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on behalf of himself and others similarly situated

**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF LOS ANGELES**

DAVID S. DIAZ, an individual, on behalf
of himself and others similarly situated,

Plaintiff,

v.

BLAZE PIZZA OPERATIONS, LLC, a
California limited liability company;
BLAZE PIZZA, LLC, a California limited
liability company; and DOES 1 through
50, inclusive,

Defendants.

Case No.: 24STCV11680

CLASS ACTION

Assigned for All Purposes To:
Hon. Timothy Patrick Dillon
Dept.: 15

**DECLARATION OF ENOCH J. KIM IN
SUPPORT OF PLAINTIFF'S MOTION FOR
PRELIMINARY APPROVAL OF CLASS
ACTION AND PAGA SETTLEMENT**

Date: October 15, 2025
Time: 10:00 a.m.
Dept.: 15

Original Complaint Filed: May 8, 2024
First Amended Complaint Filed: January 24, 2025
Trial Date: None Set

1 Rosa v. The Coca Cola Company et al. (Superior Court of California in Napa, 17CV000787),
2 Harvey v. Bed Bath & Beyond of California Limited Liability Company (Superior Court of
3 California in Alameda, RG17885153), Paredes v. Bottling Group LLC et. al. (Superior Court of
4 California in Kern), Ramirez v. Harris Ranch Beef Company (Superior Court of California in
5 Fresno, 16CECG04103), Gates v. Gate Gourmet, Inc. et. al. (Southern District of California, 16-
6 cv-01084-L-AGS), Hargrave v. Antelope Valley Hospital (Superior Court of California in Los
7 Angeles, BC663252), Ramirez v. Milton Roy et. al. (Superior Court of California in Los Angeles,
8 BC 632 276), Hawkins v. AvalonBay Communities (Superior Court of California in Santa Clara,
9 17CV316492), Jones Tharpe et al. v. Sprint Corporation et al. (Superior Court of California in
10 Los Angeles, BC644645), Ortega et al. v. Nestle Waters North America, Inc. et al. (Superior
11 Court of California in Los Angeles, BC623610), Schultz v. Jimbo's Natural Family, Inc.
12 (Superior Court of California in San Diego, 37-2017-00022348-CUE-OE-CTL), Ambrose v.
13 Victor Valley Global et al. (Superior Court of California in San Bernardino, CIVDS1709289),
14 Puerta-Gildardo v. Real Time Staffing Services LLC et. al. (Superior Court of California in Los
15 Angeles, BC565445), Vargo v. Pregis Innovative Packaging LLC (Superior Court of California in
16 Tulare, 270836), Conlin v. Aegis Senior Communities LLC (Northern District of California, 17-
17 cv-05534-LHK), Galarza v. Kloeckner Metals Corporation (Central District of California, 2:17-
18 cv-04910-FMO), Estes v. L3 Technologies, Inc. et al. (Southern District of California, 3:17-cv-
19 02356-H-JMA), Rowser v. Trunk Club, Inc. (Central District of California, 2:17-cv-05064-DSF-
20 RAO), Leach v. The Claremont Colleges, Inc. (Superior Court of California in Los Angeles,
21 BC686451), Vaesau v. PCT Enterprises, Inc. dba Precision Cabinets (Superior Court of
22 California, County of Contra Costa MSC18-02404), Terry v. TF Louderback, Inc. dba Bay Area
23 Beverage Company (Superior Court of the State of California, County of Contra Costa, MSC18-
24 00859), Roach v. Westcare California, Inc. (Superior Court of California in Kern County, BCV-
25 17-102367-SDS), Patton v. Church & Dwight Co., Inc. (Central District of California, EDCV 18-
26 903-MWF (KKx)), Juarez v. ISL Employees, Inc. (Superior Court of California in Madera,
27 MCV074787), Ferraro v. USC Verdugo Hill s Hospital, et al. (Superior Court of California in Los
28 Angeles, 18STCV09463), Ford v. Account Control Technology, Inc., et al. (Superior Court of

1 California in Los Angeles, 19STCV09369), Ornelas v. iStorage, et al. (Superior Court of
2 California in San Francisco, CGC-18-571421), Martinez v. Ronpak, Inc. (Superior Court of
3 California in Riverside, RIC1901307), Barrera et al. v. Exclusive Wireless, Inc. (Superior Court
4 of California in Stanislaus, 9000687), Barajas v. Mancha Development Company LLC (Superior
5 Court of California in Orange County, 30-2018-00974707-CU-OE-CXC), Prado v. IMAX
6 Corporation dba IMAC Worldwide Home (Superior Court of California in San Bernardino,
7 CIVDS1820265), Rodriguez v. ND Industries, Inc. (Superior Court of California in Los Angeles,
8 19STCV38096), Singletary v. Motel 6 Operating LP, et al. (Southern District of California, 3:20-
9 cv-0270-LAB-AHG), Quizon v. CF Watsonville East, LLC, et al. (Superior Court of California in
10 Santa Cruz, 20CV01868), Stephenson v. Bakersfield Dodge, Inc. (Superior Court of California in
11 Kern, BCV-20-102693), Bacerra v. Hugo Boss Retail, Inc. (Superior Court of California in San
12 Diego, 37-2021-00002933-CU-OE-CTL), Walker v. Ariat International, Inc. (Superior Court of
13 California in Alameda, HG19026439), Orr v. Petvet Care Centers (California) Inc. (Superior
14 Court of California in Santa Clara, 19CV354063), Jimenez v. OEC Distribution Services, Inc.
15 (Superior Court of California in San Bernardino, CIVDS1915743), Viridi v. Absolute Security
16 International (Superior Court of California in San Bernardino, CIVDS 1909589), Raj v. First
17 Transit, Inc (Superior Court of California in Sacramento, 34-2021-00295895-CU-OE-GDS),
18 Marchbanks v. Torrid Administration, Inc. (Superior Court of California in San Diego, 37-2020-
19 00008179-CU-OE-NC), among others.

20 6. As Senior Counsel at D.Law, I manage the class action and PAGA settlement
21 department. I was admitted to the California Bar in December 2008. I received my J.D. from
22 Pepperdine University School of Law and B.S. from University of Southern California. Since
23 graduating from law school, I have dedicated virtually my entire career on the practice of
24 employment law. I have practiced employment law from the plaintiff's side, defense side, and
25 from an in-house position. I have significant experience specifically working on wage and hour
26 class actions from the plaintiff's side as well as the defense side, with the majority of my
27 experience coming from the plaintiff's side representing employees and obtaining significant
28 settlements on their behalf.

1 7. Attorney David Yeremian is Managing Attorney at D.Law and counsel of record for
2 Plaintiff. Mr. Yeremian graduated from UC Berkeley in 1997 and received his law degree and
3 MBA from UCLA in 2001. Mr. Yeremian has been honored as a Super Lawyers Rising Star in
4 2009, 2010, 2012-2015 and has been named and honored as a Super Lawyer. Since 2007, Mr.
5 Yeremian has focused exclusively on representing employees, including in wage-and-hour class
6 actions. During his career, Mr. Yeremian has represented employees in hundreds of wage-and-
7 hour class action lawsuits as lead or co-lead counsel (including in the Central, Southern, Eastern,
8 and Northern Districts of California), and has obtained six and seven-figure settlements against a
9 range of defendants, including Fortune 500 companies.

10 8. The collective experience of the D.Law attorneys in litigating employment wage and
11 hour matters has been integral in identifying legal issues, evaluating the strengths and weaknesses
12 of a case, and generally negotiating fair and reasonable class and representative action
13 settlements. Practice in the narrow field of wage and hour litigation requires skill and knowledge
14 concerning the constantly evolving substantive law (state and federal), as well as the procedural
15 law of class and representative action litigation. In this action, the attorneys at D.Law are fully
16 equipped to use the skills and knowledge developed over decades of prosecuting and defending
17 wage and hour class actions to fairly and adequately represent the interests of the class members.
18 Therefore, based on our resources, experience, and knowledge, the attorneys at D.Law are well-
19 qualified to act as class counsel and represent Plaintiff and the putative class members in this
20 action.

21 9. For purposes of this motion, the “Class” or “Class Members” consist of “all non-
22 exempt, hourly-paid individuals employed by Defendants in California during the Class Period,”
23 which is “the period from May 8, 2020, through June 1, 2025.” (Exh. 1 §§ 1.5 and 1.12.) For
24 purposes of this motion, an “Aggrieved Employees” means “all non-exempt, hourly-paid
25 individuals employed by Defendants in the State of California during the PAGA Period,” which is
26 “the period from May 8, 2023, through June 1, 2025.” (Exh. 1 §§ 1.4 and 1.32.)

27 10. I do not believe that I have any conflicts of interest with the Class or with the Class
28 Representative. I am not related to the Class Representatives. I have not previously represented

1 Defendants in any matter. I respectfully submit that I, and D.Law are well suited to act as Class
2 Counsel in this action, and we have and will continue to vigorously represent the interests of the
3 Class Members.

4 **PROCEDURAL HISTORY**

5 11. On May 8, 2024, Plaintiff commenced this Action by filing a Complaint alleging
6 causes of action against Defendant for (1) Failure to Pay Minimum Wages; (2) Failure to Pay
7 Wages and Overtime Under Labor Code § 510; (3) Meal Period Liability Labor Code § 226.7; (4)
8 Rest-Break Liability Labor Code § 226.7; (5) Violation of Labor Code § 226; (6) Violation of
9 Labor Code § 221; (7) Violation of Labor Code § 204; (8) Violation of Labor Code § 203; (9)
10 Failure to Maintain Records Required under Labor Code §§ 1174, 1174.5; (10) Failure to Produce
11 Requested Records, Labor Code §§ 226 And 1198; (11) Failure to Reimburse Necessary Business
12 Expenses Under Labor Code § 2802; and (12) Violation of Business & Professions Code § 17200
13 et seq. On October 23, 2024, Plaintiff gave notice to the LWDA and Defendant that Plaintiff
14 intended to proceed with a representative action under PAGA (LWDA-CM-1057865-24)
15 pursuant to Labor Code § 2699.3, subd.(a). On January 24, 2025, Plaintiff filed the First
16 Amended Complaint, which added claims for penalties under Labor Code § 2698, *et seq.*
17 (“Operative Complaint”). The PAGA Notice submitted on behalf of Plaintiff is attached hereto as
18 **Exhibit 2.**

19 **PLAINTIFF’S CLAIMS AND DEFENDANTS’ DENIALS**

20 12. Plaintiff’s claims are based on Defendants’ alleged failure to pay Class Members all
21 wages due and owing (including off-the-clock work, and overtime), failure to provide meal and
22 rest breaks, failure to furnish accurate wage statements, unlawfully collecting wages from Class
23 Members, failure to timely pay wages including final wages, and failing to reimburse necessary
24 business expenses, and largely derivative claims under the Unfair Competition Law (“UCL”) and
25 PAGA.

26 13. Specifically, Plaintiff alleges that Defendants required Plaintiff and Class Members to
27 perform work off-the-clock, including making Plaintiff and Class Members wait several minutes
28 to clock in and participate in mandatory pre-shift meetings while being instructed not to clock in.

1 14. Plaintiff also alleges that Defendants systematically denied Plaintiff and Class
2 Members the opportunity to take meal periods and rest periods. Furthermore, Defendants failed to
3 compensate Plaintiff and Class Members for each meal period or rest period not provided.

4 15. Plaintiff also alleges that Defendants required Plaintiff and Class Members to maintain
5 their uniforms and protective gear, such as non-slip shoes for work related purposes, yet failed to
6 reimburse for all the necessary business expenses incurred.

7 16. Defendant denies each and all the allegations in the Operative Complaint and PAGA
8 Notice, denies any failure to comply with the laws identified in the Operative Complaint, and
9 denies any and all liability for the causes of action alleged.

10 **INVESTIGATION AND DISCOVERY**

11 17. Before filing the lawsuit, Class Counsel investigated and researched the facts and
12 circumstances underlying the pertinent issues and the law applicable thereto. This required
13 thorough discussions and interviews between Class Counsel and Plaintiff, as well as preliminary
14 research into the various legal issues involved in the case. After conducting their initial
15 investigation, Class Counsel determined that Plaintiff's claims were well-suited for class and
16 representative action adjudication owing to what appeared to be a common course of conduct
17 affecting a similarly situated group of employees.

18 18. After filing the lawsuit, Class Counsel conducted a thorough investigation of the facts
19 and claims giving rise to the action, including: (1) conducting informal discovery and meeting
20 and conferring with defense counsel about the same; (2) hiring an expert to review and analyze a
21 sampling of time and pay records as well as Plaintiff's wage statements; (3) researching the
22 applicable law and potential defenses; (4) constructing damage models based on interpretations of
23 California law; and (5) reviewing information provided by Defendants at mediation.

24 19. Class Counsel's expert analysis was informed by a data sample produced by
25 Defendants that is statistically reliable.

26 20. Defendants, for their part, vigorously contested liability, the amount of claimed
27 damages, and the propriety of class certification. After Class Counsel analyzed the relevant
28 documents and other gathered data, Class Counsel believed that this case was appropriate for

1 resolution via mediation. Given the high level of risk present for both sides, the Parties elected to
2 mediate Plaintiff's claims and explore the possibility of settlement.

3 21. On May 7, 2025, the Parties participated in private mediation before Hon. Carl J. West
4 (Ret.) and were able to reach an agreement on general terms. During mediation, Plaintiff's and
5 Defendants' counsel discussed all aspects of the case, including the risks of litigation and the
6 risks to both parties of proceeding with a motion for class certification as well as the law relating
7 to unpaid wages, meal periods, rest periods, wage statements, and final pay. As a result of the
8 mediation, the Parties agreed to settle the lawsuit according to the terms set forth in the
9 Settlement Agreement.

10 22. From Class Counsel's review of the strengths and weaknesses of the case and the risks
11 and delays posed by further litigation, Class Counsel believes that the Settlement is fair and
12 reasonable. Further, and based on the settlement negotiations, which were extensive and
13 conducted in good faith and at arm's length between attorneys with substantial experience
14 litigating class actions and wage and hour cases, the Settlement Agreement was the product of a
15 non-collusive settlement process in which the Parties were forced to make significant
16 compromises in the interest of reaching a full and complete settlement of the lawsuit.

17 **SUMMARY OF SETTLEMENT**

18 23. Under the terms of the proposed Settlement Agreement, Defendants have agreed to pay
19 \$900,000.00 as the Gross Settlement Amount on a non-reversionary basis. (Exh. 1, §3.1.)
20 Defendants shall fully fund the Gross Settlement Amount, and also fund the amounts necessary to
21 fully pay Defendants' share of payroll taxes. (Exh. 1 § 3.1.)

22 24. The Settlement Agreement defines the "Class" as "all non-exempt, hourly-paid
23 individuals employed by Defendants in California during the Class Period," which is the period
24 from May 8, 2020, through June 1, 2025. (Exh. 1 §§ 1.5 and 1.12.) However, it does not include
25 any person who submits a timely and valid request for exclusion. (Exh. 1, §7.5.4.)

26 25. The "Net Settlement Amount" shall be the Gross Settlement Amount, less the Class
27 Counsel Fees Payment, Class Counsel Litigation Expenses Payment, Class Representative
28 Service Payment, Individual PAGA Payments, the LWDA PAGA Payment, and the

1 Administration Expenses Payment. (Exh. 1, §1.28.) These amounts are detailed as follows:

- 2 • Class Counsel Fees Payment of one-third of the Gross Settlement Amount, which is
3 currently estimated to be \$300,000.00 and Class Counsel Litigation Expenses Payment
4 of actual and reasonable litigation costs and expenses; (Exh. 1, § 3.2.2.)
- 5 • Administration Expenses Payment of not more than \$13,090.00 to Apex Class Action
6 Administration (“Apex”) to serve as the Administrator; (Exh. 1, §3.2.3);
- 7 • Class Representative Service Payment of \$10,000.00 to each Plaintiff; and (Exh. 1, §
8 3.2.1.)
- 9 • PAGA Penalties in the amount of \$50,000.00 for civil penalties under PAGA, with
10 65% (\$32,500.00) paid to the LWDA for the LWDA PAGA Payment and 35%
11 (\$17,500.00) paid to Aggrieved Employees for their Individual PAGA Payments.
12 (Exh. 1, § 3.2.5.)

13 26. The “Individual Class Payment” is each Participating Class Member’s pro rata share of
14 the Net Settlement Amount calculated according to the number of Workweeks worked during the
15 Class Period. (Exh. 1, §1.23.)

16 27. The “Individual PAGA Payment” means the Aggrieved Employee’s pro rata share of
17 35% of the PAGA Penalties calculated according to the number of PAGA Pay Periods worked
18 during the PAGA Period. (Exh. 1, §1.24.)

19 28. Defendants will pay their employer-side payroll tax obligations on the Wage Portion of
20 the Individual Class Payments in addition to the Gross Settlement Amount. (Exh. 1, §3.1.) 15% of
21 each Participating Class Member’s Individual Class Payment will be allocated to settlement of
22 wage claims (the “Wage Portion”), and are subject to tax withholding and will be reported on an
23 IRS W-2 Form. (Exh. 1, § 3.2.4.1.) The remaining 85% will be allocated to settlement of claims
24 for interest and penalties (the “Non-Wage Portion”). (*Id.*)

25 29. The settlement amount was a compromise figure, factoring in the inherent risks related
26 to certification, liability and damages. However, taking into account all of the circumstances of
27 the action and the defenses raised by Defendants against certification, liability and damages,
28 Class Counsel believes that the settlement is fair and reasonable.

1 30. Class Members will receive the Class Notice via first class mail (after the Settlement
2 Administrator conducts a national change of address search). (Exh. 1, §7.4.2.) If the Class Notice
3 is returned as non-deliverable on or before the Response Deadline and a new address is obtained
4 by way of a returned Class Notice, then the Settlement Administrator will promptly forward the
5 original Class Notice to the updated address via first-class regular U.S. mail, indicating on the
6 original packet the date of such re-mailing. (Exh. 1, §7.4.3.)

7 31. Class Members will have an opportunity to dispute the Workweeks and PAGA Pay
8 Period information provided in their Class Notice and produce evidence to support their
9 contentions. (Exh. 1, §7.6.) The Settlement Administrator shall decide the dispute and must
10 encourage the Class Member disputing their credited workweeks to produce supporting
11 documentation in order to resolve the dispute. (Exh. 1, §7.6.)

12 32. Class Members wishing to opt out from the Settlement Agreement must send a signed
13 written request for exclusion to the Settlement Administrator within 45 days from the initial
14 mailing of the Class Notice. (Exh. 1, §7.5.1.) Participating Class Members will also have the
15 opportunity to object to the Settlement Agreement by serving a copy of the objection to the
16 Settlement Administrator within 45 days after the mailing of the Class Notice. (Exh. 1, §7.7.2.)

17 **THE STRENGTH OF PLAINTIFF’S CASE**

18 **IN LIGHT OF THE SETTLEMENT AMOUNT**

19 33. Because the class consists of numerous employees, it was unlikely that the potential
20 monetary claims of individual Class Members would have proved viable without the class-action
21 mechanism. The Settlement Agreement should be approved in light of two California appellate
22 decisions: *Clark v. American Residential Services LLC* (2009) 175 Cal.App.4th 785 and *Kullar v.*
23 *Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116.

24 34. The strength of the plaintiffs’ case in light of the settlement amount is deemed by
25 courts to be the “most important.” *Clark v. American Residential Services, LLC* (2009) 175
26 Cal.App.4th 785, 799 (citing *Kullar*). Here, Plaintiff alleges that Defendants failed to pay
27 minimum wages and overtime, failed to provide proper meal breaks or provide premium pay in
28 lieu thereof, failed to provide proper rest breaks or provide premium pay in lieu thereof, failed to

1 provide accurate wage statements, failed to timely pay all wages upon termination, and failed to
2 reimburse necessary business expenses. Plaintiff seeks related violations of California's Business
3 & Professions Code, and penalties pursuant to Labor Code § 2699 *et seq.* Plaintiff conducted
4 discovery and investigated each of the claims they alleged. Specifically, Defendants produced the
5 following: (a) sample of time and pay records; (b) Plaintiff's paystubs; and (c) information on the
6 class demographics including the total number of Class Members, average hourly rate of pay, and
7 total number of workweeks. The merits of each of these claims will be addressed separately
8 below.

9 35. Based on the data provided, Class Counsel estimated the realistic exposure on the
10 primary claims in this case as follows: unpaid minimum and overtime wage claims at
11 **\$110,806.40**, meal period claim at **\$178,893.60**, rest period claim at **\$487,094.86**, wage statement
12 claim at **\$121,225.00**, waiting time penalties at **\$228,216.90**, and on PAGA at **\$95,590.00**, for a
13 total realistic maximum exposure of **\$1,221,826.76**.

14 36. Class Counsel believes that the settlement is fair and reasonable and serves the best
15 interests of Class Members. Although the recommendations of counsel proposing the class
16 settlement are not conclusive, the Court can properly take the recommendations into account,
17 particularly if counsel has been involved in litigation for some period of time, appear to be
18 competent, and have experience with this type of litigation, and significant discovery has been
19 completed. *See Newberg*, §11.47.

20 **Minimum Wages and Overtime**

21 37. Plaintiff alleged that Defendants failed to pay Plaintiff and Class Members proper
22 minimum wages for all hours worked and proper overtime wages for hours worked in excess of
23 eight hours in a day and 40 hours a week. Specifically, Plaintiff alleges that Plaintiff and Class
24 Members were required to work off-the clock due to the work demands placed upon them by
25 Defendants' management and customers during breaks and beyond their scheduled shifts.
26 Furthermore, Plaintiff alleges that Defendants also failed to include all forms of remuneration in
27 the regular rate of pay used to calculate and pay overtime.

28 38. Defendants contended that they paid for all reported hours worked, that they instructed

1 Class Members to record all hours worked, that any off-the-clock work performed was without
2 Defendants' knowledge and de minimis, and that they paid proper minimum and overtime wages.
3 Defendants argued that given their written policies and practices, any unrecorded hours were
4 likely subject to individualized inquiry and unlikely to be certified. Given the difficulty in
5 certifying this claim, Class Counsel had to apply a significant discount to damages based on this
6 theory.

7 39. Based on the above detailed facts, Class Counsel's expert estimated Defendants'
8 exposure on unpaid wages claims to be approximately \$522,070.00 (based on 10 minutes off the
9 clock work per shift and assuming 14.2% of off the clock hours attributable to overtime), and
10 unpaid overtime claims at regular rate to be approximately \$31,962.00. However, given
11 Defendant's defenses and the potential hurdles facing certification of this claim, Class Counsel
12 applied an 80% discount making for an adjusted exposure of \$110,806.40 for Defendants.

13 **Meal Periods and Rest Breaks**

14 40. Plaintiff alleged that Defendants consistently failed to provide timely and uninterrupted
15 meal breaks to Class Members. *See Brinker Restaurant Corp. v. Super. Ct.* (2012) 53 Cal.4th
16 1004, 1040 ("[T]he wage order's meal period requirement is satisfied if the employee (1) has at
17 least 30 minutes uninterrupted, (2) is free to leave the premises, and (3) is relieved of all duty for
18 the entire period.").

19 41. Plaintiff argued that Defendants had a common practice and policy of denying
20 compliant meal periods, and failed to pay premium wages at applicable regular rates of pay in lieu
21 thereof. Specifically, Plaintiff alleged that Plaintiff and Class Members' meal periods were
22 systematically interrupted by work duties. On the occasions when Defendants did pay any meal
23 period premiums, Plaintiff alleges that the meal period premiums were not paid at the proper
24 regular rate of pay..

25 42. Defendants disagreed with Class Counsel's interpretation of the law and facts.
26 Defendants contended that Class Members were given a reasonable opportunity to take their meal
27 periods, and in fact received their meal periods most of the time. Defendants argued they have a
28 high meal period compliance rate and paid meal period premiums when meal periods were not

1 provided.

2 43. Class Counsel's expert calculated Defendants' maximum total exposure on the meal
3 break claim at approximately \$894,468.00 (accounting for \$189,046.00 meal premiums that were
4 paid). However, Class Counsel believes this estimate is high given Defendants' defenses and
5 potential hurdles facing certification of this claim and thus provided an 80% discount making
6 Defendants' adjusted exposure \$178,893.60.

7 44. The same argument applied to rest breaks. Plaintiff contended that Defendants did not
8 provide Class Members with paid 10-minute rest breaks for every four hours or major fraction
9 thereof. Class Members consistently worked in excess of consecutive four-hour shifts and were
10 entitled to paid rest breaks of not less than ten minutes for each consecutive four-hour shift which
11 they were denied. Specifically, Plaintiff alleged that Class Members were often required to work
12 or to otherwise remain under Defendants' control during rest periods, including by responding to
13 work requirements. Plaintiff alleged that Class Members were also not permitted to leave their
14 work premises during rest breaks.

15 45. Defendants maintained that they did provide the reasonable opportunity for Class
16 Members to take duty-free rest breaks. Defendants also pointed out that, unlike meal periods, rest
17 breaks need not be recorded, so any rest break violations would likely be difficult to prove at trial
18 and difficult to certify. Defendants dispute all allegations that breaks were not received. As these
19 considerations would likely depress the damages *vel non* ultimately awarded, Class Counsel
20 applied significant and appropriate discounts to the rest-break claim.

21 46. Based on Class Counsel's expert's analysis, Class Counsel estimated Defendant's
22 maximum total exposure on the rest break claim at approximately \$2,435,474.28 (124,513 rest
23 break violations x \$19.56 regular rate of pay). However, Class Counsel believes this estimate is
24 high given the difficulty of proving rest break violations at trial and the potential hurdles facing
25 certification of this claim difficult to achieve, and thus applied an 80% discount making
26 Defendant's exposure more likely to be \$487,094.86.

27 **Paystub Violations**

28 47. Plaintiff also contended that Defendants failed to provide Class Members with

1 accurate, itemized wage statements in writing as required by California Labor Code § 226(a)
2 because the wage statements failed to accurately account for unpaid minimum wages and
3 overtime due to underreporting of hours and off the clock work. Moreover, Plaintiff contended
4 the wage statements failed to accurately account for premium pay for deficiently provided meal
5 and rest breaks which Defendants knew or reasonably should have known were owed to Plaintiff
6 and Class Members. As a direct and proximate cause of Defendants' violation of Labor Code §
7 226(a), the Class Members suffered injuries, including among other things confusion over
8 whether they received all wages owed them. Pursuant to Labor Code §§ 226(a) and 226(e),
9 employees are entitled to recover the greater of all actual damages or fifty dollars (\$50) for the
10 initial pay period in which a violation occurs and one hundred dollars (\$100) for each violation in
11 a subsequent pay period, not exceeding an aggregate penalty of four thousand dollars (\$4,000).
12 They are also entitled to an award of costs and reasonable attorneys' fees.

13 48. Defendants contend that Plaintiff's claim for further Labor Code § 226(e) penalties
14 would depend in large part on their underlying meal period and wage claims, and thus be subject
15 to the same defenses. Additionally, Defendants argue this claim has little or no value. *See*
16 *Maldonado v. Epsilon Plastics, Inc.*, 22 Cal. App. 5th 1308, 1336-1337 (2018) (wage statements
17 that accurately report wages paid to employees do not violate Labor Code § 226, even if it is later
18 determined that employer did not properly pay all wages due). Defendants further contend that
19 Labor Code § 226(e) penalties are not automatic. Rather, the employee must show (1) that he or
20 she "suffered injury" from the employer's failure to provide compliant wage statements, *see*
21 *Elliot v. Spherion Pacific Work, LLC* (2008) 572 F.Supp.2d 1169, 1181 (applying California law)
22 (holding employee was not entitled to penalties because no injury was shown), and (2)
23 Defendants' noncompliance was "knowing and intentional." Finally, because the damages for this
24 cause of action are penalties, the statute of limitations only runs from one year prior to the filing
25 of the original complaint. *See* Cal. Civ. Pro. § 340.

26 49. Class Counsel expert estimated Defendants' maximum total exposure on the section
27 226 claim at approximately \$1,212,250.00. Class Counsel believes it may be difficult to prevail
28 on this claim because Class Members would need to show that they suffered an injury and that

Defendants' noncompliance was knowing and intentional (on top of the challenges described above with the underlying wage and break claims) which are both subject to individualized issues making certification of this claim difficult to achieve. Thus, Class Counsel applied a 90% discount making Defendants' adjusted exposure \$121,225.00.

Failure to Pay Final Wages

50. In failing to pay the California Class Members their minimum wages, overtime wages, and premium pay for deficiently provided meal periods and rest breaks, all discussed above, Defendants willfully failed to pay these the California Class Members all wages due and certain at the time of termination or within seventy-two (72) hours of resignation, as required under Labor Code § 203.

51. Labor Code § 203 provides that if an employer willfully fails to pay an employee all wages due at termination or within 72 hours of resignation, then that employee's wages shall continue as a penalty until paid for a period of up to thirty (30) days from the date they were due. Because Class Members stopped working for Defendants but again were not paid their full compensation for the reasons discussed above, Class Members did not receive all wages due upon termination of employment.

52. Defendants argued that, under Labor Code § 203, employers are only obligated to pay waiting-time penalties if they "willfully" fail to pay wages due and owing at the time of termination or resignation. As Title 8, California Code of Regulations, § 13520 states:

A willful failure to pay wages within the meaning of Labor Code Section 203 occurs when an employer intentionally fails to pay wages to an employee when those wages are due. However, a good faith dispute that any wages are due will preclude imposition of waiting time penalties under Section 203.

A "good faith dispute" that any wages are due occurs when an employer presents a defense, based in law or fact, which, if successful, would preclude any recovery on the part of the employee. The fact that a defense is ultimately unsuccessful will not preclude a finding that a good faith dispute did exist. Defenses presented which, under all the circumstances, are unsupported by any evidence, are unreasonable, or are presented in bad faith, will preclude a finding of a "good faith dispute."

Defendants maintained that because they had viable defenses in both law and fact, especially in light of the *Brinker* holding, that waiting-time penalties could not be awarded and, in any case,

1 such penalties were subject to a three-year limitations period under Labor Code § 203(b).

2 53. Class Counsel estimated Defendants' maximum total exposure on the section 203 claim
3 at approximately \$2,282,169.00. Class Counsel believed it would be difficult to recover waiting
4 time penalties given that Plaintiff would need to show willfulness on top of proving the
5 underlying wage and break claims which are also potentially subject to individualized issues
6 making certification of this claim difficult to achieve. Thus, Class Counsel applied a 90%
7 discount making Defendants' adjusted exposure \$228,216.90.

8 **PAGA Penalties**

9 54. The Labor Code Private Attorneys General Act, Labor Code § 2699 et seq., allows
10 Plaintiff to obtain civil penalties on behalf of themselves and other Class Members for
11 Defendant's violation of any provision of the Labor Code enumerated under Labor Code §
12 2699.5. Where civil penalties are provided in the statute, those civil penalties are recoverable;
13 where no civil penalties are recoverable, Labor Code § 2699(f) establishes civil penalties of one
14 hundred dollars (\$100) for each aggrieved employee per pay period for the initial violation and
15 two hundred dollars (\$200) for each aggrieved employee per pay period for each subsequent
16 violation. Pursuant to Labor Code § 2699(i), seventy-five (75) percent of the penalties recovered
17 must be allocated to the Labor and Workforce Development Agency (LWDA), with the
18 remaining twenty-five (25) percent allocated to the affected employees. The limitations period as
19 for all penalties is one year prior to the filing of the complaint.

20 55. The provisions of the Labor Code potentially triggering PAGA penalties in this case
21 include but are not limited to Labor Code §§ 203, 204, 226(a), 226.2, 226.7, 510, and 1194,
22 Defendants asserted that if PAGA penalties were mandatory, an employer's PAGA liability
23 would be huge because of the possibility of collecting multiple civil penalties for a single
24 violation, and thus such penalties would almost always dwarf the damages available under the
25 causes of action proper. However, PAGA penalties are not mandatory but permissive. Labor
26 Code § 2699(e)(2) states that "a court may award a lesser amount than the maximum civil penalty
27 amount specified by this part if, based on the facts and circumstances of the particular case, to do
28 otherwise would result in an award that is unjust, arbitrary and oppressive, or confiscatory." *See,*

1 e.g., *Lopez v. Friant & Assocs., LLC*, 15 Cal. App. 5th 773, 788 (2017), *rev. denied* (2018),
2 (recognizing court’s ability to reduce PAGA penalties). Courts have held that situations similar to
3 the current one (e.g. injuryless violations, technical errors, and substantial compliance) should
4 lead to reductions in penalties. *See Thurman v. Bayshore Transit Management, Inc.*, 203 Cal.
5 App. 4th 1112, 1134-1135 (2012) (holding that reduction was justified when employer
6 “attempted to comply with the law”); *Fleming v. Covdien, Inc.*, No. ED CV10-01487 RGK
7 (OPx), 2011 U.S. Dist. LEXIS 154590 at *8-9 (C.D. Cal. August 12, 2011) (reducing PAGA
8 penalties more than 80% – from \$2.8 million to \$500,000 – when “employees suffered no injury”
9 employers were “not aware” of the violation and “took prompt steps to correct all violations once
10 notified”). Defendants here maintained that it had a strong argument that it would be unjust to
11 award maximum PAGA penalties.

12 56. Furthermore, PAGA penalties are also derivative of each and every other claim, and
13 Defendants therefore argued the PAGA claims would be subject to the same defenses to the
14 underlying claims. Finally, under *Amaral v. Cintas Corp.* (2008) 163 Cal.App.4th 1157, it can be
15 argued that penalties under PAGA could, at best, be awarded only at the rates obtainable for
16 initial violations under the applicable statutes, because Defendant have never been notified of the
17 alleged violations. *Id.* at 1208-1209. Moreover, Section 2699(e)(1) authorizes the Court to
18 exercise the same discretion as the DLSE whether to award civil penalties. *Amaral* 163 Cal. App.
19 4th 1157, 1211-12. Therefore, if Labor Commissioner - on whose behalf Plaintiffs are
20 prosecuting this action for civil penalties - would not impose civil penalties in a case like this, the
21 Court may not award civil penalties. Moreover, in *Thurman v. Bayshore Transit Mgmt., Inc.*
22 (2012) 203 Cal.App.4th 1112, 1136, the Court of Appeal affirmed the trial court’s reduction of
23 PAGA civil penalties by 30 percent, finding that awarding the full penalties would have been
24 “unjust” because “...a court may award a lesser amount than the maximum civil penalty amount
25 specified by this part if, based on the facts and circumstances of the particular case, to do
26 otherwise would result in an award that is unjust, arbitrary and oppressive, or confiscatory.”
27 Additionally, the amended PAGA statute (applicable here) applies mandatory discounts of up to
28 85% when a defendant establishes that it took “all reasonable steps” to comply with Labor Code

obligations. (Labor Code § 2699(g)). Defendants argued that due to their lawful and compliant policies, trainings, and audits, they would be entitled to PAGA's mandatory discounts.

57. Plaintiff estimated Defendants' maximum total exposure on the PAGA claim at approximately \$955,900.00 (\$100 x 9,559 pay periods (no stacking)) However, Class Counsel notes that owing to the discretionary nature of PAGA penalties and the foregoing case law it is unlikely the Court would award the full penalty and that it would be substantially reduced to something more like \$95,950.00 if awarded a violation rate of \$10 per pay period. Class Counsel applied discounts in light of the countervailing arguments with regard to the primary causes of action, and moreover the Court's power to award "a lesser amount than the maximum civil liability." The parties also considered that the purpose of PAGA is to "achieve maximum compliance with state labor laws," *Iskanian v. CLS Transp. Los Angeles, LLC* (2014) 59 Cal. 4th 348, 379. This makes the Settlement's proposed PAGA payment of **\$50,000.00** appropriate.

Unfair Business Practices

58. Plaintiff pleads this cause of action in order to augment his other causes of action and aid in their prosecution. Business & Professions Code § 17208 extends the statute of limitations on Plaintiff's wage claims, which qualify as unfair business practices, to four years rather than the three years provided by statute.

Summary

59. The foregoing discussion has sought to explain how the settlement amount is adequate in light of the merits of Plaintiff's case. It has done this by explaining the legal basis for each of Plaintiff's causes of action, summarizing the evidence that Class Counsel gathered in support of those causes of action, and relating Defendants' legal and factual arguments that worked to derogate from the strength of Plaintiff's case.

60. Class Counsel had to apply appropriate discounts in light of the facts and law as discussed above. Thus, this settlement, like most others, was the product of compromise. The Gross Settlement Amount of \$900,000.00 represents a recovery for the Class of approximately 74% of the total realistic exposure Plaintiff estimated that Defendants could face on the primary claims in this case. Plaintiff and Class Counsel submit that this is an excellent result in the face of

1 uncertainty and the prospects of protracted and contested litigation through class certification,
2 summary judgment, and trial, and particularly in light of all of the factors described above.

3 **THE RISK, EXPENSE, AND LIKELY DURATION OF FURTHER LITIGATION,**

4 **AS WELL AS THE RISK OF MAINTAINING CLASS ACTION**

5 **STATUS THROUGH TRIAL**

6 61. The next *Clark/Kullar* factors recognize that settlements take into consideration the
7 risks and expenses posed by further litigation and, in the class-action context, the risk of non-
8 certification. Consequently, it is proper that trial courts should keep these considerations in mind
9 when judging the adequacy of class action settlements.

10 62. Further litigation of this case posed real risks for a number of reasons. First, there were
11 the risks of unfavorable rulings on the merits of the various indeterminate legal issues outlined in
12 the previous section. In that section, it was observed that almost every cause of action was subject
13 to some unique indeterminacy of its own, and that the derivative causes of action were thus
14 subject to a double indeterminacy, both their own and those of the causes of action on which they
15 were based.

16 63. The parties disputed the effect of these and other issues on class certification and
17 decertification. Class Counsel maintained that there were several legal and factual questions at
18 issue in the case that could best be decided on a class wide basis. Class Counsel contended that
19 the same policies and practices applied to all Class Members and therefore common questions
20 obtained and were likely to predominate over individual inquiries. Defendants disputed these
21 contentions and insisted that the *Brinker* decision called into question the certifiability of cases
22 such as this one. Defendants also argued that its policies were compliant with the law, while
23 Plaintiff disputed that the policies were lawful, and argued the lawfulness of such policies would
24 give rise to common questions amenable to certification. Thus, the parties had set positions on the
25 propriety of certifiability and liability that did not admit of an easy resolution.

26 64. Finally, it is always preferable to reach a resolution of a dispute because, in addition to
27 what has already been said, such resolutions save time and money that would otherwise go to
28 litigation. In light of the risk and expense of the lawsuit proceeding to trial there was a need to

1 resolve this matter soon than later. If this case settled after further litigation, the settlement
2 amount would have taken into account the additional costs incurred, and there might have been
3 less available for Class Members after all was said and done. This is not just an abstract
4 contention. The parties were moving into the phase of this litigation where they would have had
5 to depose a number of people such as managers, supervisors, and employees in order to establish
6 liability for trial. Discovery disputes would have been in the offing. The parties were also aware
7 that a class action trial would be a complicated and expensive proceeding, and then there was the
8 likelihood of a long, drawn-out appeals process. In contrast, the settlement provides real benefits
9 for Class Members in the immediate future. The benefits are not insignificant for anyone,
10 especially given the current economic climate. Consequently, the risk and expense of further
11 litigation outweighed any benefit that might have been gained otherwise.

12 **NOTICE TO AND REACTION OF CLASS MEMBERS**
13 **TO THE PROPOSED SETTLEMENT**

14 65. While premature to address this factor at the preliminary approval stage, some
15 observations are appropriate. First, the notification procedure set out in the Settlement Agreement
16 provides the greatest likelihood that each and every Class Member will receive the Notice of
17 Settlement (“Class Notice”), attached to the Settlement Agreement as **Exhibit A**. Under the
18 Settlement Agreement, the Settlement Administrator will send the Class Notice to each Class
19 Member via First Class U.S. mail. (Exh. 1, §7.4.2). Before mailing, the Settlement Administrator
20 will perform a skip trace search based on the National Change of Address Database for
21 information to update and correct for any known or identifiable address changes. (Exh. 1, §7.4.2)
22 If the Class Notice is returned as non-deliverable on or before the Response Deadline and a new
23 address is obtained by way of a returned Class Notice, then the Settlement Administrator will
24 forward the original Class Notice to the updated address via first-class regular U.S. mail, no later
25 than three (3) business days after the Administrator’s receipt of any Class Notice returned as
26 undelivered. (Exh. 1, §7.4.3) Thus, most, if not all, Class Members will likely receive the Class
27 Notice.

28 66. The Class Notice itself fully apprises Class Members of the nature of the lawsuit, the

1 claims involved, the terms of the settlement, and their options thereunder. They provide for a
2 specific procedure to seek exclusion from the Class, and for lodging objections to the settlement.
3 The efforts taken to ensure that Class Members receive the Class Notice and are well informed
4 about the settlement augur a favorable response rate.

5 67. If a Class Member disputes the number of workweeks stated for that Class Member in
6 the Class Notice, the Class Member must ask the Settlement Administrator to resolve the matter
7 by submitting in writing under penalty of perjury the workweeks that the Class Member claims to
8 have actually worked for Defendants during the Class Period. (Exh. 1, §7.6). After consultation
9 with Class Counsel and Defendants' Counsel, the Class Action Administrator will make a
10 determination of the Class Member's total workweeks during the applicable period. (*Id.*)

11 **CONCLUSION OF THE CLARK/KULLAR ANALYSIS**

12 68. The foregoing discussion establishes the fairness of the class-action settlement in the
13 instant case. It has done this by analyzing the settlement in light of the appellate decisions in
14 *Clark* and *Kullar*. This analysis has concluded that the settlement is fair, reasonable, and
15 adequate. Class Counsel conducted a thorough investigation of the subject wage-and-hour
16 practices and engaged in non-collusive, arm's-length negotiations in order to reach a settlement.
17 Defendants have agreed to pay fair value to settle Plaintiff's claims given the existence of legal
18 uncertainty. From Class Counsel's substantial experience with wage-and-hour class action
19 lawsuits Class Counsel believes that this case could not have settled on better terms under the
20 circumstances. Request therefore is made that the settlement be granted preliminary approval so
21 that those persons whom it was intended to benefit shall be afforded the opportunity to determine
22 its adequacy.

23 **REQUEST FOR ATTORNEYS' FEES**

24 69. Under the Settlement, Class Counsel also requests, without opposition from
25 Defendants, an award of Class Counsel Fees Payment and Costs consisting of: (1) not more than
26 \$300,000.00 in attorneys' fees and (2) reasonable litigation costs and expenses incurred in to
27 prosecute the Action. (Exh. 1, §3.2.2). This request is fair, reasonable, and adequate to
28 compensate Class Counsel for the substantial work they have put into this case and, moreover, the

1 risk they assumed by taking it in the first place. The attorneys' fees award is intended to
2 reimburse Class Counsel for all uncompensated work that they have already done and for all the
3 work they will continue to do in carrying out and overseeing the notification to Class Members,
4 communicating with Class Members regarding their claims, and assisting in the administration of
5 the settlement if it is preliminarily approved. Defendants will not oppose Class Counsel's above
6 fees and cost request.

7 70. D.Law, Inc. took this case on a contingent-fee basis against a business represented by a
8 reputable defense firm. When we take contingent cases, we must pay careful attention to the
9 economics involved or one bad case can destroy years of work. Accordingly, when we take
10 contingency cases, we anticipate that we shall, if successful, receive a fee that exceeds our normal
11 hourly rate; otherwise, the risk is often too great to bear. Even when we work long hours, the
12 number of hours in a day is limited. Because of this, when we take on one particular matter, we
13 are unable to take on other matters. In sum, this case claimed a significant portion of Class
14 Counsel's time and attention throughout its pendency.

15 71. The requested fee is reasonable for the services provided to Class Members and for the
16 benefits they are to receive. Indeed, even with a modest multiplier under the lodestar theory, *see*
17 *Bihun v. AT&T Information System* (1993) 13 Cal.App.4th 976, 997, Class Counsel's fees would
18 still be justified. Here, the lodestar may exceed the requested fees. Class Counsel will more fully
19 brief the Court on its lodestar in the motion for final approval.

20 **ENHANCEMENT TO PLAINTIFF AS THE CLASS REPRESENTATIVES**

21 72. Plaintiff herein is entitled to reasonable service payment for his efforts and initiative in
22 bringing and helping to prosecute this action. Plaintiff spent a considerable amount of time better
23 apprising himself of his rights, deciding whether remedial action should be taken, how it should
24 be taken, searching for attorneys, and finally contacting Class Counsel, who spent many hours
25 with Plaintiff discussing the case and the law. In the end, Plaintiff decided to vindicate not only
26 his own rights but also those of co-workers by filing a class action lawsuit.

27 73. The courage it took to stand up to Defendants by filing and litigating this action in this
28 way should not be underestimated. By suing Defendants, Plaintiff increased the risk of retaliation

1 by prospective employers.

2 74. But Plaintiff did not allow his fear of the potential repercussions of being a class
3 representative deter them from acting for the benefit of Class Members. To the contrary, Plaintiff
4 has been intimately involved in this case since its inception. He has devoted a substantial amount
5 of time to helping Class Counsel effectively develop and prosecute this action at every stage of
6 the litigation. Both before and after the filing of this lawsuit, Plaintiff conferred with Class
7 Counsel on numerous occasions to discuss every aspect of his case. Plaintiff provided Class
8 Counsel with information about Defendants and about the industry generally, reviewed
9 documents, consulted Class Counsel throughout the litigation repeatedly and at length,
10 participated in the mediation process, monitored the progress of the litigation with Class Counsel,
11 and reviewed and signed the Settlement Agreement.

12 75. Plaintiff has spent a significant amount of time with Class Counsel detailing his
13 knowledge of Defendants' practices and helping Class Counsel with the prosecution of this
14 action. He has diligently, adequately, and fairly represented Class Members, and has not placed
15 his interest above any member of the putative class. The payment to Plaintiff is intended to
16 recognize his time and effort on behalf of the Class. The amount requested is fair and appropriate
17 based on his contribution and involvement. For example, Plaintiff instigated the lawsuit by
18 bringing these violations to the attention of Class Counsel. He spent several hours answering
19 questions and providing information to Class Counsel throughout the pendency of this action. He
20 identified witnesses, explained to Class Counsel day to day business practices of Defendants with
21 regard to work schedules and meal breaks, etc. The parties are in agreement that Plaintiff is
22 entitled to an enhancement for his time and efforts in this matter. This sort of payment to a class
23 representative has been a common feature of settlements negotiated by Class Counsel and has
24 been routinely approved by trial courts.

25 76. In light of the foregoing, Class Counsel believes that the Class Representative Service
26 payments in the amount of \$10,000.00 to each Class Representative is fair and reasonable.

27 **CRITERIA SATISFIED FOR CERTIFICATION OF SETTLEMENT CLASS**

28 77. The proposed Class satisfies the criteria for certification of a settlement class under

1 California law, as embodied in California Code of Civil Procedure § 382. Defendants have agreed
2 to the certification of the class for settlement purposes. In general, courts may take a proposed
3 settlement into account in evaluating the propriety of class certification. *See Dunk v. Ford Motor*
4 *Co.* (1996) 48 Cal.App.4th 1794, 1807 fn. 19. Indeed, a “lesser standard” of scrutiny applies in
5 certifying classes for settlement purposes. *Ibid.* Therefore the Court should take into
6 consideration the fact that the parties have settled their claims and stipulated to certification for
7 settlement purposes when assessing typicality and adequacy.

8 a. Numerosity. The putative class is so numerous that joinder of all members is
9 impractical. Class Members are “all non-exempt, hourly-paid individuals employed by
10 Defendants in California during the Class Period,” which is the period from May 8, 2020, through
11 June 1, 2025. There are approximately 1,165 persons answering to this definition. Thus, there are
12 a sufficient number of Class Members to warrant certifying this as a class action for settlement
13 purposes.

14 b. Ascertainability. The putative class is defined in a manner that is precise,
15 objective, and ascertainable. The Class Members have already been identified by reference to
16 Defendants’ payroll and personnel records.

17 c. Typicality. A class representative’s claims are typical when they arise from the
18 same event, practice, or course of conduct that gives rise to the claims of other putative class
19 members, and if their claims rest on the same legal theories. The class representative’s claims
20 must be “typical” but not necessarily identical to the claims of other class members. It is
21 sufficient that the representative is similarly situated so that he or she will have the motive to
22 litigate on behalf of all Class Members. *Classen v. Weller* (1983) 145 Cal.App.3d 27, 47; *B.W.I.*
23 *Custom Kitchens v. Owens-Illinois, Inc.* (1987) 191 Cal.App.3d 1341, 1347 (“[I]t has never been
24 the law in California that the class representative must have identical interests with the class
25 members.”). Thus, it is not necessary that the class representative should have personally incurred
26 all of the damages suffered by each of the other class members. *Wershba v. Apple Computer, Inc.*
27 (2001) 91 Cal.App.4th 224, 228.

28 Plaintiff’s claims are typical of Class Members’ claims because they arose from the same

1 factual basis and are based on the same legal theories. Plaintiff was employed by Defendants
2 during the Class Period and subject to the allegedly unlawful meal and rest break policies and pay
3 practices at issue in this litigation. Accordingly, Plaintiff is a member of the Class. The central
4 issues of this litigation (whether Defendants failed to pay all wages, whether Defendants failed to
5 provide meal and rest periods etc.), which would arise if this were an individual action brought by
6 Plaintiff, apply to the other Class Members as well. Thus, Plaintiff's claims are typical of the
7 claims of the putative class.

8 d. Adequacy. A class representative must possess the same interest and suffer the
9 same injury as the class members. "To assure 'adequate' representation, the class representative's
10 personal claim must not be inconsistent with the claims of other members of the class." *J.P.*
11 *Morgan & Co., Inc. v. Sup.Ct. (Heliotrope General, Inc.)* (2003) 113 Cal.App.4th 195, 212. Thus,
12 the adequacy requirement is satisfied when the class representative's interests are not antagonistic
13 to those of the class. *McGhee v. Crocker-Citizens Nat. Bank* (1976) 60 Cal.App.3d 442, 451.

14 Plaintiff does not have any conflicts with the class and in fact his interests are aligned with
15 those of the class. Because Plaintiff's claims are identical to those that would be asserted by the
16 unnamed Class Members were they before the Court, Plaintiff has every interest in zealously
17 representing the unnamed class members. Plaintiff has not shrunk from this responsibility.
18 Indeed, Plaintiff has devoted a substantial amount of time and energy to litigating this action and
19 effecting a settlement. Furthermore, as detailed above, Class Counsel are experienced in wage-
20 and-hour litigation, especially class actions, and have zealously represented the interests of the
21 class in litigating this case. *See infra* ¶¶3-8. Accordingly, the adequacy requirement is satisfied.

22 e. Commonality. Common questions of law or fact in this case predominate over
23 individual questions. This action involves, *inter alia*, a determination about Defendants' alleged
24 failure to provide meal and rest periods, failure to pay minimum and overtime wages due to
25 allegedly common and unlawful policies, failure to pay final wages when required, failure to
26 provide accurate written wage statements, failure to indemnify for business expenses, and largely
27 derivative claims under the Business & Professions Code and PAGA. Plaintiff contends these
28 practices affected Class Members in the same way. Therefore, the Operative Complaint delineates

1 a common course of conduct applicable to all Class Members. Therefore, based on Class
2 Counsel's investigation, the review of the discovery produced by Defendants, and their
3 discussions with Plaintiff, Plaintiff contends the evidence supports their position that the
4 employment practices and policies of Defendants' business were consistent and uniform.

5 f. Predominance. Individualized issues do not predominate over the issues of law and
6 fact that are common to the class as a whole. The principal issues of controversy are whether
7 Class Members were paid for all hours worked and provided lawful meal breaks. As explained,
8 *supra*, there are common issues of law and fact given that Plaintiff and all Class Members were
9 subjected to the same policies and pay practices during the relevant time period. Plaintiff and
10 Class Members seek meal and rest break premiums, unpaid wages and overtime, and penalties for
11 work performed as non-exempt employees for Defendants. These issues are suitable for common
12 adjudication because all Class Members were subject to the same employment policies and
13 Plaintiff contends the practices applied uniformly to all Class Members.

14 g. Superiority. Class resolution is superior to other available methods for the fair and
15 efficient adjudication of the controversy. There is little interest or incentive for Class Members to
16 individually control the prosecution of separate actions. Although the injury resulting from
17 Defendants' policies and practices are real and significant, the cost of individually litigating each
18 such case against Defendants would easily exceed the value of any relief that could be obtained
19 by any one Class Member individually. If Class Members are forced to litigate their claims
20 individually this would result in about 1,165 individual actions against Defendants. Here, the
21 alternative methods of resolution are individual suits for relatively small amounts. Hence, an
22 individual suit would prove uneconomical for a potential plaintiff because litigation costs would
23 dwarf a potential recovery.

24 h. Except for Plaintiff's case before this Court, Plaintiff is unaware of any other
25 actions by Class Members against Defendants asserting similar claims as here. Furthermore, Los
26 Angeles County is a compelling forum for this action given that Defendants' location is in Los
27 Angeles County and numerous Class Members work in this County.

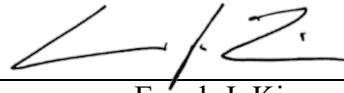
28 i. There are no serious manageability difficulties presented by preliminarily

1 approving the case for settlement purposes. As this case will not go to trial if finally approved, all
2 that would remain is settlement administration and responding to possible objectors.

3 78. On August 13, 2025, Plaintiff submitted the Class Action and PAGA Settlement
4 Agreement and Plaintiff's Motion for Preliminary Approval of Class Action and PAGA
5 Settlement and supporting documents to the LWDA. A true and correct copy of the confirmatory
6 email from the LWDA is attached hereto as **Exhibit 3.**

7 I declare under penalty of perjury under the laws of the State of California that the
8 foregoing is true and correct.

9 Executed this 13th day of August, at Glendale, California.

10 
11 _____

Enoch J. Kim

EXHIBIT 1

CLASS ACTION AND PAGA SETTLEMENT AGREEMENT AND CLASS NOTICE

This Class Action and PAGA Settlement Agreement (“Agreement”) is made by and between Plaintiff David S. Diaz (“Plaintiff”) and Defendants Blaze Pizza Operations, LLC, and Blaze Pizza, LLC (“Defendants”). The Agreement refers to Plaintiff and Defendants collectively as “Parties,” or individually as “Party.”

1. DEFINITIONS.

- 1.1. “Action” means the Plaintiff’s lawsuit alleging wage and hour violations against Defendants captioned *David S. Diaz v. Blaze Pizza Operations, LLC, et al.*, No. 24STCV11680, filed on May 8, 2024, in Superior Court of the State of California, County of Los Angeles.
- 1.2. “Administrator” means Apex Class Action Administration, the neutral entity the Parties have agreed to appoint to administer the Settlement.
- 1.3. “Administration Expenses Payment” means the amount the Administrator will be paid from the Gross Settlement Amount to reimburse its reasonable fees and expenses in accordance with the Administrator’s “not to exceed” bid submitted to the Court in connection with Preliminary Approval of the Settlement.
- 1.4. “Aggrieved Employees” means all non-exempt, hourly-paid individuals employed by Defendants in the State of California during the PAGA Period.
- 1.5. “Class” means all non-exempt, hourly-paid individuals employed by Defendants in California during the Class Period.
- 1.6. “Class Counsel” means D.Law, Inc.
- 1.7. “Class Counsels Fees Payment” and “Class Counsel Litigation Expenses Payment” mean the amounts allocated to Class Counsel for reimbursement of reasonable attorneys’ fees and expenses, respectively, incurred to prosecute the Action.
- 1.8. “Class Data” means Class Member identifying information in Defendants’ possession including the Class Member’s name, last-known mailing address, Social Security number, number of relevant workweeks during the Class Period, number of PAGA Pay Periods, and other information necessary to mail Class Notice.
- 1.9. “Class Member” or “Settlement Class Member” means a member of the Class, as either a Participating Class Member or Non-Participating Class Member (including a Non-Participating Class Member who qualifies as an Aggrieved Employee).
- 1.10. “Class Member Address Search” means the Administrator’s investigation and search for current Class Member mailing addresses using all reasonably available sources,

methods and means including, but not limited to, the National Change of Address database, skip traces, and direct contact by the Administrator with Class Members.

- 1.11. “Class Notice” means the Court Approved Notice of Class Action Settlement and hearing date for Final Court Approval, to be mailed to Class Members in English with a Spanish translation in the form, without material variation, attached as Exhibit A and incorporated by reference into this Agreement.
- 1.12. “Class Period” or “Settlement Class Period” means the period from May 8, 2020, through June 1, 2025.
- 1.13. “Class Representative” means the named Plaintiff in the operative complaint in the Action seeking Court approval to serve as a Class Representative.
- 1.14. “Class Representative Service Payment” means the payment of \$10,000.00 to the Class Representative for initiating the Action and providing services in support of the Action.
- 1.15. “Court” means the Superior Court of California, County of Los Angeles.
- 1.16. “Defendants” means the named Defendants Blaze Pizza Operations, LLC, and Blaze Pizza, LLC.
- 1.17. “Defense Counsel” means Greenberg Traurig, LLP.
- 1.18. “Effective Date” means the date by when both of the following have occurred: (a) the Court enters a Judgment on its Order Granting Final Approval of the Settlement; and (b) the Judgment is final. The Judgment is final as of the latest of the following occurrences: (a) the day after the deadline for filing a notice of appeal from the Judgment (i.e., 61 days after entry of the Judgment); or (b) if a timely appeal from the Judgment is filed, the day after the appellate court affirms the Judgment and issues a remittitur.
- 1.19. “Final Approval” means the Court’s order granting final approval of the Settlement.
- 1.20. “Final Approval Hearing” means the Court’s hearing on the Motion for Final Approval of the Settlement.
- 1.21. “Final Judgment” means the Judgment Entered by the Court upon Granting Final Approval of the Settlement.
- 1.22. “Gross Settlement Amount” or “GSA” means \$900,000.00, which is the total amount Defendants agree to pay under the Settlement except as provided in Paragraph 8 below.
- 1.23. “Individual Class Payment” means the Participating Class Member’s pro rata share of the Net Settlement Amount calculated according to the number of Workweeks worked during the Class Period.

- 1.24. “Individual PAGA Payment” means the Aggrieved Employee’s pro rata share of 35% of the PAGA Penalties calculated according to the number of PAGA Pay Periods worked during the PAGA Period.
- 1.25. “Judgment” means the judgment entered by the Court based upon the Final Approval.
- 1.26. “LWDA” means the California Labor and Workforce Development Agency, the agency entitled, under Labor Code section 2699, subd. (i).
- 1.27. “LWDA PAGA Payment” means 65% of the PAGA Penalties paid to the LWDA under Labor Code section 2699, subd. (i).
- 1.28. “Net Settlement Amount” or “NSA” means Gross Settlement Amount, less the following payments in the amount approved by the Court: Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, Class Representative Service Payment, the Administration Expenses Payment, Individual PAGA Payments, and the LWDA PAGA Payment.
- 1.29. “Non-Participating Class Member” means any Class Member who opts out of the Settlement by sending the Administrator a valid and timely Request for Exclusion.
- 1.30. “Operative Complaint” means the operative First Amended Complaint filed in the Action on January 24, 2025.
- 1.31. “PAGA Pay Period” means any pay period during which an Aggrieved Employee worked for Defendants for at least one day during the PAGA Period. Each Aggrieved Employee will be credited with at least one PAGA Pay Period.
- 1.32. “PAGA Period” means the period from May 8, 2023, through June 1, 2025.
- 1.33. “PAGA” means the Private Attorneys General Act (Labor Code §§ 2698. et seq.)
- 1.34. “PAGA Notice” means Plaintiff’s October 23, 2024, letter to Defendants and the LWDA providing notice pursuant to Labor Code section 2699.3, subd.(a).
- 1.35. “PAGA Penalties” means the total amount of PAGA civil penalties to be paid from the Gross Settlement Amount, allocated 35% to the Aggrieved Employees and 65% to the LWDA in settlement of PAGA Claims.
- 1.36. “Participating Class Member” means a Class Member who does not submit a valid and timely Request for Exclusion from the Settlement.
- 1.37. “Plaintiff” means David S. Diaz, the named plaintiff in the Action.
- 1.38. “Preliminary Approval” means the Court’s Order Granting Preliminary Approval of the Settlement.

- 1.39. "Preliminary Approval Order" means the proposed Order Granting Preliminary Approval and Approval of PAGA Settlement.
- 1.40. "Released Class Claims" means the claims being released as described in Paragraph 5.2 below.
- 1.41. "Released PAGA Claims" means the claims being released as described in Paragraph 5.3 below.
- 1.42. "Released Parties" means Defendants and their present and former parent companies, subsidiaries, divisions, related entities, or affiliated companies, and their officers, directors, employees, agents, attorneys, insurers, re-insurers, successors and assigns, whether in their individual or official capacities.
- 1.43. "Request for Exclusion" means a Class Member's submission of a timely written request to be excluded from the Class Settlement signed by the Class Member pursuant to the terms of this Agreement.
- 1.44. "Response Deadline" means 45 days after the Administrator mails the Class Notice to Class Members and shall be the last date on which Class Members may: (a) fax, email, or mail Requests for Exclusion from the Settlement, or (b) fax, email, or mail his or her Objection to the Settlement. Class Members to whom Notice Packets are resent after having been returned undeliverable to the Administrator shall have an additional 14 calendar days beyond the Response Deadline has expired.
- 1.45. "Settlement" means the disposition of the Action effected by this Agreement and the Judgment.
- 1.46. "Workweek" means any week during which a Class Member has time worked in the week as recorded by Defendants' time and payroll system during the Class Period. All Class Members will be credited with at least one Workweek.

2. RECITALS.

- 2.1. On May 8, 2024, Plaintiff commenced this Action by filing a Complaint alleging causes of action against Defendant for (1) Failure to Pay Minimum Wages; (2) Failure to Pay Wages and Overtime Under Labor Code § 510; (3) Meal Period Liability Labor Code § 226.7; (4) Rest-Break Liability Labor Code § 226.7; (5) Violation of Labor Code § 226; (6) Violation of Labor Code § 221; (7) Violation of Labor Code § 204; (8) Violation of Labor Code § 203; (9) Failure to Maintain Records Required under Labor Code §§ 1174, 1174.5; (10) Failure to Produce Requested Records, Labor Code §§ 226 And 1198; (11) Failure to Reimburse Necessary Business Expenses Under Labor Code § 2802; and (12) Violation of Business & Professions Code § 17200 et seq. On October 23, 2024, Plaintiff gave notice to the LWDA and Defendant that Plaintiff intended to proceed with a representative action under PAGA (LWDA-CM-1057865-24) pursuant to Labor Code §

2699.3, subd.(a). On January 24, 2025, Plaintiff filed First Amended Complaint, which added claims for penalties under Labor Code § 2698, *et seq.* (“Operative Complaint”).

- 2.2. Defendant denies each and all the allegations in the Operative Complaint and PAGA Notice, denies any failure to comply with the laws identified in the Operative Complaint, and denies any and all liability for the causes of action alleged.
- 2.3. On May 7, 2025, the Parties participated in private mediation before Hon. Carl J. West (Ret.) and were able to reach an agreement on general terms. The Parties memorialized their agreement in a Memorandum of Understanding.
- 2.4. In advance of mediation, Class Counsel conducted a thorough investigation into the facts of, and applicable law to, the Action. Prior to mediation, Plaintiff also obtained and analyzed a sampling of time and payroll data for Class Members and the necessary policy documents through informal discovery to properly evaluate the strength and weaknesses of the claim and engage in meaningful settlement discussions. Plaintiff’s investigation was sufficient to satisfy the criteria for court approval set forth in *Dunk v. Ford Motor Co.* (1996) 48 Cal.App.4th 1794 (1996) and *Kullar v. Foot Locker Retail, Inc.*, 168 Cal.App.4th 116 (2008) (“*Dunk/Kullar*”).
- 2.5. The Court has not granted class certification because the Parties engaged in mediation before any class certification.
- 2.6. On December 23, 2024, Defendants filed a Notice of Related Cases in this Action and in *Brown v. Blaze Pizza Operations*, currently pending in the Alameda County Superior Court. On February 21, 2025, the Court issued an Order stating that because the cases were pending in different courts it could not relate the cases.

3. **MONETARY TERMS.**

- 3.1. Gross Settlement Amount. Except as otherwise provided by Paragraph 8 below, Defendants will pay the Gross Settlement Amount of Nine Hundred Thousand Dollars and Zero Cents (\$900,000.00) on a non-reversionary basis. The Gross Settlement Amount does not include employer payroll taxes owed on the wage portions of the Individual Class Payments, which Defendants will pay separately.
- 3.2. Payments from the Gross Settlement Amount. The Administrator will make and deduct the following payments from the Gross Settlement Amount, in the amounts specified by the Court in the Final Approval:
 - 3.2.1 To Plaintiff: Class Representative Service Payment to the Class Representative of not more than \$10,000.00 (in addition to any Individual Class Payment and any Individual PAGA Payment the Class Representative is entitled to receive as a Participating Class Member). Defendants will not oppose Plaintiff’s request for a Class Representative Service Payment that does not exceed this amount. As part of

the motion for Class Counsel Fees Payment and Class Litigation Expenses Payment, Plaintiff will seek Court approval for any Class Representative Service Payments no later than 16 court days prior to the Final Approval Hearing. If the Court approves a Class Representative Service Payment less than the amount requested, the Administrator will retain the remainder in the Net Settlement Amount. The Administrator will pay the Class Representative Service Payment using IRS Form 1099. Plaintiff assumes full responsibility and liability for employee taxes owed on the Class Representative Service Payment.

- 3.2.2 To Class Counsel: A Class Counsel Fees Payment of not more than one-third of the Gross Settlement Amount, which is currently estimated to be \$300,000.00, and a Class Counsel Litigation Expenses Payment of actual and reasonable litigation costs and expenses incurred in to prosecute the Action. Defendants will not oppose requests for these payments. Plaintiff and/or Class Counsel will file a motion for Class Counsel Fees Payment and Class Litigation Expenses Payment no later than 16 court days prior to the Final Approval Hearing. If the Court approves a Class Counsel Fees Payment and/or a Class Counsel Litigation Expenses Payment less than the amounts requested, the Administrator will allocate the remainder to the Net Settlement Amount. Released Parties shall have no liability to Class Counsel or any other Plaintiff's Counsel arising from any claim to any portion any Class Counsel Fee Payment and/or Class Counsel Litigation Expenses Payment. The Administrator will pay the Class Counsel Fees Payment and Class Counsel Expenses Payment using one or more IRS 1099 Forms. Class Counsel assumes full responsibility and liability for taxes owed on the Class Counsel Fees Payment and the Class Counsel Litigation Expenses Payment and holds Defendants harmless, and indemnifies Defendants, from any dispute or controversy regarding any division or sharing of any of these Payments.
- 3.2.3 To the Administrator: An Administrator Expenses Payment not to exceed \$13,090.00 except for a showing of good cause and as approved by the Court. To the extent the Administration Expenses are less or the Court approves payment less than \$13,090.00, the Administrator will retain the remainder in the Net Settlement Amount.
- 3.2.4 To Each Participating Class Member: An Individual Class Payment calculated by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members during the Class Period and (b) multiplying the result by each Participating Class Member's Workweeks.
- 3.2.4.1 Tax Allocation of Individual Class Payments. 15% of each Participating Class Member's Individual Class Payment will be allocated to settlement of wage claims (the "Wage Portion"). The Wage Portions are subject to tax

withholding and will be reported on an IRS W-2 Form. 85% of each Participating Class Member's Individual Class Payment will be allocated to settlement of claims for interest and penalties (the "Non-Wage Portion"). The Non-Wage Portions are not subject to wage withholdings and will be reported on IRS 1099 Forms. Participating Class Members assume full responsibility and liability for any employee taxes owed on their Individual Class Payment.

3.2.4.2 Effect of Non-Participating Class Members on Calculation of Individual Class Payments. Non-Participating Class Members will not receive any Individual Class Payments. The Administrator will retain amounts equal to their Individual Class Payments in the Net Settlement Amount for distribution to Participating Class Members on a pro rata basis.

3.2.5 To the LWDA and Aggrieved Employees: PAGA Penalties in the amount of \$50,000.00 to be paid from the Gross Settlement Amount, with 65% (\$32,500.00) allocated to the LWDA PAGA Payment and 35% (\$17,500.00) allocated to the Individual PAGA Payments.

3.2.5.1 The Administrator will calculate each Individual PAGA Payment by (a) dividing the amount of the Aggrieved Employees' 35% share of PAGA Penalties (\$17,500.00) by the total number of PAGA Pay Periods worked by all Aggrieved Employees during the PAGA Period and (b) multiplying the result by each Aggrieved Employee's PAGA Pay Periods. Aggrieved Employees assume full responsibility and liability for any taxes owed on their Individual PAGA Payment.

3.2.5.2 If the Court approves PAGA Penalties of less than the amount requested, the Administrator will allocate the remainder to the Net Settlement Amount. The Administrator will report the Individual PAGA Payments on IRS 1099 Forms.

4. SETTLEMENT FUNDING AND PAYMENTS.

4.1. Class Workweeks and PAGA Pay Periods. Based on a review of its records to date, Defendants estimate that there are approximately 1,165 Class Members who collectively worked a total of 45,480 Workweeks during the Class Period, and 476 Aggrieved Employees who worked a total of 9,559 PAGA Pay Periods during the PAGA Period.

4.2. Class Data. Not later than 14 days after the Court grants Preliminary Approval of the Settlement, Defendants will simultaneously deliver the Class Data to the Administrator, in the form of a Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must maintain the Class Data in confidence, use the Class Data only for purposes of this Settlement and for no other purpose, and restrict access to the Class Data

to Administrator employees who need access to the Class Data to effect and perform under this Agreement. Defendants have a continuing duty to immediately notify Class Counsel if it discovers that the Class Data omitted class member identifying information and to provide corrected or updated Class Data as soon as reasonably feasible. Without any extension of the deadline by which Defendants must send the Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts, in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class Data.

4.3. Funding of Gross Settlement Amount. Defendants shall fully fund the Gross Settlement Amount and also fund the amounts necessary to fully pay Defendants' share of payroll taxes by transmitting the funds to the Administrator no later than 15 days after the Effective Date, unless that day falls on a holiday or weekend, in which case the deadline to fund the Gross Settlement Amount shall move to the next Court day.

4.4. Payments from the Gross Settlement Amount. Within 14 days after Defendants fund the Gross Settlement Amount, the Administrator will mail checks for all Individual Class Payments, all Individual PAGA Payments, the LWDA PAGA Payment, the Administration Expenses Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment, and the Class Representative Service Payment.

4.4.1 The Administrator will issue checks for the Individual Class Payments and/or Individual PAGA Payments and send them to the Class Members via First Class U.S. Mail, postage prepaid. The face of each check shall prominently state the date (not less than 180 days after the date of mailing) when the check will be voided. The Administrator will cancel all checks not cashed by the void date. The Administrator will send checks for Individual Settlement Payments to all Participating Class Members (including those for whom Class Notice was returned undelivered). The Administrator will send checks for Individual PAGA Payments to all Aggrieved Employees including Non-Participating Class Members who qualify as Aggrieved Employees (including those for whom Class Notice was returned undelivered). The Administrator may send Participating Class Members a single check combining the Individual Class Payment and the Individual PAGA Payment. Before mailing any checks, the Settlement Administrator must update the recipients' mailing addresses using the National Change of Address Database.

4.4.2 The Administrator must conduct a Class Member Address Search for all other Class Members whose checks are returned undelivered without USPS forwarding address. Within 3 days of receiving a returned check the Administrator must re-mail checks to the USPS forwarding address provided or to an address ascertained through the Class Member Address Search. The Administrator need not take further steps to deliver checks to Class Members whose re-mailed checks are returned as

undelivered. The Administrator shall promptly send a replacement check to any Class Member whose original check was lost or misplaced, requested by the Class Member prior to the void date.

4.4.3 For any Class Member whose Individual Class Payment check or Individual PAGA Payment check is uncashed and cancelled after the void date, the Administrator shall transmit the funds represented by such checks to the California Controller's Unclaimed Property Fund in the name of the Class Member thereby leaving no "unpaid residue" subject to the requirements of California Code of Civil Procedure Section 384, subd. (b).

4.4.4 The payment of Individual Class Payments and Individual PAGA Payments shall not obligate Defendants to confer any additional benefits or make any additional payments to Class Members (such as 401(k) contributions or bonuses) beyond those specified in this Agreement.

5. **RELEASES OF CLAIMS.** Effective on the date when Defendants fully fund the entire Gross Settlement Amount and fund all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, Plaintiff and Class Members will release claims against all Released Parties as follows:

5.1. **Plaintiff's Release.** Plaintiff and his respective former and present spouses, representatives, agents, attorneys, heirs, administrators, successors, and assigns generally, releases and discharges Released Parties from any and all claims that occurred during the Class Period, including all claims that were, or reasonably could have been, alleged, based on the facts contained in the Operative Complaint ("Plaintiff's Release"). Plaintiff's Release does not extend to any claims or actions to enforce this Agreement, or to any claims for vested benefits, unemployment benefits, disability benefits, social security benefits, workers' compensation benefits that arose at any time, or based on occurrences outside the Class Period. Plaintiff acknowledges that Plaintiff may discover facts or law different from, or in addition to, the facts or law that Plaintiff now knows or believes to be true but agrees, nonetheless, that Plaintiff's Release shall be and remain effective in all respects, notwithstanding such different or additional facts or Plaintiff's discovery of them.

5.1.1 **Plaintiff's Waiver of Rights Under California Civil Code Section 1542.** For purposes of Plaintiff's Release, Plaintiff expressly waives and relinquishes the provisions, rights, and benefits, if any, of section 1542 of the California Civil Code, which reads:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release, and that if known by him or her would have materially affected his or her settlement with the debtor or Released Party.

5.2 Released Class Claims: All Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, released the Released Parties from all claims that were alleged, or reasonably could have been alleged, based on the Class Period facts stated in the Operative Complaint. Except as set forth in Section 5.3 of this Agreement, Participating Class Members do not release any other claims, including claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing Act, unemployment insurance, disability, social security, workers' compensation, or claims based on facts occurring outside the Class Period.

5.3 Release by Aggrieved Employees: All Aggrieved Employees are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, the Released Parties from any and all claims for civil penalties under PAGA that were alleged or reasonably could have been alleged based on the PAGA Period facts stated in the Operative Complaint or the PAGA Notice ("Released PAGA Claims").

6. **MOTION FOR PRELIMINARY APPROVAL**. The Parties agree to jointly prepare and file a motion for preliminary approval ("Motion for Preliminary Approval") that complies with the Court's current checklist for Preliminary Approvals.

6.1. Defendants' Statement of Non-Opposition in Support of Preliminary Approval. Defendants may file a Statement of non-opposition in support of preliminary approval to be filed with or after the motion for preliminary approval documents.

6.2. Plaintiff's Responsibilities. Plaintiff will prepare and deliver to Defense Counsel all documents necessary for obtaining Preliminary Approval, including: (i) a draft of the notice, and memorandum in support, of the Motion for Preliminary Approval that includes an analysis of the Settlement under Dunk/Kullar and a request for approval of the PAGA Settlement under Labor Code Section 2699, subd. (f)(2)); (ii) a draft proposed Order Granting Preliminary Approval and Approval of PAGA Settlement; (iii) a draft proposed Class Notice; (iv) a signed declaration from the Administrator attaching its "not to exceed" bid for administering the Settlement and attesting to its willingness to serve; competency; operative procedures for protecting the security of Class Data; amounts of insurance coverage for any data breach, defalcation of funds or other misfeasance; all facts relevant to any actual or potential conflicts of interest with Class Members; and the nature and extent of any financial relationship with Plaintiff, Class Counsel or Defense Counsel; (v) a signed declaration from Plaintiff confirming willingness and competency to serve and disclosing all facts relevant to any actual or potential conflicts of interest with Class Members, and/or the Administrator; (vi) a signed declaration from each Class Counsel firm attesting to its competency to represent the Class Members; its timely transmission to the LWDA of all necessary PAGA documents (initial notice of violations (Labor Code section

2699.3, subd. (a)), Operative Complaint (Labor Code section 2699, subd. (l)(1)), this Agreement (Labor Code section 2699, subd. (l)(2)); and (vii) all facts relevant to any actual or potential conflict of interest with Class Members, the Administrator and/or the Cy Pres Recipient. In their Declarations, Plaintiff and Class Counsel shall aver that they are not aware of any other pending matter or action asserting claims that will be extinguished or adversely affected by the Settlement. Plaintiff shall not file the Motion for Preliminary Approval without giving Defendants an opportunity to review and comment on the Motion.

6.3. Responsibilities of Counsel. Class Counsel and Defense Counsel are jointly responsible for expeditiously finalizing the Motion for Preliminary Approval. Class Counsel will obtain a prompt hearing date for the Motion for Preliminary Approval, file the Motion for Preliminary Approval no later than 16 court days before the hearing, unless otherwise ordered by the Court, and deliver the Court's Preliminary Approval Order to the Administrator.

6.4. Duty to Cooperate. If the Parties disagree on any aspect of the proposed Motion for Preliminary Approval and/or the supporting declarations and documents, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to resolve the disagreement. If the Court does not grant Preliminary Approval or conditions Preliminary Approval on any material change to this Agreement, Class Counsel and Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person or by telephone, and in good faith, to modify the Agreement and otherwise satisfy the Court's concerns.

7. SETTLEMENT ADMINISTRATION.

7.1. Selection of Administrator. The Parties have jointly selected Apex Class Action Administration ("Apex") to serve as the Administrator and verified that, as a condition of appointment, Apex agrees to be bound by this Agreement and to perform, as a fiduciary, all duties specified in this Agreement in exchange for payment of Administration Expenses. The Parties and their Counsel represent that they have no interest or relationship, financial or otherwise, with the Administrator other than a professional relationship arising out of prior experiences administering settlements.

7.2. Employer Identification Number. The Administrator shall have and use its own Employer Identification Number for purposes of calculating payroll tax withholdings and providing reports state and federal tax authorities.

7.3. Qualified Settlement Fund. The Administrator shall establish a settlement fund that meets the requirements of a Qualified Settlement Fund ("QSF") under US Treasury Regulation section 468B-1.

7.4. Notice to Class Members.

- 7.4.1 No later than three (3) calendar days after receipt of the Class Data, the Administrator shall notify Class Counsel that the list has been received and state the number of Class Members, Aggrieved Employees, Workweeks, and PAGA Pay Periods in the Class Data.
- 7.4.2 Using best efforts to perform as soon as possible, and in no event later than 7 days after receiving the Class Data, the Administrator will send to all Class Members identified in the Class Data, via first-class United States Postal Service (“USPS”) mail, the Class Notice with Spanish translation, substantially in the form attached to this Agreement as Exhibit A. The first page of the Class Notice shall prominently estimate the dollar amounts of any Individual Class Payment and/or Individual PAGA Payment payable to the Class Member, and the number of Workweeks and PAGA Pay Periods (if applicable) used to calculate these amounts. Before mailing Class Notices, the Administrator shall update Class Member addresses using the National Change of Address database.
- 7.4.3 Not later than 3 business days after the Administrator’s receipt of any Class Notice returned by the USPS as undelivered, the Administrator shall re-mail the Class Notice using any forwarding address provided by the USPS. If the USPS does not provide a forwarding address, the Administrator shall conduct a Class Member Address Search, and re-mail the Class Notice to the most current address obtained. The Administrator has no obligation to make further attempts to locate or send Class Notice to Class Members whose Class Notice is returned by the USPS a second time.
- 7.4.4 The deadlines for Class Members’ written objections, Challenges to Workweeks and/or Pay Periods, and Requests for Exclusion will be extended an additional 14 days beyond the 45 days otherwise provided in the Class Notice for all Class Members whose notice is re-mailed. The Administrator will inform the Class Member of the extended deadline with the re-mailed Class Notice.
- 7.4.5 If the Administrator, Defendants or Class Counsel is contacted by or otherwise discovers any persons who believe they should have been included in the Class Data and should have received Class Notice, the Parties will expeditiously meet and confer in person or by telephone, and in good faith in an effort to agree on whether to include them as Class Members. If the Parties agree, such persons will be Class Members entitled to the same rights as other Class Members, and the Administrator will send, via email or overnight delivery, a Class Notice requiring them to exercise options under this Agreement not later than 14 days after receipt of Class Notice, or the deadline dates in the Class Notice, which ever are later.

7.5 Requests for Exclusion (Opt-Outs).

- 7.5.1 Class Members who wish to exclude themselves from (opt-out of) the Class Settlement must send the Administrator, by fax, email, or mail, a signed written Request for Exclusion not later than 45 days after the Administrator mails the Class Notice (plus an additional 14 days for Class Members whose Class Notice is re-mailed). A Request for Exclusion is a letter from a Class Member or his/her representative that reasonably communicates the Class Member's election to be excluded from the Settlement and includes the Class Member's name, address and email address or telephone number. To be valid, a Request for Exclusion must be timely faxed, emailed, or postmarked by the Response Deadline.
- 7.5.2 The Administrator may not reject a Request for Exclusion as invalid because it fails to contain all the information specified in the Class Notice. The Administrator shall accept any Request for Exclusion as valid if the Administrator can reasonably ascertain the identity of the person as a Class Member and the Class Member's desire to be excluded. If the Administrator has reason to question the authenticity of a Request for Exclusion, the Administrator may demand additional proof of the Class Member's identity. The Administrator's determination of authenticity shall be final and not appealable or otherwise susceptible to challenge.
- 7.5.3 Every Class Member who does not submit a timely and valid Request for Exclusion is deemed to be a Participating Class Member under this Agreement, entitled to all benefits and bound by all terms and conditions of the Settlement, including the Participating Class Members' Releases under Paragraphs 5.2 and 5.3 of this Agreement, regardless whether the Participating Class Member actually receives the Class Notice or objects to the Settlement.
- 7.5.4 Every Class Member who submits a valid and timely Request for Exclusion is a Non-Participating Class Member and shall not receive an Individual Class Payment or have the right to object to the class action components of the Settlement. Because future PAGA claims are subject to claim preclusion upon entry of the Judgment, Non-Participating Class Members who are Aggrieved Employees are deemed to release the Released PAGA Claims identified in Paragraph 5.3 of this Agreement and are eligible for an Individual PAGA Payment.
- 7.6 Challenges to Calculation of Workweeks. Each Class Member shall have 45 days after the Administrator mails the Class Notice (plus an additional 14 days for Class Members whose Class Notice is re-mailed) to challenge the number of Workweeks and PAGA Pay Periods (if any) allocated to the Class Member in the Class Notice. The Class Member may challenge the allocation by communicating with the Administrator via fax, email or mail. The Administrator must encourage the challenging Class Member to submit supporting documentation. In the absence of any contrary documentation, the Administrator is entitled to presume that the Workweeks contained in the Class Notice are correct so long as they

are consistent with the Class Data. The Administrator's determination of each Class Member's allocation of Workweeks and/or Pay Periods shall be final and not appealable or otherwise susceptible to challenge. The Administrator shall promptly provide copies of all challenges to calculation of Workweeks and/or Pay Periods to Defense Counsel and Class Counsel and the Administrator's determination of the challenges.

7.7 Objections to Settlement.

7.7.1 Only Participating Class Members may object to the class action components of the Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Class Representative Service Payment.

7.7.2 Participating Class Members may send written objections to the Administrator, by fax, email, or mail. In the alternative, Participating Class Members may appear in Court (or hire an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A Participating Class Member who elects to send a written objection to the Administrator must do so not later than 45 days after the Administrator's mailing of the Class Notice (plus an additional 14 days for Class Members whose Class Notice was re-mailed).

7.7.3 Non-Participating Class Members have no right to object to any of the class action components of the Settlement.

7.8 Administrator Duties. The Administrator has a duty to perform or observe all tasks to be performed or observed by the Administrator contained in this Agreement or otherwise.

7.8.1 Website, Email Address and Toll-Free Number. The Administrator will establish and maintain and use an internet website to post information of interest to Class Members including the date, time and location for the Final Approval Hearing and copies of the Settlement Agreement, Motion for Preliminary Approval, the Preliminary Approval, the Class Notice, the Motion for Final Approval, the Motion for Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and Class Representative Service Payment, the Final Approval and the Judgment. The Administrator will also maintain and monitor an email address and a toll-free telephone number to receive Class Member calls, faxes and emails.

7.8.2 Requests for Exclusion (Opt-outs) and Exclusion List. The Administrator will promptly review on a rolling basis Requests for Exclusion to ascertain their validity. Not later than five (5) days after the expiration of the deadline for submitting Requests for Exclusion, the Administrator shall email a list to Class Counsel and Defense Counsel containing (a) the names and other identifying information of Class Members who have timely submitted valid Requests for Exclusion

(“Exclusion List”); (b) the names and other identifying information of Class Members who have submitted invalid Requests for Exclusion; (c) copies of all Requests for Exclusion from Settlement submitted (whether valid or invalid).

- 7.8.3 Weekly Reports. The Administrator must, on a weekly basis, provide written reports to Class Counsel and Defense Counsel that, among other things, tally the number of: Class Notices mailed or re-mailed, Class Notices returned undelivered, Requests for Exclusion (whether valid or invalid) received, objections received, challenges to Workweeks and/or Pay Periods received and/or resolved, and checks mailed for Individual Class Payments and Individual PAGA Payments (“Weekly Report”). The Weekly Reports must include the Administrator’s assessment of the validity of Requests for Exclusion and attach copies of all Requests for Exclusion and objections received.
- 7.8.4 Workweek and/or Pay Period Challenges. The Administrator has the authority to address and make final decisions consistent with the terms of this Agreement on all Class Member challenges over the calculation of Workweeks and/or Pay Periods. The Administrator’s decision shall be final and not appealable or otherwise susceptible to challenge.
- 7.8.5 Administrator’s Declaration. Not later than 14 days before the date by which Plaintiff is required to file the Motion for Final Approval of the Settlement, the Administrator will provide to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its due diligence and compliance with all of its obligations under this Agreement, including, but not limited to, its mailing of Class Notice, the Class Notices returned as undelivered, the re-mailing of Class Notices, attempts to locate Class Members, the total number of Requests for Exclusion from Settlement it received (both valid or invalid), the number of written objections and attach the Exclusion List. The Administrator will supplement its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible for filing the Administrator’s declaration(s) in Court.
- 7.8.6 Final Report by Settlement Administrator. Within ten (10) days after the Administrator disburses all funds in the Gross Settlement Amount, the Administrator will provide Class Counsel and Defense Counsel with a final report detailing its disbursements by employee identification number only of all payments made under this Agreement. At least 15 days before any deadline set by the Court, the Administrator will prepare, and submit to Class Counsel and Defense Counsel, a signed declaration suitable for filing in Court attesting to its disbursement of all payments required under this Agreement. Class Counsel is responsible for filing the Administrator's declaration in Court.

- 8. CLASS SIZE ESTIMATES AND ESCALATOR CLAUSE.** Based on its records, Defendants represented at mediation there are approximately 1,165 Class Members who collectively worked a total of 45,480 relevant Workweeks during the Class Period. If the total number of Workweeks is more than 10% higher (i.e., more than 50,028 Workweeks), then the GSA is to be increased proportionally by the Workweeks worked in excess of 50,028 Workweeks multiplied by the per Workweek value. For example, if the total Workweeks for the Class Period represent an 11% increase in the number of Workweeks, then the Gross Settlement Amount will increase by 1%. If this provision is triggered, the Parties agree that the portion of the GSA allocated to attorneys' fees will increase proportionally such that the total amount of attorneys' fees remains one-third of the GSA after the upward adjustment required by this provision is implemented. In the alternative, Defendants may elect to adjust the Class Period and PAGA Period such that the end of the Class Period and PAGA Period results in a Workweek count that does not exceed 50,028.
- 9. DEFENDANTS' RIGHT TO WITHDRAW.** Defendants shall have the right to withdraw from this Settlement or terminate this Settlement if more than 10% of the putative class members, or a number of putative class members whose settlement shares would be worth more than 10% submit timely requests to opt out of the settlement. The Parties agree that, if Defendants rescind, the Settlement shall be void ab initio, have no force or effect whatsoever, and that neither Party will have any further obligation to perform under this Agreement, including, without any limitation, any obligation by Defendants to pay the Gross Settlement Amount, or any amounts that otherwise would have been owed under this Agreement; provided, however, Defendants will remain responsible for paying all Settlement Administration Expenses incurred to that point. Defendants must notify Class Counsel and the Court of its election to withdraw not later than seven (7) days after the Administrator sends the final Exclusion List to Defense Counsel; late elections will have no effect.
- 10. MOTION FOR FINAL APPROVAL.** Not later than 16 court days before the calendared Final Approval Hearing, Plaintiff will file in Court, a motion for final approval of the Settlement that includes a request for approval of the PAGA settlement under Labor Code section 2699, subd. (l), a Proposed Final Approval Order and a proposed Judgment (collectively "Motion for Final Approval").
- 10.1. Response to Objections. Each Party retains the right to respond to any objection raised by a Participating Class Member, including the right to file responsive documents in Court no later than five (5) court days prior to the Final Approval Hearing, or as otherwise ordered or accepted by the Court.
- 10.2. Duty to Cooperate. If the Court does not grant Final Approval or conditions Final Approval on any material change to the Settlement (including, but not limited to, the scope of release to be granted by Class Members), the Parties will expeditiously work together in good faith to address the Court's concerns by revising the Agreement as necessary to obtain

Final Approval. The Court's decision to award less than the amounts requested for the Class Representative Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment and/or Administrator Expenses Payment shall not constitute a material modification to the Agreement within the meaning of this paragraph.

10.3. Continuing Jurisdiction of the Court. The Parties agree that, after entry of Judgment, the Court will retain jurisdiction over the Parties, Action, and the Settlement solely for purposes of (i) enforcing this Agreement and/or Judgment, (ii) addressing settlement administration matters, and (iii) addressing such post-Judgment matters as are permitted by law.

10.4. Waiver of Right to Appeal. Provided the Judgment is consistent with the terms and conditions of this Agreement, specifically including the Class Counsel Fees Payment and Class Counsel Litigation Expenses Payment as set forth in this Settlement, the Parties, their respective counsel, and all Participating Class Members who did not object to the Settlement as provided in this Agreement, waive all rights to appeal from the Judgment, including all rights to post-judgment and appellate proceedings, the right to file motions to vacate judgment, motions for new trial, extraordinary writs, and appeals. The waiver of appeal does not include any waiver of the right to oppose such motions, writs or appeals. If an objector appeals the Judgment, the Parties' obligations to perform under this Agreement will be suspended until such time as the appeal is finally resolved and the Judgment becomes final, except as to matters that do not affect the amount of the Net Settlement Amount.

10.5. Appellate Court Orders to Vacate, Reverse, or Materially Modify Judgment. If the reviewing Court vacates, reverses, or modifies the Judgment in a manner that requires a material modification of this Agreement (including, but not limited to, the scope of release to be granted by Class Members), this Agreement shall be null and void. The Parties shall nevertheless expeditiously work together in good faith to address the appellate court's concerns and to obtain Final Approval and entry of Judgment, sharing, on a 50-50 basis, any additional Administration Expenses reasonably incurred after remittitur. An appellate decision to vacate, reverse, or modify the Court's award of the Class Representative Service Payment or any payments to Class Counsel shall not constitute a material modification of the Judgment within the meaning of this paragraph, as long as the Gross Settlement Amount remains unchanged.

11. AMENDED JUDGMENT. If any amended judgment is required under Code of Civil Procedure section 384, the Parties will work together in good faith to jointly submit and a proposed amended judgment.

12. ADDITIONAL PROVISIONS.

- 12.1. No Admission of Liability, Class Certification or Representative Manageability for Other Purposes. This Agreement represents a compromise and settlement of highly disputed claims. Nothing in this Agreement is intended or should be construed as an admission by Defendants that any of the allegations in the Operative Complaint have merit or that Defendants have any liability for any claims asserted; nor should it be intended or construed as an admission by Plaintiff that Defendants' defenses in the Action have merit. The Parties agree that class certification and representative treatment is for purposes of this Settlement only. If, for any reason the Court does grant Preliminary Approval, Final Approval or enter Judgment, Defendants reserve the right to contest certification of any class for any reasons, and Defendants reserve all available defenses to the claims in the Action, and Plaintiff reserves the right to move for class certification on any grounds available and to contest Defendants' defenses. The Settlement, this Agreement and Parties' willingness to settle the Action will have no bearing on, and will not be admissible in connection with, any litigation (except for proceedings to enforce or effectuate the Settlement and this Agreement).
- 12.2. Confidentiality Prior to Preliminary Approval. Plaintiff, Class Counsel, Defendant and Defense Counsel separately agree that, until the Motion for Preliminary Approval of Settlement is filed, they and each of them will not disclose, disseminate and/or publicize, or cause or permit another person to disclose, disseminate or publicize, any of the terms of the Agreement directly or indirectly, specifically or generally, to any person, corporation, association, government agency, or other entity except: (1) to the Parties' attorneys, accountants, or spouses, all of whom will be instructed to keep this Agreement confidential; (2) counsel in a related matter; (3) to the extent necessary to report income to appropriate taxing authorities; (4) in response to a court order or subpoena; or (5) in response to an inquiry or subpoena issued by a state or federal government agency. Each Party agrees to immediately notify each other Party of any judicial or agency order, inquiry, or subpoena seeking such information. Plaintiff, Class Counsel, Defendant and Defense Counsel separately agree not to, directly or indirectly, initiate any conversation or other communication, before the filing of the Motion for Preliminary Approval, any with third party regarding this Agreement or the matters giving rise to this Agreement except to respond only that "the matter was resolved," or words to that effect. This paragraph does not restrict Class Counsel's communications with Class Members in accordance with Class Counsel's ethical obligations owed to Class Members.
- 12.3. No Solicitation. The Parties separately agree that they and their respective counsel and employees will not solicit any Class Member to opt out of or object to the Settlement, or appeal from the Judgment. Nothing in this paragraph shall be construed to restrict Class Counsel's ability to communicate with Class Members in accordance with Class Counsel's ethical obligations owed to Class Members.

- 12.4. Integrated Agreement. Upon execution by all Parties and their counsel, this Agreement together with its attached exhibits shall constitute the entire agreement between the Parties relating to the Settlement, superseding any and all oral representations, warranties, covenants, or inducements made to or by any Party.
- 12.5. Attorney Authorization. Class Counsel and Defense Counsel separately warrant and represent that they are authorized by Plaintiff and Defendant, respectively, to take all appropriate action required or permitted to be taken by such Parties pursuant to this Agreement to effectuate its terms, and to execute any other documents reasonably required to effectuate the terms of this Agreement including any amendments to this Agreement.
- 12.6. Cooperation. The Parties and their counsel will cooperate with each other and use their best efforts, in good faith, to implement the Settlement by, among other things, modifying the Settlement Agreement, submitting supplemental evidence and supplementing points and authorities as requested by the Court. In the event the Parties are unable to agree upon the form or content of any document necessary to implement the Settlement, or on any modification of the Agreement that may become necessary to implement the Settlement, the Parties will seek the assistance of a mediator and/or the Court for resolution.
- 12.7. No Prior Assignments. The Parties separately represent and warrant that they have not directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or encumber to any person or entity and portion of any liability, claim, demand, action, cause of action, or right released and discharged by the Party in this Settlement.
- 12.8. No Tax Advice. Neither Plaintiff, Class Counsel, Defendant nor Defense Counsel are providing any advice regarding taxes or taxability, nor shall anything in this Settlement be relied upon as such within the meaning of United States Treasury Department Circular 230 (31 CFR Part 10, as amended) or otherwise.
- 12.9. Modification of Agreement. This Agreement, and all parts of it, may be amended, modified, changed, or waived only by an express written instrument signed by all Parties or their representatives, and approved by the Court.
- 12.10. Agreement Binding on Successors. This Agreement will be binding upon, and inure to the benefit of, the successors of each of the Parties.
- 12.11. Applicable Law. All terms and conditions of this Agreement and its exhibits will be governed by and interpreted according to the internal laws of the state of California, without regard to conflict of law principles.
- 12.12. Cooperation in Drafting. The Parties have cooperated in the drafting and preparation of this Agreement. This Agreement will not be construed against any Party on the basis that the Party was the drafter or participated in the drafting.

- 12.13. Confidentiality. To the extent permitted by law, all agreements made, and orders entered during Action and in this Agreement relating to the confidentiality of information shall survive the execution of this Agreement.
- 12.14. Use and Return of Class Data. Information provided to Class Counsel pursuant to Cal. Evid. Code §1152, and all copies and summaries of the Class Data provided to Class Counsel by Defendant in connection with the mediation, other settlement negotiations, or in connection with the Settlement, may be used only with respect to this Settlement, and no other purpose, and may not be used in any way that violates any existing contractual agreement, statute, or rule of court. Not later than 90 days after the date when the Court discharges the Administrator's obligation to provide a Declaration confirming the final pay out of all Settlement funds, Plaintiff shall destroy, all paper and electronic versions of Class Data received from Defendant unless, prior to the Court's discharge of the Administrator's obligation, Defendant makes a written request to Class Counsel for the return, rather than the destructions, of Class Data.
- 12.15. Headings. The descriptive heading of any section or paragraph of this Agreement is inserted for convenience of reference only and does not constitute a part of this Agreement.
- 12.16. Calendar Days. Unless otherwise noted, all reference to "days" in this Agreement shall be to calendar days. In the event any date or deadline set forth in this Agreement falls on a weekend or federal legal holiday, such date or deadline shall be on the first business day thereafter.
- 12.17. Notice. All notices, demands or other communications between the Parties in connection with this Agreement will be in writing and deemed to have been duly given as of the third business day after mailing by United States mail, or the day sent by email or messenger, addressed as follows:

To Plaintiff:

D.Law, Inc.

Emil Davtyan

Emil@d.law

David Yeremian

d.yeremian@d.law

Alvin B. Lindsay

a.lindsay@d.law

Enoch J. Kim

e.kim@d.law

Norayr Zakaryan

n.zakaryan@d.law

450 N. Brand Blvd., Suite 840

Glendale, CA 91203

Telephone: (818) 962-6465

Fax: (818) 962-6469

To Defendants:

Greenberg Traurig, LLP

Ashley Farrell Pickett

Ashley.FarrellPickett@gtlaw.com

Matthew Weber

Matthew.Weber@gtlaw.com

1840 Century Park East; Suite 1900

Los Angeles, CA 90067-2121

Phone: 310-586-7700

Fax: 310-587-7800

12.18. Execution in Counterparts. This Agreement may be executed in one or more counterparts by facsimile, electronically (i.e. DocuSign), or email which for purposes of this Agreement shall be accepted as an original. All executed counterparts and each of them will be deemed to be one and the same instrument if counsel for the Parties will exchange between themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove the existence and contents of this Agreement.

12.19. Stay of Litigation. The Parties agree that upon the execution of this Agreement the litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further agree that upon the signing of this Agreement that pursuant to CCP section 583.330 to extend the date to bring a case to trial under CCP section 583.310 for the entire period of this settlement process.

DATED: 8/12/2025

Signed by:

BY: 8361FC3EFF69486
David S. Diaz

DATED:

BY: AB Guajardo

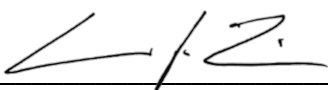
Blaze Pizza Operations, LLC and Blaze Pizza, LLC

Its: President & CEO

Approved as to form:

D.LAW, INC.

DATED: 8/13/25

BY: _____

Emil Davtyan
David Yeremian
Alvin B. Lindsay
Enoch J. Kim
Norayr Zakaryan

Attorneys for Plaintiff David S. Diaz

Greenberg Traurig, LLP

DATED:

BY: _____

Ashley Farrell Pickett
Matthew Weber
Attorneys for Defendants Blaze Pizza Operations,
LLC, and Blaze Pizza, LLC

EXHIBIT A

**COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND
HEARING DATE FOR FINAL COURT APPROVAL**

Superior Court of California, County of Los Angeles
David S. Diaz v. Blaze Pizza Operations, LLC, et al.
Case No.: 24STCV11680

The Superior Court for the State of California authorized this Notice. Read it carefully! It is not junk mail, spam, an advertisement, or solicitation by a lawyer. You are not being sued.

You may be eligible to receive money from an employee class action lawsuit (“Action”) against Blaze Pizza Operations, LLC, and Blaze Pizza, LLC. (“Defendants”) for alleged wage and hour violations. The Action was filed by former Defendants’ employee David S. Diaz (“Plaintiff”) and seeks payment of (1) back wages and other relief for a class of hourly paid, non-exempt employees (“Class Members”) who worked for Defendants in California during the Class Period (May 8, 2020 to June 1, 2025); and (2) penalties under the California Private Attorneys General Act (“PAGA”) for all hourly-paid, non-exempt employees who worked for Defendants in California during the PAGA Period (May 8, 2023 to June 1, 2025) (“Aggrieved Employees”).

The proposed Settlement has two main parts: (1) a Class Settlement requiring Defendants to fund Individual Class Payments, and (2) a PAGA Settlement requiring Defendants to fund Individual PAGA Payments and pay penalties to the California Labor and Workforce Development Agency (“LWDA”).

Based on Defendants’ records, and the Parties’ current assumptions, **your Individual Class Payment is estimated to be \$_____ (less withholding) and your Individual PAGA Payment is estimated to be \$_____**. The actual amount you may receive likely will be different and will depend on a number of factors. (If no amount is stated for your Individual PAGA Payment, then according to Defendants’ records you are not eligible for an Individual PAGA Payment under the Settlement because you did not work for Defendants in California during the PAGA Period.)

The above estimates are based on Defendants’ records showing that **you worked _____ workweeks** during the Class Period and **you worked _____ pay periods** during the PAGA Period. If you believe that you worked more workweeks during either period, you can submit a challenge by the deadline date. See Section 4 of this Notice.

The Court has already preliminarily approved the proposed Settlement and approved this Notice. The Court has not yet decided whether to grant final approval. Your legal rights are affected whether or not you act. Read this Notice carefully. You will be deemed to have carefully read and understood it. At the Final Approval Hearing, the Court will decide whether to finally approve the Settlement and how much of the Settlement will be paid to Plaintiff and Plaintiff’s attorneys (“Class Counsel”). The Court will also decide whether to enter a judgment that requires Defendants to make payments under the Settlement and requires Class Members and Aggrieved Employees to give up their rights to assert certain claims against Defendants.

Defendants will not retaliate against you for any actions you take with respect to the proposed Settlement.

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT

You Do Not Have to Do Anything to Participate in the Settlement	If you do nothing, you will be a Participating Class Member, eligible for an Individual Class Payment and an Individual PAGA Payment (if any). In exchange, you will give up your right to assert the claims against Defendants that are covered by this Settlement (defined below).
You Can Opt-out of the Class Settlement but not the PAGA Settlement The Opt-out Deadline is _____	If you do not want to fully participate in the proposed Settlement, you can opt-out of the Class Settlement by sending the Administrator a written Request for Exclusion. Once excluded, you will be a Non-Participating Class Member and no longer eligible for an Individual Class Payment. Non-Participating Class Members cannot object to any portion of the proposed Settlement. See Section 6 of this Notice. You cannot opt-out of the PAGA portion of the proposed Settlement. Defendants must pay Individual PAGA Payments to all Aggrieved Employees and the Aggrieved Employees must give up their rights to pursue the Released PAGA Claims (defined below).
Participating Class Members Can Object to the Class Settlement but not the PAGA Settlement Written Objections Must be Submitted by _____	All Class Members who do not opt-out (“Participating Class Members”) can object to any aspect of the proposed Settlement. The Court’s decision whether to finally approve the Settlement will include a determination of how much will be paid to Class Counsel and Plaintiff who pursued the Action on behalf of the Class. You are not personally responsible for any payments to Class Counsel or Plaintiff, but every dollar paid to Class Counsel and Plaintiff reduces the overall amount paid to Participating Class Members. You can object to the amounts requested by Class Counsel or Plaintiff if you think they are unreasonable. See Section 7 of this Notice.
You Can Participate in the _____ Final Approval Hearing	The Court’s Final Approval Hearing is scheduled to take place on _____. You do not have to attend but you do have the right to appear (or hire an attorney to appear on your behalf at your own cost), in person, by telephone, or by using the Court’s virtual appearance platform. Participating Class Members can verbally object to the Settlement at the Final Approval Hearing. See Section 8 of this Notice.
You Can Challenge the Calculation of Your Workweeks/Pay Periods. Written Challenges Must be	The amount of your Individual Class Payment and PAGA Payment (if any) depend on how many Workweeks and PAGA Pay Periods you worked for Defendants in California during the Class Period and PAGA Period, respectively. The number of Workweeks and number of PAGA Pay Periods you worked according to Defendants’ records is stated on the first page of this Notice. If you disagree with

Submitted by _____	either of these numbers, you must challenge it by _____. See Section 4 of this Notice.
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1. WHAT IS THE ACTION ABOUT?

Plaintiff is a former employee of Defendants. The Action accuses Defendants of violating California labor laws by failing to pay overtime wages, minimum wages, wages due upon termination, and reimbursable expenses, unlawfully collecting wages from Class Members and failing to provide meal periods, rest breaks and accurate itemized wage statements. Plaintiff also alleges claims for unfair competition. Based on the same claims, Plaintiff has also asserted a claim for civil penalties under the California Private Attorneys General Act (Labor Code §§ 2698, *et seq.*) (“PAGA”). Plaintiff is represented by attorneys in the Action: D.Law, Inc. (“Class Counsel.”)

Defendants strongly deny violating any laws or failing to pay any wages and contends it complied with all applicable laws.

2. WHAT DOES IT MEAN THAT THE ACTION HAS SETTLED?

So far, the Court has made no determination whether Defendants or Plaintiff is correct on the merits.

In the meantime, Plaintiff and Defendants hired an experienced mediator in an effort to resolve the Action by negotiating and to end the case by agreement (settle the case) rather than continuing the expensive and time-consuming process of litigation. The negotiations were successful. By signing a lengthy written settlement agreement (“Agreement”) and agreeing to jointly ask the Court to enter a judgment ending the Action and enforcing the Agreement, Plaintiff and Defendants have negotiated a proposed Settlement that is subject to the Court’s Final Approval. Both sides agree the proposed Settlement is a compromise of disputed claims. By agreeing to settle, Defendants do not admit any violations or concede the merit of any claims. The Court preliminarily approved the proposed Settlement as fair, reasonable and adequate, authorized this Notice, and scheduled a hearing to determine Final Approval.

3. WHAT ARE THE IMPORTANT TERMS OF THE PROPOSED SETTLEMENT?

1. Defendants Will Pay \$900,000 as the Gross Settlement Amount (Gross Settlement). Defendants have agreed to deposit the Gross Settlement into an account controlled by the Administrator of the Settlement. The Administrator will use the Gross Settlement to pay the Individual Class Payments, Individual PAGA Payments, Class Representative Service Payment, Class Counsel’s attorney’s fees and expenses, the Administrator’s expenses, and penalties to be paid to the California Labor and Workforce Development Agency (“LWDA”). Assuming the Court grants Final Approval, Defendants will fund the Gross Settlement not more than 15 days after the Judgment entered by the Court become final. The Judgment will be final on the date after the deadline for filing a notice of appeal from the Judgment, or if a timely appeal

from the Judgment is filed, the day after the appellate court affirms the Judgment and issues a remittitur.

2. Court Approved Deductions from Gross Settlement. At the Final Approval Hearing, Plaintiff and/or Class Counsel will ask the Court to approve the following deductions from the Gross Settlement, the amounts of which will be decided by the Court at the Final Approval Hearing:
 - a. Up to \$300,000.00 (one-third of the Gross Settlement) to Class Counsel for attorneys' fees and actual and reasonable litigation costs and expenses incurred in to prosecute the Action. To date, Class Counsel have worked and incurred expenses on the Action without payment.
 - b. Up to \$10,000.00 to the Plaintiff as a Class Representative Service Payment for filing the Action, working with Class Counsel, and representing the Class. A Class Representative Award will be the only monies Plaintiff will receive other than Plaintiff's Individual Class Payment and any Individual PAGA Payment.
 - c. Up to \$13,090.00 to the Administrator for services administering the Settlement.
 - d. Up to \$50,000.00 for PAGA Penalties, allocated 65% to the LWDA PAGA Payment and 35% in Individual PAGA Payments to the Aggrieved Employees based on their PAGA Pay Periods.

Participating Class Members have the right to object to any of these deductions. The Court will consider all objections.

3. Net Settlement Distributed to Class Members. After making the above deductions in amounts approved by the Court, the Administrator will distribute the rest of the Gross Settlement (the "Net Settlement") by making Individual Class Payments to Participating Class Members based on their Workweeks.
4. Taxes Owed on Payments to Class Members. Plaintiff and Defendants are asking the Court to approve an allocation of 15% of each Individual Class Payment to taxable wages ("Wage Portion") and 85% to interest and penalties ("Non-Wage Portion."). The Wage Portion is subject to withholdings and will be reported on IRS W-2 Forms. Defendants will separately pay employer payroll taxes it owes on the Wage Portion. The Individual PAGA Payments are counted as penalties under the Settlement rather than wages for tax purposes. The Administrator will report the Individual PAGA Payments and the Non-Wage Portions of the Individual Class Payments on IRS 1099 Forms.

Although Plaintiff and Defendants have agreed to these allocations, neither side is giving you any advice on whether your Payments are taxable or how much you might

owe in taxes. You are responsible for paying all taxes (including penalties and interest on back taxes) on any Payments received from the proposed Settlement. You should consult a tax advisor if you have any questions about the tax consequences of the proposed Settlement. 5.

5. Need to Promptly Cash Payment Checks. The front of every check issued for Individual Class Payments and Individual PAGA Payments will show the date when the check expires (the void date). If you do not cash it by the void date, your check will be automatically cancelled, and the monies will be deposited with the California Controller's Unclaimed Property Fund in your name.

If the monies represented by your check is sent to the Controller's Unclaimed Property, you should consult the rules of the Fund for instructions on how to retrieve your money.

6. Requests for Exclusion from the Class Settlement (Opt-Outs). You will be treated as a Participating Class Member, participating fully in the Class Settlement, unless you notify the Administrator in writing, not later than _____, that you wish to opt-out. The easiest way to notify the Administrator is to send a written and signed Request for Exclusion by the _____ Response Deadline. The Request for Exclusion should be a letter from a Class Member or his/her representative setting forth a Class Member's name, present address, telephone number or email address, and a simple statement electing to be excluded from the Settlement. Excluded Class Members (i.e., Non-Participating Class Members) will not receive Individual Class Payments, but will preserve their rights to personally pursue the Released Class Claims against Defendants.

You cannot opt-out of the PAGA portion of the Settlement. Class Members who exclude themselves from the Class Settlement (Non-Participating Class Members) remain eligible for Individual PAGA Payments and are required to give up their right to assert the Released PAGA Claims against Defendants.

7. The Proposed Settlement Will be Void if the Court Denies Final Approval. It is possible the Court will decline to grant Final Approval of the Settlement or decline enter a Judgment. It is also possible the Court will enter a Judgment that is reversed on appeal. Plaintiff and Defendants have agreed that, in either case, the Settlement will be void: Defendants will not pay any money and Class Members will not release any claims against Defendants.
8. Administrator. The Court has appointed a neutral company, Apex Class Action Administration (the "Administrator") to send this Notice, calculate and make payments, and process Class Members' Requests for Exclusion. The Administrator will also decide Class Member Challenges over Workweeks, mail and remail settlement checks and tax forms, and perform other tasks necessary to administer the Settlement. The Administrator's contact information is contained in Section 9 of this Notice. 9.

9. Participating Class Members' Release. After the Judgment is final and Defendants have fully funded the Gross Settlement and separately paid all employer payroll taxes, Participating Class Members will be legally barred from asserting any of the claims released under the Settlement.

Participating Class Members will be bound by the following release:

All Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, released the Released Parties from all claims that were alleged, or reasonably could have been alleged, based on the Class Period facts stated in the Operative Complaint ("Released Class Claims"). Except as set forth in Section 5.3 of the Settlement Agreement, Participating Class Members do not release any other claims, including claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing Act, unemployment insurance, disability, social security, workers' compensation, or claims based on facts occurring outside the Class Period.

10. Aggrieved Employees' PAGA Release. After the Judgment is final and Defendants have fully funded the Gross Settlement and separately paid all employer payroll taxes), all Aggrieved Employees will be barred from asserting the Released PAGA Claims against Defendants, whether or not they exclude themselves from the Class Settlement.

Aggrieved Employees will be bound by the following release:

All Aggrieved Employees are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, the Released Parties from any and all claims for civil penalties under PAGA that were alleged or reasonably could have been alleged based on the PAGA Period facts stated in the Operative Complaint or the PAGA Notice ("Released PAGA Claims").

4. HOW WILL THE ADMINISTRATOR CALCULATE MY PAYMENT?

1. Individual Class Payments. The Administrator will calculate Individual Class Payments by (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all Participating Class Members, and (b) multiplying the result by the number of Workweeks worked by each individual Participating Class Member.
2. Individual PAGA Payments. The Administrator will calculate Individual PAGA Payments by (a) dividing \$17,500 by the total number of PAGA Pay Periods worked by all Aggrieved Employees and (b) multiplying the result by the number of PAGA Pay Periods worked by each individual Aggrieved Employee.

3. Workweek/Pay Period Challenges. The number of Workweeks you worked during the Class Period and the number of PAGA Pay Periods you worked during the PAGA Period, as recorded in Defendants' records, are stated in the first page of this Notice. You have until _____ to challenge the number of Workweeks and/or Pay Periods credited to you. You can submit your challenge by signing and sending a letter to the Administrator via mail, email or fax. Section 9 of this Notice has the Administrator's contact information.

You need to support your challenge by sending copies of pay stubs or other records. The Administrator will accept Defendants' calculation of Workweeks and/or Pay Periods based on Defendants' records as accurate unless you send copies of records containing contrary information. You should send copies rather than originals because the documents will not be returned to you. The Administrator will resolve Workweek and/or Pay Period challenges based on your submission and on input from Class Counsel (who will advocate on behalf of Participating Class Members) and Defendants' Counsel. The Administrator's decision is final. You can't appeal or otherwise challenge its final decision.

5. HOW WILL I GET PAID?

1. Participating Class Members. The Administrator will send, by U.S. mail, a single check to every Participating Class Member (i.e., every Class Member who doesn't opt-out) including those who also qualify as Aggrieved Employees. The single check will combine the Individual Class Payment and the Individual PAGA Payment.
2. Non-Participating Class Members. The Administrator will send, by U.S. mail, a single Individual PAGA Payment check to every Aggrieved Employee who opts out of the Class Settlement (i.e., every Non-Participating Class Member).

Your check will be sent to the same address as this Notice. If you change your address, be sure to notify the Administrator as soon as possible. Section 9 of this Notice has the Administrator's contact information.

6. HOW DO I OPT-OUT OF THE CLASS SETTLEMENT?

Submit a written and signed letter with your name, present address, telephone number or email address, and a simple statement that you do not want to participate in the Settlement. The Administrator will exclude you based on any writing communicating your request be excluded. Be sure to identify the Action as *David S. Diaz v. Blaze Pizza Operations, LLC, et al.* **The Administrator must be sent your request to be excluded by _____, or it will be invalid.** Section 9 of the Notice has the Administrator's contact information.

7. HOW DO I OBJECT TO THE SETTLEMENT?

Only Participating Class Members have the right to object to the Settlement. Before deciding whether to object, you may wish to see what Plaintiff and Defendants are asking the Court to approve. At least _____ days before the _____ Final Approval Hearing, Class Counsel and/or Plaintiff will file in Court (1) a Motion for Final Approval that includes, among other things, the reasons why the proposed Settlement is fair, and (2) a Motion for Fees, Litigation Expenses and Service Award stating (i) the amount Class Counsel is requesting for attorneys' fees and litigation expenses; and (ii) the amount Plaintiff is requesting as a Class Representative Service Award. Upon reasonable request, Class Counsel (whose contact information is in Section 9 of this Notice) will send you copies of these documents at no cost to you. You can also view them on the Administrator's Website _____ or the Court's website <https://www.lacourt.ca.gov/pages/lp/access-a-case/tp/os-access-court-documents>.

A Participating Class Member who disagrees with any aspect of the Agreement, the Motion for Final Approval and/or Motion for Fees, Litigation Expenses and Service Award may wish to object, for example, that the proposed Settlement is unfair, or that the amounts requested by Class Counsel or Plaintiff is too high or too low. **The deadline for sending written objections to the Administrator is _____.** Be sure to tell the Administrator what you object to, why you object, and any facts that support your objection. Make sure you identify the Action *David S. Diaz v. Blaze Pizza Operations, LLC, et al.* and include your name, current address, telephone number or email address, and sign the objection. Section 9 of this Notice has the Administrator's contact information.

Alternatively, a Participating Class Member can object (or personally retain a lawyer to object at your own cost) by attending the Final Approval Hearing. You (or your attorney) should be ready to tell the Court what you object to, why you object, and any facts that support your objection. See Section 8 of this Notice (immediately below) for specifics regarding the Final Approval Hearing.

8. CAN I ATTEND THE FINAL APPROVAL HEARING?

You can, but do not have to, attend the Final Approval Hearing on _____ at _____ in Department 15 of the Spring Street Courthouse at 312 North Spring Street, Los Angeles, CA 90012. At the Hearing, the judge will decide whether to grant Final Approval of the Settlement and how much of the Gross Settlement will be paid to Class Counsel, Plaintiff, and the Administrator. The Court will invite comment from objectors, Class Counsel and Defense Counsel before making a decision. You can attend (or hire a lawyer to attend) either personally or virtually (_____). Check the Court's website for the most current information.

It is possible the Court will reschedule the Final Approval Hearing. You should check the Administrator's website _____ beforehand or contact Class Counsel to verify the date and time of the Final Approval Hearing.

9. HOW CAN I GET MORE INFORMATION?

The Agreement sets forth everything Defendants and Plaintiff have promised to do under the proposed Settlement. The easiest way to read the Agreement, the Judgment or any other Settlement documents is to go to the Administrator's website at _____. You can also telephone or send an email to Class Counsel or the Administrator using the contact information listed below, or consult the Superior Court website by going to (<https://www.lacourt.ca.gov/pages/lp/access-a-case/tp/os-access-court-documents>). The Case Number for the Action, Case No. 24STCV11680.

**DO NOT TELEPHONE THE SUPERIOR COURT TO OBTAIN INFORMATION
ABOUT THE SETTLEMENT.**

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450 N. Brand Blvd., Suite 840

Glendale, CA 91203

Telephone: (818) 962-6465

Fax Number: (818) 962-6469

Settlement Administrator:

Name of Company: Apex Class Action Administration

Email Address:

Mailing Address:

Telephone:

Fax Number:

10. WHAT IF I LOSE MY SETTLEMENT CHECK?

If you lose or misplace your settlement check before cashing it, the Administrator will replace it as long as you request a replacement before the void date on the face of the original check. If your check is already void you should consult the Unclaimed Property Fund for instructions on how to retrieve the funds.

11. WHAT IF I CHANGE MY ADDRESS?

To receive your check, you should immediately notify the Administrator if you move or otherwise change your mailing address.

EXHIBIT 2

October 23, 2024

VIA ON-LINE SUBMISSION ONLY TO:

<https://dir.tfaforms.net/128>

Private Attorneys General Act
Attn. PAGA Administrator
455 Golden Gate Avenue, 9th Floor
San Francisco, CA 94102

VIA CERTIFIED MAIL TO:

(by certified mail to Blaze Pizza Operations, LLC and Blaze Pizza LLC)

Blaze Pizza Operations, LLC
2859 Paces Ferry Rd SE, Suite 350
Atlanta, GA 30339
Certified Mail Tracking Number: 9414 8112 0620 5447 3367 36

Cogency Global Inc.
Agent for Service for Blaze Pizza Operations, LLC
1325 J Street, Suite 1550,
Sacramento, CA 95814
Certified Mail Tracking Number: 9414 8112 0620 5447 3195 31

Blaze Pizza LLC
2859 Paces Ferry Rd SE, Suite 350
Atlanta, GA 30339
Certified Mail Tracking Number: 9414 8112 0620 5447 3158 23

Cogency Global Inc.
Agent for Service for Blaze Pizza LLC
1325 J Street, Suite 1550,
Sacramento, CA 95814
Certified Mail Tracking Number: 9414 8112 0620 5447 3102 00

**Re: Labor Code § 2698, *et seq.* Penalties - PAGA Notice Letter to LWDA
David Diaz v. Blaze Pizza Operations, LLC, et al.
Superior Court for the County of Los Angeles**

Gentlepersons:

This office represents Plaintiff David Diaz (“Plaintiff”) and other similarly aggrieved employees employed as non-exempt, hourly workers and in related positions at Defendants’ food service and restaurant locations in California during the relevant period (collectively “Aggrieved Employees”) for, *inter alia*, violations of California Labor Code §§ 201, 202, 203, 204, 210, 221, 226, 226.7, 246, 248.1, 248.2, 248.5, 510, 512, 558, 558.1, 1174, 1174.5, 1182.12, 1185, 1194, 1194.2, 1197, 1198, 1199, 2810.5, 2698, and 2699, *et seq.*; provisions of the Industrial Welfare Commission (IWC) Wage Order(s); and California Business & Professions Code § 17200 *et seq.* Plaintiff Diaz filed a wage and hour Class Action Complaint in the Superior Court of the State of



California for the County of Los Angeles on May 8, 2024 (Case No. 24STCV11680). Plaintiff now seeks to amend the complaint, add a cause of action for penalties under the PAGA, and bring a representative action.

The purpose of this letter is to comply with the Private Attorneys General Act of 2004, pursuant to California Labor Code § 2698 *et. seq.* (“the Act”). Plaintiff would like to bring a representative action, pursuant to the Act, on behalf of himself and all other similarly situated Aggrieved Employees, and the State of California, against Defendants Blaze Pizza Operations, LLC and Blaze Pizza LLC, and Does 1 through 50 inclusive (all defendants being referred to herein collectively as “Defendants”).

We also set forth herein the facts and theories and the California Labor Code violations which Defendants engaged in with respect to Plaintiff and all of their Aggrieved Employees in California. The attached Class Action Complaint provides the details of Plaintiff’s class-wide claims and claims under the Act, and the applicable California law governing them. It, along with this Notice Letter, addresses in detail numerous violations that subject Defendants to civil and statutory penalties under the Act.

Relevant Facts

Plaintiff was employed by Defendants as a team member and shift leader, and Defendants tasked him with duties that included preparing and cooking food items, cleaning, stocking items, and taking customer orders. Plaintiff and the Aggrieved Employees worked as hourly, non-exempt Employees for Defendants in positions generally pertaining to providing food and restaurant services to Defendants’ customers. Plaintiff, and upon information and belief the other similarly situated Aggrieved Employees, were required to perform work tasks based out of Defendants’ restaurants and locations in California.

Upon information and belief, Plaintiff was employed by Defendants and shared similar job duties and responsibilities; was subjected to the same policies and practices; and endured similar violations at the hands of Defendants as the other Aggrieved Employees who served in similar and related positions at Defendants’ restaurants and location.

Defendants required Plaintiff and the Aggrieved Employees to work off the clock and failed to accurately record the hours they were on the clock, failed to pay them at the appropriate rates for all hours worked, failed to pay all wages due and owing at termination or resignation, and failed to provide Plaintiff and Aggrieved Employees with accurate itemized wage statements that prevented them from learning of these unlawful pay practices. Defendants also failed to provide Plaintiff and Aggrieved Employees with lawful meal and rest periods, as Employees were required to remain under Defendants’ control and were not provided with the opportunity to take full uninterrupted and duty-free rest periods and meal breaks, as required by the Labor Code and the applicable paragraphs of the IWC Wage Orders.



Defendant BLAZE PIZZA OPERATIONS, LLC (“Blaze Operations”) is a California limited liability company that lists its principal address and California Office in Pasadena, California with the California Secretary of State. It also lists a registered corporate agent with an address in Pasadena, California, and in Los Angeles County. Blaze Operations lists its type of business as “FAST CASUAL RESTAURANT.” The wage statements issued to Plaintiff list “Blaze Pizza Operations, LLC” as his employer with the same address in Pasadena, California. Upon information and belief, Blaze Operations is a related entity to named Defendant BLAZE PIZZA, LLC and jointly employed Aggrieved Employees in California during the relevant period.

BLAZE PIZZA, LLC (“Blaze Pizza”) is a California limited liability company which also lists a principal office and California office in Pasadena, California with the California Secretary of State, and also lists its type of business as “FAST CASUAL RESTAURANT”. Upon information and belief, Blaze Pizza operates locations in California on its own and also operates as a franchisor working in conjunction with various franchisees in California. The Blaze Pizza franchising website explains: “Blaze Pizza is the Nation’s leading fast-casual, build-your-own Pizza Franchise. With more than 340 restaurants across 38 states and 6 countries. Founded in 2011 and headquartered in Los Angeles, we’re committed to delivering a one-of-a-kind customer experience, serving high-quality products featuring ingredients free of artificial colors, flavors, preservatives, and sweeteners.” Upon information and belief, Blaze Operations is either owned and operated by Blaze Pizza or is a franchisee or some other related entity to Blaze Pizza and does business in California as Blaze Pizza. The form disclosing his employer to Plaintiff under Labor Code §2810.5 lists Blaze Pizza LLC as his employer.

During the course of Plaintiff and the Aggrieved Employees’ employment with Defendants, they were not paid all wages they were owed, including for all work performed (resulting in “off the clock” work) and for all their overtime hours worked, and they were not paid at the required rates for overtime. This has resulted in systematic and ongoing violations of the California Labor Code and relevant IWC Wage Orders. Upon information and belief, Defendants employ other non-exempt, hourly employees as cooks, team members, shift leaders, and in similar and related positions based out of their restaurants and locations in California.

Plaintiff was generally scheduled to work five to six days per week for five to eight hours per shift, with shift times generally spanning from 5:30 a.m. through 12:00 a.m. On many occasions, Plaintiff was also required to work longer shifts with an additional hour or two of time approved by Defendants to be paid at overtime premium rates. However, Plaintiff was required by Defendants to endure substantial off-the-clock work before and after his scheduled shift hours.

Defendants’ policy and practice of requiring systematic off-the-clock work flowed in part from their unlawful timekeeping procedures. Defendants required timekeeping entries to be inputted into the company tablet by Employees. However, since most employees during a given shift were scheduled to work around the same time, Plaintiff regularly had to wait about 1-3 minutes to clock in, and Plaintiff and Aggrieved Employees were not compensated for this waiting time. Additional pre-shift off-the-clock work occurred whenever Defendants’ managers hosted mandatory pre-shift meetings. Plaintiff and Aggrieved Employees who attended these meetings



were instructed by Defendants' managers not to clock in for them, and Defendants failed to implement a mechanism or procedure to ensure that their timekeeping records accounted for these meetings and all hours worked before a given pay period. Defendants' timekeeping procedures failed to account for such off-the-clock work.

Additionally, while Defendants required time punch records for shift start and end times and meal period beginning and end times, they were not always contemporaneously recorded with the actual hours worked. For instance, in addition to unrecorded time spent waiting in line to clock in or pre-shift meetings, Defendants required that Plaintiff and Aggrieved Employees clock out for meal periods before the fourth hour of their shift to avoid paying meal period premiums. However, Defendants regularly required Plaintiff and Aggrieved Employees to continue working if there was a restaurant rush or if the restaurant was understaffed. Additionally, by deducting 30 minutes for a meal period that was generally not lawfully provided if at all, Defendants effectively and unlawfully deducted at least 30 minutes of hours worked from each Employee's daily work shifts. Managers would also modify and adjust any timekeeping entries recorded for meal periods that reflected less than 30 minutes to avoid incurring and paying meal period premium penalty wages.

Defendants also failed to record the training hours Plaintiff worked and further failed to compensate Plaintiff for the off-the-clock training, and the other Aggrieved Employees were required to endure similar failure to pay wages by Defendants. Additionally, Defendants' managers frequently required Plaintiff to order items for the restaurant before clocking in for his shift. This process typically took about 15 minutes to complete, and Plaintiff estimates that Defendants required Plaintiff to do this about twice a month. Plaintiff was therefore compelled to record scheduled shift times worked rather than actual times when he was on and off the clock, and was concerned about the consequences if he were to record all the hours he actually worked and record them accurately.

Defendants' managers also contacted Plaintiff by calling his personal cell phone regarding work-related matters and scheduling and would do so both throughout the work day, during breaks, and also after work hours. Additional off-the-clock work occurred in the form of the unlawful deduction of 30 minutes of hours worked for an alleged meal period that was provided generally untimely if at all.

Overtime hours were generally not allowed. The understaffing of team members, the fast-paced restaurant environment, and demands from managers compelled Plaintiff to work many hours off the clock and without compensation, including during meal periods and before clocking in for a shift. This strict overtime avoidance policy also compelled Defendant's managers to require that Plaintiff undergo hours of uncompensated, off-the-clock work as addressed above. Additionally, Defendants paid Plaintiff and the Aggrieved Employees bonuses, and to whatever extent Defendants paid bonuses and any other non-hourly form of remuneration to Aggrieved Employees, such as shift differentials and the like, Defendants failed to include it in the regular rate used to calculate and pay the overtime that Defendants did pay. Moreover, Plaintiff's wage



statements show that Plaintiff was paid sick pay at the exact base rate, and upon information and belief, these additional forms of remuneration were not incorporated into the sick pay rate.

Plaintiff was therefore not paid for all his hours worked at the required minimum, overtime, and double time wage rates due to Defendants' uniformly applied and unlawful policy and practice of requiring him to work off the clock and without pay. Defendants systematically underpaid Plaintiff and the Aggrieved Employees by failing to pay them at the required overtime rates for all hours over eight in a work shift or over forty in a work week, and all hours over twelve in a day at the required double time rate. Upon information and belief, the Aggrieved Employees were bound by similar scheduling and timekeeping policies and endured similar violations at Defendants' hands as Plaintiff.

Defendants have either failed to maintain timekeeping records for Plaintiff that would permit Plaintiff to discover the nature and extent of the off-the-clock work Defendants required and the actual hours Plaintiff worked or the breaks he received or have otherwise declined to produce them to Plaintiff in response to a timely and lawful records request. By failing to pay for all hours worked, and by under-recording regular hours to the detriment of the Aggrieved Employees, Defendants have committed knowing and intentional ongoing violations of the record-keeping requirements under the Labor Code, including section 1174, by either failing to maintain records or retaining inaccurate ones.

The failure to pay for all hours worked and underpayment of wages to Plaintiff and the Aggrieved Employees is and has been a direct consequence of Defendants' unlawful compensation policies and practices, which upon information and belief applied uniformly to the Aggrieved Employees working based out of Defendants' restaurants and locations throughout California. As a result of the above-described unlawful requirements to work off the clock, the failure to accurately record all hours worked and pay wages at the correct rates, and the other wage violations they endured at Defendants' hands, Plaintiff and the Aggrieved Employees were not properly paid all wages earned and all wages owed to them by Defendants, including when working more than eight hours in any given day and/or more than forty hours in any given week.

Defendants had a consistent policy or practice of failing to pay Employees for all hours worked and failing to pay minimum wages for all time worked, as required by California law. Also, from at least four years prior to the filing of this lawsuit and continuing to the present, Defendants had a consistent policy or practice of failing to pay Employees overtime compensation at premium overtime rates for all hours worked in excess of eight hours a day and/or forty hours a week and double-time rates for all hours worked in excess of twelve hours a day, in violation of Labor Code § 510 and the corresponding sections of IWC Wage Orders.

Defendants also failed to provide Plaintiff and the Aggrieved Employees with their required meal periods and the at least two ten-minute rest breaks as required at a minimum for Plaintiff's scheduled shift durations. The above-described off-the-clock work contributed to Defendants' common policy of failing to provide lawful meal periods to Plaintiff and the Aggrieved Employees, as required under the Labor Code and Paragraph 11 of the IWC Wage Order(s).



Defendants similarly failed to authorize and permit Plaintiff and the employee Aggrieved Employees to take all required 10-minute rest breaks, as required under the Labor Code and Paragraph 12 of the IWC Wage Order(s).

More specifically, Plaintiff worked shifts that entitled him to at least one meal period, but as addressed above, Defendants' managers required Plaintiff and the Aggrieved Employees to record 30-minute lunches and would deduct that time from hours worked for the shift. To whatever extent there was ever a schedule for meal periods, it went by the wayside quickly as there always seemed to be a restaurant rush to attend to, a customer issue to resolve, or a co-worker who needed help due to understaffing. This led to on-duty meal periods that were incessantly interrupted by customer and management demands, required job duties, and tasks to be performed and required Employees to remain under Defendants' control during breaks. The understaffing of team members coupled with the fast-paced restaurant environment also contributed to Employees working through meal periods or not taking them, taking them late so work could be accomplished, or remaining under Defendants' control during meal periods.

Additionally, Defendants instructed Plaintiff and the Aggrieved Employees to input into the timekeeping system that they took thirty minutes for a meal period and did so before the fifth hour of work as required under the Labor Code and Wage Orders. Defendants instructed Plaintiff and the Aggrieved Employees to thus record compliant meal breaks without regard to whether they were actually taken on time or for the full duration or were interrupted by customer and management demands and other work requirements. Plaintiff recalls mostly all his meal periods were interrupted, despite the fact that Defendants deducted a 30-minute meal period on each work shift without regard to whether it was actually taken or was otherwise unlawful. Moreover, if Plaintiff failed time records failed to reflect that Plaintiff took a 30-minute meal period, Defendants' managers would modify and adjust the meal period clock in times as necessary to avoid incurring and having to pay meal period premiums.

Therefore, meal period violations systematically occurred ongoing throughout all of Plaintiff's work shifts where Defendants both failed to provide a lawful meal period or penalty payment and then also unlawfully deducted 30 minutes from Plaintiff's hours worked for the day. To the extent Defendants ever did pay Plaintiff a meal period premium, they failed to include all forms of remuneration beyond hourly wages in the regular hourly rate used to pay the one-hour premium payment. Upon information and belief, the other Aggrieved Employees were subjected to similar unlawful policies and practices and endured similar violations as Plaintiff.

Defendants thus failed to provide all the legally required unpaid, off-duty meal periods and all the legally required paid, off-duty rest periods to Plaintiff and the other Aggrieved Employees, as required by the applicable Wage Order and Labor Code. Plaintiff and other Aggrieved Employees were required to perform work as ordered by Defendants for more than five hours during a shift without receiving compliant meal periods. Additionally, as addressed above, Defendants followed a practice of requiring off-the-clock work in a manner that would impact when Employees were to receive meal periods and rest breaks and required them to respond to work calls and inquiries and other demands during breaks, thus leading to further violations.



Defendants' systems did not automatically generate a meal period premium under Labor Code § 226.7 for meal periods that commenced after five hours into a work shift or in the event the time punch records reflected a meal period of less than thirty minutes. However, upon information and belief, in the event Defendants did pay any meal period premiums, they did so at the employee's customary regular rate of hourly compensation without including all forms of remuneration in that hourly rate calculation, for example by omitting performance-based bonuses and the like from the rate at which meal premiums were paid.

Defendants thus followed uniformly applied policies of not providing all required meal periods to their Employees. Meal periods were often not properly provided, as work demands shortened their breaks. Even when Plaintiff and the Aggrieved Employees were able to take a meal period, it was generally interrupted by work duties or was taken after the fifth hour of work. Additionally, as addressed above, Defendants followed a practice of requiring off-the-clock work in a manner that would impact when Employees were to receive meal periods and rest breaks, thus leading to further violations.

As a result, Defendants' failure to provide Plaintiff and the Aggrieved Employees with all legally required off-duty, unpaid meal periods and all the legally required off-duty, paid rest periods is and will be evidenced by Defendants' business records, or lack thereof. As further detailed above, Defendants also failed to pay Employees "premium pay," i.e. one hour of wages at each Employee's effective regular hourly rate of compensation for each meal period or rest break that Defendants failed to provide or deficiently provided.

Plaintiff and the Aggrieved Employees were unable to take off-duty breaks or were otherwise not provided with the opportunity to take required breaks as required under the Labor Code and Wage Orders due to Defendants' policies and practices.

Similar violations resulted from Defendants' failure to authorize and permit Plaintiff and the Aggrieved Employees to take duty-free, net ten-minute rest breaks for every four hours of shift work, or a major fraction thereof. Plaintiff and the other similarly situated Aggrieved Employees were either not authorized permitted the opportunity to take a rest break, were required to remain under Defendants' control and respond to calls and instructions from management and job and customer demands if and when they even attempted to take one. Upon information and belief, Defendants thus also failed to authorize and permit employees to leave the premises during rest breaks, evidencing Defendants' policy and practice of requiring Plaintiff and the Aggrieved Employees to remain under their control during required off-duty rest break times.

Rest breaks were neither scheduled nor contemplated by Defendants. During Plaintiff's entire employment duration with Defendants, he cannot recall a time when he took a break, despite being entitled to receive at least two paid, timely, uninterrupted, and duty-free ten-minute rest breaks during each of his work shifts. As detailed above, in addition to failing to authorize and permit rest breaks, Defendants unlawfully deducted up to 30 minutes for meal periods that were rarely provided or otherwise received without violation.



Defendants' uniformly applied policies and practices thus resulted in untimely and shortened or on-duty rest breaks when they were authorized and permitted. Plaintiff and the Aggrieved Employees worked shifts of at least eight hours, which required at least two rest breaks, and often worked shifts over ten hours, which required at least three rest breaks. Defendants also failed to provide Plaintiff and Aggrieved Employees with a second or a third break.

During rest periods, employers must relieve employees of all duties and relinquish control over how employees spend their time. Plaintiff and the Aggrieved Employees were and are under Defendants' control when they are working through rest breaks and responding to manager and customer demands. Defendants have also provided either impermissibly shortened or untimely meal and rest breaks to Plaintiff and the Aggrieved Employees. Plaintiff and the Aggrieved Employees were thus not authorized and permitted to take lawful rest periods, were systematically required by Defendants to work through or during breaks, and were not provided with one hour's wages in lieu thereof. They were required to remain on duty during breaks or portions of their breaks, thus making them either untimely or shortened and on-duty, and they were also prevented from leaving the premises and otherwise remained under Defendants' control during rest breaks under Defendants' uniformly applied policies and practices.

Defendants have also consistently violated Labor Code § 221 by unlawfully collecting or deducting the Employees' earned wages, including by the above-described off-the-clock work and on-duty work while on unpaid meal breaks. In addition to failing to authorize and permit rest breaks, Defendants unlawfully deducted up to 30 minutes for meal periods that were rarely provided or otherwise received without violation. Thus, by deducting 30 minutes for a meal period was generally not lawfully provided if at all, Defendants effectively and unlawfully deducted at least 30 minutes of hours worked from each Employee's daily work shifts. In order to avoid paying meal period premiums, Defendants' managers would also move Plaintiffs' meal period clock-in times to later times as much as needed for time clock records to reflect that a 30-minute meal period was taken. By not compensating Employees for all hours worked, Defendants unlawfully deducted wages earned in violation of Labor Code § 221.

Defendants also violated California Labor Code § 246 by not providing all required paid sick days to Plaintiff and the Aggrieved Employees. Labor Code § 246 provides that an Employee who "works in California for the same employer for 30 or more days within a year from the commencement of employment is entitled to paid sick days as specified in this section." Defendants failed to provide Plaintiffs and the other similarly Aggrieved Employees with all their required and earned sick days, in violation of Labor Code § 246. Additionally and upon information and belief, Defendants have failed to provide COVID-19 supplemental sick leave to the Aggrieved Employees during the relevant time period, including by failing to provide two work weeks of COVID-19 supplemental paid sick leave as required under Labor Code §§ 248.1 and 248.2 and including damages and penalties as these sections are enforced through Labor Code § 248.5, which requires that: "If paid sick days were unlawfully withheld, the dollar amount of paid sick days withheld from the employee multiplied by three, or two hundred fifty dollars (\$250), whichever amount is greater, but not to exceed an aggregate penalty of four thousand dollars (\$4,000), shall be included in the administrative penalty."



Defendants also failed to provide Employees such as Plaintiff at the time of employment and thereafter with written notice complying with all the requirements of Labor Code § 2810.5, which provides that: “At the time of hiring, an employer shall provide to each employee a written notice, in the language the employer normally uses to communicate employment-related information to the employee, containing” specifically required information. Upon information and belief, Defendants failed to provide this information to the Aggrieved Employees as required under Labor Code § 2810.5. Defendants were required to provide Plaintiff and the aggrieved employee Aggrieved Employees certain specific information upon hiring pursuant to California Labor Code § 2810.5. Upon information and belief, Defendants failed to provide Plaintiff with correct information when he was hired, and upon information and belief Defendants committed similar violations in connection with similarly situated and aggrieved Employees.

Defendants have also consistently failed to provide Aggrieved Employees with timely, accurate, and itemized wage statements, in writing, as required by California wage-and-hour laws, including by the above-described requirement of off-the-clock work, failure to pay all overtime and double time wages owed and failure to pay them at the appropriate premium rates, and failure to pay premium wages for unprovided or otherwise unlawful meal and rest breaks, or by miscalculating any overtime or meal period or rest break premium wages and by the systematic and unlawful deductions Defendants took from Employee wages. Defendants have also made it difficult to account with precision for the unlawfully withheld wages and meal and rest period compensation owed to Plaintiff and Aggrieved Employees, during the liability period, including because they did not calculate the regular rate of pay correctly when paying overtime or meal period premiums and because they did not implement and preserve a record-keeping method as required for non-exempt employees by California Labor Code §§ 226, 1174(d), and paragraph 7 of the applicable California Wage Orders. Upon information and belief, time clock punches were not maintained or were not accurately maintained for work shifts and meal periods, which were automatically presumed by Defendants to have been lawfully provided when they were not. Defendants also failed to accurately record and pay for all regular and overtime hours worked and submitted by Plaintiff and the Aggrieved Employees, including by failing to pay all overtime hours at the correctly calculated overtime premium rate.

Defendants have thus also failed to comply with Labor Code § 226(a) by inaccurately reporting total hours worked and total wages earned by Plaintiff and the Aggrieved Employees, along with the appropriate applicable rates, among other requirements. Plaintiff and Aggrieved Employees are therefore entitled to penalties not to exceed \$4,000.00 for each employee pursuant to Labor Code § 226(b). Defendants have also failed to comply with paragraph 7 of the applicable California IWC Wage Orders by failing to maintain time records showing when the employee begins and ends each work period, meal periods, and wages earned pursuant to Labor Code § 226.7, and total daily hours worked by itemizing in wage statements all deductions from payment of wages and accurately reporting total hours worked.

Defendants also required Plaintiff and the Aggrieved Employees to work hours off the clock for which they were not compensated. They were required to endure off the clock work addressed above during interrupted or otherwise on-duty meal and rest periods and were not provided with sick pay and supplemental sick leave and were subjected to systematic off the clock work.



Defendants also failed to pay all overtime premium wages owed for work conducted on a work shift and a workday or failed to pay it at the correct rate, as addressed above. Therefore, Defendants have also followed a uniform and consistent policy of failing to pay all wages owed to Plaintiff and other similarly situated Employees at the time of their termination or within seventy-two hours of their resignation, as required by California wage-and-hour laws, including Labor Code § 203.

Defendants have regularly required Plaintiff and the Aggrieved Employees to incur necessary business expenses in the course of performing their required job duties without reimbursement. These included expenses for uniform maintenance and safety gear, such as non-slip shoes, as well as cell phone-related expenses Plaintiff incurred in responding to calls and texts from managers and colleagues and in checking the upcoming work schedule. Plaintiff and the Aggrieved Employees must be reimbursed for these necessary business expenses under Labor Code § 2802 and Defendants systematically failed to do so.

PAGA Claim Details, Legal Bases for Violations and Penalties:

As addressed above and in further detail in the attached Class Action Complaint, Plaintiff and the Aggrieved Employees complain of the following violations committed by Defendants:

Failure to Pay Minimum Wages

Defendants violated the law by failing to pay Aggrieved Employees minimum wages for all hours worked. California Labor Code § 1197, entitled “Pay of Less Than Minimum Wage” states, “[t]he minimum wage for employees fixed by the commission is the minimum wage to be paid to employees, and the payment of a less wage than the minimum so fixed is unlawful.” The applicable minimum wages fixed by the commission for work during the relevant period are found in the Wage Orders.

Defendants failed to pay Employees the minimum wages they were owed, including by their consistent policy of failing to pay Employees for all hours worked. Employees would work hours and not receive wages, including as alleged above in connection with off-the-clock work, including all the time required to remain on duty and under Defendants’ control during breaks and due to the work demands placed upon them by Defendants’ management and due to unlawful rounding. Defendants, and each of them, have also intentionally and improperly rounded, changed, adjusted and/or modified Employee hours, or required Employees to do so, and imposed difficult to attain job and shift scheduling requirements on Plaintiff and the Aggrieved Employees, which resulted in off the clock work and underpayment of all wages owed to Employees over a period of time, while benefiting Defendants. During the relevant time period, Defendants thus regularly failed to pay minimum wages to Aggrieved Employees, including by requiring systematic off the clock work. Defendants’ uniform pattern of unlawful wage and hour practices manifested, without limitation, applicable to the Aggrieved Employees as a whole, as a result of implementing a uniform policy and practice that denied accurate compensation to Plaintiff and the other Aggrieved Employees as to minimum wage pay. In light of the forgoing, the Aggrieved Employees seek to recover wages and penalties. Aggrieved Employees are entitled to recover the unpaid minimum wages (including double



minimum wages), liquidated damages in an amount equal to the minimum wages unlawfully unpaid, interest thereon and reasonable attorney's fees and costs of suit pursuant to California Labor Code § 1194(a). Plaintiff and the other Aggrieved employees further request recovery of all unpaid wages, according to proof, interest, statutory costs, as well as the assessment of any statutory and civil penalties against Defendants, in a sum as provided by the California Labor Code and/or other applicable statutes, including pursuant to Labor Code § 558, and Wage Order provisions. To the extent minimum wage compensation is determined to be owed to the Aggrieved Employees who have terminated their employment, Defendants' conduct also violates Labor Code §§ 201 and/or 202, and therefore these individuals are also be entitled to waiting time penalties under California Labor Code § 203, which penalties are sought herein on behalf of these Aggrieved Employees. Defendants' failure to timely pay all wages owed also violated Labor Code § 204 and resulted in violations of Labor Code § 226 because they resulted in the issuance of inaccurate wage statements. Defendants' conduct as alleged herein was willful, intentional and not in good faith. Further, Plaintiff and other Aggrieved Employees are entitled to seek and recover statutory costs.

Under the PAGA, and following exhaustion of the notice period, Plaintiff is seeking all civil and statutory penalties available and applicable under Labor Code §§ 510, 558, 1194.2, 1197.1, 1199, 2699(f)(2), and 2699.5, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).

Failure to Pay Wages and Overtime in Violation of Labor Code § 510

Defendants violated the law by failing to pay Aggrieved Employees earned wages and overtime. Specifically, Defendants had a consistent policy of not paying Employees wages for all hours worked, including hours at their required regular, overtime, and double-time rates. Defendants, and each of them, have intentionally and improperly rounded, changed, adjusted and/or modified certain employees' hours, including Plaintiff's, or required Aggrieved Employees to do so, or otherwise caused them to work off the clock to avoid paying Aggrieved Employees all earned and owed straight time and overtime wages and other benefits, in violation of the California Labor Code, the California Code of Regulations and the IWC Wage Orders and guidelines set forth by the Division of Labor Standards and Enforcement. Defendants have also violated these provisions by requiring Plaintiff and other similarly situated non-exempt employees to work through meal periods when they were required to be clocked out or to otherwise work off the clock to complete their daily job duties or to attend and participate in company required activities or remain under Defendant's control. When considered in combination with the unlawful rounding to their detriment, Aggrieved Employees were not properly compensated, nor were they paid overtime rates or the correct overtime rate (addressed above) for hours worked in excess of eight hours in a given day, and/or forty hours in a given week. Based on information and belief, Defendants did not make available to Employees a reasonable protocol for correcting time records when Employees worked overtime hours or to fix incorrect time entries or those that Defendants unlawfully under-recorded to the Employee's detriment. Defendants have also violated these provisions by requiring Plaintiff and other similarly situated Aggrieved Employees to work through meal periods when they were required to be clocked out or to otherwise work off the clock to complete their daily job duties.



In light of the forgoing, Aggrieved Employees seek to recover wages and penalties pursuant to the California Labor Code, including Labor Code §§ 204, 510, 558, 1174, 1194, 1198, 1199, and the relevant paragraphs of the IWC Wage Orders, including paragraphs 2(K), 2(S), 3(A), 4, and 20. Defendants are liable to Employees for the full amount of all their unpaid wages and overtime compensation, with interest, plus their reasonable attorneys' fees and costs, as well as the assessment of any statutory penalties against Defendants, and each of them, and any additional sums as provided by the Labor Code and/or other statutes and Wage Order provisions. Under the PAGA, and following exhaustion of the notice period, Plaintiff is seeking all civil and statutory penalties available and applicable under Labor Code §§ 510, 558, 1194.2, 1197.1, 1199, 2699(f)(2), and 2699.5, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).

Meal-Period Liability Under Labor Code § 226.7 and Penalties

Defendants have regularly required Aggrieved Employees to work shifts in excess of five hours without providing them with uninterrupted meal periods of not less than thirty minutes as required under Labor Code §§ 226.7, 512, and paragraph 11 of the applicable Wage Orders. Specifically, Aggrieved Employees were forced to incur shortened meal periods due to the work demands placed upon them by Defendants' management, were provided with breaks late and well after the fifth hour of work, could not be relieved to take breaks, or were required to remain on-duty at all times and were unable to take off-duty breaks or were otherwise not provided with the opportunity to take required breaks due to Defendants' policies and practices.

On the occasions when Aggrieved Employees were provided with a meal period, it was often untimely or interrupted by having to respond to work demands or remain under Defendant's control, in violation of the Labor Code and applicable Wage Orders. On shifts over ten hours, second meal periods were not provided. Defendants also failed to pay Aggrieved Employees "premium pay," i.e., one hour of wages at each Aggrieved Employee's effective regular rate of pay, for each meal period that Defendants failed to provide or deficiently provided. Therefore, pursuant to Labor Code § 226.7 and paragraphs 11 and 20 of the applicable IWC Wage Order, Aggrieved Employees are entitled to damages in an amount equal to one (1) hour of wages at their effective hourly rates of pay for each meal period not provided or deficiently provided, as well as the assessment of any statutory penalties against the Defendants, and each of them, in a sum as provided by the Labor Code, including Labor Code § 558 and other applicable statutes and Wage Order provisions.

Under the PAGA, and following exhaustion of the notice period, Plaintiff is seeking all civil and statutory penalties and wages as available and applicable under Labor Code §§ 226.7, 512, 558, including sections 558(a)(1)-(3), 1199, 2699(f)(2) and 2699.5, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).

Rest-Break Liability and Penalties

Defendants have consistently failed to provide Aggrieved Employees with paid rest breaks of not less than ten minutes for every work period of four or more consecutive hours, as required under Labor Code § 226.7. Specifically, Defendants provided rest periods untimely or for shortened durations due to the work demands placed upon them by Defendants' management, and



Defendants' policy discouraged and effectively prevented Aggrieved Employees to from leaving Defendants' premises. Defendants also failed to pay Aggrieved Employees premium pay for each day on which requisite rest breaks were not provided or were deficiently provided.

Therefore, pursuant to Labor Code § 226.7 and paragraphs 12 and 20 of the applicable IWC Wage Order, Aggrieved Employees are entitled to damages in an amount equal to one hour of wages at their effective hourly rates of pay for each meal period not provided or deficiently provided, as well as the assessment of any statutory penalties against the Defendants, and each of them, in a sum as provided by the Labor Code, including Labor Code § 558 and other applicable statutes and Wage Order provisions. Under the PAGA, and following exhaustion of the notice period, Plaintiff is seeking all civil and statutory penalties and wages as available and applicable under Labor Code §§ 226.7, 512, 558, including sections 558(a)(1)-(3), 1199, 2699(f)(2), and 2699.5, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).

Failure to Issue Accurate Wage Statements in Violation of Labor Code § 226

California Labor Code § 226(a) requires an employer to furnish each employee with an accurate, itemized wage statements in writing. These statements must be appended to the detachable part of the check, draft, voucher, or whatever else serves to pay the employee's wages; or, if wages are paid by cash or personal check, these statements may be given to the employee separately from the payment of wages; in either case the employer must give the employee these statements twice a month or each time wages are paid. As a direct and proximate cause of Defendants' violation of Labor Code § 226(a), Aggrieved Employees suffered injuries, including among other things confusion over whether they received all wages owed them, the difficulty and expense involved in reconstructing pay records, and forcing them to make mathematical computations to analyze whether the wages paid in fact compensated them correctly for all hours worked.

Defendants failed to provide Aggrieved Employees with accurate itemized wage statements in writing, as required by the Labor Code. Specifically, the wage statements given to Aggrieved Employees failed to accurately account for unpaid wages, overtime, premium pay for deficiently provided meal periods and rest breaks, and unlawfully received or collected wages, as discussed above, and failed to list accurate rates of pay due to miscalculations of overtime and premium pay. In light of these violations, and pursuant to Labor Code §§ 226(a) and 226(e) and paragraphs 4(b), 7, and 20 of the applicable IWC Wage Orders, Aggrieved Employees are entitled to recover the greater of all actual damages or fifty dollars (\$50) for the initial pay period in which a violation occurs and one hundred dollars (\$100) for each violation in a subsequent pay period, not exceeding an aggregate penalty of four thousand dollars (\$4,000). They are also entitled to an award of costs and reasonable attorneys' fees.

Under the PAGA, and following exhaustion of the notice period, Plaintiff asserts PAGA claims for failure to provide Aggrieved Employees with accurate, itemized wage statements and failure to maintain employment records for Plaintiff and Aggrieved Employees under Labor Code §§ 226, 1174, and 1198.5, and Sections 7(A), 7(B), and 7(C) of the applicable IWC Wage Order(s) seeking all civil and statutory penalties and wages available and applicable under Labor Code §§ 226(e), 226.3, 558, 1174.5, 1199, and 2699(f)(2), and paragraph 20 of the applicable IWC Wage



Order, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1). More specifically, by providing inaccurate wage statements and failing to maintain records as required under Labor Code § 226(a), Defendants committed ongoing violations giving rise to civil penalties under Labor Code § 226.3, which in addition to other penalties provided by law, subjects Defendants to "a penalty in the amount of two hundred fifty dollars (\$250) per employee per violation in an initial citation and one thousand dollars (\$1,000) per employee for each violation in a subsequent citation, ..."

Unlawfully Collecting or Receiving Wages in Violation of Labor Code § 221

Labor Code § 221 provides, "It shall be unlawful for any employer to collect or receive from an employee any part of wages theretofore paid by said employer to said employee." As discussed above, Defendants have consistently violated Labor Code § 221 by unlawfully collecting or deducting the Employees' earned wages, including by the above described off-the-clock work and unlawful rounding and overtime miscalculation. By not compensating Employees for all hours worked, Defendant unlawfully deducted wages earned by and owed to Aggrieved Employees, in violation of Labor Code § 221. In light of the foregoing, Plaintiff and Aggrieved Employees seek to recover penalties pursuant to Labor Code § 558. Under the PAGA, and following exhaustion of the notice period, Plaintiff seeking all civil and statutory penalties available and applicable under Labor Code §§ 510, 558, 1194, 2699(f)(2), and 2699.5, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).

Failure to Issue Semimonthly Payments in Violation of Labor Code § 204

In failing to pay Aggrieved Employees wages, overtime, premium pay, etc., Defendants failed to timely pay Aggrieved Employees wages on a semimonthly basis as required under Labor Code § 204. Defendants failed to maintain records showing accurate hours worked daily and the wages paid to Aggrieved Employees, as well as the meal and rest periods taken by Aggrieved Employees, as required by Labor Code § 1174 and the applicable IWC wage orders. In light of the foregoing, Plaintiff and Aggrieved Employees seek to recover wages and penalties, interest, fees and costs pursuant to Labor Code §§ 210, 218.5, 558, and 1194. In failing to pay Aggrieved Employees minimum wages and overtime, by unlawful rounding and off-the-clock work, by not providing proper meal and rest periods, failing to provide accurate itemized wage statements, and failing to pay Employees wages upon termination or timely upon resignation, all discussed above, Defendants failed to timely pay Aggrieved Employees wages on a semimonthly basis as required under Labor Code § 204. Defendants also failed to maintain records showing accurate hours worked daily and the wages paid to Aggrieved Employees, as required by Labor Code § 1174 and paragraph 7 of the applicable IWC Wage Orders, entitling Aggrieved Employees to recover penalties pursuant to paragraph 20 of the applicable IWC Wage Orders.

Under the PAGA, and following exhaustion of the notice period, Plaintiff asserts PAGA claims for failure to timely pay all wages owed, including at least semi-monthly and upon separation or termination, under Labor Code §§ 203, 204, 2926, 2927, and 2699.5 seeking all civil penalties available and applicable under Labor Code §§ 204, 210, 558, 1197, 1198, 1199 and 2699(f)(2), and paragraph 20 of the applicable IWC Wage Orders, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).



Waiting Time Penalties Pursuant to Labor Code § 203

Some Aggrieved Employees no longer work for Defendants; they either resigned or were terminated from Defendants' employ. Based on the foregoing, Defendants have had a consistent policy of failing to pay all wages earned by and owed to Aggrieved Employees at the time of their termination of within seventy-two hours of their resignation, as required by California under Labor Code § 203. In light of the foregoing, Plaintiff and Aggrieved Employees seek to recover wages and penalties pursuant to Labor Code § 558 and further specifically seek penalties and wages under Labor Code § 203, which provides that an employee's wages shall continue until paid for up to thirty (30) days from the date they were due. Under the PAGA, and following exhaustion of the notice period, Plaintiff asserts PAGA claims for failure to timely pay all wages owed, including at least semi-monthly and upon separation or termination, under Labor Code §§ 201, 201, 203, 204, 1197.5, 2926, and 2927 seeking all civil penalties available and applicable under Labor Code §§ 201, 202, 203, 210, 558, 1197, 1198, 1199, 2699(f)(2), 2699.5, and paragraph 20 of the applicable IWC Wage Orders, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).

Failure to Keep Records In Accordance With Labor Code § 1174

Labor Code § 1174 requires employers to keep records showing payroll data and the hours worked daily by and the wages paid to employees. By failing to pay Aggrieved Employees proper wages, overtime, premium pay, etc., all discussed herein, Defendants failed to maintain proper employment records in accordance with Section 1174. In light of the foregoing, Plaintiff and Aggrieved Employees seek to recover penalties pursuant to Labor Code §§ 1174.5 and 2699, *et seq.* Defendants have also failed to comply with paragraph 7 of the applicable California IWC Wage Orders by failing to maintain time records showing when the employee begins and ends each work period, meal periods, wages earned pursuant to Labor Code § 226.7, and total daily hours worked by itemizing in wage statements all deductions from payment of wages and accurately reporting total hours worked by the Aggrieved Employees, and they are entitled to recover penalties pursuant to paragraph 20 of the applicable IWC Wage Orders.

Under the PAGA, and following exhaustion of the notice period, Plaintiff asserts PAGA claims for failure to provide Plaintiff and the Aggrieved Employees with accurate, itemized wage statements and failure to maintain employment records for Plaintiff and Aggrieved Employees under Labor Code §§ 226, 1174, 1198, and 1198.5, and Sections 7(A), 7(B), and 7(C) of the applicable IWC Wage Order(s) seeking all civil and statutory penalties and wages available and applicable under Labor Code §§ 558, 1174.5, 1199, and 2699(f)(2), 2699.5, and paragraph 20 of the applicable IWC Wage Order, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).

Failure to Provide Paid Sick Days and COVID-19 Supplemental Sick Leave

Defendants violated California Labor Code § 246 by not providing all required paid sick days to Plaintiff and the Aggrieved Employees. Labor Code § 246 provides that an Employee who "works in California for the same employer for 30 or more days within a year from the commencement of employment is entitled to paid sick days as specified in this section." Defendants failed to provide Plaintiffs and the other similarly Aggrieved Employees with all



their required and earned sick days, in violation of Labor Code § 246. Defendants have also failed to provide COVID-19 supplemental sick leave to the Aggrieved Employees during the relevant time period, including by failing to provide two work weeks of COVID-19 supplemental paid sick leave as required under Labor Code §§ 248.1 and 248.2 and including damages and penalties as these sections are enforced through Labor Code § 248.5, which requires that: “If paid sick days were unlawfully withheld, the dollar amount of paid sick days withheld from the employee multiplied by three, or two hundred fifty dollars (\$250), whichever amount is greater, but not to exceed an aggregate penalty of four thousand dollars (\$4,000), shall be included in the administrative penalty.”

Plaintiff accordingly seeks this relief available for Defendants’ failure to provide Aggrieved Employees with all their required and earned sick days and all their COVID-19 supplemental sick leave in violation of Labor Code §§ 246, 248.1, and the civil and statutory penalties available and applicable under Labor Code §§ 246, 248.1, 248.2, 248.5, 558, 1194.2, 1197.1, 1198, 1199, and 2699(f)(2), and also for attorneys’ fees and costs pursuant to Labor Code § 2699(g)(1).

Failure to Provide Information Upon Hiring Pursuant to Labor Code § 2810.5:

Labor Code § 2810.5 provides that: “At the time of hiring, an employer shall provide to each employee a written notice, in the language the employer normally uses to communicate employment-related information to the employee, containing” specifically required information. Upon information and belief, Defendants failed to provide this information to the Aggrieved Employees as required under Labor Code § 2810.5. Under the PAGA, and following exhaustion of the notice period, Plaintiff seeks all civil and statutory penalties available and applicable under Labor Code §§ 2810.5, 1198, and 2699(f)(2), and also for attorneys’ fees and costs pursuant to Labor Code § 2699(g)(1).

Failure to Reimburse Necessary Business Expenses Under Labor Code § 2802

Defendants required Plaintiff and the Aggrieved Employees to pay for necessary work-related expenses they incurred. These included the cell phone-related and uniform maintenance expenses Plaintiff incurred in responding to calls, texts, and emails from managers. Defendants thus uniformly failed to reimburse those lawful and necessary work-related expenses or losses incurred in direct discharge of their job duties during employment with Defendants and at the direction of the Defendants pursuant to Labor Code § 2802(a) and the applicable IWC Wage Orders, paragraph 9. Defendants are liable for PAGA penalties resulting from their failure to reimburse necessary business expenses incurred by the Aggrieved Employees.

Accordingly, Aggrieved Employees are entitled to recover, and hereby seek through this Representative Action, all civil and statutory penalties authorized for violations of California Labor Code §§ 1198 and 2802, and Section 9(B) of the applicable IWC Wage Orders, and Plaintiff seeks all civil and statutory penalties available and applicable under Labor Code §§ 2802 and 2699(f)(2), and also attorneys’ fees and costs pursuant to Labor Code § 2699(g)(1).



Unfair Competition Under Business and Professions Code § 17200, et seq.

Consequently, Defendants' conduct has been and continues to be unfair, unlawful, and harmful to Aggrieved Employees and the general public in violation of Business & Professions Code § 17200 *et seq.*

Plaintiff's PAGA Claim under Labor Code § 2698 et seq. and Recovery Bases:

After complying with the notice procedures of Labor Code § 2699.3 and the running of the notice period after the filing of the attached Class Action Complaint, Plaintiff will file an amended Complaint asserting, as a representative action on behalf of the California Attorney General and the other similarly Aggrieved Employees, a PAGA claim for violations of the above addressed underlying claims and seeking penalties as specified by the applicable corresponding provisions.

Plaintiff and the Aggrieved Employees seek penalties under Labor Code §§ 2698 and 2699 for Defendants' violation of Labor Code provisions included under Labor Code § 2699.5, including the penalty provisions, without limitation, based, *inter alia*, on the following California Labor Code sections: 201, 202, 203, 204, 226, 226.7, 246, 248.1, 248.2, 248.5, 510, 512, 558, 1174, 1174.5, 1185, 1194, 1194.1, 1194.2, 1197, 1197.1, 1198, 1198.5, 1199, 2802, 2810.5, and 2698 *et seq.*, including as follows:

(a) **Wage Claims:** For failure to provide Plaintiff and the Aggrieved Employees all earned regular pay and minimum wages for regular hours worked and for failure to pay overtime premium wages for overtime hours worked under Labor Code §§ 510, 1194(a), 1197, and 1198, and paragraphs 2(K), 3(A), 4(A), 4(B) and 20 of the applicable IWC Wage Order(s) seeking all civil and statutory penalties available and applicable under Labor Code §§ 510, 558, 1194.2, 1197.1, 1198, 1199, 2699(f)(2), and 2699.5, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1);

(b) **Meal and Rest Period Claims:** For failure to provide Plaintiff and the Aggrieved Employees off-duty, timely, and unpaid meal periods and failure to authorize and permit them to take off-duty, timely, and paid rest periods, or pay one hour of regular pay in lieu thereof, under Labor Code §§ 512, 1198, and 226.7, and Sections 11, 12(A), and 12(B) of the applicable IWC Wage Order(s) seeking all civil and statutory penalties available and applicable, including under paragraph 20 of the applicable IWC Wage Orders, and wages under Labor Code §§ 226.7, 512, 558, including sections 558(a)(1)-(3), 1199 and 2699(f)(2), and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1);

(c) **Inaccurate Wage Statements and Failure to Maintain Records:** For failure to provide Plaintiff and the Aggrieved Employees with accurate, itemized wage statements and failure to maintain employment records for Plaintiff and Aggrieved Employees under Labor Code §§ 226, 1174, and 1198.5, and Sections 7(A), 7(B), and 7(C) of the applicable IWC Wage Order(s) seeking all civil and statutory penalties and wages available and applicable under Labor Code §§ 226(e), 226.3, 558, 1174.5, 1199, and 2699(f)(2), and paragraph 20 of the applicable IWC Wage Order, and also for attorneys' fees and costs pursuant to Labor Code § 2699(g)(1).



More specifically, by providing inaccurate wage statements and failing to maintain records as required under Labor Code § 226(a), Defendants committed ongoing violations giving rise to civil penalties under Labor Code § 226.3, which in addition to other penalties provided by law, subjects Defendants to “a penalty in the amount of two hundred fifty dollars (\$250) per employee per violation in an initial citation and one thousand dollars (\$1,000) per employee for each violation in a subsequent citation, ...”;

(d) Failure to Timely Pay Wages: For failure to timely pay all wages owed, including at least semi-monthly and upon separation or termination, under Labor Code §§ 201, 201, 203, 204, 1197.5, 2926, and 2927 seeking all civil penalties available and applicable under Labor Code §§ 201, 202, 203, 210, 558, 1197, 1198, 1199 and 2699(f)(2), and paragraph 20 of the applicable IWC Wage Orders, and also for attorneys’ fees and costs pursuant to Labor Code § 2699(g)(1);

(e) Unlawful Deductions and Failure to Reimburse Business Expenses: For Defendants’ unlawful deductions from wages and for Defendants’ failure to reimburse the necessary work related expenses incurred under Labor Code §§ 221, 1197, 1197.1, 1198, and 2802, and Sections 2(K), 4, 9, and 20 of the applicable IWC Wage Order(s), seeking civil penalties available and applicable under Labor Code §§ 221, 1197, 1197.1, 1198, 2802, 2699.5, and 2699(f)(2) and paragraph 9 and 20 of the IWC Wage Orders, and also attorneys’ fees and costs pursuant to Labor Code § 2699(g)(1);

(f) Failure to Provide Paid Sick Days and COVID-19 Supplemental Sick Leave: For Defendants’ failure to provide Employees with all their required and earned sick days and all their COVID-19 supplemental sick leave in violation of Labor Code §§ 246, 248.1, 248.2, and the civil and statutory penalties available and applicable under Labor Code §§ 246, 248.1, 248.2, 248.5, 558, 1194.2, 1197.1, 1198, 1199, and 2699(f)(2), and also for attorneys’ fees and costs pursuant to Labor Code § 2699(g)(1);

(g) Failure to Reimburse Business Expenses: For Defendants’ failure to reimburse the necessary work related expenses incurred under Labor Code §§ 1197, 1197.1, 1198, and 2802, and Sections 2(K), 4, 9, and 20 of the applicable IWC Wage Order(s), seeking civil penalties available and applicable under Labor Code §§ 1197, 1197.1, 1198, 2802, 2699.5, and 2699(f)(2) and paragraph 9 and 20 of the IWC Wage Orders, and also attorneys’ fees and costs pursuant to Labor Code § 2699(k)(1);

(h) All Other Alleged Violations of the Labor Code and IWC Wage Orders: For Labor Code §§ 212, 2810.5, and any above-addressed violation of the applicable provisions of the Labor Code and IWC Wage Orders constituting violations of Labor Code § 1198 and Labor Code § 2699.5, and seeking penalties available and applicable under Labor Code § 2699(f)(2) and paragraph 20 of the applicable IWC Wage Orders, and for attorneys’ fees and costs pursuant to Labor Code § 2699(g)(1).



We have been constrained to initially move forward with the filing of this Complaint, alleging the causes of action discussed herein, without the PAGA claim. Thus, any action by the LWDA would not resolve the entirety of the case, and the interest of judicial economy will be served by allowing the case to proceed as a cohesive whole.

This letter and the attached Complaint therefore provide the requisite notice under the Act to the LWDA and Defendants by setting forth the specific provisions of the Labor Code and related provisions Plaintiff alleges have been violated, including the facts and relevant theories alleged against Blaze Pizza Operations, LLC and Blaze Pizza, LLC any other named Defendants and joint employers. To prevent the violations described in the Complaint and herein from occurring, and to remedy past violations, Plaintiff respectfully requests that the LWDA conduct an investigation into Defendants' employment practices in accordance with the Act.

Wherefore we request that you advise us, by certified mail within sixty days of the postmark on this letter, whether you intend to proceed with these claims or whether Plaintiff and the Aggrieved Employees should include them in an amended Labor Code § 2698 *et seq.* claim under the Act in an amended Complaint.

Regards,

D.LAW INC.

Alvin B. Lindsay

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Cc: Blaze Pizza Operations LLC and Blaze Pizza LLC by Certified Mail

EXHIBIT 3