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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF SANTA BARBARA**

STEVEN JOSEPH THOMAS,
individually and on behalf of all others
similarly situated,

Plaintiffs,

vs.

BOYS & GIRLS CLUB OF SOUTH
SAN LUIS OBISPO COUNTY; BOYS &
GIRLS CLUBS OF THE CENTRAL
COAST; UNITED BOYS AND GIRLS
CLUBS OF SANTA BARBARA
COUNTY; and DOES 1 to 50, inclusive

Case No.: 24CV03448

**PLAINTIFF'S NOTICE OF MOTION AND
MOTION FOR PRELIMINARY
APPROVAL OF CLASS ACTION
SETTLEMENT AND PROVISIONAL
CLASS CERTIFICATION FOR
SETTLEMENT PURPOSES ONLY**

Date: March 6, 2026

Time: 10:00 am

Dept.: 4

Honorable Donna D. Geck

Filed Concurrently with; Declaration of Adrian
Bacon; Declaration of Steven Joseph Thomas;
Proposed Order

TO THE COURT, ALL PARTIES, AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that on March 6, 2026 at 10:00 a.m., in Department 4 of
the above-captioned Court, located at 1100 Anacapa Street Santa Barbara, CA 93101,
Plaintiff Steven Joseph Thomas ("Plaintiff") will and hereby does move for an order: (1)
granting class certification of the below-defined Class for settlement purposes only pursuant
to Code of Civil Procedure § 382; (2) preliminarily approving the Stipulation and Class

1 Action Settlement Agreement (the “Settlement”) between Plaintiff and Defendants Boys &
2 Girls Clubs of South San Luis Obispo County, Boys & Girls Clubs of the Central Coast and
3 United Boys & Girls Clubs of Santa Barbara (“Defendants”); (3) appointing Adrian R.
4 Bacon and Todd M. Friedman, of Law Offices of Todd M. Friedman as Class Counsel; (4)
5 appointing Plaintiff as the Class Representative; (5) approving the use of the proposed
6 notice procedure and related forms; (6) directing that the Class Notice be mailed to the
7 Class; (7) scheduling a hearing date for a final approval hearing; and (8) approving the
8 PAGA settlement.

9 This is a stipulated settlement of a class and representative action on behalf of a class
10 defined as all individuals who are or were employed by Defendants as non-exempt
11 employees in California from June 18, 2020 to the date of Preliminary Approval.

12 This Motion is made on the following grounds: 1) all requirements for class
13 certification, for settlement purposes only, are met pursuant to Code of Civil Procedure §
14 382; 2) Plaintiff and his counsel are adequate to represent the Class; 3) the Settlement is a
15 fair, adequate, and reasonable compromise of the disputed wage and hour claims in this
16 case; and 4) the proposed notice procedure meets all due process requirements. The
17 Settlement is made by Plaintiff, individually and on behalf of the Class Members, including
18 all Aggrieved Employees, and Defendants. In view of the foregoing, the Settlement should
19 be preliminarily approved, notice should be disseminated to Class Members, a Final
20 Fairness Hearing should be scheduled, and the [Proposed] Order Granting Preliminary
21 Approval of Class Action Settlement should be entered.

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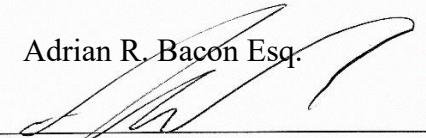
1 This Motion is based on this Notice of Motion and Motion, the attached
2 Memorandum of Points and Authorities, the Declaration of Adrian R. Bacon in support
3 thereof, including all exhibits thereto, Declaration of the named Plaintiff, all papers and
4 pleadings on file with the Court in this action, all matters judicially noticeable, and such
5 oral and documentary evidence as may be presented in connection with the hearing on the
6 Motion.

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9 Date: November 4, 2025

Law Offices of Todd M. Friedman, PC

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11 Adrian R. Bacon Esq.

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I. INTRODUCTION**

3 Through this Motion, Plaintiff Steven Joseph Thomas (“Plaintiff”) respectfully
4 requests the Court 1) conditionally certify the putative Class, for settlement purposes only,
5 pursuant to Code of Civil Procedure § 382, 2) preliminarily approve the Class Action and
6 PAGA Settlement Agreement (the “Settlement”), 3) direct that notice be disseminated to
7 the Class, 4) approve of the PAGA settlement provided for in the Settlement, and 5) schedule
8 a Final Fairness Hearing. The Settlement provides for a non-reversionary fund of Two
9 Hundred-Twenty-Five Thousand Dollars (\$225,000.00) in compromise of the disputed wage
10 and hour claims asserted in this case.

11 Plaintiff’s complaint alleges, on behalf of himself and all Class Members and
12 Aggrieved Employees, that Defendants The Boys & Girls Club of South San Luis Obispo
13 County, Boys & Girls Clubs of the Central Coast and United Boys and Girls Clubs of Santa
14 Barbara County (“Defendants”) are liable for unpaid wages, in addition to statutory and
15 civil penalties, as a result of Defendants’ failing to provide employees with minimum and
16 overtime wages, meal, rest, and recovery periods or premium wages in lieu thereof, all
17 wages earned and owed at termination, and accurate written wage statements. There are
18 approximately 935 putative Class Members, based on information provided by Defendants
19 for purposes of mediation. (Declaration of Adrian R Bacon (“Bacon Decl.”) ¶¶ 30, 48.)

20 This Motion should be granted for the following reasons: 1) all requirements are met
21 for class certification, for settlement purposes only, pursuant to Code of Civil Procedure §
22 382; 2) the Settlement meets all indicia for fairness, reasonableness, and adequacy; 3)
23 Plaintiff is adequate to serve as Class Representative; 4) Plaintiff’s counsel are adequate to
24 serve as Class Counsel; and 5) the proposed notice procedures and all related forms comport
25 with due process requirements and adequately apprise Class Members of their rights.
26 Furthermore, a Final Fairness Hearing should be scheduled to allow putative Class Members
27 an opportunity to be heard regarding the Settlement.

1 **II. FACTUAL AND PROCEDURAL BACKGROUND**

2 Plaintiff worked for Defendants from 2018 until 2023. From 2018 to 2020, Plaintiff
3 worked at Defendants’ location in Oceano, CA. From 2020 to 2022, Plaintiff worked in the
4 Boys and Girls Club location in Santa Maria, CA. Lastly, Plaintiff worked from 2022
5 through August 2023 in the Santa Barbara, CA Boys and Girls Club location. The putative
6 Class consists of other current and former non-exempt employees who worked for
7 Defendants in California from June 18, 2020 to the date of Preliminary Approval (“Class
8 Period”).

9 On June 19, 2024 Plaintiff filed his Original complaint before This Honorable Court
10 alleging a class action for various alleged Labor Code violations, including, but not limited
11 to, failing to provide meal and rest breaks. On October 1, 2024, Plaintiff filed an amended
12 complaint adding a cause of action under Private Attorneys General Act Lab. Code §§ 2698
13 et. seq. (“PAGA”).

14 Plaintiff alleges claims on behalf of himself and a class of non-exempt current and
15 former employees who were employed by Defendants in California during the Class Period
16 for, *inter alia*, Defendants’ alleged failure to pay at least minimum wages for all hours
17 worked, failure to pay premium wages for missed, late, and/or interrupted meal and rest
18 periods, failure to pay premium wages for all overtime hours worked, failure to provide
19 accurate itemized wage statements, failed to provide recovery periods, and failure to pay all
20 wages upon termination.

21 On November 26, 2024, Defendant Boys & Girls Clubs of the Central Coast filed a
22 Motion to Compel Arbitration and stay Proceedings, which Plaintiff opposed. Before
23 Defendant’s Motion could be adjudicated, both parties stipulated to stay proceedings to
24 attend a mediation of this matter. Subsequently, The Parties agreed to an early private
25 mediation with Mike Strauss, Esq. of Judicate West. For purposes of negotiation,
26 Defendants provided Plaintiff’s counsel with information relevant to the claims and
27 defenses at issue, including class parameters such as hourly wage, number of workweeks
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1 and number of class members, a sample of the Class Members’ and Aggrieved Employees’
2 timekeeping and payroll records, and documents pertaining to Defendants’ policies and
3 procedures.

4 On April 29, 2025, the Parties participated in a full day mediation that ultimately
5 resulted in this Settlement. Bacon Decl. ¶¶ 11–13. The Parties were unable to reach a
6 settlement at the mediation, but that day Mr. Strauss made a mediator’s proposal to resolve
7 the claims described herein. (*Id.*) The Parties accepted Mr. Strauss’ proposal resulting in the
8 instant settlement. Bacon Decl. ¶ 14. Defendants deny all claims and agree to settlement
9 due to the risk and costs of protracted litigation and acknowledge these arguments presented,
10 are for purposes of settlement only.

11 III. SETTLEMENT OVERVIEW

12 The Settlement provides for a non-reversionary Settlement Fund for the benefit of
13 all Class Members and Aggrieved Employees. (See settlement Agreement, Bacon Decl. Ex.
14 A, ¶ 3.1.) The Settlement Fund is defined as the Gross Settlement Amount of Two Hundred
15 Twenty-Five (\$225,000.00). (*Id.*)¹ “Class Members” means “all non-exempt employees who
16 are or were employed by Defendants in California during the Class Period.” (*Id at 1.9*).
17 The Class Period is June 18, 2020 to the date of Preliminary Approval. (*Id at 1.12*).
18 “Aggrieved Employees” means all non-exempt employees who are or were employed by
19 Defendants in California during the PAGA Period. (*Id at 1.4*) The PAGA Period is June 18,
20 2023 to date of Preliminary Approval. (*Id at 1.30*).² “Participating Class Members” includes
21 all Class Members and Aggrieved Employees who do not timely exclude themselves from
22 the Settlement. (*Id at 1.34*). There are approximately 935 employees in the class, with an
23 estimate number of workweeks for all Defendants as 43,673. (*Id at 4.1*).

24 Each Participating Class Member is entitled to an Individual Settlement Share of the
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26 ¹ This amount is subject to an escalator clause. Settlement § 9.

27 ² Defendants may elect to truncate the class period if it decides it does not way to trigger the
28 escalator provisions.

1 Net Settlement Amount, which is defined as the Gross Settlement Amount, less 1) the
2 Service Award of \$10,000 for Plaintiff for his service to the Class as Class Representative,
3 2) Attorneys' Fees in the amount of Seventy-Five Thousand Dollars (\$75,000.00), or one
4 third (33.33%) of the Settlement Fund, plus Class Counsel's expenses of up to \$10,000, 3)
5 Claims Administration Expenses, including the postage and printing costs for the Class
6 Notices and the postage costs for mailing the payments (estimated to be less than \$12,500),³
7 and 4) the PAGA Allocation of Ten Thousand Dollars (\$10,000), 75% of which shall go to
8 the Labor and Workforce Administration ("LWDA") and 25% of which shall go to
9 Aggrieved Employees. Bacon Decl. ¶ 35.

10 The Net Settlement Amount will be paid as pro rata individual shares to Participating
11 Class Members according to the number of Workweeks worked during the Class Period. *Id.*
12 The portion of each Individual Settlement Share representing wages will be subject to
13 normal employment tax withholdings relative to the Participating Class Member's
14 employment (e.g., withholdings for State and Federal income tax, employee FICA tax,
15 California SDI, etc.), including employer-side payroll taxes, with the Administrator
16 remitting all such withholdings directly to the pertinent state and federal taxing authorities.
17 The Settlement releases from liability Defendants and each of their former and present
18 directors, officers, shareholders, owners, members, attorneys, insurers, representatives, and
19 agents during the Class Period and their respective predecessors, successors, parents,
20 assigns, subsidiaries, and affiliates. ("Released Parties"). *Id.* ¶¶ 39–40. Every Class
21 Member who does not request exclusion from, or "opt-out" of, the Class, including Plaintiff,
22 will release all known and unknown wage and hour claims for the Class Period that were
23 alleged, or reasonably could have been alleged, based on the facts stated in the Operative
24 Complaint and/or that were ascertained in the course of the Action. *Id.* ¶ 40.

25 _____
26 ³ Phoenix provided a \$11,500 quote, and we expect this will be the final cost, but if the class size
27 increases the total cost may slightly increase, thus where the estimate of no more than \$12,500
28 comes from for purposes of this Motion.

1 Within thirty (30) days of the entry of the Preliminary Approval Order, Defendants
2 will provide the Claims Administrator with a list of all Class Members, including the name
3 and social security number, most current mailing address, and number of Class Period
4 Workweeks and PAGA Pay Periods. (“Class List”). Within fourteen (14) days of receipt
5 from Defendants of the Class List, the Claims Administrator will mail the Notice of Class
6 Settlement and the Opt-Out form by First-Class US Mail, postage prepaid, in addition to a
7 Statement of Weeks Worked. Class Members will have forty-five (45) days after the date of
8 mailing to submit disputes, requests for exclusion, and/or objections. Bacon Decl. ¶ 43. No
9 funds will revert to Defendants. (*Id at 3.1*).

10 IV. ARGUMENT

11 A. All Requirements For Class Certification Are Met

12 The Code of Civil Procedure provides that a class may be certified if the following
13 requirements are met:) the Class is ascertainable and its members are too numerous for
14 joinder to be practical; 2) all Class Members share a community of interest, and questions
15 of law and fact common to the Class predominate over questions unique to individual
16 members; 3) the Representative’s claims are typical of Class Members’ claims; and 4) the
17 Representative will fairly and adequately represent Class interests. Code Civ. Proc. § 382;
18 *see also Linder v. Thrifty Oil Co.*, 23 Cal. 4th 429, 435 (2000), *as modified* (Aug. 9, 2000)
19 (citing *Richmond v. Dart Industries, Inc.*, 29 Cal.3d 462, 470 (1981)). With respect to
20 certification of a class for purposes of settlement, “it is also well established that trial courts
21 should use different standards to determine the propriety of a settlement class, as opposed
22 to a litigation class certification. Specifically, a lesser standard of scrutiny is used for
23 settlement cases.” *Glob. Mins. & Metals Corp. v. Superior Ct.*, 113 Cal. App. 4th 836, 859
24 (2003) (citing *Dunk v. Ford Motor Co.*, 48 Cal.App.4th 1794, 1807, fn.19 (1996)).

25 1) The Class is ascertainable and numerous

26 A class is ascertainable when “[objective characteristics and common transactional
27 facts] make the ultimate identification of class members possible when that identification
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1 becomes necessary.” *Noel v. Thrifty Payless, Inc.*, 7 Cal. 5th 955, 980 (2019) (quoting *Hicks*
2 *v. Kaufman & Broad Home Corp.*, 89 Cal. App. 4th 908, 915 (2001), *as modified on denial*
3 *of reh'g* (July 3, 2001)). In addition, “there is no set number required as a matter of law for
4 the maintenance of a class action.” *Hebbard v. Colgrove*, 28 Cal. App. 3d 1017, 1030 (Ct.
5 App. 1972) (citing *Bowles v. Superior Court*, 44 Cal.2d 574 (1955) (approving a class of
6 10).

7 The Class in the instant matter refers to all non-exempt employees who are or were
8 employed by Defendants in California from June 18, 2020 to the date of Preliminary
9 Approval. The Class is thus objectively ascertainable because its members may be
10 identified by reference to Defendants’ records, which Defendants have agreed to share
11 confidentially to facilitate the settlement process. The Class is also sufficiently numerous
12 because it would be impractical and economically burdensome and inefficient to require
13 approximately 935 employees in the class, either to maintain individual actions or be joined
14 as named plaintiffs in this action. *See Noel*, 7 Cal. 5th at 968.

15 2) Common questions predominate

16 A question of law or fact is common to the class if it may be resolved through
17 common proof. *See, e.g., Kizer v. Tristar Risk Mgmt.*, 13 Cal. App. 5th 830, 842 (2017), *as*
18 *modified* (July 26, 2017) (citing *Brinker Rest. Corp. v. Superior Ct.*, 53 Cal. 4th 1004, 1033
19 (2012)). Predominance “hinges on ‘whether the theory of recovery advanced by the
20 proponents of certification is, as an analytical matter, likely to prove amenable to class
21 treatment.’” *Wilson v. La Jolla Grp.*, 61 Cal. App. 5th 897, 276 Cal. Rptr. 3d 118, 125 (2021)
22 (quoting *Duran v. U.S. Bank Nat'l Assn.*, 59 Cal. 4th 1, 28 (2014)). Moreover, predominance
23 “is a comparative concept, and ‘the necessity for class members to individually establish
24 eligibility and damages does not mean individual fact questions predominate.’” *Sav-On*
25 *Drug Stores, Inc. v. Superior Court*, 34 Cal.4th 319, 334 (2004) (“*Sav-On*”) (quoting *Reyes*
26 *v. San Diego County Bd. of Supervisors*, 196 Cal.App.3d 1263, 1278 (1979)); *see also*
27 *Benton v. Telecom Network Specialists, Inc.*, 220 Cal. App. 4th 701, 726 (2013) (“[T]he
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1 employer's liability arises by adopting a uniform policy that violates the wage and hour
2 laws. Whether or not the employee was able to take the required break goes to damages.")
3 (quoting *Faulkinbury v. Boyd & Assocs., Inc.*, 216 Cal. App. 4th 220, 235 (2013),
4 *disapproved of on other grounds by Noel, supra*, 7 Cal. 5th 955)). Accordingly, "[i]ndividual
5 issues do not render class certification inappropriate so long as such issues may effectively
6 be managed." *Sav-On*, 34 Cal.4th at 334.

7 During their investigation, Plaintiff's counsel contends that they determined that
8 Defendants' policies and practices are either identical or sufficiently similar to raise the
9 same questions of liability as to all Class Members. (Bacon Decl. ¶ 51). Plaintiff contends
10 that the following questions are common to all Class Members in this matter: 1) whether
11 Defendants' uniform policies and timekeeping practices resulted in the failure to capture all
12 hours worked by Plaintiff and Class Members pursuant to Labor Code § 1174; 2) whether
13 Defendants unlawfully and/or willfully failed to pay Plaintiff and Class Members for all
14 hours worked, including in violation of Labor Code §§ 200, 226, 1197, 1194 and 1198; 3)
15 whether Defendants had a uniform policy and/or practice of denying Plaintiff and Class
16 Members meal and periods as mandated by California law; 4) whether Defendants had a
17 uniform policy and/or practice of failing to compensate Plaintiff and Class Members for
18 missed or non-compliant meal and rest periods; 5) whether Defendants' pattern and practice
19 of failing to Plaintiff and Class Members all compensation owed is an unlawful, unfair,
20 and/or fraudulent business act or practice in violation of the UCL; 6) whether Defendants
21 failed to provide Plaintiff and Class Members accurate, itemized wage statements; 7)
22 whether Defendants failed to provide recovery periods to Plaintiff and Class members; 8)
23 whether Defendants unlawfully and/or willfully failed to pay promptly all compensation
24 owed to Plaintiff and Class Members on termination of their employment in violation of
25 Labor Code §§ 201-203; and 9) whether Plaintiff and absent Class Members sustained
26 damages; and, if so, the proper measure of such damages, including interest, penalties, costs,
27 attorneys' fees, and equitable relief. (*Id.*)

1 Plaintiff contends that questions common to the Class predominate. Because Class
2 Members would have to prove the same issues of law and fact to prevail on identical claims,
3 and because their potential legal remedies are identical, it would be preferable to resolve all
4 Class claims by means of the Settlement rather than requiring each Class Member to litigate
5 the same claims individually. Accordingly, a class-wide settlement is superior to any other
6 method of resolution. (*Id.* ¶ 49.)

7 3) Plaintiff's claims are typical of the Class

8 Typicality requires a showing that “the claims or defenses of the representative
9 plaintiffs are typical of class claims or defenses.” *Linder*, 23 Cal. 4th at 443 (citation
10 omitted). However, the inquiry turns on the nature of the class representative’s claim or
11 defense, not “the specific facts from which it arose or the relief sought.” *Seastrom v.*
12 *Neways, Inc.*, 149 Cal. App. 4th 1496, 1502 (2007) (quoting *Hanon v. Dataproducts Corp.*,
13 976 F.2d 497, 508 (9th Cir. 1992)). Typicality is “to assure that the interest of the named
14 representative aligns with the interests of the class.” *Id.* Accordingly, “[t]he test of typicality
15 is whether other members have the same or similar injury, whether the action is based on
16 conduct which is not unique to the named plaintiffs, and whether other class members have
17 been injured by the same course of conduct.” *Id.* (internal quotations and citations omitted).
18 Notably, “[i]t has long been established that class certification under section 382 does not
19 require simultaneous or identical injuries.” *Hopkins v. De Beers Centenary AG*, No. CGC-
20 04-432954, 2005 WL 1020868, at *6 (Cal. Super. Ct. Apr. 15, 2005) (citing *Classen v.*
21 *Weller*, 145 Cal.App.3d 27, 46 (1983)).

22 Plaintiff’s claims are typical of the Class. Like absent Class Members, Plaintiff
23 worked as a non-exempt employee for Defendants in California during the Class Period and
24 was subject to the same general policies and practices with respect to timekeeping, payroll,
25 wage statements, and breaks. Bacon Decl. ¶ 50. In addition, Defendants would also likely
26 assert the same defenses to Class Members’ individual claims as to Plaintiff’s, including
27 that Defendants paid each non-exempt employee for all hours worked, that each was
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1 provided with compliant meal and rest periods, if they worked long enough to trigger such
2 break, or controlled their own working environment and were not denied, deterred or
3 interrupted from taking their breaks and that any pay stub violations/incomplete final wage
4 payments, if they occurred at all, resulted from good faith mistakes. *Id.* at ¶ 52. Plaintiff's
5 claims are thus typical.

6 4) Plaintiff will adequately represent the Class

7 Plaintiff is adequate to represent the Class. Plaintiff's interests are co-extensive with
8 Class Members' in this litigation. There is no evidence of, and Defendants do not assert,
9 any conflict of interest between Plaintiff and the Class. Bacon Decl. ¶ 57. In addition,
10 Plaintiff is represented by counsel experienced in complex litigation, including wage and
11 hour class actions. Bacon Decl. ¶¶ 59–68. This settlement is the result of a proposal made
12 by Mr. Michael A. Strauss. Esq., a neutral mediator. Bacon Decl. ¶ 14.

13 **B. The Settlement Is Fair, Adequate, And Reasonable**

14 California courts favor settlement. *See Neary v. Regents of Univ. of California*, 3
15 Cal. 4th 273, 277 (1992) ("This court recognized a century ago that settlement agreements
16 are highly favored as productive of peace and good will in the community, as well as
17 reducing the expense and persistency of litigation.") (internal quotations and citation
18 omitted). Unlike individual settlements, class action settlements require a specific approval
19 process to prevent fraud, collusion, and/or unfairness to absent class members. *See, e.g.,*
20 *Dunk*, 48 Cal. App. 4th at 1800 ("[I]n order to prevent fraud, collusion or unfairness to the
21 class, the settlement or dismissal of a class action requires court approval....") (citation
22 omitted). This process consists of preliminary settlement approval, notice to class members,
23 and a final fairness and approval hearing at which class members may be heard. *See, e.g.,*
24 *Palacios v. Penny Newman Grain, Inc.*, No. 1:14-CV-01804-KJM, 2015 WL 4078135, at
25 *2-3 (E.D. Cal. July 6, 2015). For the reasons discussed below, this Court should
26 preliminarily approve the Settlement, allow the Parties to give notice to the Class, and
27 schedule a Final Fairness Hearing.

1 1) The significant risks of further litigation favor settlement

2 In deciding whether to approve a proposed settlement, “the trial court has broad
3 powers to determine whether a proposed settlement in a class action is fair.” *Mallick v.*
4 *Superior Ct.*, 89 Cal. App. 3d 434, 438 (Ct. App. 1979). The court’s overriding concern is
5 to ensure that a proposed settlement is “fair, adequate, and reasonable.” *Dunk*, 48
6 Cal.App.4th at 1801 (internal quotations omitted). Factors relevant to fairness include “the
7 complexity and likely duration of further litigation, the risk of maintaining class action
8 status through trial, the amount offered in settlement, the extent of discovery completed and
9 the state of the proceedings, the experience and views of counsel, the presence of a
10 governmental participant, and the reaction of the class members to the proposed settlement.”
11 *Id.* at 1801.

12 Furthermore, “[i]n the context of a settlement agreement, the test is not the maximum
13 amount plaintiffs might have obtained at trial on the complaint, but rather whether the
14 settlement is reasonable under all of the circumstances.” *Wershba v. Apple Computer, Inc.*,
15 91 Cal. App. 4th 224, 250 (2001), *disapproved of on other grounds by Hernandez v.*
16 *Restoration Hardware, Inc.*, 4 Cal. 5th 260 (2018) (citation omitted). Nevertheless, the
17 parties must provide the court with “a meaningful and substantiated explanation of the
18 manner in which the factual and legal issues have been evaluated.” *Kullar v. Foot Locker*
19 *Retail Inc.*, 168 Cal.App.4th 116, 118 (2008). Courts thus review the information obtained
20 by the parties during their negotiations to assess whether the claims were sufficiently
21 developed before agreeing to the settlement. *Id.* at 129-131. “Sufficient” in this context
22 means enough information to provide the court “an understanding of the amount that is in
23 controversy and the realistic range of outcomes of the litigation.” *Clark v. Am. Residential*
24 *Servs. LLC*, 175 Cal.App.4th 785, 801 (2009). Accordingly, settlements can be reasonable
25 even where they provide substantially narrower relief than could potentially be awarded, if
26 the class were certified and if the plaintiff were to prevail at trial.

1 Here, the Settlement is a fair, adequate, and reasonable compromise of the claims at
2 issue, considering not only Defendants’ maximum conceivable liability, but also the
3 significant risks Plaintiff and the Class face with respect to certification and prevailing on
4 the merits; Plaintiff dutifully assessed Defendants’ potential exposure in the forms of
5 liquidated damages, interest, and penalties and identified valid and pertinent questions
6 regarding Plaintiff’s ability to show consistent, actionable violations on a class-wide basis.
7 Bacon Decl. ¶¶ 69–95.

8 First, the risk of certification of the proposed class being denied favors settlement.
9 For example, Defendants raised arguments at Mediation regarding the lack of uniform
10 policies and procedures with respect to breaks. “In considering whether a class action is a
11 superior device for resolving a controversy, the manageability of individual issues is just as
12 important as the existence of common questions uniting the proposed class.” *Salazar v. See’s*
13 *Candy Shops, Inc.*, No. B300778, 2021 WL 1852009, at *3 (Cal. Ct. App. Apr. 26, 2021)
14 (quoting *Duran*, 59 Cal.4th at 28-29.) Many of the claims pursued by Plaintiff, including
15 time off the clock and meal break claims, would be contested by strong manageability
16 concerns regarding the inability to present class wide proof. For instance, Defendants would
17 also take the position that under *Brinker Restaurant Corp. v. Superior Court*, 53 Cal.4th
18 1004 (2012), it merely needed to provide a meal or rest break, which it did through its
19 policies. Defendants would further argue that Class members worked fewer hours and a
20 meal period for some was not even triggered, or if triggered, were not denied, deterred or
21 interrupted. Thus, there was a strong procedural defense to class certification on the break
22 claims as well, which also was a merits defense.

23 While Plaintiff disagreed with Defendants’ position on these issues, the result was
24 uncertain, and mediation allowed the Parties to mitigate these risks, which weigh in favor
25 of settlement, notwithstanding Defendants’ exposure in theory. Plaintiff contends that
26 Defendants are potentially liable, pursuant to Labor Code §§ 203 and 226(e), for the alleged
27 underpayment to Class Members and failure to pay meal and rest period premiums.
28

1 However, the claims are derivative of the underlying Labor Code violations and susceptible
2 to the same defenses. The risk is significant not only that Plaintiff cannot meet his burden
3 of proof at trial, but also that Defendants can establish a good faith defense to preclude the
4 imposition of penalties. Furthermore, whether penalties can be “stacked” for multiple,
5 predicate Labor Code violations in a single pay period is unclear and remains discretionary,
6 under Labor Code § 2699(e)(2). *See, e.g., Bright v. 99¢ Only Stores*, 189 Cal. App. 4th 1472,
7 1480, fn. 8 (2010).

8 Each of these factors bore heavily on the parties’ negotiations. (Bacon Decl. ¶¶ 69-
9 95.) Pursuant to *Kullar, supra*, Plaintiff’s counsel carefully balanced the risks against the
10 maximum potential recovery. (*Id.*) On balance, the Settlement reflects a fair, adequate, and
11 reasonable compromise for the claims at issue by any standard. (*Id.*) Moreover, the expense
12 of protracted litigation in these cases is formidable, and settlement goes far to alleviate
13 tensions in the employment relationship that result from litigation. All parties are thus in a
14 better position to participate in the state’s economy. (*Id.*) Accordingly, while they are far
15 from exhaustive, the risks discussed herein show that the Settlement is fair, adequate and
16 reasonable.

17 2) The allocation of the Settlement Fund favors settlement

18 In *Kullar*, the Court of Appeal held that a trial court reviewing a class action
19 settlement must receive and independently consider information sufficient to assess the
20 reasonableness of the terms of the settlement. *Kullar*, 168 Cal. App. 4th at 130, 133.
21 Accordingly, “while there is usually an initial presumption of fairness when a proposed
22 class action settlement was negotiated at arm’s length by counsel for the class,” the party
23 seeking approval must provide at least “basic information about the nature and magnitude
24 of the claims in question and the basis for concluding that the consideration being paid for
25 the release of those claims represents a reasonable compromise.” *Id.*; *see also Edwards v.*
26 *Heartland Payment Sys., Inc.*, 29 Cal. App. 5th 725, 737 (2018) (same) (quoting *Kullar*, 168
27 Cal. App. 4th at 132-133).

1 In the instant matter, the Net Settlement Amount will be paid to Participating Class
2 Members as Individual Settlement Shares in amounts based on their respective Workweeks
3 because Plaintiff alleges that Class Members who worked longer for Defendants will
4 necessarily have suffered more violations, including by receiving more allegedly defective
5 wage statements. The Settlement thus allocates funds to Class Members in a way and in
6 amounts that are fair, adequate, and reasonable.

7 **C. The Proposed Attorneys' Fees Are Fair And Reasonable**

8 "Empirical studies show that, regardless whether the percentage method or the
9 lodestar method is used, fee awards in class actions average around one-third of the
10 recovery." *Chavez v. Netflix, Inc.*, 162 Cal.App.4th 43, 47, fn. 11 (2008) (quoting *Shaw v.*
11 *Toshiba America Information Systems, Inc.*, 91 F.Supp.2d 942, 972 (E.D. Tex. 2000)); see
12 also *Laffitte v. Robert Half Internat. Inc.*, 1 Cal. 5th 480, 503 (2016) ("[U]se of the
13 percentage method to calculate a fee in a common fund case, where the award serves to
14 spread the attorney fee among all the beneficiaries of the fund, does not in itself constitute
15 an abuse of discretion."). This is also consistent with Plaintiff's counsel's experience in
16 these types of cases. (Bacon Decl. ¶¶ 69-95). The instant matter has been in litigation since
17 June 2024, and Plaintiff's counsel intend to request attorneys' fees of \$75,000.00 (one-third
18 of the Settlement Fund) and actual costs of up to \$10,000. (*Id.*; Bacon Decl. Ex. A, Sec.
19 3.1.) In view of the efforts made and time spent by Plaintiff's counsel, the risks they assumed
20 in pursuing this case, and the results they achieved (i.e., obtaining a favorable class-wide
21 resolution in the face of a highly uncertain, and ever-evolving, legal landscape as to core
22 issues in this case, especially with respect to PAGA and class manageability), these amounts
23 are fair and reasonable.

24 **D. The Proposed Service Award Is Fair And Reasonable**

25 Courts routinely approve incentive or service awards, often in amounts much higher
26 than the award sought here, to compensate named the plaintiffs for their service on behalf
27 of absent class members, including by assuming the risks inherent in complex litigation.
28

1 See, e.g., *Bell v. Farmers Ins. Exch.*, 115 Cal. App. 4th 715, 726 (2004), as modified on
2 denial of reh'g (Mar. 9, 2004).

3 Here, the Settlement provides that Plaintiff may seek a Service Award of \$10,000.
4 (Bacon Decl. ¶ 94). This amount is entirely reasonable in this case given Plaintiff's efforts
5 in this case and the risks he has undertaken on behalf of absent Class Members. Plaintiff
6 advanced the interests of the Class by, *inter alia*, speaking extensively with counsel to
7 identify and develop the claims at issue, participating in mediation, identifying other class
8 members who provide declarations, and reviewing the Settlement and related documents
9 with counsel. (*Id.*) Plaintiff also assumed the risks of being required to pay Defendants'
10 costs if this action had been unsuccessful and facing not only intrusive discovery, but also
11 disclosure to future employers that he sued a former employer. (*Id.*) Moreover, Plaintiff
12 agrees to a much broader release of claims than other Class Members in order to benefit the
13 Class as a whole. (*Id.*) Plaintiff has supported this litigation for over a year, to aid in Class
14 Counsels' investigation, and participating in mediation. Accordingly, the requested Service
15 Award to Plaintiff is appropriate and reasonable.

16 **E. The Proposed Notice Procedures Are Adequate**

17 "The notice given to the class must fairly apprise the class members of the terms of
18 the proposed compromise and of the options open to dissenting class members." *Trotsky v.*
19 *Los Angeles Fed. Sav. & Loan Assn.*, 48 Cal. App. 3d 134, 151-152 (Ct. App. 1975),
20 *disapproved of on other grounds by Hernandez v. Restoration Hardware, Inc.*, 4 Cal. 5th
21 260 (2018) (citations omitted). Furthermore, notice is adequate where it has "a reasonable
22 chance of reaching a substantial percentage of the class members." *Linder*, 23 Cal. 4th at
23 444, fn. 9 (quoting *Cartt v. Superior Court*, 50 Cal.App.3d 960, 974 (1975)). "The Supreme
24 Court has consistently endorsed notice by first-class mail." *Fager v. CenturyLink Commc'ns,*
25 *LLC*, 854 F.3d 1167, 1173 (10th Cir. 2016) (citations omitted).

26 Here, the process for notice under the Settlement ensures that as many Class
27 Members as practicable receive actual notice of the Settlement and have sufficient time to
28

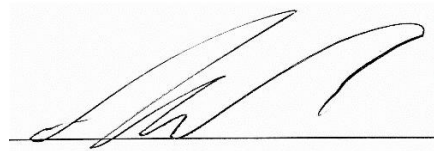
1 respond. The proposed notice plan requires the Claims Administrator to send notice by First-
2 Class US Mail and attempt to obtain valid addresses for those notices returned
3 “undeliverable” in order to meet constitutional standards for due process. The Class Notice
4 (Bacon Decl. Ex. B) provides absent Class Members with the information they need to
5 evaluate their options fully and exercise their rights. Specifically, the Class Notice clearly
6 and concisely explains the following: 1) the nature of the case; 2) the definition of the Class;
7 3) the Gross and Net Amounts of the Settlement Fund; 4) how Class Counsel will be paid;
8 5) the Service Award to Plaintiff; 6) the calculation and distribution of Individual Settlement
9 Shares; 7) Class Members’ right to participate, opt-out, or object; and 8) the means by which
10 to respond to the Class Notice. Accordingly, the Settlement’s notice procedures are
11 adequate.

12 **V. CONCLUSION**

13 For the foregoing reasons, this Court should certify the Class, for settlement purposes
14 only, pursuant to Code of Civil Procedure § 382, preliminarily approve the Settlement,
15 direct that Class Notice be disseminated, schedule a Final Fairness Hearing, and enter the
16 [Proposed] Order.

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18 Date: November 4, 2025

Respectfully submitted,
Law Offices of Todd M. Friedman, PC

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22 Adrian R. Bacon Esq.
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I, the undersigned, certify and declare that I am over the age of 18 years, employed in the County of Los Angeles, State of California, and not a party to the above-entitled cause. My business address is the Law Offices of Todd M. Friedman, P.C, 23586 Calabasas Road Suite 105, Calabasas Ca 91302.

[x] **BY MAIL (ENCLOSED IN A SEALED ENVELOPE):** I deposited the envelope(s) for mailing in the ordinary course of business at Calabasas, California. I am “readily familiar” with this firm’s practice of collection and processing correspondence for mailing. Under that practice, sealed envelopes are deposited with the U.S. Postal Service that same day in the ordinary course of business with postage thereon fully prepaid at Calabasas, California.

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2 I declare under the penalty of perjury under the laws of the State of California that the
3 foregoing is true and correct.

4 Executed on November 4, 2025, in Calabasas, California.

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