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individually, and on behalf of Aggrieved Employees
pursuant to the California Private Attorneys General Act

**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF LOS ANGELES**

BRIGETTE HARPER, individually, and on
behalf of Aggrieved Employees pursuant to the
California Private Attorneys General Act,

Plaintiff,

vs.

BANK OF HOPE; HOPE BANCORP, INC.;
SECURE TALENT, INC. dba EASTRIDGE
WORKFORCE SOLUTIONS; and DOES 1
through 25, inclusive,

Defendants.

Case No. 24STCV19335
[Consolidated with Case No. 24STCV26027]

Honorable Elaine Lu
Department 9

**NOTICE OF MOTION AND MOTION FOR
APPROVAL OF PAGA SETTLEMENT,
PAGA COUNSEL FEES PAYMENT, PAGA
COUNSEL LITIGATION EXPENSES
PAYMENT, GENERAL RELEASE FEE,
AND ADMINISTRATION EXPENSES
PAYMENT**

Date: October 29, 2026
Time: 10:00 a.m.
Department: 9

Opposition due: October 1, 2026
Reply due: October 15, 2026

Complaint Filed: October 7, 2024
Trial Date: Not Set

1 **TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:**

2 **PLEASE TAKE NOTICE THAT** on October 29, 2026 at 10:00 a.m. in Department 9 of the
3 above-captioned Court located at Spring Street Courthouse, 312 North Spring Street, Los Angeles,
4 California 90012, Plaintiff Brigitte Harper (“Plaintiff”) will and hereby does move the Court for an
5 Order granting approval of the California Labor Code Private Attorneys General Act of 2004,
6 California Labor Code sections 2698, *et seq.* (“PAGA”) settlement. Specifically, Plaintiff requests
7 that the Court enter an Order:

- 8 1. Granting approval pursuant to California Labor Code section 2699(s)(2) of the PAGA
9 Settlement Agreement (“Settlement,” “Agreement,” or “Settlement Agreement”) attached
10 as **Exhibit 2** to the Declaration of James S. Winn Jr. in Support of Plaintiff’s Motion for
11 Approval of PAGA Settlement, PAGA Counsel Fees Payment, PAGA Counsel Litigation
12 Expenses Payment, General Release Fee, and Administration Expenses Payment (“Winn
13 Decl.”);
- 14 2. Directing the distribution of the Gross Settlement Amount of Three Hundred Forty
15 Thousand Dollars and Zero Cents (\$340,000.00) pursuant to the terms of the Agreement;
- 16 3. Approving the Net Settlement Amount, estimated to be One Hundred Eighty-Nine
17 Thousand Five Hundred Seventy-Three Dollars and Forty Cents (\$189,573.40), of which
18 sixty-five percent (65%) will be distributed to the California Labor and Workforce
19 Development Agency (“LWDA”) and thirty-five percent (35%) of which will be
20 distributed to the Aggrieved Employees;
- 21 4. Approving an award of reasonable attorneys’ fees of one-third (1/3) of the Gross
22 Settlement Amount (i.e., \$113,333.33 if the Gross Settlement Amount is \$340,000.00) to
23 PAGA Counsel;
- 24 5. Approving the amount of Twenty-Seven Thousand Five Hundred Ninety-Three Dollars
25 and Twenty-Seven Cents (\$27,593.27) to PAGA Counsel for reimbursement of actual
26 litigation expenses payment pursuant to California Labor Code section 2699(k)(1);
- 27 6. Approving the award of Five Thousand Dollars and Zero Cents (\$5,000.00) to Plaintiff as
28 a General Release Fee;

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I. INTRODUCTION**

3 Pursuant to the California Labor Code Private Attorneys General Act, California Labor Code
4 sections 2698, *et seq.* (“PAGA”), Plaintiff Brigitte Harper (“Plaintiff”) seeks approval of the PAGA
5 Settlement Agreement (“Settlement,” “Agreement,” or “Settlement Agreement”) entered into with
6 Defendants Bank of Hope, Hope Bancorp, Inc., and Secure Talent, Inc. dba Eastridge Workforce
7 Solutions (collectively, “Defendants”) (collectively, with Plaintiff, the “Parties”), which is attached as
8 Exhibit 2 to the Declaration of James S. Winn Jr. in Support of Plaintiff’s Motion for Approval of
9 PAGA Settlement, PAGA Counsel Fees Payment, PAGA Counsel Litigation Expenses Payment,
10 General Release Fee, and Administration Expenses Payment (“Winn Decl.”). The Agreement terms
11 are outlined as follows:

- 12 • Gross Settlement Amount: Three Hundred Forty Thousand Dollars and Zero Cents
13 (\$340,000.00).
- 14 • PAGA Counsel Fees Payment: Attorneys’ fees of one-third (1/3) of the Gross Settlement
15 Amount (i.e., \$113,333.33 if the Gross Settlement Amount is \$340,000.00), to be paid
16 from the Gross Settlement Amount.
- 17 • PAGA Counsel Litigation Expenses Payment: Reimbursement of actual litigation costs
18 and expenses in the amount of Twenty-Seven Thousand Five Hundred Ninety-Three
19 Dollars and Twenty-Seven Cents (\$27,593.27), to be paid from the Gross Settlement
20 Amount.
- 21 • General Release Fee: Five Thousand Dollars and Zero Cents (\$5,000.00) to Plaintiff, to
22 be paid from the Gross Settlement Amount.
- 23 • Administration Expenses Payment: Up to Four Thousand Five Hundred Dollars and Zero
24 Cents (\$4,500.00), to be paid from the Gross Settlement Amount.
- 25 • Estimated Net Settlement Amount: One Hundred Eighty-Nine Thousand Five Hundred
26 Seventy-Three Dollars and Forty Cents (\$189,573.40), of which sixty-five percent (65%)
27 (i.e., \$123,222.71) will be paid to the California Labor and Workforce Development
28 Agency (“LWDA”) and the remaining thirty-five percent (35%) (i.e., \$66,350.69) will be
paid to the Aggrieved Employees.

1 As set forth herein, the Settlement Agreement is the product of informed discovery, arms-
2 length negotiations, and provides a fair, adequate, and reasonable recovery for the State of California
3 and Aggrieved Employees. Plaintiff therefore respectfully requests that the Court grant approval of
4 the proposed Settlement Agreement, among other requested relief.

5 II. FACTUAL AND PROCEDURAL BACKGROUND

6 Defendant Bank of Hope is an American bank based in Los Angeles that provides
7 comprehensive banking services tailored to multicultural communities. (Winn Decl., ¶ 2). Defendant
8 Hope Bancorp, Inc. is the bank holding company that owns Bank of Hope. (Ibid). Bank of Hope is a
9 wholly owned subsidiary of Hope Bancorp, Inc. (Ibid). Together, Bank of Hope and Hope Bancorp,
10 Inc. are referred to as “Bank of Hope.” Defendant Secure Talent, Inc. dba Eastridge Workforce
11 Solutions (“Secure Talent”) is a staffing company that provides a workforce of temporary employees,
12 including Plaintiff, for Bank of Hope branches. (Ibid). Plaintiff was employed by Secure Talent and
13 worked at Bank of Hope as an hourly-paid, non-exempt Payment Specialist from approximately July
14 2023 to approximately September 2023. (Winn Decl., ¶ 2; Declaration of Brigitte Harper in Support
15 of Plaintiff’s Motion for Approval of PAGA Settlement, PAGA Counsel Fees Payment, PAGA
16 Counsel Litigation Expenses Payment, General Release Fee, and Administration Expenses Payment
17 [“Harper Decl.”], ¶ 2).

18 On July 31, 2024, Plaintiff provided written notice by electronic submission to the LWDA and
19 by U.S. Certified Mail to Defendants, pursuant to California Labor Code § 2699.3, of the specific
20 provisions of the California Labor Code alleged to have been violated by Defendants (“PAGA
21 Notice”). (Winn Decl., ¶ 3 and Exh. 1).

22 On August 1, 2024, Plaintiff filed a Class Action Complaint entitled *Brigitte Harper v. Bank*
23 *of Hope, et al.*, Los Angeles County Superior Court Case No. 24STCV19335 (“Class Action”), thereby
24 commencing a putative class action against Defendants. (*Id.*, ¶ 4).

25 On October 7, 2024, Plaintiff filed a Complaint for Enforcement Action Under the Private
26 Attorneys General Act, Cal. Labor Code §§ 2698 *Et Seq.* (“Operative Complaint”) in the action entitled
27 *Brigitte Harper v. Bank of Hope, et al.*, Los Angeles County Superior Court Case No. 24STCV26027
28 (“PAGA Action”). (*Id.*, ¶ 5). The Operative Complaint asserts a single cause of action for civil

penalties under PAGA against Defendants for violations of multiple provisions of the California Labor Code and the applicable Industrial Welfare Commission Wage Orders. (Ibid).

On December 2, 2024, Bank of Hope filed a Demurrer and a Motion to Compel Arbitration and Stay Representative PAGA Claim and Remainder of Proceedings (“Motion to Compel Arbitration”) in the PAGA Action. (*Id.*, ¶ 6).

On December 12, 2024, the Court related the Class Action and PAGA Action, designated the Class Action as the lead case, reassigned the PAGA Action to the Honorable Elaine Lu, and took all hearings in the PAGA Action off calendar, including the hearings on the Demurrer and Motion to Compel Arbitration. (*Id.*, ¶ 7).

On January 8, 2025, the Court consolidated the Class Action and PAGA Action into a single action (collectively, the “Action”). (*Id.*, ¶ 8).

On March 11, 2025, Plaintiff filed an arbitration action with JAMS to address her individual claims (including her individual PAGA claim). (*Id.*, ¶ 9).

On March 28, 2025, the Parties entered a Joint Stipulation to Arbitrate Individual Claims, Strike/Dismiss Class Allegations, and Stay Representative PAGA Claims due to binding arbitration agreements that Plaintiff entered into with both Defendants. (*Id.*, ¶ 10). On April 3, 2025, the Court granted the Joint Stipulation in which it, *inter alia*, struck/dissmissed Plaintiff’s class allegations from the Class Action. (Ibid).

On November 21, 2025, the Parties participated in a formal mediation conducted by Brandon McKelvey, Esq., a neutral and respected mediator with extensive experience in complex wage and hour matters, which led to the Parties reaching an agreement to resolve the Action in its entirety. (*Id.*, ¶ 11).

On June 22, 2026, the Parties executed the Settlement Agreement. (Winn Decl., ¶ 12 and Exh. 2).

During the course of informal discovery in the Action, PAGA Counsel conducted an intense investigation into the facts of the Action. (*Id.*, ¶ 13). This included obtaining data, information, and documents relating to the underlying alleged violations, including, but not limited to, Plaintiff’s employee file, a sampling of time and pay records belonging to approximately twenty percent (20%)

1 of Aggrieved Employees employed by Bank of Hope, all of the time and pay data for all the Aggrieved
2 Employees employed by Secure Talent, relevant wage and hour policies for both Bank of Hope and
3 Secure Talent, and Aggrieved Employees' data points for both Bank of Hope and Secure Talent so
4 that Plaintiff could assess the number of alleged potential violations and value of the recoverable
5 penalties, as well as evaluate the strengths and weaknesses of her claims. (Ibid).

6 Based on the data, information, and documents received, as well as thorough discussions with
7 Defendants' counsel, PAGA Counsel was able to (i) determine the total number of distinct individuals
8 falling within the definition of "Aggrieved Employees" for the relevant statutory period, (ii) the
9 number of affected pay periods at issue among them, and (iii) construct a damages model that
10 calculated the maximum realistic amount of PAGA penalties that could be levied against Defendants
11 within the relevant statutory period. (*Id.*, ¶ 14). Defendants, however, disputed Plaintiff's claims and
12 disputed both the existence of and extent of liability as alleged by Plaintiff, which allowed PAGA
13 Counsel to further assess the strength of Plaintiff's claims. (Ibid). PAGA Counsel's experience in
14 litigating similar representative wage and hour claims, the degree of investigation that was conducted
15 here, and the amount of data, information, and documents that was obtained, was sufficient to
16 determine the potential value of the PAGA claims, and to evaluate the strength of these claims for
17 purposes of settlement. (Ibid).

18 The Parties believe the Settlement Agreement is fair, reasonable, and adequate. (*Id.*, ¶ 15).
19 The Parties understand, acknowledge, and agree that the Settlement Agreement constitutes a
20 compromise of Plaintiff's PAGA claims, and that it is the desire and intention of the Parties to affect
21 a final and complete resolution of the Action. (Ibid).

22 Defendants deny all of the claims in the Action, and do not waive, but rather expressly reserve,
23 all rights to challenge all such claims and allegations upon all legal, procedural, and factual grounds
24 should the Settlement Agreement not become final. (*Id.*, ¶ 16). The Settlement Agreement reflects a
25 compromise reached to end litigation in the Action. (Ibid). Following significant investigation and
26 extensive settlement negotiations, Plaintiff now seeks approval of the proposed Settlement Agreement,
27 which will resolve all representative claims alleged in the Action. (Ibid).

28 ///

III. THE SETTLEMENT TERMS

A. Definition of the Aggrieved Employees

For purposes of settlement only, the Parties have agreed that the “Aggrieved Employees” shall be defined as “any persons who worked for Bank of Hope - either as an employee of Bank of Hope or as a worker staffed to Bank of Hope by Secure Talent, who was classified as hourly-paid and/or non-exempt in the State of California during any portion of the PAGA Period.” (Winn Decl., ¶ 18; Settlement Agreement, ¶ 1.4). The “PAGA Period” is the period from July 31, 2023 to January 20, 2026. (Winn Decl., ¶ 18; Settlement Agreement, ¶ 1.23). There are approximately 659 Aggrieved Employees (621 Bank of Hope employees and 38 Secure Talent employees) who worked a total of 26,720 pay periods for Bank of Hope – either as a direct employee or by being staffed to Bank of Hope by Secure Talent (or by Secure Talent, LLC) – who were classified as hourly-paid and/or non-exempt in the State of California for any amount of time during the PAGA Period (“Pay Periods”) (25,650 Pay Periods for workers who worked directly for Bank of Hope and 1,070 Pay Periods for workers who worked for Bank of Hope by being staffed by Secure Talent). (Winn Decl., ¶ 18; Settlement Agreement, ¶¶ 1.22 and 4.1).

B. Amount of Settlement

The Parties have agreed to settle the PAGA claims at issue in the Action for a non-reversionary Gross Settlement Amount of Three Hundred Forty Thousand Dollars and Zero Cents (\$340,000.00). (Winn Decl., ¶ 19; Settlement Agreement, ¶ 3.1).

C. Allocation and Funding of the Gross Settlement Amount

The Gross Settlement Amount is a common fund and therefore includes all requested costs, fees, and other allocations. (Winn Decl., ¶ 19). The Gross Settlement Amount includes: (1) attorneys’ fees in the amount of one-third (1/3) of the Gross Settlement Amount (i.e., \$113,333.33 if the Gross Settlement Amount is \$340,000.00); (2) attorneys’ actual litigation costs and expenses in the amount of Twenty-Seven Thousand Five Hundred Ninety-Three Dollars and Twenty-Seven Cents (\$27,593.27); (3) General Release Fee in the amount of Five Thousand Dollars and Zero Cents (\$5,000.00) to Plaintiff; and (4) Administration Expenses Payment, not to exceed Four Thousand Five Hundred Dollars and Zero Cents (\$4,500.00). (Winn Decl., ¶ 19; Settlement Agreement, ¶¶ 3.2.1–

3.2.3).

After the above-estimated amounts are deducted from the Gross Settlement Amount, the Net Settlement Amount will amount to approximately One Hundred Eighty-Nine Thousand Five Hundred Seventy-Three Dollars and Forty Cents (\$189,573.40), of which sixty-five percent (65%), or approximately One Hundred Twenty-Three Thousand Two Hundred Twenty-Two Dollars and Seventy-One Cents (\$123,222.71), will be paid to the LWDA (“LWDA PAGA Payment”) and the remaining thirty-five percent (35%), or approximately Sixty-Six Thousand Three Hundred Fifty Dollars and Sixty-Nine Cents (\$66,350.69), will be distributed and paid to Aggrieved Employees on a *pro rata* basis based on their number of Pay Periods. (Winn Decl., ¶ 20; Settlement Agreement, ¶¶ 1.17 and 3.2.4).

No later than ten (10) calendar days after the Effective Date, Defendants shall provide the Administrator with the Aggrieved Employee Data containing each Aggrieved Employee’s (i) first name; (ii) last name; (iii) last-known mailing address; (iv) Social Security number; and (v) number of relevant Pay Periods in the PAGA Period (collectively, “Aggrieved Employee Data”). (Winn Decl., ¶ 21; Settlement Agreement, ¶¶ 1.5 and 4.2).

No later than ten (10) calendar days after the Effective Date, Defendants will transmit the Gross Settlement Amount to a qualified settlement account established by the Administrator. (Winn Decl., ¶ 22; Settlement Agreement, ¶ 4.3).

No later than fourteen (14) calendar days after Defendants fully fund the Gross Settlement Amount, the Administrator will distribute the Individual PAGA Payments, the LWDA PAGA Payment, the General Release Fee, the Administration Expenses Payment, the PAGA Counsel Fees Payment, and the PAGA Counsel Litigation Expenses Payment. (Winn Decl., ¶ 23; Settlement Agreement, ¶ 4.4).

Each Individual PAGA Payment check will be valid and negotiable for one hundred eighty (180) calendar days from the date of mailing, and thereafter, will be canceled. (Winn Decl., ¶ 24; Settlement Agreement, ¶ 4.4.1). Any funds associated with such canceled checks will be distributed by the Administrator to the California Controller’s Unclaimed Property Fund in the name of the Aggrieved Employee. (Winn Decl., ¶ 24; Settlement Agreement, ¶ 4.4.3).

1 Based on their records, Defendants estimate that there are 659 Aggrieved Employees who
2 worked 26,720 Pay Periods during the PAGA Period, with Bank of Hope employees working 25,650
3 Pay Periods and workers staffed to Bank of Hope by Secure Talent working 1,070 Pay Periods. (Winn
4 Decl., ¶ 25; Settlement Agreement, ¶ 8). If either (or both) the Bank of Hope or Secure Talent number
5 of Pay Periods exceeds the respective number by more than 10% (i.e., exceeds 28,215 or 1,177,
6 respectively), then that Defendant's portion of the Gross Settlement Amount will increase on a pro
7 rata basis by 1% for each 1% that the Pay Periods exceed the 10% threshold (for example, if a
8 Defendant's Pay Periods for the PAGA Period represent an 11% increase in the number of Pay Periods
9 it estimated, then that Defendant's portion of the Gross Settlement Amount will increase by 1%.
10 (Winn Decl., ¶ 25; Settlement Agreement, ¶ 8). For the avoidance of doubt, should a Defendant's Pay
11 Periods increase by more than 10% of its estimates, that Defendant would increase its portion of the
12 Gross Settlement Amount. (Winn Decl., ¶ 25; Settlement Agreement, ¶ 8). If both Defendants' Pay
13 Periods increase by more than 10% of their respective estimates, each Defendant would increase its
14 respective portion of the Gross Settlement Amount based on its individual increase. (Winn Decl., ¶
15 25; Settlement Agreement, ¶ 8).

16 IV. STANDARD FOR APPROVAL OF PAGA SETTLEMENT

17 The settlement of a PAGA claim requires court approval. (California Labor Code ("Lab.
18 Code") ¶ 2699(1)). The Court must be mindful that a "[s]ettlement is a compromise, which balances
19 the possible recovery against the risks inherent in litigating further." (*In re TD Ameritrade Account*
20 *Holder Litig.*, 2011 U.S. Dist. LEXIS 103222 at *24 (N.D. Cal. Sep. 12, 2011)). "[T]he very essence
21 of a settlement is ... 'a yielding of absolutes and an abandoning of highest hopes,'" as "it is the very
22 uncertainty of outcome in litigation and avoidance of wasteful and expensive litigation that induce
23 consensual settlements." (*Officers for Justice v. Civil Service Com.*, 688 F.2d 615, 624-26 (9th Cir.
24 1982)). As such, "the settlement or fairness hearing is not to be turned into a trial or rehearsal for trial
25 on the merits," or "judged against a hypothetical or speculative measure of what might have been
26 achieved." (*Ibid.*).

27 ///

28 ///

1 Although the California Labor Code does not set forth the criteria by which a PAGA settlement
2 is to be evaluated, it is presumed that a reviewing court may take into consideration some of the factors
3 that courts use when reviewing a class action settlement. However, “a PAGA claim asserted on a non-
4 class representative basis ... is not considered a class action but a law enforcement action, and therefore
5 does not have to meet the requirements of [a class action].” (*Casida v. Sears Holdings Corp.*, 2012
6 U.S. Dist. LEXIS 9302 at *8 (E.D. Cal. Jan. 25, 2012)).

7 A court’s review of a PAGA settlement necessarily implicates the following unique features
8 that render the approval process distinct from approval of a class action settlement under Code of Civil
9 Procedure Section 382 and California Rules of Court Rule 3.769. First, in evaluating the adequacy of
10 the settlement amount, the Court’s focus is not concerned with the amount aggrieved employees are
11 to receive, but rather, must focus on the total settlement amount in achieving PAGA’s objective.
12 Indeed, “[t]he remedy sought in a PAGA suit consists of civil penalties, not individual or class
13 damages,” and while a “[PAGA] action is ... designed to protect the public and penalize the employer
14 for past illegal conduct,” a reviewing court must be mindful of the fact that settlement of a PAGA
15 claim involves a compromise. (*Mendez v. Tween Brands, Inc.*, 2010 U.S. Dist. LEXIS 66454 at *11
16 (E.D. Cal. June 30, 2010)). “Unlike class actions, these civil penalties are not meant to compensate
17 unnamed employees because the action is fundamentally a law enforcement action.” (*Ochoa-
18 Hernandez v. Cjaders Foods, Inc.*, 2010 U.S. Dist. LEXIS 32774 at *12 (Apr. 2, 2010)). The Court
19 should not lose sight of the fact that, “[u]nlike a class action seeking damages or injunctive relief for
20 injured employees, the purpose of PAGA is to incentivize private parties to recover civil penalties for
21 the government that otherwise may not have been assessed and collected by overburdened state
22 enforcement agencies.” (*Ibid*).

23 Second, consistent with the fact that unnamed employees have no property interest in a PAGA
24 claim, “[u]nnamed employees need not be given notice of the PAGA claim, nor do they have the
25 ability to opt-out of the representative PAGA claim.” (*Ochoa-Hernandez*, 2010 U.S. Dist. LEXIS
26 32774 at *13). Indeed, “[PAGA] does not create property rights or any other substantive rights” as
27 “[i]t is simply a procedural statute allowing an aggrieved employee to recover civil penalties – for
28 Labor Code violations – that otherwise would be sought by state labor law enforcement agencies.”

1 (*Amalgamated Transit Union, Local 1756, AFL-CIO v. Sup. Ct.*, 46 Cal.4th 993, 1003 (2009)). This
2 renders the approval process of a PAGA settlement distinct from a class action settlement, as there is
3 no need for the Court to employ the “two-step approval process” required under California Rules of
4 Court Rule 3.769.

5 Finally, unlike class action settlements, the scope of release permitted in a PAGA settlement
6 is limited. While “judgment in [a PAGA] action is binding not only on the named employee plaintiff
7 but also on government agencies and any aggrieved employee not a party to the proceeding...the
8 nonparty employees, because they were not given notice of the action or afforded any opportunity to
9 be heard, would not be bound by the judgment as to remedies other than civil penalties.” (*Arias v.*
10 *Sup. Ct.*, 46 Cal.4th 969, 985-87 (2009)). Thus, the scope of a release in a PAGA settlement is
11 confined to the PAGA penalties alleged in the complaint and/or the plaintiff’s notice to the LWDA
12 and to the claims that were, or could have been, alleged pursuant to PAGA based on the factual
13 allegations in the complaint and/or the plaintiff’s notice to the LWDA. In the case at hand, as the only
14 claims at issue are PAGA claims, those will be the only claims extinguished through the Settlement.

15 V. THE AGREEMENT IS FAIR AND SHOULD BE APPROVED

16 A. The Agreement is Presumed to Be Fair

17 California courts recognize that “a presumption of fairness exists where . . . [a] settlement is
18 reached through arm’s-length bargaining.” (*Wershba v. Apple Computer, Inc.*, 91 Cal.App.4th 224,
19 245 (2001); *see also Clark v. Am. Residential Servs. LLC*, 175 Cal. App. 4th 785, 799 (2009)). Here,
20 the Agreement is presumptively fair because: (1) it occurred after counsel for the Parties engaged in
21 significant investigation to evaluate the strength and potential value of the PAGA claims, and the risks
22 of litigating these claims through trial; and (2) it was negotiated at great length and effort by counsel
23 with significant experience in similar complex labor and employment matters. (Winn Decl., ¶ 26).

24 B. The Agreement is Fair, Adequate, and Reasonable in Light of the Parties’ Respective 25 Legal Positions and Recovery Risks

26 In deciding whether to approve a proposed settlement, a trial court has broad powers to
27 determine if it is fair given the circumstances of the case. (*Mallick v. Sup. Ct.*, 89 Cal.App.3d 434,
28 438 (1979)). In exercising these powers, the overriding concern is to ensure that a proposed settlement

1 is “fair, adequate, and reasonable.” (*Dunk*, 48 Cal.App.4th at 1801 (internal quotations omitted)). A
2 settlement is not judged against what might have been recovered had a plaintiff prevailed at trial, nor
3 does the settlement have to obtain one hundred percent of the damages sought to be fair and reasonable.
4 (*Wershba*, 91 Cal.App.4th at 246, 250). In evaluating the reasonableness of a settlement, a trial court
5 must consider “the strength of plaintiffs’ case, the risk, expense, complexity and likely duration of
6 further litigation, the risk of maintaining class action status through trial, the amount offered in
7 settlement, the extent of discovery completed and the stage of the proceedings, the experience and
8 views of counsel, the presence of a governmental participant, and the reaction of the class members to
9 the proposed settlement.”¹ (*Kullar v. Foot Locker Retail, Inc.*, 168 Cal.App.4th 116, 128 (2008)).
10 Even though a *Kullar* analysis is not required for approval of a PAGA settlement, a brief analysis is
11 included to support approval of the Settlement Agreement. (*See Winn Decl.*, ¶¶ 33-46).

12 These factors require balancing and are non-exhaustive. Trial courts should therefore tailor
13 the factors to each case and give due regard to “what is otherwise a private consensual agreement
14 between the parties.” (*Dunk, supra*, 48 Cal. App. 4th at 1801).

15 1. Strength of Plaintiff’s Case

16 In order to prevail at trial, Plaintiff would have to prove violations of the California Labor
17 Code underlying her PAGA claims, demonstrate that Aggrieved Employees suffered, and demonstrate
18 that Defendants’ conduct gives rise to penalties. (*See Elliot v. Spherion Pac. Work, LLC*, 572
19 F.Supp.2d 1169, 1181-82 (C.D. Cal. 2008) [“Plaintiff’s claim under [PAGA] is wholly dependent
20 upon her other claims. Because all of Plaintiff’s other claims fail as a matter of law, so does her PAGA
21 claim.”]).

22 Here, Plaintiff’s core allegations are that Defendants systematically violated the California
23 Labor Code by, *inter alia*, failing to properly pay wages for all hours worked, failing to pay overtime
24 at the correct rate, failing to provide compliant meal and rest periods, failing to pay premiums at the
25 correct rate of pay, failing to timely pay wages during employment and upon termination, failing to
26 maintain accurate payroll records, failing to provide accurate wage statements, and failing to reimburse

27
28 ¹ As this is not a class action, the *Kullar* factors pertaining to the risk of maintaining class action status through trial and
the reaction of class members to the proposed settlement are inapplicable.

1 necessary business expenses. (Winn Decl., ¶ 27).

2 Defendants maintained several defenses to Plaintiff's theories of liability, which, if
3 successfully argued and proven, had the potential to eliminate or substantially reduce recovery. (*Id.*,
4 ¶ 28). Throughout this litigation, Defendants maintained, and continue to maintain, that they had, and
5 continue to have, compliant employment policies and practices throughout the time period at issue.
6 (*Ibid*). Defendants deny that they ever violated any provision of the California Labor Code, including,
7 but not limited to, those sections for which Plaintiff seeks penalties under PAGA. (*Ibid*). Defendants
8 further denied, and continue to deny, that the lawsuit is appropriate for representative treatment for
9 any purpose other than settlement. (*Ibid*). Defendants also challenged Plaintiff's standing as an
10 aggrieved employee and denied that any of Defendants' other employees whom Plaintiff seeks to
11 represent are aggrieved. (*Ibid*). Defendants contended that, like Plaintiff, all other aggrieved
12 employees were subject to valid and enforceable arbitration agreements, such that each would be
13 required to arbitrate his/her own individual claims. (*Ibid*).

14 Defendants also argued that an important feature of PAGA is that the Court retains discretion
15 to lower the penalties if, based on the facts and circumstances of the particular case, to do otherwise
16 would result in an award that is "unjust, arbitrary and oppressive, or confiscatory." (*Ibid*; Lab. Code
17 ¶ 2699(e)(2); *see also Thurman v. Bayshore Transit Management, Inc.*, 203 Cal.App.4th 1112, 1136
18 (2012) [holding that a court may reduce the amount of PAGA penalties awarded to an employee based
19 upon discretionary factors]).

20 Even if violations of the California Labor Code occurred, which Defendants deny, Defendants
21 contended that said violations would only be considered "initial violations" and thus heightened
22 "subsequent violation" penalties were not warranted. (Winn Decl., ¶ 29; Lab. Code § 2699(f)(2); *see*
23 *also Amaral v. Cintas Corp. No. 2*, 163 Cal.App.4th 1157, 1208-09 (2008) ["Until the employer has
24 been notified that it is violating a Labor Code provision [...], the employer cannot be presumed to be
25 aware that its continuing underpayment of employees is a 'violation' subject to penalties."]).

26 Defendants also contended that, with respect to PAGA claims, the Court was unlikely to assess
27 cumulative penalties for the maximum number of possible, separate California Labor Code violations,
28 because it would be unjust, arbitrary, oppressive, and/or confiscatory, especially where certain conduct

1 may be regulated by multiple provisions of the California Labor Code that are interrelated and work
2 in tandem. (Winn Decl., ¶ 30). While the PAGA does provide the potential for a heightened penalty
3 for subsequent violations, courts are often hesitant to award a heightened penalty where there is no
4 prior citation by the Labor Commissioner, as is the case here. (*See Bernstein v. Virgin Am., Inc.*, 3
5 F.4th 1127, 1144 (9th Cir. 2021) (holding no heightened penalty where Defendants was not notified
6 by Labor Commissioner or any court that it was subject to the California Labor Code)). The Ninth
7 Circuit’s holding in *Bernstein* also supports that a settlement valuation that does not “stack” penalties.
8 (Ibid).

9 While Plaintiff maintains that her PAGA claims have merit, in reaching the Agreement,
10 Plaintiff and her counsel took into account the strengths and weaknesses of each side’s position, and
11 the uncertainty of how the case might have concluded as litigation continued, at trial, and/or upon
12 appeal. (Winn Decl., ¶ 31). Based on these disputes, which had the potential to completely eliminate
13 Plaintiff’s recovery, the range of realistic “litigation outcomes” on Plaintiff’s PAGA claims was
14 defined by a “maximum possible liability” amount of approximately \$2,641,600 and a “risk-adjusted
15 realistic value” amount of approximately \$422,656. (*Id.*, ¶ 46). In light thereof, the Gross Settlement
16 Amount of \$340,000 is a significant settlement. (Ibid).

17 2. Risk, Expense, Complexity, and Likely Duration of Further Litigation

18 Approval of the Settlement Agreement is also appropriate in light of the risk, expense, and
19 complexity of further litigation. As discussed above, the Settlement Agreement takes into
20 consideration the specific factual and legal hurdles faced by Plaintiff in establishing Defendants’
21 penalty-liability in this case, which may have resulted in a net-zero recovery. Any recovery on these
22 claims is not certain. And even when liability is found, PAGA claims are discretionary, such that the
23 Court could decline to award their full value. Some of Plaintiff’s claims are also derivative, and as
24 such, Plaintiff must prevail on her underlying California Labor Code claims in order to prevail on the
25 derivative claims. These risks – when balanced with the fact that the Agreement achieved a very
26 significant recovery (as demonstrated below), and that further litigation posed a risk of diminishing
27 such recovery by increasing litigation expenses – weigh strongly in favor of settlement approval.

28 ///

1 3. The Benefits Conferred by the Agreement Balance in Favor of Approval

2 The Settlement Agreement provides a *significant* monetary benefit that falls well within the
3 range of an acceptable settlement under the circumstances, which is the standard on which the instant
4 Agreement must be judged. As held by the Ninth Circuit: “The proposed settlement is not to be judged
5 against a hypothetical or speculative measure of what might have been achieved by the negotiators.”
6 (*Officers for Justice v. Civil Serv. Comm’n*, 688 F.2d at 625 (emphasis in original)). As the Second
7 Circuit has pointed out: “The fact that a proposed settlement may only amount to a fraction of the
8 potential recovery does not, in and of itself, mean that the proposed settlement is grossly inadequate
9 and should be disapproved.” (*City of Detroit v. Grinnell Corp.*, 495 F.2d 448, 455 & n.2 (2nd Cir.
10 1974)).

11 The Net Settlement Amount is currently estimated to be One Hundred Eighty-Nine Thousand
12 Five Hundred Seventy-Three Dollars and Forty Cents (\$189,573.40). After all deductions are made
13 from the Gross Settlement Amount for the PAGA Counsel Fees Payment, the PAGA Counsel
14 Litigation Expenses Payment, the General Release Fee, and the Administration Expenses Payment,
15 approximately One Hundred Twenty-Three Thousand Two Hundred Twenty-Two Dollars and
16 Seventy-One Cents (\$123,222.71) (i.e., 65% of the Net Settlement Amount) will go to the LWDA,
17 and approximately Sixty-Six Thousand Three Hundred Fifty Dollars and Sixty-Nine Cents
18 (\$66,350.69) (i.e., 35% of the Net Settlement Amount) will go to the Aggrieved Employees, as
19 required by California Labor Code § 2699, subdivision (m). (Winn Decl., ¶ 47). There is no reason
20 to doubt the fairness of the proposed plan of allocation of the Net Settlement Amount as it fully
21 complies with California Labor Code section 2699(m). (Ibid).

22 The Settlement Agreement is a compromise of highly disputed claims. (*Id.*, ¶ 48). Plaintiff
23 and her counsel recognize the expense and length of continued proceedings necessary to litigate the
24 matter through trial and any possible appeals. (Ibid). Plaintiff and her counsel have also taken into
25 account the uncertainty and risk of the outcome of further litigation, and the difficulties and delays
26 inherent in such litigation. (Ibid). Plaintiff and her counsel are also aware of the burdens of proof
27 necessary to establish liability, both generally and in response to Defendants’ defenses thereto, and
28 the difficulties in establishing Defendants’ liability for, and the right to recover, penalties on behalf of

1 Plaintiff, the State of California, and other Aggrieved Employees. (Ibid). Plaintiff and her counsel
2 have also taken into account Defendants' agreement to enter into a settlement that confers significant
3 relief upon the Aggrieved Employees and the State of California. (Ibid). Considering all of the facts
4 in this case, Plaintiff and her counsel have determined that the Agreement represents a fair, adequate,
5 and reasonable resolution of the PAGA claims, and that it is in the best interests of the Aggrieved
6 Employees and the State of California. (Ibid).

7 The result achieved in this case is well within the range of what is considered an acceptable
8 settlement under the circumstances, especially when compared to the settlement of PAGA claims in
9 other cases. (Winn Decl., ¶ 49). (See, e.g., *DCH Auto Wage and Hour Cases*, Los Angeles Superior
10 Court, Case No. JCCP4833 (May 2017) [approving \$15,000 out of \$4 million toward settlement of
11 PAGA claims]; *Garcia v. Gordon Trucking, Inc.*, 2012 U.S. Dist. LEXIS 160052, at *9 (E.D. Cal.
12 Oct. 29, 2012) [approving \$10,000 out of \$3.7 million toward settlement of PAGA claims]; *Schiller*
13 *v. David's Bridal, Inc.*, 2012 U.S. Dist. LEXIS 80776, at *40 (E.D. Cal. June 11, 2012) [approving
14 \$7,500 out of \$518,245 toward settlement of PAGA claims]; *Chu v. Wells Fargo Investments, LLC*,
15 2011 U.S. Dist. LEXIS 15821, at *4 (N.D. Cal. Feb. 15, 2011) (approving \$10,000 out of \$6.9 million
16 toward settlement of PAGA claims); *Franco v. Ruiz Food Prods.*, 2012 U.S. Dist. LEXIS 169057, at
17 *14 (E.D. Cal. Nov. 27, 2011) [approving \$10,000 out of \$2.5 million toward settlement of PAGA
18 claims]). Here, the value obtained for settlement of the PAGA claims is significant, and it will be
19 distributed in accordance with and in fulfillment of the objectives of the PAGA statute.

20 4. The Scope of the Release is Narrowly Tailored

21 As discussed above, the California Supreme Court has concluded that in the context of PAGA
22 "the nonparty employees, because they were not given notice of the action or afforded any opportunity
23 to be heard, would not be bound by the judgment as to remedies other than civil penalties." (See *Arias*,
24 46 Cal. 4th, at 987). Thus, the scope of the limited release provided in exchange for the foregoing
25 consideration is limited to the civil penalties asserted in the Operative Complaint and the PAGA
26 Notice, under California Labor Code §§ 2698 *et seq.* (See Settlement Agreement, ¶ 5.2).

27 As such, this factor balances strongly in favor of approval of the Agreement.

28 ///

1 5. Experience and Views of Counsel Favor Approval

2 PAGA Counsel is experienced in complex representative and class action wage-and-hour
3 litigation. (Winn Decl., ¶ 55). In the view of PAGA Counsel, the benefits conferred by the Agreement
4 are eminently fair and reasonable, and in the best interests of the State of California and the Aggrieved
5 Employees in light of the risk, delay, and uncertainty of continued litigation and the substantial
6 monetary benefits provided for by the Agreement. (*Id.*, ¶ 50). Furthermore, courts have
7 acknowledged that “the interests of a plaintiff, counsel, and other potentially aggrieved employees are
8 largely aligned. All stand to gain from proving as convincingly as possible as many Labor Code
9 violations as the evidence will sustain[.]” (*Williams v. Superior Court*, 3 Cal. 5th 531, 548-549 (2017)
10 [citing Labor Code § 2699(g)(1)(i)]).

11 6. The Extent of Discovery and Stage of Proceedings Favor Approval

12 In evaluating this element, the Court should be mindful that “‘formal discovery is not a
13 necessary ticket to the bargaining table’” [*Linney v. Cellular Alaska P’ship*, 151 F.3d 1234, 1239], as
14 the relevant consideration is “whether ‘the parties have sufficient information to make an informed
15 decision about settlement.’” (*See Sandoval v. Roadlink United States Pac., Inc.*, 2011 U.S. Dist.
16 LEXIS 130378, at 26 (C.D. Cal. Nov. 9, 2011)).

17 Here, the extent of informal discovery conducted was sufficient to enable PAGA Counsel to
18 evaluate the strength and value of the PAGA claims for purposes of settlement. (Winn Decl., ¶ 51).
19 Prior to mediation, Defendants provided Plaintiff with data, information, and documents relating to
20 the underlying alleged violations, including, but not limited to, Plaintiff’s employee file, a sampling of
21 time and pay records belonging to approximately twenty percent (20%) of Aggrieved Employees
22 employed by Bank of Hope, all of the time and pay data for all the Aggrieved Employees employed
23 by Secure Talent, relevant wage and hour policies for both Bank of Hope and Secure Talent, and
24 Aggrieved Employees’ data points for both Bank of Hope and Secure Talent. (*Id.*, ¶ 13). As discussed
25 above, PAGA Counsel’s investigation was sufficient to, among other things, tabulate with precision
26 the total number of alleged California Labor Code violations at issue during the relevant statutory
27 period and identify the total number of individuals falling within the definition of “Aggrieved
28 Employees” for the relevant statutory period. (*Id.*, ¶ 14).

1 Based on PAGA Counsel’s experience litigating wage and hour claims, such investigation was
2 sufficient to determine the potential value of the PAGA claims, and to evaluate the strength of these
3 claims for purposes of settlement. (Ibid).

4 **VI. THE REQUESTED PAGA COUNSEL FEES PAYMENT IS FAIR AND**
5 **REASONABLE**

6 As the prevailing party in settlement, Plaintiff is entitled to recover attorneys’ fees for bringing
7 her PAGA claims. (Lab. Code § 2699(k) [“Any employee who prevails in any action shall be entitled
8 to an award of reasonable attorney’s fees and costs.”]). PAGA Counsel is also entitled to attorneys’
9 fees pursuant to Code of Civil Procedure section 1021.5(a), as the instant action has resulted in the
10 enforcement of important rights benefitting the public and secures monetary recovery for the State of
11 California as well as the Aggrieved Employees. A fee award is justified where the legal action has
12 produced its benefits by way of a voluntary settlement. (*See, e.g., Maria P. v. Riles*, 43 Cal.3d 1281,
13 1290-91 (1987); *Westside Community for Independent Living, Inc. v. Obledo*, 33 Cal.3d 348, 352-53
14 (1983); *Serrano v. Priest*, 20 Cal.3d 25, 34 (1977) [“when a number of persons are entitled in common
15 to a specific fund, and an action brought by a plaintiff ... for the benefit of all results in the creation or
16 preservation of that fund, such plaintiff ... may be awarded attorney’s fees out of the fund.”]).

17 In *Laffitte v. Robert Half Int’l, Inc.*, 1 Cal.5th 480, 506 (2016), the California Supreme Court
18 confirmed that “[t]he percentage of fund method survives in California class action cases.”
19 Specifically, the *Laffitte* Court held:

20 The recognized advantages of the percentage method-including relative ease of calculation,
21 alignment of incentives between counsel and the class, a better approximation of market
22 conditions in a contingency case, and the encouragement it provides counsel to seek an early
23 settlement and avoid unnecessarily prolonging the litigation-convince us the percentage
24 method is a valuable tool that should not be denied our trial courts.

25 (*Id.*, at 503 [internal citation omitted]).

26 While trial courts have discretion to apply either a lodestar method or percentage-of-the-fund
27 method, the California Supreme Court has taken the position that trial courts also “retain the discretion
28 to forgo a lodestar cross-check and use other means to evaluate the reasonableness of a requested
percentage fee.” (*Laffitte*, 1 Cal.5th at 506; *see also Wershba*, 91 Cal.App. 4th at 253). Courts award
fees to serve as an economic incentive for lawyers to undertake litigation, and thereby enhance and

1 facilitate access to the judicial system for adjudication of meritorious claims, and to deter wrongdoing.
2 This is especially true in situations where multiple similar alleged wrongs would not be economically
3 feasible to pursue individually, such as here. Trial courts have “wide latitude” in assessing the value
4 of attorneys’ fees and their decisions will “not be disturbed on appeal absent a manifest abuse of
5 discretion.” (*Lealao v. Beneficial Cal., Inc.*, 82 Cal.App.4th 19, 41 (2000); *see also Laffitte*, 1 Cal.5th
6 at 506 [holding that “trial court did not abuse its discretion in using [the percentage of fund method],
7 in part to approve the fee request”]). Indeed, it is long settled that the “experienced trial judge is the
8 best judge of the value of professional services rendered in his court.” (*Ketchum v. Moses*, 24 Cal.4th
9 1122, 1132 (2001)).

10 The percentage method is particularly appropriate where a monetary settlement has been
11 recovered and “the benefit [recovered can be] easily quantified.” (*In re Bluetooth Headset Prods.*
12 *Liab. Litig.*, 654 F.3d 935, 942 (9th Cir. 2011)). Where the amount of a settlement is a “certain or
13 easily calculable sum of money,” California courts may calculate attorneys’ fees as a reasonable
14 percentage of the settlement created. (Weil and Brown, California Practice Guide, *Civil Procedure*
15 *Before Trial*, Chapter 14, section 14:145; *Dunk, supra*, 48 Cal. App. 4th at 1808). The percentage fee
16 method is preferred in representative actions because “it better approximates the workings of the
17 marketplace than the lodestar approach.” (*Lealao, supra*, 82 Cal.App.4th at 49). California law
18 provides that the award for attorneys’ fees should be equivalent to fees freely negotiated in the legal
19 marketplace and paid in comparable litigation based on the result achieved and risk incurred. (*See*
20 *Lealao*, 82 Cal.App.4th at 47-50; *Laffitte*, 1 Cal.5th 480 at 503). In cases where the settlement
21 agreement provides that the Defendants will pay the plaintiffs’ attorneys a percentage of the fund
22 created by the settlement, use of that percentage method is appropriate. (*Lealao*, 82 Cal.App.4th at
23 32). Fee awards that are too small will “chill the private enforcement essential to the vindication of
24 many legal rights and obstruct the representative actions that often relieve the courts of the need to
25 separately adjudicate numerous claims.” (*Id.* at 53). Therefore, fees in representative actions should
26 approximate the probable terms of a contingent fee contract negotiated by a sophisticated attorney and
27 client in comparable litigation. (*Id.* at 47). “The ultimate goal ... is the award of a ‘reasonable’ fee to
28 compensate counsel for their efforts, irrespective of the method of calculation.” (*Consumer Privacy*

1 Cases, 175 Cal.App.4th 545, 557-58 (2009) [quoting *Apple Computer, Inc. v. Superior Court*, *supra*,
2 126 Cal.App.4th at 1270]].

3 PAGA Counsel's application for attorneys' fees in light of the facts and circumstances
4 surrounding the case is within the range of reasonableness. Historically, courts have awarded fees as
5 high as fifty percent (50%) of the settlement in complex actions, depending on the circumstances of
6 the case. (*See In re Ampicillin Antitrust Litig.*, 526 F.Supp. 494 (D.D.C. 1981) [awarding attorneys'
7 fees in the amount of 45% of the \$7.3 million total settlement amount]; *Beech Cinema, Inc. v.*
8 *Twentieth-Century Fox Film Corp.* 480 F.Supp. 1195 (S.D.N.Y. 1979) [awarding approximately 53%
9 of the settlement as attorneys' fees]).

10 Here, PAGA Counsel's request of one-third (1/3) of the Gross Settlement Amount (i.e.,
11 \$113,333.33 if the Gross Settlement Amount is \$340,000.00) is fair and reasonable based on the
12 percentage of the recovery method. PAGA Counsel has vigorously litigated this case since it was
13 commenced, with the very real possibility of an unsuccessful outcome and no fee recovery of any
14 kind. (Winn Decl., ¶ 52). Counsel for Plaintiff undertook significant investigation and invested a
15 significant amount of time analyzing relevant documents and data to evaluate the nature and extent of
16 the alleged underlying California Labor Code violations and calculation of the potential monetary
17 recovery as they relate to Plaintiff's PAGA claims under PAGA. (Winn Decl., ¶ 56). PAGA Counsel
18 possesses combined extensive experience litigating wage and hour and other complex litigation
19 matters, and the attorneys who worked on this matter have been approved as class counsel and/or have
20 had their billable rates approved in the settlement of other class and PAGA actions, numerous times.
21 (*Id.*, ¶ 55).

22 In the present case, PAGA Counsel has borne all of the risks and costs of litigation and will
23 not receive any compensation until recovery is obtained. (*Id.*, ¶ 53). PAGA Counsel is experienced
24 in complex wage-and-hour litigation and used that experience to obtain a favorable result for Plaintiff,
25 the Aggrieved Employees, and the State of California. (*Ibid.*). Considering the amount of fees
26 requested, work performed, risks incurred, and experience of PAGA Counsel in handling similar
27 matters, Plaintiff maintains that the requested attorneys' fees of one-third (1/3) of the Gross Settlement
28 Amount (i.e., \$113,333.33 if the Gross Settlement Amount is \$340,000.00) are reasonable and should

1 be awarded. (Ibid).

2 **VII. THE REQUESTED PAGA COUNSEL LITIGATION EXPENSES PAYMENT**
3 **IS FAIR AND REASONABLE**

4 The Agreement provides for reimbursement of PAGA Counsel's actual litigation costs and
5 expenses up to the amount of Thirty-Five Thousand Dollars and Zero Cents (\$35,000.00). (Winn
6 Decl., ¶ 58; Settlement Agreement, ¶ 3.2.1). However, PAGA Counsel only seeks reimbursement of
7 a total of Twenty-Seven Thousand Five Hundred Ninety-Three Dollars and Twenty-Seven Cents
8 (\$27,593.27) in litigation costs and expenses. (Winn Decl., ¶ 58 and Exh. 5). Accordingly, PAGA
9 Counsel respectfully requests that the Court reimburse it for actual litigation costs and expenses in the
10 amount of Twenty-Seven Thousand Five Hundred Ninety-Three Dollars and Twenty-Seven Cents
11 (\$27,593.27).

12 **VIII. THE ADMINISTRATION EXPENSES PAYMENT IS FAIR AND**
13 **REASONABLE**

14 As set forth in the Settlement Agreement, the Parties have agreed to retain, subject to approval
15 by the Court, Phoenix Settlement Administrators to handle the administration of the Agreement.
16 (Winn Decl., ¶ 59; Settlement Agreement, ¶ 7.1). The fees and expenses of the Administrator are
17 estimated not to exceed Four Thousand Five Hundred Dollars and Zero Dollars (\$4,500.00). (Winn
18 Decl., ¶ 59; Settlement Agreement, ¶ 3.2.3). These costs include, but are not limited to, expenses for
19 preparing, printing, and mailing the Cover Letter and Individual PAGA Payment checks to the
20 Aggrieved Employees, re-mailing the returned Individual PAGA Payment checks to any new
21 addresses obtained, and handling inquiries from Aggrieved Employees regarding the Agreement,
22 among other things. (Winn Decl., ¶ 59). Accordingly, the Court should grant approval of the
23 Administration Expenses Payment in the amount of *up to* Four Thousand Five Hundred Dollars and
24 Zero Cents (\$4,500.00) to Phoenix Settlement Administrators, a necessary third-party for handling the
25 settlement process. (Ibid).

26 **IX. THE REQUESTED GENERAL RELEASE FEE IS FAIR AND REASONABLE**

27 The purpose of an enhancement award is to incentivize aggrieved employees to step into the
28 shoes of the state labor agency and undertake the responsibilities associated with initiating a lawsuit

1 and serving in a representative capacity. (*See, e.g., Bell v. Farmers Ins. Exch.*, 115 Cal.App.4th 715,
2 726 (2004) (upholding “service payments” to named plaintiffs for their efforts in bringing the action);
3 *Clark v. Am. Residential Servs., LLC*, 175 Cal.App.4th 785, 804 (2009) (“an incentive award is
4 appropriate ‘if it is necessary to induce an individual to participate in the suit’”). Plaintiff initiated
5 this litigation on behalf of the State of California and her former co-workers who will now be entitled
6 to receive payment from the Agreement. By initiating and pursuing the Action, Plaintiff stepped into
7 the shoes of the State of California and undertook the responsibilities of serving in a representative
8 capacity. (Winn Decl., ¶ 61; *See generally* Harper Decl.). Plaintiff was available whenever PAGA
9 Counsel needed her and actively tried to obtain information that would benefit the prosecution of the
10 Action. (Winn Decl., ¶ 61; Harper Decl., ¶¶ 4-5). The General Release Fee for Plaintiff is eminently
11 reasonable given the time and effort Plaintiff spent on this matter, her services on behalf of the State
12 of California and the Aggrieved Employees, and her broader, general release of claims. (Winn Decl.,
13 ¶ 60).

14 Accordingly, Plaintiff respectfully submits that it is appropriate and just for Plaintiff to receive
15 a General Release Fee in the amount of Five Thousand Dollars and Zero Cents (\$5,000.00) in addition
16 to the Individual PAGA Payment she will receive as an Aggrieved Employee, for her services on
17 behalf of the State of California and the other Aggrieved Employees, as well as for the broader, general
18 release of claims. (*Id.*, ¶ 62).

19 X. CONCLUSION

20 For all of the foregoing reasons, Plaintiff respectfully requests that this Court grant Plaintiff’s
21 Motion for Approval of PAGA Settlement, PAGA Counsel Fees Payment, PAGA Counsel Litigation
22 Expenses Payment, General Release Fee, and Administration Expenses Payment and grant the relief
23 requested herein.

24 Respectfully submitted,

25 Dated: August 12, 2026

BLACKSTONE LAW, APC

26
27 By: James S. Winn Jr.
James S. Winn Jr.
28 Attorneys for Plaintiff Brigitte Harper