class
28 Litigation Expenses Payment prior to the Final Approval Hearing. If the Court approves

1 a Class Counsel Fees Payment and/or a Class Counsel Litigation Expenses Payment less
2 than the amounts requested, the Administrator will allocate the remainder to the Net
3 Settlement Amount. Released Parties shall have no liability to Class Counsel or any
4 other Plaintiff's Counsel arising from any claim to any portion any Class Counsel Fees
5 Payment and/or Class Counsel Litigation Expenses Payment. The Administrator will
6 pay the Class Counsel Fees Payment and Class Counsel Expenses Payment using one
7 or more IRS 1099 Forms. Class Counsel assume full responsibility and liability for taxes
8 owed on the Class Counsel Fees Payment and the Class Counsel Litigation Expenses
9 Payment and holds Defendant harmless, and indemnify Defendant, from any dispute or
10 controversy regarding any division or sharing of any of these Payments. There will be
11 no additional charge of any kind to either the Settlement Class Members or request for
12 additional consideration from Defendant for such work unless Defendant materially
13 breaches this Agreement,. In the event any Party contends that there has been a breach
14 of any provision of this Settlement Agreement, the Party shall provide written notice to
15 the Party allegedly in breach specifying, in reasonable detail, the nature of the alleged
16 breach. Upon receipt of such notice, the Parties shall meet and confer in good faith in
17 an effort to resolve the alleged breach without Court intervention. The Party shall have
18 thirty (30) calendar days from receipt of the written notice to cure the alleged breach, or
19 to demonstrate that no breach has occurred. During this thirty (30) day meet and confer
20 period, no Party shall file any motion or other proceeding to enforce this Settlement
21 Agreement. If the Parties are unable to resolve the dispute within the thirty (30) day
22 period, the Party may thereafter file any appropriate motion with the Court. Nothing in
23 this Agreement shall be construed to limit any Parties' rights and remedies available
24 under applicable law as it relates to a breach of this Settlement Agreement, as
25 determined and ordered by the Court. Should the Court approve attorneys' fees and/or
26 litigation costs and expenses in amounts that are less than the amounts provided for
27 herein, then the unapproved portion(s) shall be a part of the Net Settlement Amount.

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3.2.3. To the Administrator: An Administrator Expenses Payment not to exceed \$6,750.00, except for a showing of good cause and as approved by the Court. To the extent the Administration Expenses are less or the Court approves payment less than \$6,750.00, the Administrator will retain the remainder in the Net Settlement Amount.

3.2.4. To Each Participating Class Member: An Individual Class Payment calculated by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Workweeks.

3.2.4.1. Tax Allocation of Individual Class Payments. 20% of each Participating Class Member's Individual Class Payment will be allocated to settlement of wage claims (the "Wage Portion"). The Wage Portions are subject to tax withholding and will be reported on an IRS W-2 Form. The 80% of each Participating Class Member's Individual Class Payment will be allocated to settlement of claims for interest and penalties (the "Non-Wage Portion"). The Non-Wage Portions are not subject to wage withholdings and will be reported on IRS 1099 Forms. Participating Class Members assume full responsibility and liability for any employee taxes owed on their Individual Class Payment.

3.2.4.2. Effect of Non-Participating Class Members on Calculation of Individual Class Payments. Non-Participating Class Members will not receive any Individual Class Payments. The Administrator will retain amounts equal to their Individual Class Payments in the Net Settlement Amount for distribution to Participating Class Members on a pro rata basis.

3.2.5. To the LWDA and Aggrieved Employees: PAGA Penalties in the amount of \$20,000.00 to be paid from the Gross Settlement Amount, with 75% (\$15,000.00) allocated to the LWDA PAGA Payment and 25% (\$5,000.00) allocated to the Individual PAGA Payments.

3.2.5.1. The Administrator will calculate each Individual PAGA Payment by (a) dividing the amount of the Aggrieved Employees' 25% share

of PAGA Penalties \$25,000.00 by the total number of PAGA Period Pay Periods worked by all Aggrieved Employees during the PAGA Period and (b) multiplying the result by each Aggrieved Employee's PAGA Period Pay Periods. Aggrieved Employees assume full responsibility and liability for any taxes owed on their Individual PAGA Payment.

3.2.5.2. If the Court approves PAGA Penalties of less than the amount requested, the Administrator will allocate the remainder to the Net Settlement Amount. The Administrator will report the Individual PAGA Payments on IRS 1099 Forms.

4. SETTLEMENT FUNDING AND PAYMENTS

4.1. Class Workweeks and Aggrieved Employee Pay Periods. Based on a review of its records to date, Defendant estimates there are 125 Class Members who collectively worked a total of 7,150 Workweeks, and 73 Aggrieved Employees who worked a total of 1,593 PAGA Pay Periods.

4.2. Class Data. Not later than 15 calendar days after the Court grants Preliminary Approval of the Settlement, unless otherwise agreed by the Parties, Defendant will deliver the Class Data to the Administrator, in the form of a Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must maintain the Class Data in confidence, use the Class Data only for purposes of this Settlement and for no other purpose, and restrict access to the Class Data to Administrator employees who need access to the Class Data to effect and perform under this Agreement. Defendant has a continuing duty to immediately notify Class Counsel if it discovers that the Class Data omitted class member identifying information and to provide corrected or updated Class Data as soon as reasonably feasible. Without any extension of the deadline by which Defendant must send the Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts, in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class Data.

4.3. Funding of Gross Settlement Amount. Defendant shall fund the Gross Settlement Amount (as the same may be increased pursuant to Paragraph 8 of this Agreement) and

1 Employer Taxes to the Settlement Administrator pursuant to Internal Revenue Code section
2 1.468B-1 for deposit in an interest-bearing qualified settlement account (“QSA”) with an FDIC
3 insured banking institution, for distribution in accordance with this Agreement and the Court’s
4 Orders, and subject to the conditions described herein. Defendant shall make 12 installment
5 payments of the Gross Settlement Amount as follows: (1) an initial payment of \$10,000 which
6 shall be due on or before March 1, 2026; (2) 10 subsequent monthly installment payments
7 which shall be due on the 25th day of each month beginning on April 25, 2026 and continuing
8 on the 25th day of each successive month thereafter through and including January 25, 2027;
9 and (3) a final payment of \$90,000 shall be due on or before February 25, 2027. If any
10 settlement installment payments are due on a weekend or holiday, the settlement payment shall
11 be due the following business day. Defendant’s obligation to make any settlement payments
12 required under this Agreement are expressly conditioned upon the establishment of the
13 designated settlement fund and written confirmation to Counsel for Defendant that such fund
14 has been opened and is capable of receiving settlement payments. No settlement payment shall
15 be due, and Defendant shall not be deemed to breach this Agreement, unless and until seventy-
16 two (72) hours after Defendant’s receipt of written confirmation from Plaintiff that the
17 settlement fund has been opened and is able to accept payment. The seventy-two (72) hour
18 period shall exclude weekends and federal holidays. Defendant shall also fund the amounts
19 necessary to fully pay Defendant’s share of payroll taxes by transmitting the funds to the
20 Administrator no later than 15 calendar days after the Effective Date.

21 4.4. Payments from the Gross Settlement Amount. Within 7 days after Defendant funds the
22 entire Gross Settlement Amount, the Administrator will mail checks for all Individual Class
23 Payments, all Individual PAGA Payments, the LWDA PAGA Payment, the Administration
24 Expenses Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses
25 Payment, and the Class Representative Service Payment. Disbursement of the Class Counsel
26 Fees Payment, the Class Counsel Litigation Expenses Payment and the Class Representative
27 Service Payment shall not precede disbursement of Individual Class Payments, and the Individual
28 PAGA Payments.

1 4.4.1. The Administrator will issue checks for the Individual Class Payments and/or
2 Individual PAGA Payments and send them to the Class Members via First Class U.S.
3 Mail, postage prepaid. The face of each check shall prominently state the date (not less
4 than 180 days after the date of mailing) when the check will be voided. The
5 Administrator will cancel all checks not cashed by the void date. The Administrator will
6 send checks for Individual Settlement Payments to all Participating Class Members
7 (including those for whom Class Notice was returned undelivered). The Administrator
8 will send checks for Individual PAGA Payments to all Aggrieved Employees including
9 Non-Participating Class Members who qualify as Aggrieved Employees (including
10 those for whom Class Notice was returned undelivered). The Administrator may send
11 Participating Class Members a single check combining the Individual Class Payment
12 and the Individual PAGA Payment. Before mailing any checks, the Settlement
13 Administrator must update the recipients' mailing addresses using the National Change
14 of Address Database.

15 4.4.2. The Administrator must conduct a Class Member Address Search for all other
16 Class Members whose checks are returned undelivered without USPS forwarding
17 address. Within 7 days of receiving a returned check the Administrator must re-mail
18 checks to the USPS forwarding address provided or to an address ascertained through
19 the Class Member Address Search. The Administrator need not take further steps to
20 deliver checks to Class Members whose re-mailed checks are returned as undelivered.
21 The Administrator shall promptly send a replacement check to any Class Member whose
22 original check was lost or misplaced, requested by the Class Member prior to the void
23 date.

24 4.4.3. For any Class Member whose Individual Class Payment check or Individual
25 PAGA Payment check is uncashed and cancelled after the Void Date, the Administrator
26 shall transmit the funds represented by such checks to the California Controller's
27 Unclaimed Property Fund, in the name of the Class Member, thereby leaving no "unpaid
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residue” subject to the requirements of California Code of Civil Procedure Section 384, subd. (b).

4.4.4. The payment of Individual Class Payments and Individual PAGA Payments shall not obligate Defendant to confer any additional benefits or make any additional payments to Class Members (such as 401(k) contributions or bonuses) beyond those specified in this Agreement.

5. RELEASE OF CLAIMS

Effective upon entry of Judgment, the Order granting Final Approval of this Settlement, and on the date when Defendant fully funds the entire Gross Settlement Amount and funds all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, Plaintiff, Class Members, and Class Counsel will release claims against all Released Parties as follows:

5.1. Plaintiff’s Release. Plaintiff and his former and present spouses, representatives, agents, attorneys, heirs, administrators, successors, and assigns generally, release and discharge Released Parties from all claims, demands, rights, liens, agreements, contracts, covenants, obligations damages, liability, costs, expenses, attorney’s fees, actions, and causes of action of every kind and nature whatsoever, whether known or unknown, including, but not limited to, Plaintiff’s hiring, employment, compensation, separation of employment, including, but not limited to, claims for wages, overtime, minimum wages, meal and rest periods, waiting time penalties, wage statement penalties unreimbursed business expenses, discrimination, harassment, retaliation, wrongful termination, breach of contract, emotional distress, and any claims arising under federal, state, or local statutes, regulations, under the California Labor Code, the Fair Employment and Housing Act, and any applicable wage orders. Plaintiff expressly acknowledges and agrees that this release is intended to cover and does cover not only claims presently known to Plaintiff, but also all claims that are presently unknown, unsuspected, or unanticipated.

5.1.1. Plaintiff’s Waiver of Rights Under California Civil Code Section 1542. For purposes of Plaintiff’s Release only, Plaintiff expressly waives and relinquish the provisions, rights, and benefits, if any, of section 1542 of the California Civil Code, which reads:

1 A general release does not extend to claims that the creditor or releasing party does not
2 know or suspect to exist in his or her favor at the time of executing the release, and that
3 if known by him or her would have materially affected his or her settlement with the
4 debtor or Released Party.

5 5.2. Release by Participating Class Members: For the duration of the Class Period, all
6 Participating Class Members, on behalf of themselves and their respective former and present
7 representatives, agents, attorneys, heirs, administrators, successors, and assigns, release the
8 Released Parties from all claims that were alleged, or reasonably could have been alleged, based
9 on the facts stated in the Operative Complaint, including: (1) all claims for failure to pay overtime
10 wages; (2) all claims for failure to pay minimum wages; (3) all claims for failure to provide meal
11 periods, or compensation in lieu thereof; (4) all claims for failure to provide rest periods, or
12 compensation in lieu thereof (5) all claims for the failure to pay all wages due upon separation
13 from employment; (6) all claims for failure to provide accurate wage statements; (7) all claims for
14 failure to timely pay wages during employment; (8) all claims for failure to indemnify for business
15 expenses; (9) all claims for failure to pay unused vested vacation time; and (9) all claims asserted
16 through California Business & Professions Code section 17200, *et seq.* arising out of the Labor
17 Code violations referenced in the Operative Complaint.

18 5.3. Except as set forth in Section 5.2 of this Agreement, Participating Class Members do not
19 release any other claims, including claims for vested benefits, wrongful termination, violation of
20 the Fair Employment and Housing Act, unemployment insurance, disability, social security,
21 workers' compensation, or claims based on facts occurring outside the Class Period.

22 5.4. Release by Aggrieved Employees: For the duration of the PAGA Period, all Aggrieved
23 Employees are deemed to release, on behalf of themselves and their respective former and present
24 representatives, agents, attorneys, heirs, administrators, successors, and assigns, the Released
25 Parties from all claims for PAGA penalties that were alleged, or reasonably could have been
26 alleged, based on the facts stated in the Operative Complaint and the PAGA Notices, including,
27 claims for PAGA penalties pursuant to Labor Code sections 210, 226.3, 558, 1174.5, 1197.1, and
28 2699, in connection with alleged violations of Labor Code sections 96, 98.6, 200, 201, 201.3,

202, 203, 204, 210, 212, 213, 221, 223, 226, 226.3, 226.7, 227.3, 232, 232.5, 245, 246, 404, 432, 432.3, 432.5, 432.7, 432.8, 510, 512, 558, 1102.5, 1174, 1174.5, 11821.12, 1194, 1197, 1197.1, 1197.5, 1198.5, 1527, 2699, 2802, 2810.5, 3366, 3457, and 8397.4, among others, California Code of Regulations, Title 8, Section 3395, Business and Professions Code sections 16600, 16700, and 17200, Government Code section 12952, as well as applicable Wage Orders.

6. MOTION FOR PRELIMINARY APPROVAL

The Parties agree to jointly prepare and file a motion for preliminary approval (“Motion for Preliminary Approval”) that complies with the Court’s current checklist for Preliminary Approvals.

6.1. Defendant’s Declaration in Support of Preliminary Approval. Within 7 days of full execution of this Agreement, Defendant will prepare and deliver to Class Counsel a signed declaration from Defendant and Defense Counsel disclosing all facts relevant to any actual or potential conflicts of interest with the Administrator, and a Declaration from Defendant regarding the need for a payment plan to fund the Gross Settlement Amount.

6.2. Plaintiff’s Responsibilities. Plaintiff will prepare and to deliver to Defense Counsel all documents necessary for obtaining Preliminary Approval, including: (i) a draft of the notice, and memorandum in support, of the Motion for Preliminary Approval that includes an analysis of the Settlement under *Dunk/Kullar* and a request for approval of the PAGA Settlement under Labor Code Section 2699, subd. (f)(2)); (ii) a draft proposed Order Granting Preliminary Approval and Approval of PAGA Settlement; (iii) a draft proposed Class Notice; (iv) a signed declaration from the Administrator attaching its “not to exceed” bid for administering the Settlement and attesting to its willingness to serve; competency; operative procedures for protecting the security of Class Data; amounts of insurance coverage for any data breach, defalcation of funds or other misfeasance; all facts relevant to any actual or potential conflicts of interest with Class Members; and the nature and extent of any financial relationship with Plaintiff, Class Counsel or Defense Counsel; (v) a signed declaration from Plaintiff confirming willingness and competency to serve and disclosing all facts relevant to any actual or potential conflicts of interest with Class Members; (vi) a signed declaration from each Class Counsel firm attesting to its competency to

1 represent the Class Members; its timely transmission to the LWDA of all necessary PAGA
2 documents (initial notice of violations (Labor Code section 2699.3, subd. (a)), Operative
3 Complaint (Labor Code section 2699, subd. (l)(1)), this Agreement (Labor Code section 2699,
4 subd. (l)(2)); and (vii) all facts relevant to any actual or potential conflict of interest with Class
5 Members and the Administrator.

6 6.3. Responsibilities of Counsel. Class Counsel and Defense Counsel are jointly responsible
7 for expeditiously finalizing and filing the Motion for Preliminary Approval after the full
8 execution of this Agreement; obtaining a prompt hearing date for the Motion for Preliminary
9 Approval; and for appearing in Court to advocate in favor of the Motion for Preliminary
10 Approval. Class Counsel is responsible for delivering the Court's Preliminary Approval to the
11 Administrator.

12 6.4. Duty to Cooperate. If the Parties disagree on any aspect of the proposed Motion for
13 Preliminary Approval and/or the supporting declarations and documents, Class Counsel and
14 Defense Counsel will expeditiously work together on behalf of the Parties by meeting and
15 conferring, and in good faith, to resolve the disagreement. If the Court does not grant Preliminary
16 Approval or conditions Preliminary Approval on any material change to this Agreement, Class
17 Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by
18 meeting and conferring, and in good faith, to modify the Agreement and otherwise satisfy the
19 Court's concerns.

20 **7. SETTLEMENT ADMINISTRATION**

21 7.1. Selection of Administrator. The Parties have jointly selected Phoenix to serve as the
22 Administrator and verified that, as a condition of appointment, Phoenix agrees to be bound by
23 this Agreement and to perform, as a fiduciary, all duties specified in this Agreement in exchange
24 for payment of Administration Expenses. The Parties and their Counsel represent that they have
25 no interest or relationship, financial or otherwise, with the Administrator other than a professional
26 relationship arising out of prior experiences administering settlements.

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7.2. Employer Identification Number. The Administrator shall have and use its own Employer Identification Number for purposes of calculating payroll tax withholdings and providing reports state and federal tax authorities.

7.3. Qualified Settlement Fund. The Administrator shall establish a settlement fund that meets the requirements of a Qualified Settlement Fund (“QSF”) under US Treasury Regulation section 468B-1.

7.4. Notice to Class Members

7.4.1. No later than three (3) business days after receipt of the Class Data, the Administrator shall notify Class Counsel that the list has been received and state the number of Class Members, Aggrieved Employees, Workweeks, and Pay Periods in the Class Data.

7.4.2. Using best efforts to perform as soon as possible, and in no event later than 14 days after receiving the Class Data, the Administrator will send to all Class Members identified in the Class Data, via first-class United States Postal Service (“USPS”) mail, the Class Notice with Spanish translation, substantially in the form attached to this Agreement as Exhibit A. The first page of the Class Notice shall prominently estimate the dollar amounts of any Individual Class Payment and/or Individual PAGA Payment payable to the Class Member, and the number of Workweeks and PAGA Pay Periods (if applicable) used to calculate these amounts. Before mailing Class Notices, the Administrator shall update Class Member addresses using the National Change of Address database.

7.4.3. Not later than 3 business days after the Administrator’s receipt of any Class Notice returned by the USPS as undelivered, the Administrator shall re-mail the Class Notice using any forwarding address provided by the USPS. If the USPS does not provide a forwarding address, the Administrator shall conduct a Class Member Address Search, and re-mail the Class Notice to the most current address obtained. The Administrator has no obligation to make further attempts to locate or send Class Notice to Class Members whose Class Notice is returned by the USPS a second time.

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1 7.4.4. The deadlines for Class Members' written objections, Challenges to Workweeks
2 and/or Pay Periods, and Requests for Exclusion will be extended an additional 15 days
3 beyond the 45 days otherwise provided in the Class Notice for all Class Members whose
4 notice is re-mailed. The Administrator will inform the Class Member of the extended
5 deadline with the re-mailed Class Notice.

6 7.4.5. If the Administrator, Defendant or Class Counsel is contacted by or otherwise
7 discovers any persons who believe they should have been included in the Class Data
8 and should have received Class Notice, the Parties will expeditiously meet and confer,
9 and in good faith, in an effort to agree on whether to include them as Class Members.
10 If the Parties agree, such persons will be Class Members entitled to the same rights as
11 other Class Members, and the Administrator will send, via email or overnight delivery,
12 a Class Notice requiring them to exercise options under this Agreement not later than
13 15 days after receipt of Class Notice, or the deadline dates in the Class Notice, which
14 ever are later.

15 7.5. Requests for Exclusion (Opt-Outs).

16 7.5.1. Class Members who wish to exclude themselves (opt-out of) the Class Settlement
17 must send the Administrator, by mail, a signed written Request for Exclusion not later
18 than 45 days after the Administrator mails the Class Notice (plus an additional 15 days
19 for Class Members whose Class Notice is re-mailed). A Request for Exclusion is a letter
20 from a Class Member or his/her representative that reasonably communicates the Class
21 Member's election to be excluded from the Settlement and includes the Class Member's
22 name, address and email address or telephone number. To be valid, a Request for
23 Exclusion must be timely postmarked by the Response Deadline.

24 7.5.2. The Administrator may not reject a Request for Exclusion as invalid because it
25 fails to contain all the information specified in the Class Notice. The Administrator shall
26 accept any Request for Exclusion as valid if the Administrator can reasonably ascertain
27 the identity of the person as a Class Member and the Class Member's desire to be
28 excluded. The Administrator's determination shall be final and not appealable or

1 otherwise susceptible to challenge. If the Administrator has reason to question the
2 authenticity of a Request for Exclusion, the Administrator may demand additional proof
3 of the Class Member's identity. The Administrator's determination of authenticity shall
4 be final and not appealable or otherwise susceptible to challenge.

5 7.5.3. Every Class Member who does not submit a timely and valid Request for
6 Exclusion is deemed to be a Participating Class Member under this Agreement, entitled
7 to all benefits and bound by all terms and conditions of the Settlement, including the
8 Participating Class Members' Releases under Paragraphs 5.2 and 5.3 of this Agreement,
9 regardless whether the Participating Class Member actually receives the Class Notice
10 or objects to the Settlement.

11 7.5.4. Every Class Member who submits a valid and timely Request for Exclusion is a
12 Non-Participating Class Member and shall not receive an Individual Class Payment or
13 have the right to object to the class action components of the Settlement. Because future
14 PAGA claims are subject to claim preclusion upon entry of the Judgment, Non-
15 Participating Class Members who are Aggrieved Employees are deemed to release the
16 claims identified in Paragraph 5.4 of this Agreement and are eligible for an Individual
17 PAGA Payment.

18 7.6. Challenges to Calculation of Workweeks. Each Class Member shall have 45 days after
19 the Administrator mails the Class Notice (plus an additional 15 days for Class Members whose
20 Class Notice is re-mailed) to challenge the number of Class Workweeks and PAGA Pay Periods
21 (if any) allocated to the Class Member in the Class Notice. The Class Member may challenge the
22 allocation by communicating with the Administrator via mail. The Administrator must encourage
23 the challenging Class Member to submit supporting documentation. In the absence of any
24 contrary documentation, the Administrator is entitled to presume that the Workweeks contained
25 in the Class Notice are correct so long as they are consistent with the Class Data. The
26 Administrator's determination of each Class Member's allocation of Workweeks and/or Pay
27 Periods shall be final and not appealable or otherwise susceptible to challenge. The Administrator
28

shall promptly provide copies of all challenges to calculation of Workweeks and/or Pay Periods to Defense Counsel and Class Counsel and the Administrator's determination the challenges.

7.7. Objections to Settlement

7.7.1. Only Participating Class Members may object to the class action components of the Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Class Representative Service Payment.

7.7.2. Participating Class Members may send written objections to the Administrator by mail. In the alternative, Participating Class Members may appear in Court (or hire an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A Participating Class Member who elects to send a written objection to the Administrator must do so not later than 45 days after the Administrator's mailing of the Class Notice (plus an additional 15 days for Class Members whose Class Notice was re-mailed).

7.7.3. Non-Participating Class Members have no right to object to any of the class action components of the Settlement.

7.8. Administrator's Duties. The Administrator has a duty to perform or observe all tasks to be performed or observed by the Administrator contained in this Agreement or otherwise.

7.8.1. Website, Email Address and Toll-Free Number. The Administrator will maintain and use an internet website to post information of interest to Class Members including the date, time and location for the Final Approval Hearing and copies of the Settlement Agreement, Motion for Preliminary Approval, the Preliminary Approval, the Class Notice, the Motion for Final Approval, the Motion for Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and Class Representative Service Payment, the Final Approval and the Judgment. The Administrator will also maintain and monitor an email address and a toll-free telephone number to receive Class Member calls and emails.

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1 7.8.2. Requests for Exclusion (Opt-outs) and Exclusion List. The Administrator will
2 promptly review on a rolling basis Requests for Exclusion to ascertain their validity.
3 Not later than 5 days after the expiration of the deadline for submitting Requests for
4 Exclusion, the Administrator shall email a list to Class Counsel and Defense Counsel
5 containing (a) the names and other identifying information of Class Members who have
6 timely submitted valid Requests for Exclusion (“Exclusion List”); (b) the names and
7 other identifying information of Class Members who have submitted invalid Requests
8 for Exclusion; (c) copies of all Requests for Exclusion from Settlement submitted
9 (whether valid or invalid).

10 7.8.3. Weekly Reports. The Administrator must, on a weekly basis, provide written
11 reports to Class Counsel and Defense Counsel that, among other things, tally the number
12 of: Class Notices mailed or re-mailed, Class Notices returned undelivered, Requests for
13 Exclusion (whether valid or invalid) received, objections received, challenges to
14 Workweeks and/or Pay Periods received and/or resolved, and checks mailed for
15 Individual Class Payments and Individual PAGA Payments (“Weekly Report”). The
16 Weekly Reports must include provide the Administrator’s assessment of the validity of
17 Requests for Exclusion and attach copies of all Requests for Exclusion and objections
18 received.

19 7.8.4. Workweek and/or Pay Period Challenges. The Administrator has the authority to
20 address and make final decisions consistent with the terms of this Agreement on all
21 Class Member challenges over the calculation of Workweeks and/or Pay Periods. The
22 Administrator’s decision shall be final and not appealable or otherwise susceptible to
23 challenge.

24 7.8.5. Administrator’s Declaration. Before the date by which Plaintiff is required to file
25 the Motion for Final Approval of the Settlement, the Administrator will provide to Class
26 Counsel and Defense Counsel, a declaration suitable for filing in Court attesting to its
27 due diligence and compliance with all of its obligations under this Agreement,
28 including, but not limited to, its mailing of Class Notice, the Class Notices returned as

undelivered, the re-mailing of Class Notices, attempts to locate Class Members, the total number of Requests for Exclusion from Settlement it received (both valid or invalid), the number of written objections and attach the Exclusion List. The Administrator will supplement its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible for filing the Administrator's declaration(s) in Court.

7.8.6. Final Report by Settlement Administrator. Within 10 days after the Administrator disburses all funds in the Gross Settlement Amount, the Administrator will provide Class Counsel and Defense Counsel with a final report detailing its disbursements by employee identification number only of all payments made under this Agreement. At least 7 days before any deadline set by the Court, the Administrator will prepare, and submit to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its disbursement of all payments required under this Agreement. Class Counsel is responsible for filing the Administrator's declaration in Court.

8. CLASS SIZE ESTIMATES AND ESCALATOR CLAUSE

Based on its records, Defendant estimates that, as of the date of this Settlement Agreement, (1) there are 125 Class Members and 7,150 Total Workweeks during the Class Period and (2) there are 73 Aggrieved Employees who worked 1,593 Pay Periods during the PAGA Period.

8.1. Increase in Workweeks. Defendant represents that there are no more than 7,150 Workweeks worked by Class Members during the Class Period. In the event the number of Workweeks worked by Class Members during the Class Period increases by more than 10%, or 715 Workweeks, then the Gross Settlement Amount shall be increased proportionally by the Workweeks in excess of 7,150 Workweeks multiplied by the Workweek Value. The Workweek Value shall be calculated by dividing the originally agreed-upon Gross Settlement Amount (\$200,000.00) by 7,150, which amounts to a Workweek Value of \$27.97. Thus, for example, should there be 8,000 Workweeks in the Class Period, then the Gross Settlement Amount shall be increased by \$23,774.50 ([8,000 Workweeks – 7,150 Workweeks] x \$27.97 per Workweek).

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8.2. If the number of valid Requests for Exclusion identified in the Exclusion List exceeds ten percent (10%) of the total of all Class Members, Defendant may, but is not obligated, elect to withdraw from the Settlement. The Parties agree that, if Defendant withdraws, the Settlement shall be void ab initio, have no force or effect whatsoever, and that neither Party will have any further obligation to perform under this Agreement. Defendant must notify Class Counsel and the Court of its election to withdraw not later than 7 days after the Administrator sends the final Exclusion List to Defense Counsel; late elections will have no effect. The Parties shall equally split the payment to the Settlement Administrator for expenses as of the date of Defendant's withdrawal from the Settlement pursuant to this paragraph.

9. MOTION FOR FINAL APPROVAL

Prior to the calendared Final Approval Hearing, Plaintiff will file in Court, a motion for final approval of the Settlement that includes a request for approval of the PAGA settlement under Labor Code section 2699, subd. (l), a Proposed Final Approval Order and a proposed Judgment (collectively "Motion for Final Approval"). Plaintiff shall provide drafts of these documents to Defense Counsel prior to filing the Motion for Final Approval. Class Counsel and Defense Counsel will expeditiously meet and confer, and in good faith, to resolve any disagreements concerning the Motion for Final Approval.

9.1. Response to Objections. Each Party retains the right to respond to any objection raised by a Participating Class Member, including the right to file responsive documents in Court no later than 5 court days prior to the Final Approval Hearing, or as otherwise ordered or accepted by the Court.

9.2. Duty to Cooperate. If the Court does not grant Final Approval or conditions Final Approval on any material change to the Settlement (including, but not limited to, the scope of release to be granted by Class Members), the Parties will expeditiously work together in good faith to address the Court's concerns by revising the Agreement as necessary to obtain Final Approval. The Court's decision to award less than the amounts requested for the Class Representative Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, Administrator Expenses Payment and/or individual claims of plaintiff for

1 alleged wrongful termination, shall not constitute a material modification to the Agreement
2 within the meaning of this paragraph.

3 9.3. Continuing Jurisdiction of the Court. The Parties agree that, after entry of Judgment, the
4 Court will retain jurisdiction over the Parties, Action, and the Settlement solely for purposes of
5 (i) enforcing this Agreement and/or Judgment, (ii) addressing settlement administration matters,
6 and (iii) addressing such post-Judgment matters as are permitted by law.

7 9.4. Waiver of Right to Appeal. Provided the Judgment is consistent with the terms and
8 conditions of this Agreement, specifically including the Class Counsel Fees Payment and Class
9 Counsel Litigation Expenses Payment reflected set forth in this Settlement, the Parties, their
10 respective counsel, and all Participating Class Members who did not object to the Settlement as
11 provided in this Agreement, waive all rights to appeal from the Judgment, including all rights to
12 post-judgment and appellate proceedings, the right to file motions to vacate judgment, motions
13 for new trial, extraordinary writs, and appeals. The waiver of appeal does not include any waiver
14 of the right to oppose such motions, writs or appeals. If an objector appeals the Judgment, the
15 Parties' obligations to perform under this Agreement will be suspended until such time as the
16 appeal is finally resolved and the Judgment becomes final, except as to matters that do not affect
17 the amount of the Net Settlement Amount.

18 9.5. Appellate Court Orders to Vacate, Reverse, or Materially Modify Judgment. If the
19 reviewing Court vacates, reverses, or modifies the Judgment in a manner that requires a material
20 modification of this Agreement (including, but not limited to, the scope of release to be granted
21 by Class Members), this Agreement shall be null and void. The Parties shall nevertheless
22 expeditiously work together in good faith to address the appellate court's concerns and to obtain
23 Final Approval and entry of Judgment, sharing, on a 50-50 basis, any additional Administration
24 Expenses reasonably incurred after remittitur. An appellate decision to vacate, reverse, or modify
25 the Court's award of the Class Representative Service Payment or any payments to Class Counsel
26 shall not constitute a material modification of the Judgment within the meaning of this paragraph,
27 as long as the Gross Settlement Amount remains unchanged.

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1 **10. AMENDED JUDGMENT**

2 If any amended judgment is required under Code of Civil Procedure section 384, the
3 Parties will work together in good faith to jointly submit and a proposed amended judgment.

4 **11. ADDITIONAL PROVISIONS**

5 11.1. No Admission of Liability, Class Certification or Representative Manageability for Other
6 Purposes. This Agreement represents a compromise and settlement of highly disputed claims.
7 Nothing in this Agreement is intended or should be construed as an admission by Defendant that
8 any of the allegations in the Operative Complaint have merit or that Defendant has any liability
9 for any claims asserted; nor should it be intended or construed as an admission by Plaintiff that
10 Defendant's defenses in the Action have merit. The Parties agree that class certification and
11 representative treatment is for purposes of this Settlement only. If, for any reason the Court does
12 grant Preliminary Approval, Final Approval or enter Judgment, Defendants reserve the right to
13 contest certification of any class for any reasons, and Defendant reserves all available defenses
14 to the claims in the Action, and Plaintiff reserves the right to move for class certification on any
15 grounds available and to contest Defendant's defenses. The Settlement, this Agreement and
16 Parties' willingness to settle the Action will have no bearing on, and will not be admissible in
17 connection with, any litigation (except for proceedings to enforce or effectuate the Settlement
18 and this Agreement).

19 11.2. Confidentiality Prior to Preliminary Approval. Plaintiff, Class Counsel, Defendant and
20 Defense Counsel separately agree that, until the Motion for Preliminary Approval of Settlement
21 is filed, they and each of them will not disclose, disseminate and/or publicize, or cause or permit
22 another person to disclose, disseminate or publicize, any of the terms of the Agreement directly
23 or indirectly, specifically or generally, to any person, corporation, association, government
24 agency, or other entity except: (1) to the Parties' attorneys, accountants, or spouses, all of whom
25 will be instructed to keep this Agreement confidential; (2) counsel in a related matter; (3) to the
26 extent necessary to report income to appropriate taxing authorities; (4) in response to a court
27 order or subpoena; or (5) in response to an inquiry or subpoena issued by a state or federal
28 government agency. Each Party agrees to immediately notify each other Party of any judicial or

1 agency order, inquiry, or subpoena seeking such information. Plaintiff, Class Counsel, Defendant
2 and Defense Counsel separately agree not to, directly or indirectly, initiate any conversation or
3 other communication, before the filing of the Motion for Preliminary Approval, any with third
4 party regarding this Agreement or the matters giving rise to this Agreement except to respond
5 only that “the matter was resolved,” or words to that effect. This paragraph does not restrict Class
6 Counsel’s communications with Class Members in accordance with Class Counsel’s ethical
7 obligations owed to Class Members.

8 11.3. No Solicitation. The Parties separately agree that they and their respective counsel and
9 employees will not solicit any Class Member to opt out of or object to the Settlement, or appeal
10 from the Judgment. Nothing in this paragraph shall be construed to restrict Class Counsel’s
11 ability to communicate with Class Members in accordance with Class Counsel’s ethical
12 obligations owed to Class Members.

13 11.4. Integrated Agreement. Upon execution by all Parties and their counsel, this Agreement
14 together with its attached exhibits shall constitute the entire agreement between the Parties
15 relating to the Settlement, superseding any and all oral representations, warranties, covenants, or
16 inducements made to or by any Party.

17 11.5. Attorney Authorization. Class Counsel and Defense Counsel separately warrant and
18 represent that they are authorized by Plaintiff and Defendant, respectively, to take all appropriate
19 action required or permitted to be taken by such Parties pursuant to this Agreement to effectuate
20 its terms, and to execute any other documents reasonably required to effectuate the terms of this
21 Agreement including any amendments to this Agreement.

22 11.6. Cooperation. The Parties and their counsel will cooperate with each other and use their
23 best efforts, in good faith, to implement the Settlement by, among other things, modifying the
24 Settlement Agreement, submitting supplemental evidence and supplementing points and
25 authorities as requested by the Court. In the event the Parties are unable to agree upon the form
26 or content of any document necessary to implement the Settlement, or on any modification of the
27 Agreement that may become necessary to implement the Settlement, the Parties will seek the
28 assistance of a mediator and/or the Court for resolution.

1 11.7. No Prior Assignments. The Parties separately represent and warrant that they have not
2 directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or
3 encumber to any person or entity and portion of any liability, claim, demand, action, cause of
4 action, or right released and discharged by the Party in this Settlement.

5 11.8. No Tax Advice. Neither Plaintiff, Class Counsel, Defendant nor Defense Counsel are
6 providing any advice regarding taxes or taxability, nor shall anything in this Settlement be relied
7 upon as such within the meaning of United States Treasury Department Circular 230 (31 CFR
8 Part 10, as amended) or otherwise.

9 11.9. Modification of Agreement. This Agreement, and all parts of it, may be amended,
10 modified, changed, or waived only by an express written instrument signed or agreed to by all
11 Parties or their representatives, and approved by the Court. Plaintiff and Defendant expressly
12 agree that should the Parties agree to amend, modify, change, or waive this Agreement, or any
13 part of it, Class Counsel and Defense Counsel are authorized to submit to the Court any
14 amendments of this Agreement, amended Agreements, or amendments to the Agreement, on
15 behalf of the Parties once fully executed, which includes, but is not limited to, authorization of
16 the use of signatures previously provided by the Parties.

17 11.10. Agreement Binding on Successors. This Agreement will be binding upon, and inure to
18 the benefit of, the successors of each of the Parties.

19 11.11. Applicable Law. All terms and conditions of this Agreement and its exhibits will be
20 governed by and interpreted according to the internal laws of the state of California, without
21 regard to conflict of law principles.

22 11.12. Cooperation in Drafting. The Parties have cooperated in the drafting and preparation of
23 this Agreement. This Agreement will not be construed against any Party on the basis that the
24 Party was the drafter or participated in the drafting.

25 11.13. Confidentiality. To the extent permitted by law, all agreements made, and orders entered
26 during Action and in this Agreement relating to the confidentiality of information shall survive
27 the execution of this Agreement.

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1 11.14. Use of Class Data. Information provided to Class Counsel pursuant to Cal. Evid. Code
2 §1152, and all copies and summaries of the Class Data provided to Class Counsel by Defendant
3 in connection with the mediation, other settlement negotiations, or in connection with the
4 Settlement, may be used only with respect to this Settlement, and no other purpose, and may not
5 be used in any way that violates any existing contractual agreement, statute, or rule of court.

6 11.15. Headings. The descriptive heading of any section or paragraph of this Agreement is
7 inserted for convenience of reference only and does not constitute a part of this Agreement.

8 11.16. Calendar Days. Unless otherwise noted, all reference to “days” in this Agreement shall
9 be to calendar days. In the event any date or deadline set forth in this Agreement falls on a
10 weekend or federal legal holiday, such date or deadline shall be on the first business day
11 thereafter.

12 11.17. Execution in Counterparts. This Agreement may be executed in one or more counterparts
13 by facsimile, electronically (i.e., DocuSign), or email which for purposes of this Agreement shall
14 be accepted as an original. All executed counterparts and each of them will be deemed to be one
15 and the same instrument if counsel for the Parties will exchange between themselves signed
16 counterparts. Any executed counterpart will be admissible in evidence to prove the existence and
17 contents of this Agreement.

18 11.18. Stay of Litigation. The Parties agree that upon the execution of this Agreement the
19 litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further
20 agree that upon the signing of this Agreement that pursuant to CCP section 583.330 to extend
21 the date to bring a case to trial under CCP section 583.310 for the entire period of this settlement
22 process.

23 11.19. Severability. In the event that one or more of the provisions contained in this Agreement
24 shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity,
25 illegality, or unenforceability shall in no way effect any other provision if Defendant’s Counsel
26 and Class Counsel, on behalf of the Parties and the Settlement Class, mutually elect in writing
27 to proceed as if such invalid, illegal, or unenforceable provision had never been included in this
28 Agreement.

11.20. Not later than 45 days after the date when the Court discharges the Administrator's obligation to provide a Declaration confirming the final pay out of all Settlement funds, Plaintiff shall destroy, all paper and electronic versions of Class Data received from Defendant unless, prior to the Court's discharge of the Administrator's obligation, Defendant makes a written request to Class Counsel for the return, rather than the destructions, of Class Data.

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IT IS SO AGREED:

Kevin Liberato Campos Vargas

06/30/2026

Plaintiff, Kevin Liberato Campos Vargas

For Defendant, California Arborist Complete
Tree Care, Inc.

AGREED AS TO FORM ONLY:

David D. Bibiyan

06/30/2026

David D. Bibiyan
Vedang J. Patel
Counsel for Plaintiff

Ann Qushair
Aarin Zeif
Counsel for Defendant

11.20. Not later than 45 days after the date when the Court discharges the Administrator's obligation to provide a Declaration confirming the final pay out of all Settlement funds, Plaintiff shall destroy, all paper and electronic versions of Class Data received from Defendant unless, prior to the Court's discharge of the Administrator's obligation, Defendant makes a written request to Class Counsel for the return, rather than the destructions, of Class Data.

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IT IS SO AGREED:

Plaintiff, Kevin Liberato Campos Vargas

Signed by:

Dennis Hajar
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For Defendant, California Arborist Complete Tree Care, Inc.

AGREED AS TO FORM ONLY:

David D. Bibiyan
Vedang J. Patel
Counsel for Plaintiff

Aarin Zeif
Aarin Zeif (Jul 7, 2026 09:58:18 PDT)

Ann Qushair
Aarin Zeif
Counsel for Defendant