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SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF SACRAMENTO

SEPIDEH MEHRAD HOSSEINI, on behalf of
herself and all others similarly situated,

Plaintiff,

v.

HEARTLAND DENTAL, LLC, a Delaware
Limited Liability Company; and DOES 1-50,
inclusive.

Defendants.

CASE NO.: 24CV020489

Assigned to the Hon. Jill H. Talley

**PLAINTIFF’S MOTION FOR
PRELIMINARY APPROVAL OF
CLASS ACTION SETTLEMENT**

*[Declarations of Mehrdad Bokhour, Joshua
Falakassa, Sepideh Mehrad Hosseini, and
[Proposed] Order filed concurrently
herewith]*

HEARING INFORMATION

Date: April 10, 2026
Time: 9:00 a.m.
Dept.: 23

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that on April 10, 2026, at 9:00 a.m., or as soon thereafter as the matter may be heard, in Department 23 of the Sacramento County Superior Court, located at 720 Ninth Street, Sacramento, California 95814, before the Honorable Jill H. Talley, Plaintiff Sepideh Mehrad Hosseini, individually and on behalf of all others similarly situated, will and hereby does move the Court for an order:

1. Certifying the proposed Settlement Class for settlement purposes only;
2. Preliminarily appointing Plaintiff Sepideh Mehrad Hosseini as the Class Representative for settlement purposes only;
3. Appointing Mehrdad Bokhour of Bokhour Law Group, P.C. and Joshua S. Falakassa of Falakassa Law, P.C. as Class Counsel for settlement purposes only;
4. Preliminarily approving the Class Action and PAGA Settlement Agreement as fair, reasonable, and adequate;
5. Approving the form and manner of the Class Notice and directing its dissemination to Class Members, including notice of their rights to request exclusion from or object to the Settlement;
6. Setting a date for the Final Approval Hearing to determine whether the Settlement should be granted final approval.

This Motion is based upon this Notice of Motion and Motion, the accompanying Memorandum of Points and Authorities, the Declarations of Mehrdad Bokhour, Joshua S. Falakassa, and Plaintiff Sepideh Mehrad Hosseini, the Class Action and PAGA Settlement Agreement, the Proposed Order Granting Preliminary Approval, the pleadings and records on file in this action, and such other oral or documentary evidence as may be presented at the hearing.

Pursuant to Local Rule 1.06(A), the Court will issue a tentative ruling by 2:00 p.m. on the court day before the hearing. Tentative rulings may be accessed online via the Court's website. Parties without internet access may obtain the ruling by calling the department's dedicated telephone number, listed in the local telephone directory, between 2:00 p.m. and 4:00

1 p.m. on the court day before the hearing. If no party contacts the Court and opposing counsel by
2 4:00 p.m. the day before the hearing, the matter will be deemed submitted, and no oral argument
3 will be heard.
4

5 Dated: March 19, 2026,

BOKHOUR LAW GROUP, P.C.
FALAKASSA LAW, P.C.

8 BY:

Mehrdad Bokhour, Esq.
Joshua Falakassa, Esq.
Attorneys for Plaintiff and the Putative Class

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I. INTRODUCTION**

3 Through this Motion, Plaintiff Sepideh Mehrad Hosseini (“Plaintiff”), individually and
4 on behalf of all others similarly situated, seeks preliminary approval of the Class Action
5 Settlement Agreement. Subject to Court approval, Plaintiff and Defendant Heartland Dental,
6 LLC (“Defendant”) (collectively the “Parties”) have agreed to settle the claims asserted in this
7 Action and the proposed Class Members’ claims against Defendant for a Gross Settlement
8 Amount of \$392,265.

9 Plaintiff seeks to represent a settlement Class consisting of all persons who are or were
10 employed by Defendant Heartland Dental, LLC in California and classified as non-exempt
11 hourly employees at any time during the Class Period, defined as October 9, 2020, through the
12 date of preliminary approval of the settlement (the “Class” or “Class Members”).

13 Likewise, the PAGA Members include all persons who are or were employed by
14 Defendant in California and classified as non-exempt hourly employees at any time during the
15 PAGA Period, defined as October 9, 2023, through the date of preliminary approval of the
16 settlement (“PAGA Members”).¹

17 Under the terms of the Settlement Agreement, Defendant will not oppose Class
18 Counsel’s application for an award of attorneys’ fees not to exceed \$130,755, representing one-
19 third of the Gross Settlement Amount, reimbursement of Class Counsel’s litigation expenses not
20 to exceed \$25,000, Settlement Administration Expenses not to exceed \$7,500, PAGA penalties
21 in the total amount of \$10,000, of which \$6,500 or 65 percent will be paid to the California
22 Labor and Workforce Development Agency and \$3,500 or 35 percent will be paid to the PAGA
23 Members, and a Class Representative Service Payment not to exceed \$10,000 to Plaintiff.
24 (Settlement Agreement ¶¶ 3.2 *et seq.*):

25
26
27 ¹ The Class Action and PAGA Settlement Agreement and Release of Claims is attached as
28 **Exhibit “A”** to the Declaration of Mehrdad Bokhour (“Bokhour Declaration”) submitted herewith and is
referred to herein as the Settlement Agreement or the Settlement. The proposed Class Notice is attached
as **Exhibit “B”** to the Bokhour Declaration.

	Total Amount
<i>Gross Settlement Amount</i>	\$392,265
Class Counsel Fees Payment	\$130,755
Class Counsel Litigation Expenses Payment	\$25,000
Administration Expenses Payment	\$7,500
PAGA Penalties to LWDA (65% of \$100 award)	\$6,500
PAGA Penalties to PAGA Members	\$3,500
Class Representative Service Payment	\$10,000
<i>Net Settlement Amount</i>	\$209,010

The Settlement Class consists of approximately 114 non-exempt hourly employees who worked for Defendant in California during the Class Period. Plaintiff alleges that, regardless of job title or position, all non-exempt hourly employees were subject to the same wage and hour policies and practices.

Individual Class Payments will be distributed on a pro rata basis based on the number of Workweeks each Participating Class Member worked during the Class Period, as reflected in Defendant's payroll records. Class Members who worked more Workweeks during the Class Period will receive proportionally larger Individual Class Payments.

Based on Defendant's records, Class Members collectively worked approximately 8,717 Workweeks during the Class Period. After deductions approved by the Court, the Net Settlement Amount will be distributed on a pro rata basis according to each Participating Class Member's number of Workweeks. Based on these figures, the average Individual Class Payment is conservatively estimated to be approximately \$1,750 to \$1,950. A Class Member who worked the entire Class Period would receive the highest Individual Class Payment, which is estimated to be approximately \$5,900 to \$6,000. Class Members who worked fewer Workweeks will receive proportionally smaller payments.

The Settlement is non-reversionary, and Settlement Class Members are not required to submit claim forms to receive payment. Each Settlement Class Member will be mailed a Court-approved Class Notice containing information regarding the estimated Individual Class Payment, the opportunity to challenge the number of Workweeks credited during the Class Period, and instructions for submitting a Request for Exclusion or an Objection to the Settlement.

1 All Settlement Class Members who do not timely submit a valid Request for Exclusion
2 will automatically receive their Individual Class Payment following the Court’s grant of Final
3 Approval. PAGA Members eligible for Individual PAGA Payments may not opt out of the
4 PAGA portion of the Settlement and will receive their share of the PAGA Penalties regardless
5 of whether they participate in the class settlement.

6 Because the Settlement is fair, reasonable, and adequate, and is the result of arm’s length
7 negotiations between experienced counsel with the assistance of an experienced mediator,
8 following a thorough exchange of relevant information and documents, it warrants preliminary
9 approval. Plaintiff respectfully requests that the Court enter an order: (1) preliminarily
10 approving the Settlement; (2) conditionally certifying the Settlement Class pursuant to
11 California Code of Civil Procedure section 382 for settlement purposes only; (3) approving the
12 form and manner of dissemination of the proposed Class Notice; (4) appointing Plaintiff
13 Sepideh Mehrad Hosseini as Class Representative and appointing Mehrdad Bokhour of
14 Bokhour Law Group, P.C. and Joshua S. Falakassa of Falakassa Law, P.C. as Class Counsel for
15 settlement purposes only; (5) appointing CPT Group, Inc. as the Settlement Administrator; and
16 (6) scheduling a Final Approval Hearing. (Bokhour Decl. ¶ 12.)

17 **II. BACKGROUND**

18 **A. THE PARTIES AND THE PROPOSED CLASS**

19 Defendant Heartland Dental, LLC, provides non-clinical administrative support (such as
20 Human Resources, finance, and marketing) to affiliated dental offices and doctors across the
21 State of California, allowing them to focus on patient care. (Bokhour Decl. ¶ 13.)

22 Plaintiff was employed by Defendant as a non-exempt employee with the title of
23 “Patient Services Representative”, beginning on February 13, 2023, until her separation from
24 employment on March 29, 2024.

25 Plaintiff, along with other non-exempt hourly employees, including, but not limited to,
26 Dental Receptionist, Front Desk Receptionist, Dental Hygienist, Dental Assistant, Patient
27 Services Representative, Benefits Administrator, Financial Accountant, Payroll Specialist, and
28 all other non-exempt positions, were responsible for supporting various aspects of Defendant’s

business operations in California. Plaintiff alleges that, in performing these duties, Defendant failed to consistently provide Plaintiff and other non-exempt hourly employees with all wages, meal and rest periods, and other protections required by the California Labor Code and applicable wage orders. (Bokhour Decl. ¶ 14.)

The proposed Settlement Class consists of all individuals who are or were employed by Defendant in California as non-exempt hourly employees at any time during the Class Period, defined as October 9, 2020, through the date of preliminary approval of the settlement. (Settlement Agreement ¶¶ 1.8, 1.11, 1.13.)

B. PROCEDURAL HISTORY

On October 9, 2024, Plaintiff provided notice to the California Labor and Workforce Development Agency pursuant to Labor Code section 2699.3, subdivision (a). (Settlement Agreement, Recitals.)

On the same date, Plaintiff filed a Class Action in Sacramento County Superior Court, asserting class claims based on Defendant's alleged: (1) failure to pay overtime wages; (2) failure to provide meal periods; (3) failure to provide rest breaks; (4) failure to pay sick time; (5) failure to provide accurate itemized wage statements; (6) failure to pay all wages due upon separation of employment; (7) failure to reimburse necessary business expenses; and (8) violation of California's Unfair Competition Law("UCL").

On June 6, 2025, Plaintiff filed a First Amended Complaint ("FAC") adding a PAGA cause of action. (Bokhour Decl. ¶ 15; Settlement Agreement, Recitals.)

Throughout the investigation and litigation of this Action, Defendant denied Plaintiff's allegations and maintained that it complied with all applicable laws. Prior to engaging in protracted and expensive litigation, however, the Parties agreed to explore early resolution through private mediation.

In preparation for mediation and settlement discussions, the Parties conducted a thorough investigation into the facts and legal issues presented by the Action. This investigation included interviews with putative class members, an analysis of Defendant's operations and compensation practices, and the informal exchange of discovery materials, including

1 Defendant's written policies and procedures, as well as a representative sampling of payroll and
2 timekeeping records for Settlement Class Members and PAGA Members. Class Counsel has
3 extensive experience litigating wage and hour class actions and PAGA representative actions,
4 and conducted substantial legal and factual analysis of the claims and potential defenses at issue.
5 Class Counsel diligently investigated the claims asserted on behalf of the Settlement Class
6 Members and PAGA Members.

7 Based on this investigation and their independent evaluation of the strengths and risks of
8 the claims, Class Counsel believes that the proposed Settlement is fair, reasonable, and
9 adequate, and is in the best interests of the Settlement Class Members and PAGA Members,
10 particularly in light of the risks associated with continued litigation, Defendant's asserted
11 defenses, and potential appellate issues.

12 On December 12, 2025, the Parties participated in an all-day mediation presided over by Kelly
13 Knight, Esq., which resulted in the proposed Settlement by way of a mediator's proposal.
14 (Bokhour Decl. ¶ 17; Settlement Agreement, Ex. A.)

15 The Parties now respectfully request that the Court grant preliminary approval of the
16 Settlement Agreement.

17 **C. SUMMARY OF PLAINTIFF'S CLAIMS**

18 Plaintiff alleges various wage and hour violations on behalf of non-exempt hourly
19 employees who worked for Defendant in California during the Class Period. (Bokhour Decl. ¶
20 18.)

21 First, Plaintiff alleges that Defendant failed to pay all wages, and failed to properly
22 calculate and pay overtime wages and sick pay by excluding non-discretionary bonuses and
23 other forms of remuneration from the "regular rate of pay." (Bokhour Decl. ¶ 19.)

24 Next, Plaintiff alleges that Defendant maintained unlawful meal and rest period policies
25 and practices that failed to provide Settlement Class Members with timely, duty-free, and
26 compliant meal periods or premium wages in lieu thereof, and failed to provide duty-free rest
27 periods of at least ten minutes for every four hours worked or a major fraction thereof. Plaintiff
28 alleges that these policies required employees to remain on duty and or on the premises during

1 rest periods.

2 Plaintiff further alleges that Defendant failed to reimburse Settlement Class Members
3 for necessary business expenses, including the required use of personal cell phones for work-
4 related purposes. (Bokhour Decl. ¶ 20.)

5 Plaintiff additionally alleges that Defendant failed to timely pay all wages due upon
6 separation of employment and failed to furnish accurate itemized wage statements in violation
7 of Labor Code section 226.

8 Finally, Plaintiff asserts representative claims under the Private Attorneys General Act
9 (“PAGA”), seeking civil penalties for the alleged Labor Code violations described above.
10 (Bokhour Decl. ¶ 21.)

11 **D. SUMMARY OF DEFENDANT’S DEFENSES**

12 Defendant contested and denied all material allegations raised by Plaintiff, both on the
13 merits and with respect to the propriety of class certification and PAGA representative
14 treatment. (Bokhour Decl. ¶ 22.)

15 Defendant asserted that it paid all wages owed at the proper rates, and its timekeeping
16 practices were lawful and neutrally applied, that it properly calculated and paid all overtime and
17 sick pay using the correct “regular rate of pay” calculation, and that its meal and rest period
18 policies complied with California law and were implemented in practice. Defendant denied
19 requiring employees to remain on duty or on the premises during rest periods. (Bokhour Decl. ¶
20 23.)

21 Moreover, Defendant contended that Plaintiff’s derivative claims for wage statement
22 violations, waiting time penalties, and PAGA penalties failed in the absence of any underlying
23 Labor Code violations, and denied any obligation to pay such penalties. Defendant also asserted
24 that the use of personal cell phones was not required for business purposes and that a
25 reimbursement policy was available for qualifying expenses. (Bokhour Decl. ¶ 24.)

26 Finally, Defendant argued that the Action was unsuitable for class or representative
27 treatment because liability would require individualized inquiries into employees’ job duties,
28 break practices, and managerial oversight. Defendant also asserted that Plaintiff was not a

1 typical class representative because he worked in a role that comprised approximately 25
2 percent of the proposed class, while the remaining Class Members held materially different
3 positions with distinct duties and experiences. Defendant contended that these differences
4 defeated typicality and predominance. (Bokhour Decl. ¶ 25.)

5 **III. SUMMARY OF THE PROPOSED SETTLEMENT**

6 The following is a summary of the principal terms of the Settlement Agreement.

7 **A. SETTLEMENT CONSIDERATION AND ALLOCATION**

8 The Settlement provides for a non-reversionary Gross Settlement Amount of \$392,265,
9 which will be distributed to Settlement Class Members without the need to submit a claim form
10 or take any affirmative action. Subject to Court approval, the Gross Settlement Amount will be
11 used to fund the following:

- 12 • Class Counsel attorneys' fees in an amount not to exceed \$130,755, representing
13 one third of the Gross Settlement Amount, and litigation expenses not to exceed
14 \$25,000;
- 15 • A Class Representative Service Payment to Plaintiff Sepideh Mehrad Hosseini in
16 an amount not to exceed \$10,000;
- 17 • Settlement Administration Expenses not to exceed \$7,500;
- 18 • PAGA Penalties totaling \$10,000, of which \$6,500 or 65 percent will be paid to
19 the California Labor and Workforce Development Agency pursuant to Labor
20 Code section 2699(i), and \$3,500 or 35 percent will be distributed to PAGA
21 Members as Individual PAGA Payments; and
- 22 • The Net Settlement Amount, which will be distributed to Participating Class
23 Members as Individual Class Payments on a pro rata basis based on the number
24 of Workweeks worked during the Class Period.

25 Defendant will separately pay the employer share of payroll taxes owed on the portion
26 of Individual Class Payments allocated as wages. (Settlement Agreement ¶¶ 3.1–3.2 *et seq.*)

27 After all Court approved deductions from the Gross Settlement Amount, it is estimated
28 that approximately \$209,010 will remain as the Net Settlement Amount available for

1 distribution to Participating Class Members. Based on Defendant's records, Settlement Class
2 Members collectively worked approximately 8,717 Workweeks during the Class Period.
3 Accordingly, the Net Settlement Amount will be distributed on a pro rata basis based on each
4 Participating Class Member's number of Workweeks. Based on these figures, the average
5 Individual Class Payment is conservatively estimated to be approximately \$1,750 to \$1,950,
6 with the highest estimated Individual Class Payment for a Class Member who worked the entire
7 Class Period estimated to be approximately \$5,900 to \$6,000, subject to Court approval and
8 adjustment based on opt-outs and final Workweek calculations. (Bokhour Decl. ¶ 7.)

9 **B. NOTICE PROCEDURES**

10 Upon Court approval, the proposed Class Notice will be distributed to Settlement Class
11 Members and will include, among other information, the number of Workweeks and PAGA Pay
12 Periods credited to each individual, as well as the estimated Individual Class and Individual
13 PAGA Payments. (Settlement Agreement ¶¶ 1.10, 7.4.2; Bokhour Decl., Ex. B.)

14 No later than 14 days after the Court grants Preliminary Approval, Defendant will
15 provide the Settlement Administrator with the Class Data, including each Settlement Class
16 Member's name, last known mailing address, Social Security number, and the number of
17 Workweeks and PAGA Pay Periods worked during the Class Period and PAGA Period.
18 (Settlement Agreement ¶¶ 1.7, 4.2.)

19 Within 15 days after receiving the Class Data, the Settlement Administrator will update
20 addresses using the National Change of Address database and mail the Court-approved Class
21 Notice to Settlement Class Members via first-class U.S. Mail. (Settlement Agreement ¶ 7.4.2.)

22 If a Class Notice is returned as undeliverable with a forwarding address, the Settlement
23 Administrator will re-mail the Notice within five business days. If no forwarding address is
24 provided, the Settlement Administrator will conduct a Class Member Address Search and re
25 mail the Notice to the most current address identified. (Settlement Agreement ¶¶ 1.9, 7.4.3.) For
26 any Class Notice that is re-mailed, the deadline to submit a Request for Exclusion, Objection, or
27 Challenge to credited Workweeks or PAGA Pay Periods will be extended by fourteen calendar
28 days beyond the standard Response Deadline, and the re-mailed Notice will inform Class

Members of the extended deadline. (Settlement Agreement ¶¶ 1.43, 7.4.4.)

The most recently confirmed address maintained by the Settlement Administrator will be presumed to be the best available address for purposes of mailing settlement checks and any further notices. (Settlement Agreement ¶ 4.4.1.)

C. EXCLUSION AND OBJECTION PROCEDURES

Settlement Class Members who do not timely submit a valid Request for Exclusion will be deemed Participating Class Members and will be bound by the terms of the Settlement without the need to submit a claim form or take any other affirmative action. The Court-approved Class Notice will inform Settlement Class Members of their right to exclude themselves from the Settlement by submitting a written Request for Exclusion to the Settlement Administrator, postmarked no later than 45 calendar days after the initial mailing of the Class Notice, defined as the Response Deadline. (Settlement Agreement ¶¶ 1.43, 7.5.1, 7.5.2.)

The Class Notice will also inform Settlement Class Members of their right to object to the Settlement. Any Participating Class Member who wishes to object must submit a written objection to the Settlement Administrator, postmarked no later than the Response Deadline. The objection must include the objector's full name, current address, telephone number, approximate dates of employment with Defendant, and the case name and number. The objection may include a statement of the basis for the objection and any supporting legal authority or evidence, and if represented by counsel, the name and contact information of the objector's attorney. (Settlement Agreement ¶ 7.7.)

D. SETTLEMENT ALLOCATION FORMULA FOR SETTLEMENT SHARES

Individual Class Payments will be calculated by the Settlement Administrator based on the Class Data provided by Defendant. After accounting for any approved challenges to credited Workweeks and excluding individuals who timely submit valid Requests for Exclusion, the Settlement Administrator will determine the total number of Workweeks worked by all Participating Class Members during the Class Period. Each Participating Class Member's share of the Net Settlement Amount will then be calculated on a pro rata basis according to the number of Workweeks worked during the Class Period, as a proportion of the total Workweeks

worked by all Participating Class Members. (Settlement Agreement ¶ 7.6.1(d)(ii).)

The Class Notice will inform each Settlement Class Member of the estimated number of Workweeks credited during the Class Period. Any Settlement Class Member who believes the credited number of Workweeks is inaccurate may submit documentation to the Settlement Administrator by the Response Deadline to challenge the calculation. The Settlement Administrator will review any such challenge, consult with Class Counsel and Defense Counsel as appropriate, and issue a final and binding determination. (Settlement Agreement ¶ 7.6)

E. TIMING OF SETTLEMENT DISBURSEMENTS

Defendant shall fund the Settlement by making a one-time deposit of the Gross Settlement Amount into a Qualified Settlement Fund maintained by the Settlement Administrator. The Settlement Administrator will provide Defendant with wire transfer instructions no more than three days after the Effective Date, and Defendant shall fully fund the Gross Settlement Amount within 15 calendar days after the Effective Date, unless that day falls on a weekend or holiday, in which case funding shall occur on the next court day. Defendant shall separately fund and pay the employer share of payroll taxes owed on the portion of Individual Class Payments allocated as wages, as calculated and directed by the Settlement Administrator. (Settlement Agreement ¶¶ 4.3.)

The Settlement Administrator shall deposit the Gross Settlement Amount into an interest-bearing Qualified Settlement Fund that satisfies the requirements of U.S. Treasury Regulation section 468B 1. All payments under the Settlement shall be made from the Qualified Settlement Fund in accordance with the Settlement Agreement. (Settlement Agreement ¶ 7.3.)

Within seven (7) calendar days after Defendant funds the Gross Settlement Amount, the Settlement Administrator shall issue and mail the following payments:(a) Individual Settlement Payments to Participating Class Members and PAGA Members;

- Individual Class Payments to Participating Class Members
- Individual PAGA Payments to PAGA Members
- The Court approved Class Representative Service Payment to Plaintiff
- The Court approved attorneys' fees and litigation expenses to Class Counsel

- the LWDA PAGA Payment to the California Labor and Workforce Development Agency
- The Court approved Settlement Administration Expenses to the Settlement Administrator

Disbursement of attorneys' fees, litigation expenses, and the Class Representative Service Payment shall not precede the mailing of Individual Class Payments and Individual PAGA Payments. (Settlement Agreement ¶¶ 4.4.)

Each settlement check issued to Participating Class Members and PAGA Members shall remain negotiable for one hundred eighty calendar days from the date of mailing. If a settlement check is returned as undeliverable, the Settlement Administrator shall perform a Class Member Address Search and re-mail the check to any forwarding or newly identified address. The Settlement Administrator shall re-issue replacement checks for any lost or misplaced checks requested prior to the void date. (Settlement Agreement ¶¶ 4.4.1, 4.4.2.)

If any Individual Class Payment or Individual PAGA Payment check remains uncashed after the void date, the Settlement Administrator shall cancel the check and donate the remaining funds to the California Dental Association (CDA) Foundation whose mission is to care for those in need and to support the dental needs of California's most vulnerable citizens. (Settlement Agreement ¶ 4.4.3.)

The release of claims under the Settlement shall be binding on all Participating Class Members and PAGA Members regardless of whether they cash their settlement checks. (Settlement Agreement ¶¶ 5.2, 5.3.)

F. TAX TREATMENT

The Individual Class Payments paid to Participating Class Members for Class Claims will be allocated for tax purposes based on the nature of the allegations in the Action as follows: thirty three percent (33%) of each Individual Class Payment will be allocated as wages and will be subject to applicable local, state, and federal tax withholdings, and sixty seven percent (67%) will be allocated as interest and penalties, which are not subject to wage withholding. The Settlement Administrator shall be responsible for calculating and withholding applicable taxes

on the wage portion and shall issue IRS Form W 2s for the wage portion and IRS Form 1099s for the non wage portion, along with any required state tax forms. Participating Class Members shall be solely responsible for the payment of any taxes owed on their Individual Class Payments. (Settlement Agreement ¶ 3.2.4.1)

G. PARTICIPATING CLASS MEMBERS' RELEASE OF CLAIMS

In consideration for their awarded Individual Class Payments, as of the date the Settlement becomes final and has been fully funded, all Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, release the Released Parties from all claims arising out of or related to the allegations set forth in the Operative Complaint, including any that were alleged or reasonably could have been alleged based on the facts alleged in the Operative Complaint that arose during the Class Period, including claims for: (1) failure to pay proper overtime wages in violation of Labor Code sections 204, 210, 510, 558, 1194, 1197, and 1198, and the applicable IWC Wage Order(s); (2) failure to provide compliant meal periods and pay missed meal period premiums in violation of Labor Code sections 226.7, 512, and 516, and the applicable IWC Wage Order(s); (3) failure to provide compliant rest periods and pay missed rest break premiums in violation of Labor Code section 226.7 and 516, and the applicable IWC Wage Order(s); (4) failure to pay sick time in violation of Labor Code sections 246, 558, 1194.2, 1197.1, 1198, 1199 and the applicable IWC Wage Order(s); (5) failure to provide complete and accurate wage statements in violation of Labor Code sections 226 and 226.3; (6) failure to pay all wages due and owing during employment and at separation in violation of Labor Code sections 201–204; (7) failure to reimburse necessary business expenses in violation of Labor Code sections 2802–2804; (8) deceptive, fraudulent, or otherwise unlawful business practices based on the foregoing in violation of California's Unfair Competition Law (Bus. & Prof. Code, §§ 17200–17210); and (9) all claims for liquidated damages, penalties, restitution, interest, fees, and costs based on the foregoing. (Settlement Agreement ¶ 5.2.)

In consideration for their Individual PAGA Payment, as of the date the settlement becomes final, all PAGA Members who worked for Defendant during the PAGA Period, on

1 behalf of themselves and their respective former and present representatives, agents, attorneys,
2 heirs, administrators, successors, and assigns, will release the Released Parties from all claims
3 for PAGA Penalties that were alleged or reasonably could have been alleged, based on the facts
4 and allegations in the Operative Complaint or PAGA Notices that arose during the PAGA
5 period, including claims for: (1) failure to pay proper overtime wages in violation of Labor
6 Code sections 200, 204, 210, 510, 558, 1194, 1197, and 1198, and the applicable IWC Wage
7 Order(s); (2) failure to provide compliant meal periods and pay missed meal period premiums in
8 violation of Labor Code sections 226.7, 512, and 516, and the applicable IWC Wage Order(s);
9 (3) failure to provide compliant rest periods and pay missed rest break premiums in violation of
10 Labor Code section 226.7 and 516, and the applicable IWC Wage Order(s); (4) failure to pay
11 sick time in violation of Labor Code sections 246, 558, 1194.2, 1197.1, 1198, 1199 and the
12 applicable IWC Wage Order(s); (5) failure to provide complete and accurate wage statements in
13 violation of Labor Code sections 226 and 226.3; (6) failure to pay all wages due and owing
14 during employment and at separation in violation of Labor Code sections 201–204; and (7)
15 failure to reimburse necessary business expenses in violation of Labor Code sections 2802–
16 2804. (Settlement Agreement ¶ 5.3.)

17 In addition to the class and PAGA releases described above, Plaintiff, as Class
18 Representative, has agreed to a broader General Release of all known and unknown claims
19 against the Released Parties, including a waiver of rights under California Civil Code section
20 1542, as set forth in the Settlement Agreement. (Settlement Agreement ¶ 5.1.)

21 **IV. PROVISIONAL CERTIFICATION OF THE CLASS UNDER THE LESSER**
22 **STANDARD OF SCRUTINY FOR SETTLEMENT-ONLY CERTIFICATION IS**
23 **APPROPRIATE FOR PURPOSES OF SETTLEMENT**

24 California Rule of Court 3.769(d) authorizes the Court to provisionally certify a
25 settlement class at the preliminary approval stage. It is well established that courts apply a lesser
26 standard of scrutiny when evaluating class certification for settlement purposes only, because
27 the manageability concerns present in a litigation class are not implicated and the Court’s
28 review of the settlement itself serves to protect absent class members. *Dunk v. Ford Motor Co.*

1 (1996) 48 Cal.App.4th 1794, 1807 at n.19.

2 Because no trial is contemplated, the Court need not consider whether the Action would
3 present intractable management problems if litigated. Instead, the focus is whether provisional
4 certification is appropriate to effectuate the Settlement and ensure adequate notice to the Class.
5 (*Officers for Justice v. Civil Service Com.* (9th Cir. 1982) 688 F.2d 615, 633.)

6 For purposes of this Settlement only, Plaintiff respectfully requests that the Court
7 provisionally certify the Settlement Class under Code of Civil Procedure section 382.

8 **A. NUMEROSITY AND ASCERTAINABILITY**

9 Numerosity is satisfied where the class is so numerous that joinder of all members is
10 impracticable. (Code Civ. Proc., § 382.) Ascertainability is satisfied where class members can
11 be identified by objective criteria and provided notice without unreasonable time or expense.
12 (*Medraza v. Honda of N. Hollywood* (2008) 166 Cal.App.4th 89, 101.)

13 Here, Defendant's records reflect that the Settlement Class consists of approximately
14 114 non-exempt hourly employees who worked for Defendant in California during the Class
15 Period. Joinder of this many individuals would be impracticable, and the class is readily
16 ascertainable through Defendant's payroll and employment records, which identify Class
17 Members by objective criteria. Accordingly, numerosity and ascertainability are satisfied.

18 **B. WELL-DEFINED COMMUNITY OF INTEREST**

19 *1. Commonality*

20 Commonality is satisfied where questions of law or fact common to the class
21 predominate over questions affecting individual members. *Washington Mut. Bank v. Superior*
22 *Court* (2001) 24 Cal.4th 906, 913.

23 Plaintiff alleges that Settlement Class Members were subject to common wage and hour
24 policies and practices, including policies governing timekeeping, calculation of the "regular rate
25 of pay," meal and rest periods, wage statements, and reimbursement of business expenses.
26 While the Parties dispute whether common issues would predominate if the Action were
27 litigated, they agree that commonality is satisfied for purposes of settlement only.

1 2. Typicality

2 Typicality examines whether the claims of the proposed class representative are
3 reasonably coextensive with those of the class. (Newberg on Class Actions § 3:13.)

4 The Parties agree that Plaintiff’s claims arise from the same alleged wage and hour
5 policies and practices challenged on a classwide basis, and that typicality is satisfied under the
6 lesser standard applicable to settlement only certification.

7 3. Adequacy of Representation

8 Adequacy requires that the class representative’s interests are aligned with those of the
9 class and that class counsel are qualified and experienced. *Barboza v. West Coast Digital GSM,*
10 *Inc.* (2009) 179 Cal.App.4th 540, 546.

11 Plaintiff was employed by Defendant during the Class Period, was subject to the same
12 challenged policies as other Class Members, understands his responsibilities as Class
13 Representative, and has no conflicts of interest with the Settlement Class. Plaintiff is
14 represented by Class Counsel with extensive experience litigating wage and hour class actions
15 and PAGA representative actions. (Bokhour Decl. ¶¶ 2–5; Falakassa Decl. ¶¶ 2–11.) Adequacy
16 is therefore satisfied.

17 4. Superiority

18 Certification of the Settlement Class is superior to individual litigation because it
19 permits a global resolution of the claims in a single proceeding, promotes judicial economy, and
20 provides meaningful relief to Class Members whose individual claims would be impractical to
21 litigate on a standalone basis. Settlement only certification is therefore appropriate.

22 **V. THE COURT SHOULD PRELIMINARILY APPROVE THE SETTLEMENT,**
23 **WHICH IS FAIR, ADEQUATE, AND REASONABLE**

24 **A. CLASS ACTION SETTLEMENTS ARE SUBJECT TO JUDICIAL REVIEW AND**
25 **APPROVAL UNDER THE CALIFORNIA RULES OF COURT**

26 California law favors the settlement of disputes, particularly in the class action context,
27 where settlement conserves judicial resources and avoids the expense, delay, and uncertainty of
28 continued litigation. (*Bush v. Superior Court* (1992) 10 Cal.App.4th 1374, 1385.) Nevertheless,

1 a class action may not be compromised or dismissed without court approval. (Cal. Rules of
2 Court, rule 3.769(a).)

3 The California Rules of Court establish a three step process for approval of a class
4 action settlement: (1) preliminary approval of the proposed settlement; (2) notice to the class in
5 the manner directed by the court; and (3) a final approval hearing at which the court determines
6 whether the settlement is fair, adequate, and reasonable. (Cal. Rules of Court, rule 3.769(c), (e)–
7 (g).)

8 The decision whether to approve a proposed settlement lies within the court’s sound
9 discretion. (*Wershba v. Apple Computer, Inc.* (2001) 91 Cal.App.4th 224, 234.) In exercising
10 that discretion, however, the court is not to conduct a trial on the merits or resolve disputed
11 factual or legal issues underlying the claims. (*Officers for Justice v. Civil Service Com.* (9th Cir.
12 1982) 688 F.2d 615, 625.)

13 At the preliminary approval stage, the court’s task is limited to determining whether the
14 settlement appears to be within the range of possible approval and whether notice to the class is
15 warranted. (*Dunk v. Ford Motor Co.* (1996) 48 Cal.App.4th 1794, 1801–1802.) Preliminary
16 approval is appropriate where there are no obvious deficiencies and no grounds to doubt the
17 settlement’s fairness. (Manual for Complex Litigation (Third) § 30.41.)

18 **B. THE SETTLEMENT IS THE RESULT OF SERIOUS, INFORMED, NON-COLLUSIVE**
19 **NEGOTIATIONS**

20 The Settlement was reached through extensive arm’s-length negotiations between
21 experienced counsel and was facilitated by an experienced mediator with substantial expertise
22 in wage-and-hour class and PAGA actions. The negotiations were adversarial and non-
23 collusive, and followed a thorough investigation of the factual and legal issues in the Action.

24 Although Plaintiff and Class Counsel believe the claims have merit, they also
25 recognized the substantial risks associated with continued litigation, including the risk of an
26 adverse ruling on class certification, summary judgment, trial, or appeal. Defendant vigorously
27 denied liability and asserted multiple defenses that, if successful, could have significantly
28

1 limited or eliminated any recovery for the Class. (Bokhour Decl. ¶ 35.) These risks were
2 appropriately considered in reaching the Settlement.

3 **C. THE EXTENT OF THE INVESTIGATION WAS MORE THAN SUFFICIENT TO PERMIT**
4 **PRELIMINARY APPROVAL OF THE SETTLEMENT**

5 Prior to reaching the Settlement, Plaintiff and Class Counsel conducted a thorough
6 investigation into the factual and legal bases of the claims. As described above, this
7 investigation included interviews with putative class members, review of Defendant's policies
8 and practices, and the informal exchange of relevant payroll, timekeeping, and compensation
9 data. Class Counsel also conducted extensive legal research and developed damages models to
10 assess potential exposure and litigation risk. (Bokhour Decl. ¶¶ 14, 26.)

11 This level of investigation was more than sufficient to permit Class Counsel and the
12 Court to evaluate the strengths and weaknesses of the claims and to support preliminary
13 approval of the Settlement.

14 **D. THE SETTLEMENT IS A REASONABLE COMPROMISE OF CLAIMS**

15 In evaluating a proposed class settlement, the court must have sufficient information to
16 understand the nature and magnitude of the claims and to determine whether the settlement
17 represents a reasonable compromise in light of the risks of continued litigation. *Kullar v. Foot*
18 *Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 133. *Kullar* does not require an explicit
19 statement of the maximum theoretical recovery in every case. Rather, the record must permit an
20 understanding of the amount in controversy and the realistic range of possible outcomes. *Munoz*
21 *v. BCI Coca-Cola Bottling Co. of Los Angeles* (2010) 186 Cal.App.4th 399, 409.

22 Here, Defendant disputed both liability and class certification, arguing that it complied
23 with California law, maintained compliant written policies, and that any alleged violations were
24 individualized and not amenable to class treatment. Defendant also asserted defenses that could
25 have significantly reduced or eliminated recovery. While Plaintiff believes the claims are
26 suitable for class treatment, he recognizes that class certification and liability determinations are
27 inherently uncertain.

28 Considering the risks, expense, and delay associated with continued litigation, the

1 Settlement represents a reasonable and fair compromise that provides meaningful relief to Class
2 Members now, rather than the possibility of a greater recovery years later or no recovery at all.
3 (Bokhour Decl. ¶¶ 39–40.)

4 Based upon Class Counsel’s independent investigation and detailed data obtained
5 through informal discovery and information exchanges, and factoring in claim certification
6 probabilities and liability probabilities, Class Counsel formulated detailed damages models to
7 evaluate the claims in preparation for mediation and in order to reach a realistic and reasonable
8 resolution as further detailed below. (Bokhour Decl. ¶ 18.)

9 **1. Failure to Properly Calculate and Pay the Correct “Regular Rate of**
10 **Pay”**

11 Plaintiff alleged that Defendant failed to properly calculate the “regular rate of pay” by
12 excluding certain non-discretionary bonuses and incentive payments when calculating overtime
13 and sick pay wages, resulting in alleged underpayment in violation of California law. (Bokhour
14 Decl. ¶ 19.)

15 Based on a review of payroll and paystub data produced during the investigation,
16 Plaintiff estimated that the alleged exclusion of certain incentive payments may have resulted in
17 underpayment of overtime, sick pay and meal premium wages for some Class Members.
18 (Bokhour Decl. ¶ 20.)

19 Nevertheless, Defendant disputed these allegations and asserted that it properly paid all
20 wages and many of the incentive payments at issue were discretionary and therefore properly
21 excluded from regular rate calculations. Defendant further contended that it made adjustments
22 and offset payments where appropriate, that overtime and sick pay were lawfully calculated and
23 paid in good faith, and that any potential underpayments, to the extent they occurred at all, were
24 limited, de minimis, and not widespread across the Class. (Bokhour Decl. ¶ 21.)

25 While Plaintiff maintains that certain bonuses and incentive payments were non-
26 discretionary and should have been included in the regular rate of pay, Plaintiff also
27 acknowledges the significant litigation risks associated with this claim. Those risks include the
28 possibility that the Court could deny class certification due to individualized variations in

1 compensation structures and incentive programs, as well as risks on the merits, including
2 summary judgment or an unfavorable trial result. Plaintiff further acknowledges that the
3 estimated lost wages attributable to this theory were relatively modest. (Bokhour Decl. ¶ 22)

4 In light of these risks and uncertainties, Plaintiff competely discount the value of this
5 claim while keeping in mind that the real value of this claims is derived from the derivative
6 waiting time penalties and wage statement penalties. (Bokhour Decl. ¶ 23.)

7 **2. Waiting Time Penalties**

8 Plaintiff alleged that Defendant failed to timely pay all wages due upon separation of
9 employment, entitling former employees to waiting time penalties under Labor Code sections
10 201 through 203. Based on Defendant’s records, approximately 48 Settlement Class Members
11 separated from employment during the applicable statutory period. Assuming the statutory
12 maximum penalty of thirty (30) days, an 8-hour workday, and an average hourly rate of \$28,
13 Plaintiff estimated a maximum theoretical exposure of approximately \$322,560 for waiting time
14 penalties. (48 employees × 8 hours per day × \$28 per hour × 30 days.) (Bokhour Decl. ¶ 24.)

15 Defendant disputed this claim and asserted that any alleged failure to timely pay wages
16 at separation was not willful and therefore did not give rise to waiting time penalties. Defendant
17 further contended that it maintained compliant payroll practices and that any waiting time
18 penalties claim was derivative of underlying wage claims. Defendant contended that the claims
19 lacked merit or were unsuitable for class treatment. (Bokhour Decl. ¶ 25.)

20 To account for these risks, including the risk of denial of class certification and the risk
21 of an adverse ruling at summary judgment or trial, Plaintiff applied a combined 50% percent
22 discount to the maximum theoretical exposure. Applying this discount, Plaintiff estimates a
23 risk-adjusted value of approximately \$161,280 for the waiting time penalties claim. (Bokhour
24 Decl. ¶ 26.)

25 **3. Failure to Provide Meal and Rest Periods or Compensation in Lieu** 26 **Thereof at the “Regular Rate of Pay”**

27 With respect to Plaintiff’s meal and rest period claims, Settlement Class Members
28 collectively worked approximately 38,761 shifts during the Class Period.

1 Based on an analysis of a representative sample of Defendant's timekeeping and payroll
2 records produced prior to mediation, Plaintiff applied an estimated 15% violation rate for meal
3 periods and a 33% violation rate for rest periods. Using an applicable premium rate of \$28 per
4 missed break, Plaintiff estimated a maximum theoretical exposure of approximately \$162,796
5 for the meal period claim (38,761 shifts $\times$ 20 percent $\times$ \$28) and approximately \$358,151 for the
6 rest period claim (38,761 shifts $\times$ 33 percent $\times$ \$28). (Bokhour Decl. ¶ 27.)

7 However, Defendant disputed liability on both claims and asserted that meal and rest-
8 period compliance presented highly individualized issues that were inappropriate for class
9 treatment. Defendant maintained that its written policies were facially compliant with California
10 law, that employees were afforded flexibility to take breaks, and that compliance varied based
11 on job duties, location, management practices, and individual employee choice. Defendant also
12 argued that it had a *Donohue* attestation procedure in place that disproved Plaintiff's allegations
13 and provided common proof of a lack of violations. Defendant further argued that these
14 individualized issues created a substantial risk that the Court would deny class certification.
15 (Bokhour Decl. ¶ 28.)

16 Defendant also contended that, even if certification were granted, it would prevail on the
17 merits by demonstrating that compliant meal and rest periods were provided or that premium
18 compensation was paid when required. Defendant asserted that Plaintiff would be unable to
19 present reliable representative evidence sufficient to establish liability or damages on a
20 classwide basis.

21 In light of these risks, Plaintiff applied a 40% discount to the maximum theoretical
22 exposure for these claims. Applying this discount, Plaintiff estimates a risk-adjusted value of
23 approximately \$81,398 for the meal period claims and approximately \$214,890 for the rest
24 period claims. (Bokhour Decl. ¶ 29.)

25 **4. Failure to Provide Accurate Itemized Wage Statements**

26 Plaintiff further alleged that Defendant issued non-compliant itemized wage statements
27 in violation of Labor Code section 226, based on the same alleged conduct underlying
28 Plaintiff's claims for unpaid wages, meal and rest period premiums, and failure to include all

required forms of compensation in the regular rate of pay. Based on Defendant's records, Plaintiff estimates that approximately 1,990 allegedly non-compliant wage statements were issued during the Class Period.

In calculating Defendant's maximum potential exposure, Plaintiff conservatively assumed that only the initial statutory penalty of fifty dollars per violation would apply, consistent with Labor Code section 226(e) and authority holding that higher penalties apply only after notice of a violation. (*Amaral v. Cintas Corp. No. 2* (2008) 163 Cal.App.4th 1157, 1207.) Using this conservative assumption, Plaintiff estimated a maximum theoretical exposure of approximately \$99,500 (1,990 wage statements multiplied by \$50). (Bokhour Decl. ¶ 30.)

Defendant disputed this claim and asserted that its wage statements fully complied with Labor Code section 226. Defendant further argued that, even if any technical deficiencies existed, they were not knowing and intentional and did not result in the injury required to recover penalties. Defendant also relied on its defenses to the underlying wage and hour claims and to class certification. (Bokhour Decl. ¶ 31.)

In light of these risks, including the risk of denial of class certification and the risk of an adverse ruling on the merits, Plaintiff applied a 25% discount to the maximum theoretical exposure. Applying this discount, Plaintiff estimates a risk-adjusted value of approximately \$74,625 for the wage statement penalty claim. (Bokhour Decl. ¶ 32.)

5. PAGA Penalties

Plaintiff also sought civil penalties under the Private Attorneys General Act of 2004 ("PAGA") for alleged Labor Code violations for which PAGA penalties are available, and asserted that such penalties could be assessed on a cumulative basis. Assuming application of the default penalty of \$100 per pay period under Labor Code section 2699(f)(2), and assuming no reduction or exercise of judicial discretion, Plaintiff estimated a maximum theoretical exposure of approximately \$199,000, based on approximately 1,990 pay periods. (Bokhour Decl. ¶ 33.)

This theoretical maximum, however, does not account for significant legal and practical uncertainties. Courts are divided as to whether PAGA penalties may be stacked across multiple

violations within a single pay period, particularly where the violations are derivative of one another. In addition, Labor Code section 2699(e)(2) grants courts broad discretion to reduce civil penalties where necessary to avoid awards that are unjust, arbitrary, oppressive, or confiscatory. Courts routinely exercise this discretion, particularly where an employer did not act willfully or where the legal obligations were unsettled. *See Cotter v. Lyft, Inc.* (2016) 193 F. Supp. 3d 1030, 1037. (Bokhour Decl. ¶ 34.)

In light of these risks, the Parties agreed to allocate \$10,000 of the Gross Settlement Amount to the resolution of the PAGA claim, which Plaintiff submits is fair, reasonable, and adequate under the circumstances. (Bokhour Decl. ¶ 35.)

In sum, when factoring in defenses and risks of ongoing litigation, Plaintiff estimates the risk-adjusted class recovery as follows:

• Regular Rate of Pay Claim:	<i>discounted</i>
• Labor Code §§ 201 – 203 Claims:	\$161,280
• Meal Period Claims:	\$81,398
• Rest Break Claims:	\$214,890
• Wage Statement Claims:	\$74,625
• PAGA Penalties	\$10,000
• <u>Total Risk-Adjusted Penalties and Damages:</u>	\$542,193

Given the realistic value of Plaintiff's claims, the \$392,265 Settlement Amount is an excellent result for the Class, considering that the Settlement Amount totals approximately 72% of Plaintiff's risk-adjusted value of the case. Preliminary approval is appropriate since the Settlement will provide significant monetary relief to the Class.

For these reasons, Plaintiff submits that the agreed-upon Settlement is fair and reasonable. (Bokhour Decl. ¶ 36.)

E. CLASS COUNSEL IS EXPERIENCED IN SIMILAR WAGE-AND-HOUR LITIGATION

The views of experienced counsel are entitled to significant weight in determining whether a proposed class settlement is fair, adequate, and reasonable. *Ellis v. Naval Air Rework Facility* (N.D. Cal. 1980) 87 F.R.D. 15, 18, *aff'd* (9th Cir. 1981) 661 F.2d 939; *Kullar v. Foot*

1 *Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 128 (court must take into account “the
2 experience and view of counsel”) (internal quotation and citation omitted).

3 Class Counsel are highly experienced in wage and hour class actions and PAGA
4 representative actions. Collectively, Class Counsel have litigated over one hundred wage and
5 hour class and PAGA cases, have been repeatedly recognized as Super Lawyers in employment
6 litigation, an honor awarded to only a small percentage of attorneys statewide, and have
7 achieved substantial class action settlements on behalf of California employees. (Bokhour Decl.
8 ¶¶ 2–5; Falakassa Decl. ¶¶ 2–10.)

9 After conducting an independent investigation and engaging in arm’s length
10 negotiations, Class Counsel carefully evaluated the strengths and weaknesses of the claims, the
11 defenses asserted by Defendant, the risks associated with class certification and liability, and the
12 realistic risk adjusted value of the case. Based on that analysis, Class Counsel believes that the
13 Settlement represents a fair, reasonable, and adequate resolution of the Action and is in the best
14 interests of the Settlement Class and PAGA Members.

15 **F. THE CLASS NOTICE CONFORMS TO ALL APPLICABLE STATUTES AND RULES**

16 The proposed Class Notice fully and fairly informs Settlement Class Members of the
17 nature of the Action, the material terms of the Settlement, and their rights and options under the
18 Settlement Agreement and applicable law. The notice program is reasonably calculated to reach
19 all or nearly all Settlement Class Members and therefore satisfies due process.

20 The content and dissemination of the Class Notice comply with California Rules of
21 Court 3.766 and 3.769. The Class Notice describes the material settlement terms, the proposed
22 attorneys’ fees and costs and Settlement Administration Expenses, the Final Approval Hearing,
23 and the procedures and deadlines for requesting exclusion or submitting an objection, and
24 informs Class Members of their estimated Individual Class Payments. (Ex. B.)

25 The Class Notice will be mailed by the Settlement Administrator via first-class U.S.
26 Mail to the last known addresses of Settlement Class Members, using Defendant’s records, with
27 address updating and re-mailing procedures for undeliverable notices. This method constitutes
28 meaningful notice with a reasonable likelihood of reaching a substantial percentage of the Class.

1 (*Archibald v. Cinerama Hotels* (1976) 15 Cal.3d 853, 861.)

2 The 45-day response period provides Class Members with a reasonable opportunity to
3 evaluate the Settlement, seek advice if desired, and elect whether to participate, object, or
4 request exclusion. Settlement Class Members who do not request exclusion will be bound by the
5 Settlement and will automatically receive settlement payments upon Final Approval.

6 **G. THE NON-REVERSIONARY “CHECKS CASHED” DISTRIBUTION ENSURES**
7 **MAXIMAL RECOVERY FOR CLASS MEMBERS**

8 Under the Settlement, all Settlement Class Members who do not request exclusion will
9 automatically receive an Individual Settlement Payment without submitting a claim form. This
10 structure maximizes participation and ensures that Class Members receive payment unless they
11 affirmatively opt out.

12 The Settlement is non-reversionary, meaning that no portion of the Settlement Amount
13 will revert to Defendant. Any settlement checks that are not negotiated within the applicable
14 check-cashing period will be donated to the California Dental Association (CDA) Foundation,
15 subject to Court approval. These terms are favored by courts because they promote class
16 recovery and confirm that the Settlement was reached through arm’s length negotiations and
17 without collusion.

18 **H. THE ATTORNEYS’ FEES ALLOCATION UNDER THE SETTLEMENT AGREEMENT**
19 **IS FAIR**

20 Under the terms of the Settlement Agreement, Class Counsel requests an amount not
21 exceeding one-third of the Settlement Amount in attorneys’ fees. (*See, e.g., Laffite v. Robert*
22 *Half Int’l Inc.* (2016) 1 Cal.5th. 480 (approving 1/3 fee in the amount of \$6.33 million at a 2.13
23 lodestar multiplier, when class action litigation establishes a monetary fund for the benefit of the
24 Class Members, the trial court may determine the amount of a reasonable fee by choosing an
25 appropriate percentage of the fund created); *see also Stuart v. RadioShack Corp.* (N.D. Cal.
26 Aug. 9, 2010) No. C-07-4499 EMC, 2010 WL 3155645 (approving fee award of 1/3 of the total
27 maximum settlement amount of \$4.5 million) (the court noted that the fee award of 1/3 of the
28 total settlement was “well within the range of percentages which courts have upheld as

reasonable in other class action lawsuits”); *Singer v. Becton Dickinson and Co.* (S.D. Cal. June 1, 2010) No. 08-cv-1501-IEG (BLM), 2010 WL 2196104, at *8 (approving fee award of 33.33% of the common fund); *Romero v. Producers Dairy Foods, Inc.* (E.D. Cal. Nov. 14, 2007) No. 1:05cv0484 DLB, 2007 WL 3492841, at *4 (awarding fees of 1/3 of common fund in a wage and hour class action, noting: “[f]ee awards in class actions average around one-third of the recovery.”); *Martin v. FedEx Ground Package System, Inc.* (N.D. Cal. Dec. 31, 2008) No. C 06-6883 VRW, 2008 WL 5478576, at *8 (approving fees of 1/3 of common fund). (Bokhour Decl. ¶¶ 54.)

Once the Court grants preliminary approval of the Settlement Agreement and the Class Notice is disseminated, Class Counsel will submit a request for attorneys’ fees and costs with its final approval motion.

I. THE CLASS REPRESENTATIVE SERVICE PAYMENT IS FAIR AND APPROPRIATE

The Settlement Agreement provides for a Service Award to Plaintiff Sepideh Mehrad Hosseini in an amount not to exceed \$10,000. The requested Service Award is reasonable in light of Plaintiff’s substantial time, effort, and personal risk undertaken on behalf of the Class and PAGA Members.

Plaintiff actively assisted Class Counsel throughout the litigation, including by providing detailed factual information supporting the class and PAGA claims, producing documents, participating in strategy discussions and mediation preparation, remaining available throughout the litigation to analyze claims and defenses, attending and assisting during mediation, and reviewing the Settlement documents. Plaintiff also assumed the risk associated with serving as Class Representative, including potential exposure to costs and workplace retaliation. (See Declaration of Plaintiff in Support of Plaintiff’s Motion for Preliminary Approval of Class Action Settlement.)

The requested Service Award is within the range approved by courts in comparable cases. See, e.g., *Bond v. Ferguson Enterprises, Inc.* (E.D. Cal. 2011) No. 1:09 cv 1662 OWW MJS, 2011 WL 2648879 (approving \$11,250 service award); *Ross v. U.S. Bank National Association* (N.D. Cal. 2010) No. C 07 02951 SI, 2010 WL 3833922, at *2 (approving \$20,000

1 service award); *Vasquez v. Coast Valley Roofing, Inc.* (E.D. Cal. 2010) 266 F.R.D. 415, 493
2 (approving \$15,000 service awards).

3 Accordingly, the requested Service Award is fair, reasonable, and appropriate under the
4 circumstances.

5 **VI. THE COURT SHOULD APPOINT CPT GROUP, INC. AS THE SETTLEMENT**
6 **ADMINISTRATOR**

7 Subject to Court approval, the Parties have stipulated that CPT Group, Inc. be appointed
8 as the Settlement Administrator. CPT Group, Inc. is experienced in administering class action
9 settlements and is well qualified to administer the notice and distribution process in this Action.
10 Pursuant to the Settlement Agreement, Settlement Administration Costs shall not exceed
11 \$7,500, subject to Court approval. (Bokhour Decl. ¶ 46.)

12 **VII. TIMELINE AND SCHEDULING A FINAL APPROVAL HEARING**

13 The final step in the approval process is a hearing at which the Court considers the terms
14 of the Settlement and hears any timely objections and arguments from the Parties in support of
15 final approval.

16 **VIII. CONCLUSION**

17 For all of the foregoing reasons, Plaintiff respectfully requests that the Court grant her
18 Motion for Preliminary Approval of Class Action Settlement.

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20 Dated: March 19, 2026

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