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individually, and on behalf of other similarly
situated employees

**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF KERN**

JOSE MORENO, individually, and on behalf of
other similarly situated employees,

Plaintiff,

vs.

KERN REGIONAL CENTER; and DOES 1
through 25, inclusive,

Defendants.

Case No. BCV-24-103353
[Related to Case No. BCV-24-104216]

Honorable T. Mark Smith
Department T-2

**NOTICE OF MOTION AND MOTION FOR
PRELIMINARY APPROVAL OF CLASS
ACTION AND PAGA SETTLEMENT;
MEMORANDUM OF POINTS AND
AUTHORITIES IN SUPPORT THEREOF**

Date: February 5, 2026
Time: 8:30 a.m.
Dept.: T-2

Complaint Filed: October 2, 2024
Trial Date: Not Set

1 **TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:**

2 **PLEASE TAKE NOTICE THAT** on **February 5, 2026 at 8:30 a.m.** in Department T-2 of the
3 above-captioned Court located at 3131 Arrow Street, Bakersfield, California 93308, pursuant to
4 California Code of Civil Procedure section 382 and Rule 3.769 of the California Rules of Court, Plaintiff
5 Jose Moreno (“Plaintiff”) will and hereby does move the Court for an Order granting preliminary
6 approval of the proposed class action and PAGA settlement between Plaintiff and Defendant Kern
7 Regional Center (“Defendant”).

8 Plaintiff requests that the Court enter an Order:

- 9 1. Preliminarily approving the Class Action and PAGA Settlement Agreement (“Settlement”
10 or “Settlement Agreement”) attached as Exhibit 3 to the Declaration of Alexandra Rose in Support of
11 Plaintiff’s Motion for Preliminary Approval of Class Action and PAGA Settlement (“Rose Decl.”);
- 12 2. Certifying the Class for Settlement purposes;
- 13 3. Appointing Plaintiff Jose Moreno as the Class Representative for Settlement purposes;
- 14 4. Appointing Jonathan M. Genish, Miriam L. Schimmel, Joana Fang, Alexandra Rose, and
15 Kyle W. Wilson of Blackstone Law, APC as Class Counsel for Settlement purposes;
- 16 5. Approving the proposed Court Approved Notice of Class Action Settlement and Hearing
17 Date for Final Court Approval (“Class Notice”), attached as Exhibit A to the Settlement Agreement, to
18 be mailed to the Class;
- 19 6. Approving the opt-out and objection procedures provided in the Settlement Agreement
20 and set forth in the Class Notice;
- 21 7. For each Class Member, directing Defendant to furnish their full name, last-known
22 mailing address, Social Security number, and number of Workweeks and Pay Periods to the
23 Administrator no later than fifteen (15) calendar days after the Court grants preliminary approval of the
24 Settlement;
- 25 8. Approving the proposed deadlines for the settlement administration process; and
- 26 9. Setting a Final Approval Hearing.

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1 Pursuant to California Labor Code section 2699(1)(2), on January 8, 2026, a copy of the
2 Settlement was submitted to the California Labor and Workforce Development Agency (“LWDA”) via
3 online submission through the LWDA’s website. (Rose Decl., ¶ 46 and Exh. 4).

4 This Motion is based upon this Notice, the attached Memorandum of Points and Authorities, the
5 Declaration of Alexandra Rose, the Declaration of Plaintiff Jose Moreno, the Declaration of the
6 Administrator (Michael Sutherland of Apex Class Action LLC), the pleadings and other records on file
7 with the Court in this matter, and any other further evidence or argument that the Court may properly
8 receive at or before the hearing.

9 Respectfully submitted,

10 Dated: January 9, 2026

BLACKSTONE LAW, APC



11 By: _____
12 Alexandra Rose
13 Attorneys for Plaintiff Jose Moreno

TABLE OF CONTENTS

I.	INTRODUCTION.....	1
II.	FACTUAL AND PROCEDURAL BACKGROUND.....	2
III.	THE SETTLEMENT TERMS	3
	A. Class Definition	3
	B. Amount of Settlement.....	3
	C. Allocation and Funding of the Gross Settlement Amount	4
	D. Released Claims	6
IV.	STANDARD OF REVIEW FOR PRELIMINARY APPROVAL	7
V.	THE SETTLEMENT IS PRESUMED FAIR.....	8
	A. The Settlement Was Reached as a Result of Arm’s-Length Bargaining	8
	B. Sufficient Investigation and Discovery by Experienced Class Counsel	9
	C. The Settlement is Fair and Reasonable in Light of the Parties’ Respective Legal Positions and Recovery Risks.....	9
	1. Class Counsel’s Analysis of the Maximum Available Liability and Damages	10
VI.	CERTIFICATION FOR SETTLEMENT PURPOSES IS WARRANTED	15
	A. An Ascertainable Class Exists Which is So Numerous That Joinder of All Members is Impracticable.....	15
	B. The Class Shares a Well-Defined Community of Interest	16
	C. A Class Action is Superior to a Multiplicity of Litigation.....	17
VII.	THE REQUESTED ENHANCEMENT AWARD IS REASONABLE	17
VIII.	THE REQUESTED ATTORNEYS’ FEES AND COSTS ARE REASONABLE	18
IX.	THE CLASS NOTICE AND THE OPT-OUT AND OBJECTION PROCESS MEETS DUE PROCESS REQUIREMENTS.....	19
X.	CONCLUSION	21

TABLE OF AUTHORITIES

Cases

<i>Aguirre v. Genesis Logistics</i> , 2013 WL 10936035 (C.D. Cal. 2013)	14
<i>Armstrong v. Board of School Directors of City of Milwaukee</i> , 616 F.2d 305 (7th Cir. 1980).....	8
<i>B.W.I. Custom Kitchen v. Owens-Illinois, Inc.</i> , 191 Cal.App.3d 1341 (1987)	16
<i>Bradley v. Networkers Int’l, LLC</i> , 211 Cal.App.4th 1129 (2012)	11
<i>Brinker Restaurant Corp. v. Superior Court</i> , 53 Cal.4th 1051 (2012);	10, 11
<i>Carrington v. Starbucks Corp.</i> 30 Cal.App.5th 504, 529 (2018)	14
<i>Castillo v. ADT, LLC</i> , 2017 WL 363108 (E.D. Cal. Jan. 25, 2017)	14
<i>Choate v. Celite Corp.</i> , 215 Cal App. 4th 1460, 1468 (2013)	12
<i>Clark v. Am. Residential Servs. LLC</i> , 175 Cal. App. 4th 785 (2009)	8
<i>Clothesrigger, Inc. v. GTE Corp.</i> , 191 Cal.App.3d 605 (1987)	16
<i>Cotter v. Lyft, Inc.</i> , 193 F.Supp.3d 1030 (N.D. Cal. 2016).....	14
<i>Daar v. Yellow Cab Co.</i> , 67 Cal. 2d 695 (1967).....	15, 16
<i>Duran v. U.S. Bank Nat. Assn.</i> , 59 Cal.4th 1 (2014).....	10
<i>Elliot v. Spherion Pac. Work, LLC</i> , 572 F.Supp.2d 1169, 1181-82 (C.D. Cal. 2008).....	13
<i>Gaudin v. Saxon Mortgage Servs., Inc.</i> , 2015 WL 7454183 (N.D. Cal. Nov. 23, 2015)	18
<i>Hebbard v. Colgrove</i> , 28 Cal.App.3d 1017, 1030 (1972).....	15
<i>In re Cellphone Fee Termination Cases</i> , 186 Cal.App.4th 1380 (2010).....	19
<i>In re Online DVD Rental</i> , 779 F.3d 934 (9th Cir. 2014)	18
<i>Jaimez v. DAIOHS USA, Inc.</i> , 181 Cal.App.4th 1286 (2010).....	12
<i>Kullar v. Foot Locker Retail, Inc.</i> (2008) 168 Cal.App.4th 116.....	9
<i>Lealao v. Beneficial Cal, Inc.</i> , 82 Cal.App.4th 19 (2000)	18
<i>Linder v. Thrifty Oil Co.</i> , 23 Cal.4th 429 (2000)	17
<i>McGee v. Bank of America</i> , 60 Cal.App.3d 442 (1976)	17
<i>Miller v. Woods</i> , 148 Cal.App.3d 862 (1983).....	15
<i>Munoz v. BCI Coca-Cola Bottling Co.</i> , 186 Cal.App.4th 399 (2010)	17
<i>Naranjo v. Specturm Security Services, Inc.</i> , 40 Cal.App.5th 444 (2019).....	12

1	<i>Officers for Justice v. Civil Service Commission of City and County of San Francisco</i> , 688 F.2d 615 (1982).....	8
2	<i>Price v. Starbucks Corp.</i> , 192 Cal.App.4th 1136 (2011).....	12
3	<i>Rose v. City of Hayward</i> , 126 Cal.App.3d 926, 934 (1981)	15
4	<i>Sanchez v. McDonalds Restaurants of California, Inc.</i> , 2017 WL 4620746 (LA Super. Ct. July 6, 2017)	14
5		
6	<i>Seastrom v. Neways, Inc.</i> , 149 Cal.App. 4th 1496 (2007)	16
7	<i>See In re Microsoft I-V Cases</i> , 135 Cal.App.4th 706 (2006).....	8
8	<i>Smith v. Lux Retail North America, Inc.</i> , 2013 WL 2932243 at *3 (N.D. Cal. June 13, 2013).....	14
9	<i>Thurman v. Bayshore Transit Management, Inc.</i> , 203 Cal.App.4th 1112,1131 (2012)	14
10	<i>Wershba v. Apple Computer, Inc.</i> , 91 Cal.App.4th 224 (2001).....	7, 8, 9
11	<i>Wesson v. Staples the Office Superstore, LLC</i> , 68 Cal.App.5th 746 (2021).....	13
12	Statutes	
13	Code of Civil Procedure Section 382.....	15
14	Labor Code section 203	12
15	Other Authorities	
16	<i>Findings of the Study of California Class Action Litigation</i> , 2000-2006, available at http://www.courts.ca.gov/documents/class-action-lit-study.pdf	11
17	Rules	
18	Cal. Rules of Court, Rule 3.769(c)	7
19	Cal. Rules of Court, Rule3.769(g)	8
20		
21		
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23		
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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I. INTRODUCTION**

3 Plaintiff Jose Moreno ("Plaintiff") seeks preliminary approval of the Class Action and PAGA
4 Settlement Agreement ("Settlement" or "Settlement Agreement") entered into between Plaintiff and
5 Defendant Kern Regional Center ("Defendant") (together, with Plaintiff, the "Parties"). (Declaration of
6 Alexandra Rose in Support of Plaintiff's Motion for Preliminary Approval of Class Action and PAGA
7 Settlement ["Rose Decl."], Exh. 3). The Settlement terms are outlined as follows:

- 8 • Class Size: Approximately seven hundred and nine (709) individuals (as of October 8, 2025).
- 9 • Gross Settlement Amount: One Million Eight Hundred Thousand Dollars and Zero Cents
10 (\$1,800,000.00).
- 11 • Class Counsel Fees Payment: One-third (1/3) of the Gross Settlement Amount (\$600,000.00
12 if the Gross Settlement Amount is \$1,800,000.00), to be paid from the Gross Settlement
13 Amount.
- 14 • Class Counsel Litigation Expenses Payment: Actual litigation costs and expenses not to
15 exceed Twenty-Five Thousand Dollars and Zero Cents (\$25,000.00), to be paid from the
16 Gross Settlement Amount.
- 17 • Enhancement Award: Ten Thousand Dollars and Zero Cents (\$10,000.00), to be paid from
18 the Gross Settlement Amount.
- 19 • Administration Expenses payment: Not to exceed Nine Thousand Three Hundred Ninety
20 Dollars and Zero Cents (\$9,390.00), to be paid from the Gross Settlement Amount.
- 21 • PAGA Penalties: One Hundred Thousand Dollars and Zero Cents (\$100,000.00) from the
22 Gross Settlement Amount, 65% of which will be paid to the Labor and Workforce
23 Development Agency ("LWDA") and the remaining 35% to be paid to the Aggrieved
24 Employees.
- 25 • Estimated Net Settlement Amount: One Million Fifty-Five Thousand Six Hundred Ten
26 Dollars and Zero Cents (\$1,055,610.00) to be distributed to Participating Class Members.

27 As set forth herein, the Settlement is the product of informed discovery and arms-length
28 negotiations, and provides a fair, adequate, and reasonable recovery for the Class. Plaintiff therefore
respectfully requests that the Court grant preliminarily approval of the Settlement, conditionally certify

1 the Class, approve the proposed Court Approved Notice of Class Action Settlement and Hearing Date for
2 Final Court Approval (“Class Notice”), and set a hearing date for final settlement approval, among other
3 requested relief.

4 II. FACTUAL AND PROCEDURAL BACKGROUND

5 Defendant is a private regional center contracted by the State of California to provide services
6 and support for individuals with developmental disabilities and their families. Plaintiff was employed
7 by Defendant as an hourly-paid, non-exempt Payroll Specialist from approximately January 2021 to
8 approximately June 2024. (Declaration of Plaintiff Jose Moreno in Support of Motion for Preliminary
9 Approval of Class Action and PAGA Settlement [“Moreno Decl.”], ¶ 2).

10 On October 1, 2024, Plaintiff provided written notice to the LWDA by online submission and to
11 Defendant by U.S. Certified Mail, pursuant to California Labor Code Section 2699.3, of the specified
12 provisions of the California Labor Code alleged to have been violated by Defendant (“PAGA Notice”).
13 (Rose Decl., ¶ 9 and Exh. 2).

14 On October 2, 2024, Plaintiff filed a Class Action Complaint (“Operative Class Complaint”) in
15 the action entitled *Jose Moreno v. Kern Regional Center*, Kern County Superior Court Case No. BCV-
16 24-103353 (“Class Action”), thereby commencing a putative class action against Defendant. (*Id.*, ¶ 10).
17 The Operative Class Complaint alleges nine (9) causes of action for violations of the California Labor
18 Code for failure to pay minimum wages, failure to pay overtime wages, failure to provide compliant meal
19 periods and premium payments in lieu thereof, failure to provide compliant rest periods and premium
20 payments in lieu thereof, failure to timely pay wages during employment, failure to provide compliant
21 wage statements, failure to timely pay wages upon termination, and failure to reimburse necessary
22 business expenses, and for violations of California Business & Professions Code Section 17200, *et seq.*
23 based on the aforementioned California Labor Code violations. (*Ibid.*).

24 On December 6, 2024, Plaintiff filed a Complaint for Enforcement Action Under the Private
25 Attorneys General Act, Cal. Labor Code §§ 2698 *Et Seq.* (“Operative PAGA Complaint”) against
26 Defendant in the action entitled *Jose Moreno v. Kern Regional Center*, Kern County Superior Court Case
27 No. BCV-24-104216 (“PAGA Action”). (*Id.*, ¶ 11). The Operative PAGA Complaint alleges one (1)
28 cause of action under the Private Attorneys General Act (“PAGA”). (*Ibid.*).

1 On November 13, 2024, Plaintiff propounded written formal discovery onto Defendant
2 (specifically, Form Interrogatories – General (Set One), Form Interrogatories – Employment Law (Set
3 One), Special Interrogatories (Set One), Requests for Admission (Set One), and Requests for Production
4 of Documents (Set One)) and served a proposed Belaire-West notice. (*Id.*, ¶ 12).

5 On October 8, 2025, the Parties participated in a formal mediation conducted by Hon. Carl J.
6 West (“Mediator”), a neutral and respected mediator with extensive experience in complex wage and
7 hour matters, and with the assistance of the Mediator’s evaluations, the Parties were able to reach an
8 agreement to resolve the Class Action and PAGA Action (together, “Actions”) on a class and
9 representative basis. (*Id.*, ¶ 13). The Parties then worked diligently to negotiate and memorialize the
10 terms in a long form settlement agreement. (*Ibid.*).

11 On January 6, 2026, the Parties executed the Settlement Agreement. (*Id.*, ¶ 14 and Exh. 3).

12 **III. THE SETTLEMENT TERMS**

13 **A. Class Definition**

14 For purposes of settlement only, the Parties have agreed that the Class shall be defined as “all
15 current and former non-exempt, hourly paid employees who worked for Defendant in the State of
16 California at any time during the Class Period” (“Class” or Class Member(s)). (Rose Decl., ¶ 15;
17 Settlement Agreement, ¶ 1.5). The Class Period is defined as the period from October 2, 2020 through
18 December 8, 2025. (Rose Decl., ¶ 15; Settlement Agreement, ¶ 1.11). Based on information provided
19 by Defendant, October 8, 2025 (date of mediation), it is estimated that there are a total of seven hundred
20 and nine (709) Class Members. (Rose Decl., ¶ 15).

21 **B. Amount of Settlement**

22 The Parties have agreed to settle the class and PAGA claims at issue in the Operative Class
23 Complaint and Operative PAGA Complaint (“Operative Complaints”) for a non-reversionary Gross
24 Settlement Amount of One Million Eight Hundred Thousand Dollars and Zero Cents (\$1,800,000.00),
25 exclusive of employer-side payroll taxes. (Rose Decl., ¶ 16; Settlement Agreement, ¶ 1.20).

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1 **C. Allocation and Funding of the Gross Settlement Amount**

2 The Gross Settlement Amount is a common fund and therefore includes all requested costs, fees,
3 and other allocations. (Rose Decl., ¶ 16; Settlement Agreement, ¶ 1.20). The Gross Settlement Amount
4 includes: (1) Class Counsel’s fees in the amount of one-third (1/3) of the Gross Settlement Amount (i.e.,
5 \$600,000.00 if the Gross Settlement Amount is \$1,800,000.00); (2) Class Counsel’s actual litigation costs
6 and expenses, not to exceed Twenty-Five Thousand Dollars and Zero Cents (\$25,000.00); (3)
7 Administration Expenses Payment, not to exceed Nine Thousand Three Hundred Ninety Dollars and Zero
8 Cents (\$9,390.00); (4) Enhancement Award in the amount of Ten Thousand Dollars and Zero Cents
9 (\$10,000.00); and (5) PAGA Penalties of One Hundred Thousand Dollars and Zero Cents (\$100,000.00).
10 (Rose Decl., ¶ 16; Settlement Agreement, ¶¶ 1.20, 3.2.1, 3.2.2, 3.2.3, and 3.2.5). After the above-
11 estimated amounts are deducted from the Gross Settlement Amount, the Net Settlement Amount that will
12 be available for Class Members is currently estimated to be One Million Fifty-Five Thousand Six
13 Hundred Ten Dollars and Zero Cents (\$1,055,610.00). (Rose Decl., ¶ 16). Additionally, 35% of the
14 PAGA Penalties, which is \$35,000.00 out of \$100,000.00, will be fully distributed to “all current and
15 former non-exempt, hourly paid employees who worked for Defendant in the State of California at any
16 time during the PAGA Period” (“Aggrieved Employee(s)”). (Rose Decl., ¶ 16; Settlement Agreement,
17 ¶¶ 1.4 and 3.2.5.1). The “PAGA Period” is defined as the period from October 1, 2023 through December
18 8, 2025. (Rose Decl., ¶ 16; Settlement Agreement, ¶ 1.28).

19 The Net Settlement Amount will be distributed and paid to all Class Members who do not submit
20 a valid and timely Request for Exclusion (“Participating Class Member(s)”) on a *pro rata* basis based on
21 the number of weeks during which a Class Member worked for Defendant in a non-exempt position in
22 California during the Class Period, based on weeks of actual work between hire dates, re-hire dates, and
23 termination dates (“Workweeks”). (Rose Decl., ¶ 17; Settlement Agreement, ¶¶ 1.33 and 1.42). The
24 *pro rata* share of the Net Settlement Amount that a Participating Class Member may be eligible to receive
25 (“Individual Class Payment”) will be calculated by the Administrator, in accordance with the Settlement
26 Agreement. (Rose Decl., ¶ 17; Settlement Agreement, ¶¶ 1.21 and 3.2.4).

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1 Thirty-five percent (35%) of the PAGA Penalties will be distributed and paid to all Aggrieved
2 Employees on a *pro rata* basis based on the number of pay periods during which an Aggrieved Employee
3 worked for Defendant in a non-exempt position in California during the PAGA Period, based on pay
4 periods of actual work between hire dates, re-hire dates, and termination dates (“Pay Periods”). (Rose
5 Decl., ¶ 18; Settlement Agreement, ¶¶ 1.28). The *pro rata* share of thirty-five percent (35%) of the PAGA
6 Penalties that an Aggrieved Employee may be eligible to receive (“Individual PAGA Payment”) will be
7 calculated by the Administrator, in accordance with the Settlement Agreement. (Rose Decl., ¶ 18;
8 Settlement Agreement, ¶¶ 1.22 and 3.2.5.1).

9 Each Individual Class Payment will be allocated as fifteen percent (15%) wages (the “Wage
10 Portion”) and eighty-five percent (85%) penalties and interest (the “Non-Wage Portion”). (Rose Decl.,
11 ¶ 19; Settlement Agreement, ¶ 3.2.4.1). The Wage Portions will be reported on an IRS Form W-2 and
12 the Non-Wage Portions will be reported on an IRS Form 1099 (if applicable) by the Administrator. (Rose
13 Decl., ¶ 19; Settlement Agreement, ¶ 3.2.4.1). The Administrator will withhold the employee’s share of
14 taxes and withholdings with respect to the wages portion of the Individual Class Payments, and issue
15 checks to Participating Class Members for their net payment of their Individual Class Payment. (Rose
16 Decl., ¶ 19; Settlement Agreement, ¶¶ 4.4.1). The employer’s share of taxes and contributions in
17 connection with the Wage Portion of Individual Class Payments will be paid by Defendant separately
18 and in addition to the Gross Settlement Amount. (Rose Decl., ¶ 19; Settlement Agreement, ¶ 3.1). Each
19 Individual PAGA Payment will be allocated as one hundred percent (100%) penalties and will be reported
20 on an IRS Form 1099 (if applicable) by the Administrator. (Rose Decl., ¶ 19; Settlement Agreement, ¶
21 3.2.5.2).

22 The Settlement Agreement is a non-reversionary and non-claims made settlement. (Rose Decl.,
23 ¶ 20; Settlement Agreement, ¶ 3.1). The Net Settlement Amount will be fully distributed to Participating
24 Class Members and the PAGA Penalties will be fully distributed to the LWDA and Aggrieved
25 Employees, in accordance with the Settlement Agreement. (Rose Decl., ¶ 20; Settlement Agreement, ¶¶
26 3.2.4 and 3.2.5.1). No money will revert to Defendant. (Rose Decl., ¶ 20; Settlement Agreement, ¶ 3.1).

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Each Individual Class Payment and Individual PAGA Payment check will be valid and negotiable for one hundred and eighty (180) calendar days from the date the checks are issued, and thereafter, will be voided. (Rose Decl., ¶ 21; Settlement Agreement, ¶ 4.4.1). Any funds associated with such canceled checks will be distributed by the Administrator to the Legal Aid at Work (the proposed *cy pres* recipient) in accordance with California Code of Civil Procedure Section 384. (Rose Decl., ¶ 21; Settlement Agreement, ¶ 4.4.3). The Parties, Class Counsel, and Defense Counsel represent that they have no interest or relationship, financial or otherwise, with the intended *cy pres* recipient. (Rose Decl., ¶ 21; Settlement Agreement, ¶ 4.4.3).

D. Released Claims

Effective on the date when Defendant fully funds the entire Gross Settlement Amount and funds all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, Participating Class Members, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns, shall release Released Parties from any and all claims that were or could have been alleged in the Operative Class Complaint that arose during the Class Period, including without limitation with respect to the following claims: (1) Cal. Lab. Code §§ 1194, 1197, and 1197.1 for unpaid minimum wages; (2) Cal. Lab. Code §§ 510 and 1198 for unpaid overtime wages; (3) Cal. Lab. Code §§ 226.7 and 512(a) for unpaid meal period premiums; (4) Cal. Lab. Code § 226.7 for unpaid rest period premiums; (5) Cal. Labor Code §§ 204 and 210 for wages not timely paid during employment; (6) Cal. Lab. Code § 226(a) for failure to provide accurate wage statements; (7) Cal. Lab. Code §§ 201, 202, and 203 for final wages not timely paid upon termination; (8) Cal. Lab. Code §§ 2800 and 2802 for failure to reimburse necessary business expenses; and (9) Cal. Bus. & Prof. Code §§ 17200, *et seq.* for unfair competition, as well as any potential penalties, interest, or attorneys' fees associated with all of such causes of action under California law (collectively, "Released Class Claims"). (Settlement Agreement, ¶¶ 1.36 and 5.2). Except as set forth in Section 5.3 of the Agreement, Participating Class Members do not release any other claims, including claims for vested benefits, wrongful termination, violation of the Fair Employment and Housing Act, unemployment insurance, disability, social security, workers' compensation, or claims based on facts occurring outside the Class Period. (*Id.*, ¶ 5.2).

Effective on the date when Defendant fully funds the entire Gross Settlement Amount and funds all employer payroll taxes owed on the Wage Portion of the Individual Class Payments, the State of California with respect to the Aggrieved Employees and Aggrieved Employees on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors, and assigns shall release the Released Parties from all claims, demands, rights, liabilities, and causes of action under the California Labor Code Private Attorneys General Act that were alleged, or reasonably could have been alleged, based on the claims asserted in the Operative PAGA Complaint, the PAGA Notice (and any amendments thereto), and ascertained in the course of the Actions, arising during or with respect to the PAGA Period, which include but are not limited to California Labor Code sections 201, 202, 203, 204, 210, 226(a), 226.3, 226.7, 226.7(c), 510, 512(a), 558, 1174(d), 1174.5, 1194, 1197, 1197.1, 1198, 1198.5, 1199, 2698, *et seq.*, 2699, 2699.3, 2699.5, 2800, and 2802 (collectively, “Released PAGA Claims”). (*Id.*, ¶¶ 1.37 and 5.3). The foregoing release shall be binding on Plaintiff, the Aggrieved Employees, and the State of California, and shall bar by res judicata any claim under the PAGA brought by any person, including the Aggrieved Employees, on behalf of the State of California, as to any claims predicated on the Released PAGA Claims.

“Released Parties” means Defendant, and any of its past, present, and/or future, directors, officers, partners, principals, Board members, trustees, shareholders, owners, members, managers, employees, administrators, agents, attorneys, accountants, insurers, predecessors, successors, assigns, DBAs, parents, and subsidiaries. (*Id.*, ¶ 1.38).

IV. STANDARD OF REVIEW FOR PRELIMINARY APPROVAL

The settlement of a class action requires court approval. (*See Dunk v. Ford Motor Co.*, 48 Cal. App. 4th 1794 (1996)). “In general, questions whether a settlement was fair and reasonable, whether notice to the class was adequate, whether certification of the class was proper, and whether the attorney fee award was proper are matters addressed to the trial court’s broad discretion.” (*Wershba v. Apple Computer, Inc.*, 91 Cal.App.4th 224, 234-35 (2001) (disapproved on other grounds)).

The review and approval of a proposed class action settlement involves a two-step process. (*See* Cal. Rules of Court, Rule 3.769(c)). First, counsel submit the proposed terms of the settlement and the Court makes a preliminary assessment of whether the settlement appears to be sufficiently within the

1 range of a fair settlement to justify providing notice of the proposed settlement to class members. After
2 notice is provided to the class, the Court must conduct a second inquiry into whether the proposed
3 settlement is fair, reasonable, and adequate. (Ibid).

4 The initial evaluation of the settlement at the preliminary approval step “is not a fairness hearing.”
5 (*Armstrong v. Board of School Directors of City of Milwaukee*, 616 F.2d 305, 314 (7th Cir. 1980)).
6 Rather, the limited purpose of this initial inquiry is to determine, at a threshold level, only whether the
7 proposed settlement is within the range of possible approval and, as a result, “whether there is any reason
8 to notify the class members of the proposed settlement and to proceed with a fairness hearing.” A
9 presumption of fairness exists where: (1) the settlement is reached through arm’s length bargaining; (2)
10 investigation and discovery are sufficient to allow counsel and the court to act intelligently; (3) counsel
11 is experienced in similar litigation; and (4) the percentage of objectors is small. (*See In re Microsoft I-V*
12 *Cases*, 135 Cal. App. 4th 706, 723 (2006)).

13 Preliminary approval does not require a court to make a final determination that the settlement is
14 fair, reasonable, and adequate. Rather, that decision is made only at the final approval stage, after notice
15 of the settlement has been given to the class members and they have had an opportunity to object or
16 exclude themselves from the settlement. (*See Cal. Rules of Court, Rule 3.769(g)*). In considering a
17 potential class settlement, the court need not reach any ultimate conclusions on the issues of fact and law
18 which underlie the merits of the dispute and need not engage in a trial on the merits. (*See Officers for*
19 *Justice v. Civil Service Commission*, 688 F.2d 615, 625 (9th Cir. 1982)).

20 **V. THE SETTLEMENT IS PRESUMED FAIR**

21 **A. The Settlement Was Reached as a Result of Arm’s-Length Bargaining**

22 California courts recognize that “a presumption of fairness exists where . . . [a] settlement is
23 reached through arm’s-length bargaining.” (*Wershba*, 91 Cal.App.4th at 245; *see also Clark v. Am.*
24 *Residential Servs. LLC*, 175 Cal.App.4th 785, 799 (2009)). Here, the Parties’ settlement negotiations
25 were at all times made at arm’s-length and were adversarial. (Rose Decl., ¶ 22). The Settlement was
26 reached following a full day of mediation with a highly respected mediator with substantial experience
27 mediating wage and hour cases. (Ibid). At all times, Plaintiff was willing and prepared to litigate this
28 matter through class certification and trial if the Parties had been unable to reach a favorable resolution.

1 (*Id.*, ¶ 43).

2 **B. Sufficient Investigation and Discovery by Experienced Class Counsel**

3 Courts typically assess the status of discovery in determining whether a class action settlement
4 agreement is fair, reasonable, and adequate. (*Dunk*, 48 Cal.App.4th at 1801). Prior to mediation,
5 Defendant produced a random thirty-three percent (33%) sampling of putative class members' time and
6 pay data as well as Defendant's relevant wage and hour policies, and information regarding the total
7 number of putative class members, the number of current versus former employees, the total workweeks
8 worked by the putative class members, and the average rate of pay for the putative class members. (Rose
9 Decl., ¶ 23).

10 This allowed Class Counsel to conduct an informed assessment and analysis of the strengths and
11 weaknesses of the claims and the potential class-wide damages. (*Id.*, ¶ 24). Based on information
12 exchanged, the Parties extensively briefed issues regarding class certification and liability and provided
13 their analyses to the Mediator who helped the Parties debate the strengths and weakness of their respective
14 positions. (*Id.*, ¶ 25). Additionally, settlement negotiations were conducted by highly capable and
15 experienced counsel. (*Id.*, ¶¶ 1-8). Accordingly, Class Counsel had sufficient information and experience
16 to act intelligently in negotiating the Settlement.

17 **C. The Settlement is Fair and Reasonable in Light of the Parties' Respective Legal Positions**
18 **and Recovery Risks**

19 A settlement is not judged against what might have been recovered had a plaintiff prevailed at
20 trial, nor does the settlement have to obtain 100% of the damages sought to be fair and reasonable.
21 (*Wershba*, 91 Cal.App.4th at 246, 250). In evaluating the reasonableness of a settlement, a trial court
22 must consider "the strength of plaintiffs' case, the risk, expense, complexity and likely duration of further
23 litigation, the risk of maintaining class action status through trial, the amount offered in settlement, the
24 extent of discovery completed and the stage of the proceedings, the experience and views of counsel, the
25 presence of a governmental participant, and the reaction of the class members to the proposed settlement."
26 (*Kullar v. Foot Locker Retail, Inc.*, 168 Cal.App.4th 116, 128 (2008)).

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1 Here, the reasonableness of the Settlement is underscored by the fact that Defendant has legal and
2 factual grounds for defending the Actions. Based upon Class Counsel's experience litigating similar
3 complex matters, Plaintiff reasonably expected a vigorous and lengthy defense to both class certification
4 and the merits of the Actions absent a settlement.

5 **1. Class Counsel's Analysis of the Maximum Available Liability and Damages**

6 Class Counsel analyzed all available data and estimated Defendant's maximum potential exposure
7 to be approximately \$12,599,203, assuming the litigation was successful at trial on all claims at issue and
8 every employee had a violation for each claim on every shift worked. (Rose Decl., ¶ 26). This maximum
9 potential analysis was then reduced based on the challenges facing the likelihood of obtaining class
10 certification, prevailing at trial, and other attendant risks. (Ibid). This estimate is based off an estimated
11 maximum liability for all claims at issue and the total aggregate workweeks during the relevant time
12 period, less interest, and based on the analysis of the data which was extrapolated out for all class
13 members across the entire putative class period, which included: \$41,195 for meal period violations;
14 \$7,320,648 for rest period violations at a 100% violation rate; \$1,619,286 for unpaid off-the-clock work
15 for 1 hour per workweek of off-the-clock work; \$452,910 for unreimbursed business expenses;
16 \$1,537,714 for waiting time penalties; and \$1,627,450 for wage statement penalties. (Ibid). Plaintiff
17 further estimated that Defendant faced an additional exposure of \$1,658,800 in potential, non-stacked
18 (i.e., one penalty, per employee, per pay period during the PAGA statutory period) PAGA penalties.
19 Together with the PAGA penalties, Defendant's maximum potential exposure totaled \$14,258,003 in
20 damages. (Ibid).

21 **2. Class Counsel's Risk-Based Analysis and Risk-Based Adjustments**

22 While Plaintiff believes that Defendant faced significant exposure, substantial barriers to a
23 recovery existed. Defendant contended that Plaintiff's claims are not suitable for class certification
24 because individual issues and affirmative defenses would predominate should this case go to trial. (Rose
25 Decl., ¶ 29; *See Brinker Restaurant Corp. v. Superior Court*, 53 Cal.4th 1004 (2012); *Duran v. U.S. Bank*
26 *Nat. Assn.*, 59 Cal.4th 1, 35-36 (2014); *Wal-Mart Stores, Inc. v. Dukes*, 131 S.Ct. 2541, 2551 (2011)).
27 While Plaintiff and Class Counsel disagree with Defendant's predictions, they also recognize that there
28 is a significant risk that the Court may deny class certification. (Rose Decl., ¶ 29; *see also, Findings of*

1 *the Study of California Class Action Litigation*, 2000-2006, available at
2 <http://www.courts.ca.gov/documents/class-action-lit-study.pdf> (finding that only 21.4% of all class
3 actions were certified either as part of a settlement or as part of a contested certification motion)).

4 For example, Plaintiff's meal period claims, which were based on Defendant's alleged failure to
5 provide lawful meal periods, have been increasingly difficult to certify in recent years. (Rose Decl., ¶
6 30; *See Brinker*, 53 Cal. 4th at 1034-35). Plaintiff's theory of liability for meal period violations was
7 based on allegations that Defendant had failed to implement its policies and that its practices failed to
8 allow Class Members to take meal breaks in the manner required under California law. (Rose Decl., ¶
9 30; *See Bradley v. Networkers Int'l, LLC*, 211 Cal.App.4th 1129, 1150 (2012) (holding that "the lack of
10 a meal/rest break policy and the uniform failure to authorize such breaks are matters of common proof.")).
11 Defendant contended that its policies and practices at all times during the Class Period were lawful. (Rose
12 Decl., ¶ 30). Indeed, Plaintiff's analysis revealed only a 0.6% violation rate for purported unique meal
13 period violations. (Ibid). Defendant further argued that the rate of purported meal period violations
14 reflected in the data would require individualized inquiry and that such inquiry would prohibit a class
15 from being certified. (Ibid). Class Counsel also had to consider the risk that the Court would find validity
16 in an individualized proof defense to certification of Plaintiff's meal period claim. (Ibid).

17 Additionally, a large portion of Defendant's overall liability lies in Plaintiff's rest period
18 allegations. (*Id.*, ¶ 31). As with the meal period allegations, Defendant contended that it had a lawful
19 written rest period policy, provided compliant rest breaks, and relieved Class Members of all duties as
20 required under California law. (Ibid). Additionally, this claim was even more uncertain given the
21 difficulties associated with proving the alleged rest period violations, as Defendant was not required to
22 record these breaks. (Ibid). Given the lack of records demonstrating rest period violations, there were
23 legitimate concerns Plaintiff would not be able to certify these claims or prove substantial damages with
24 requisite certainty, which made the estimated value of this claim subject to much challenge. (Ibid).

25 Plaintiff also faced challenges certifying and proving liability for his minimum wage and overtime
26 claims. (*Id.*, ¶ 32). These claims were largely based on Defendant's unrecorded, off-the-clock work
27 performed by the Class Members after their shifts and during purported meal periods. (Ibid). For
28 example, Plaintiff and Class Members had communications software such as Microsoft Teams installed

1 on their personal cell phones and would receive and respond to messages after work hours while being
2 clocked out. (Ibid). However, the individualized nature of why this work was performed and the
3 individualized nature of damages presented substantial concerns regarding the manageability of the case
4 and the risk the Court could find these issues prevented certification, and the estimated damages for this
5 claim were based on an assumption that all Class Members worked off the clock for at least one hour per
6 week. (Ibid).

7 Plaintiff also contended that Defendant failed to reimburse Plaintiff and the other Class Members
8 for necessary business-related expenses, such as the usage of personal cell phones for work-related
9 purposes. (*Id.*, ¶ 33). Defendant contended that it had a policy and practice of reimbursing employees
10 for necessary business-related expenses. (Ibid). Defendant further contended that, with respect to the
11 alleged expenses for which Plaintiff and the other Class Members never requested reimbursement from
12 Defendant, it did not know and had no reason to know that alleged business-related expenses had been
13 incurred. (Ibid). Defendant further contended that the reason for why a Class Member undertook certain
14 expenses, while not others, and failed to receive reimbursement for certain expenses while not others,
15 would raise individual issues that could not be certified. (Ibid).

16 There are also substantial risks associated with Plaintiff's waiting time penalties and wage
17 statement claims. (*Id.*, ¶ 34). First, these claims were derivative of Plaintiff's claims for unpaid meal
18 period premiums, unpaid rest period premiums, unpaid minimum wages, and unpaid overtime wages,
19 and if certification was denied on those underlying claims, these derivative claims for penalties would
20 also likely fail. (Ibid). Further, even if Plaintiff prevailed on his underlying claims, he would still be
21 required to demonstrate that Defendant's violations of California Labor Code section 203 were *willful*
22 violations. (Rose Decl., ¶ 34; *See, e.g., Choate v. Celite Corp.*, 215 Cal App. 4th 1460, 1468 (2013)
23 (holding that "an employer's reasonable, good faith belief that wages are not owed may negate a finding
24 of willfulness")). Wage statement claims have also seen varying treatment at the appellate level because
25 such claims have an element of discretion attached to them rather than a pure calculation of damages after
26 liability is proven. (Rose Decl. ¶ 34; *Jaimez v. DAIOHS USA, Inc.*, 181 Cal.App.4th 1286 (2010) *with*
27 *Price v. Starbucks Corp.*, 192 Cal.App.4th 1136 (2011)). Accordingly, these derivative claims, which
28 comprised a substantial portion of Defendant's estimated liability, were therefore uncertain. (Rose Decl.,

¶ 34).

Class Counsel also recognized that, even if Plaintiff prevailed at class certification, proving the amount of wages due to Class Members would be an expensive, time-consuming, and uncertain proposition. (*Id.*, ¶ 35). In order to prove liability and damages, Class Counsel will need to request and analyze thousands of pages of documents, obtain the Class Members' contact information, contact them, and obtain their declarations at great expense. (*Ibid*). Obtaining the cooperation of current employees would also be difficult, given the likely reluctance to aid prosecution of a lawsuit against a current employer. (*Ibid*). On the other hand, Defendant would likely be able to obtain the cooperation of its employees. (*Ibid*). Moreover, even if Plaintiff prevailed at class certification and trial, possible appeals would substantially delay any recovery by the Class. (*Ibid*).

Therefore, taking into account how each of the above risks potentially effected Plaintiff's ability to first obtain class certification, and then prove the underlying violations, plus the cost and delay in recovering the alleged potential damages, Class Counsel discounted Defendant's estimated liability based on the challenges facing his ability to succeed on class certification by 65% to \$4,990,301. (*Id.*, ¶ 36). The merits-based risk factors further reduced Defendant's estimated liability by an additional 60%. (*Ibid*). This resulted in an adjusted estimated liability of \$1,996,120. (*Ibid*). In light thereof, the settlement amount of \$1,800,000.00 is a significant settlement. (*Ibid*).

Class Counsel also contemplated the risks of proceeding with the PAGA claims and potential liability Defendant could face from these claims as part of the global resolution. (*Id.*, ¶ 37). Existing case law makes PAGA claims subject to the same defenses and risks as Plaintiff's underlying wage claims. (Rose Decl., ¶ 37; see, *Elliot v. Spherion Pac. Work, LLC*, 572 F.Supp.2d 1169, 1181-82 (C.D. Cal. 2008) ("Plaintiff's claim under [PAGA] is wholly dependent upon her other claims. Because all of Plaintiff's other claims fail as a matter of law, so does her PAGA claim")). Class Counsel also anticipated that even if successful on the merits at trial, the imposition of cumulative civil penalties based on the alleged wage and hour violations could be considered unjust, arbitrary and oppressive, or confiscatory, and that Defendant would argue that it made a good faith attempt to comply with its legal obligations

warranting a reduction in penalties. (Rose Decl., ¶ 37).¹ Class Counsel also anticipated Defendant would argue that the violations per pay period were low, warranting an additional reduction in civil penalties. (Ibid). Finally, Class Counsel was also mindful of the fact that PAGA is fundamentally not intended to be compensatory in nature but is instead intended to facilitate enforcement of California’s labor laws by financing state activities and educating and deterring non-compliance. (Ibid).

Therefore, after considering this multitude of factors, Plaintiff and Class Counsel concluded that it was in the best interest of the State of California and the Aggrieved Employees to enter into the Settlement, and to allocate One Hundred Thousand Dollars and Zero Cents (\$100,000.00) of the Gross Settlement Amount towards the PAGA claims, which represents approximately 5.55% of the Gross Settlement Amount. (*Id.*, ¶ 38). This percentage of the settlement is well within the range of wage and hour settlements regularly approved in both state and federal court for cases that include both a class and PAGA action. (Ibid). Typically, such hybrid cases allocate only 1% of the gross settlement value to resolve PAGA claims, and, indeed, settlements allocations as little as 0.22% of the gross settlement amount have been approved as reasonable by courts. (Ibid). Moreover, the overall relief obtained by the Settlement is significant, not only from a monetary perspective, but also because it fulfills the public policy objectives of PAGA—to promote enforcement of California’s labor laws, provide funds to the state, and deter future non-compliance by the employer. (Ibid).

The Settlement is in the best interest of the Class Members, the Aggrieved Employees, and the State of California and is within the accepted range of recoveries for this type of litigation given the inherent risk of litigation, the risk of obtaining and maintaining class certification, and the costs of further litigation. (*Id.*, ¶ 39).

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¹ See, e.g., *Thurman v. Bayshore Transit Management, Inc.*, 203 Cal.App.4th 1112, 1131 (2012) (imposition of both statutory and civil penalties for same conduct is impermissible double recovery) (disapproved on other grounds); *Aguirre v. Genesis Logistics*, 2013 WL 10936035, at *3 (C.D. Cal. 2013) (“duplicative effect” of penalties is a factor in favor of “reducing ...the PAGA penalty”); *Smith v. Lux Retail North America, Inc.*, 2013 WL 2932243, at *3 (N.D. Cal. June 13, 2013) (declining to stack penalties based on the same conduct); *Castillo v. ADT, LLC*, 2017 WL 363108, at *4 (E.D. Cal. Jan. 25, 2017); *Sanchez v. McDonalds Restaurants of California, Inc.*, 2017 WL 4620746 (LA Super. Ct. July 6, 2017) (declining to stack penalties arising out of the same conduct); *Fleming v. Covidien, Inc.*, 2011 WL 756304718, at *4 (C.D. Cal. Aug. 12, 2011) (reducing PAGA penalties by 80%); *Cotter v. Lyft, Inc.*, 193 F.Supp.3d 1030 (N.D. Cal. 2016) (indicating that a substantial reduction is warranted where good faith dispute exists in legal obligations); *Carrington v. Starbucks Corp.*, 30 Cal.App.5th 504, 529 (2018) (approving reduction in penalties from \$50 per pay period to \$5 per pay period based on good faith attempts to comply with obligations and minimal violations).

1 **VI. CERTIFICATION FOR SETTLEMENT PURPOSES IS WARRANTED**

2 Code of Civil Procedure Section 382 provides that three basic requirements must be met in order
3 to sustain any class action: (1) there must be an ascertainable class; (2) there must be a well-defined
4 community of interest in the question of law or fact affecting the parties to be represented; and (3)
5 certification will provide substantial benefits to litigants and the courts, i.e., proceeding as a class action
6 is superior to other methods. (*See Dunk*, 48 Cal.App.4th at 1806). Plaintiff contends that the Class
7 Action, which is based upon Defendant's wage and hour policies and practices, satisfies the class
8 certification requirements under California Code of Civil Procedure section 382. Defendant denies
9 Plaintiff's allegations, but for purposes of the Settlement only, Defendant has stipulated to certification
10 of the Class.

11 **A. An Ascertainable Class Exists Which is So Numerous That Joinder of All Members is**
12 **Impracticable**

13 Whether an ascertainable class exists turns on three factors: (1) the class definition, (2) the size of
14 the class, and (3) the means of identifying the class members. (*See Miller v. Woods*, 148 Cal.App.3d 862,
15 873 (1983)). In this case, all three considerations strongly favor class certification. Here, the Class is
16 defined as "all current and former non-exempt, hourly-paid employees who worked for Defendant in the
17 State of California at any time during the Class Period." (Rose Decl., ¶ 15; Settlement Agreement, ¶ 1.5).
18 This provides a clear and definite scope for the proposed class.

19 Next, the Class is sufficiently numerous. There is no magic number that satisfies the numerosity
20 requirement. Courts have certified classes with as few as ten or twenty-eight class members. (*Rose v.*
21 *City of Hayward*, 126 Cal.App.3d 926, 934 (1981); *Bowles v. Superior Court*, 44 Cal. 2d 574 (1995);
22 *Hebbard v. Colgrove*, 28 Cal.App.3d 1017, 1030 (1972)). Here, the estimated Class size of seven hundred
23 and nine (709) individuals (as of October 8, 2025) meets the numerosity requirement.

24 Finally, the question of whether class members are easily identifiable turns on whether a plaintiff
25 can establish "the existence of an ascertainable class." (*Daar v. Yellow Cab Co.*, 67 Cal.2d 695 at 706
26 (1967)). The existence of an ascertainable class in this case can be established through Defendant's
27 payroll records, and the Class definition is sufficiently specific to enable the Parties, Class Members, and
28 the Court to determine the parameters of the Class. (*See Clothesrigger, Inc. v. GTE Corp.*, 191

1 Cal.App.3d 605, 617 (1987)).

2 **B. The Class Shares a Well-Defined Community of Interest**

3 The community of interest requirement embodies three factors: (1) predominant questions of law
4 and fact; (2) class representatives with claims or defenses typical of the class; and (3) class representatives
5 who can adequately represent the class. (*Dunk*, 48 Cal.App.4th at 1806). Here, all three requirements
6 are easily satisfied.

7 The commonality criterion requires the existence of common question of law or fact and is
8 generally established with the issues of predominance and typicality. (*See Daar*, 67 Cal.2d 695, 706).
9 What is required is that a common question of fact or law exists which predominates over issues unique
10 to individual plaintiffs. The existence of individual issues or facts—generally present in any case arising
11 from employment—is not a bar to class certification as long as they do not render class litigation
12 unmanageable or predominate over the common issues. (*See B.W.I. Custom Kitchen v. Owens-Illinois,*
13 *Inc.*, 191 Cal.App.3d 1341, 1354 (1987)).

14 Here, Plaintiff's claims present common issues of law and fact that predominate and warrant class
15 certification. Plaintiff alleges that Defendant denied compliant meal and rest periods to employees,
16 required employees to perform work off-the-clock, and failed to fully compensate employees for all time
17 actually worked. These policies and practices meant that Defendant allegedly failed to pay minimum and
18 overtime wages, and other related claims. Plaintiff alleges that Defendant's policies and practices were
19 uniform as to all Class Members. Thus, class treatment is appropriate.

20 To satisfy the typicality requirement, California law does not require that plaintiffs' claims be
21 identical to the other class members. Rather, the test of typicality for a class representative is whether
22 other members have the same or similar injury, whether the action is based on conduct which is not
23 unique to the named plaintiff, and whether other class members have been injured by the same course of
24 conduct. (*See Seastrom v. Neways, Inc.*, 149 Cal.App.4th 1496, 1502 (2007)). The typicality requirement
25 for a class representative refers to the nature of the claim or defense of the representative, and not to the
26 specific facts from which it arose or the relief sought. (*See Ibid*).

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1 Here, Plaintiff alleges that his claims are similar to that of the other Class Members and that
2 Plaintiff's claims arise out of the same alleged course of conduct giving rise to the claims of the other
3 Class Members. Because Plaintiff's claims are based upon the same alleged conduct and business
4 practices as those of Class Members, the typicality requirement has been satisfied.

5 Finally, the question of adequacy of representation "depends on whether the plaintiff's attorney
6 is qualified to conduct the proposed litigation and the plaintiff's interests are not antagonistic to the
7 interests of the class." (*McGee v. Bank of America*, 60 Cal.App.3d 442, 450 (1976)). Here, these
8 considerations are satisfied. Class Counsel is well-regarded and is qualified and experienced in
9 employment-related, class-action litigation. (Rose Decl., ¶¶ 1-8). Plaintiff will vigorously, adequately,
10 and fairly represent the interests of the Class. Plaintiff has, at all times, throughout the course of the
11 litigation considered the interests of the Class and understood that he must take steps to adequately
12 represent the Class and their interests. (Moreno Decl., ¶ 10). Because Plaintiff's claims are typical of
13 other Class Members and are not based on unique circumstances, there is no antagonism between the
14 interests of Plaintiff and the Class.

15 **C. A Class Action is Superior to a Multiplicity of Litigation**

16 Under the circumstances, proceeding as a class action is a superior means of resolving this dispute,
17 as the Class Members and the Court will derive substantial benefits. Class certification would serve as
18 the only means to deter and redress the alleged violations. (*See Linder v. Thrifty Oil Co.*, 23 Cal.4th 429,
19 434 (2000) (relevant considerations include the probability that each class member will come forward to
20 prove his or her separate claim and whether the class approach would actually serve to deter and redress
21 the alleged wrongdoing)). Further, individual actions arising out of the same operative facts would
22 unduly burden the courts and could result in inconsistent results. Therefore, class action proceedings are
23 superior to individual litigation.

24 **VII. THE REQUESTED ENHANCEMENT AWARD IS REASONABLE**

25 Plaintiffs in class action lawsuits are eligible for reasonable incentive payments as compensation
26 "for the expense or risk they have incurred in conferring a benefit on other members of the class." (*Munoz*
27 *v. BCI Coca-Cola Bottling Co.*, 186 Cal.App.4th 399, 412 (2010)). Courts routinely grant approval of
28 class action settlement agreements containing enhancements for the class representative, which are

1 necessary to provide incentive to represent the class and are appropriate given the benefit the class
2 representatives help to bring about for the class. (*See In re Online DVD Rental*, 779 F.3d 934, 947-948
3 (9th Cir. 2014) (approving incentive award 417 times larger than individual payments where incentive
4 award made up a mere .17% of the settlement); *Gaudin v. Saxon Mortgage Servs., Inc.*, 2015 WL
5 7454183, at *10 (N.D. Cal. Nov. 23, 2015) (finding service award of \$15,000 to be “fair and
6 reasonable”)).

7 Plaintiff initiated this litigation on behalf of his former co-workers who will now be entitled to
8 receive payment from the Settlement. Plaintiff invested substantial time and effort into litigation,
9 including his own research, reviewing documents, and extensive discussions with Class Counsel.
10 (Moreno Decl., ¶¶ 3-5). Further, the requested amount for Plaintiff is also reasonable given the average
11 award and the benefit gained by other Class Members. For these reasons, Plaintiff requests that an
12 Enhancement Award of Ten Thousand Dollars and Zero Cents (\$10,000.00) to Plaintiff be preliminarily
13 approved by the Court. (Rose Decl., ¶ 40; Moreno Decl., ¶ 12).

14 **VIII. THE REQUESTED ATTORNEYS’ FEES AND COSTS ARE REASONABLE**

15 Trial courts have “wide latitude” in assessing the value of attorneys’ fees and their decisions are
16 “not to be disturbed on appeal absent a manifest abuse of discretion.” (*Lealao v. Beneficial Cal, Inc.*, 82
17 Cal.App.4th 19, 41 (2000)). California law provides that attorney fee awards should be equivalent to fees
18 paid in the legal marketplace to compensate for the result achieved and risk incurred. (*Id.* at 47). In cases
19 where class members present claims against a common fund and the defendant agrees to a percentage of
20 the fund as part of the settlement, use of the percentage method is appropriate. (*Id.* at 32).

21 Here, the requested attorneys’ fees of one-third (1/3) of the Gross Settlement Amount (i.e.,
22 \$600,000.00 if the Gross Settlement Amount is \$1,800,000.00) is disclosed to Class Members in the
23 proposed Class Notice. (Settlement Agreement, Exh. A). The requested fee was freely negotiated, is
24 common in the legal marketplace, and is not opposed by Defendant. The Motion for Final Approval will
25 elaborate on the nature of the legal services provided and will also support Class Counsel’s request for
26 the reimbursement of litigation costs and expenses not to exceed Twenty-Five Thousand Dollars and Zero
27 Cents (\$25,000.00). (Rose Decl., ¶¶ 41 and 43). The attorneys’ fees and costs that are not ultimately
28 approved by the Court shall instead be included in the Net Settlement Amount to be distributed to

1 Participating Class Members. (Settlement Agreement, ¶ 3.2.2).

2 **IX. THE CLASS NOTICE AND THE OPT-OUT AND OBJECTION PROCESS**
3 **MEETS DUE PROCESS REQUIREMENTS**

4 The Parties have jointly drafted the Class Notice, which will be mailed to the Class Members.
5 (*Id.*, Exh. A). The Class Notice will include information regarding the nature of the Actions, a summary
6 of the terms of the Settlement, the Class definition, information on how to object to and/or opt-out of the
7 Class Settlement and to dispute Workweeks and/or Pay Periods, and the date of the Final Approval
8 Hearing. (*Ibid.*). The Class Notice will list each Class Member’s total Workweeks and their estimated
9 Individual Class Payment and each Aggrieved Employee’s total Pay Periods and their estimated
10 Individual PAGA Payment. (*Ibid.*).

11 Not later than fifteen (15) calendar days after the Court grants preliminary approval of the
12 Settlement, Defendant will provide a list in a formatted readable Microsoft Office Excel spreadsheet to
13 the Administrator containing the following information for each Class Member: full name, last-known
14 mailing address, Social Security number, and number of Workweeks and Pay Periods (collectively
15 referred to as the “Class Data”). (*Id.*, ¶¶ 1.8 and 4.2). Within fourteen (14) calendar days after receiving
16 the Class Data from Defendant, the Administrator will perform a search based on the National Change of
17 Address Database or other similar services available, such as provided by Experian, for information to
18 update and correct for any known or identifiable address changes, and will mail a Class Notice in English
19 to all Class Members via First-Class U.S. Mail, using the most current, known mailing addresses
20 identified by the Settlement Administrator. (*Id.*, ¶ 7.4.2). The Parties have agreed to use Apex Class
21 Action LLC as the Administrator. (Rose Decl., ¶ 44; Settlement Agreement, ¶ 1.2).

22 In determining whether to approve a settlement of a class action, a trial court has virtually
23 complete discretion as to the manner of giving notice to class members, and review on appeal is governed
24 by the abuse of discretion standard. (*See In re Cellphone Fee Termination Cases*, 186 Cal.App.4th 1380
25 (2010)). Here, Class Counsel is not aware of an alternative method of providing notice to the Class which
26 would result in a higher likelihood of actual notice. (Rose Decl., ¶ 45). The original source of the mailing
27 addresses is from Class Members, who provided the information to Defendant. (*Ibid.*).

28 ///

1 Class Members will have forty-five (45) calendar days from the initial mailing of the Class Notice
2 by the Administrator to submit a Request for Exclusion, written objection, and/or challenge to
3 Workweeks and/or Pay Periods (“Response Deadline”). (Settlement Agreement, ¶ 1.40).

4 Any Class Notice returned to the Administrator as undeliverable on or before the Response
5 Deadline will be sent no later than three (3) business days of receipt via First-Class U.S. Mail to the
6 forwarding address affixed thereto and the Administrator will indicate the date of such re-mailing on the
7 Class Notice. (*Id.*, ¶ 7.4.3). If no forwarding address is provided, the Administrator will promptly attempt
8 to determine a correct address using a skip-trace or other search, using the name, address, and/or Social
9 Security number of the Class Member, and re-mail the Class Notice to the most current address obtained.
10 (*Ibid.*). In the event that a Class Notice is re-mailed to a Class Member, the Response Deadline for that
11 Class Member shall be extended fifteen (15) calendar days from the original Response Deadline. (*Id.*, ¶
12 7.4.4).

13 Class Members will have an opportunity to challenge the number of Workweeks and/or Pay
14 Periods to which they have been credited, as reflected in their respective Class Notices, by submitting a
15 challenge to the Settlement Administrator, by fax, email, or mail, on or before the Response Deadline.
16 (*Id.*, ¶ 7.6).

17 Any Class Member wishing to be excluded from the Class Settlement must submit a written
18 request (“Request for Exclusion”) to the Administrator, by fax, email, or mail, on or before the Response
19 Deadline. (*Id.*, ¶¶ 1.39 and 7.5). A Request for Exclusion is a letter from a Class Member or their
20 representative that reasonably communicates the Class Member’s election to be excluded from the Class
21 Settlement and includes the Class Member’s full name, address, and email address or telephone number.
22 (*Id.*, ¶ 7.5.1). To be valid, a Request for Exclusion must be timely faxed, emailed, or postmarked by the
23 Response Deadline. (*Ibid.*). Notwithstanding the above, all Aggrieved Employees will be bound by the
24 PAGA Settlement and will be issued their Individual PAGA Payment, irrespective of whether they submit
25 a Request for Exclusion. (*Id.*, ¶ 7.5.4).

26 To object to the Class Settlement, Participating Class Members must submit a timely and
27 complete written objection to the Administrator, by fax, email, or mail, on or before the Response
28 Deadline. (*Id.*, ¶¶ 7.7.2). Participating Class Members, individually or through counsel, may also present

1 their objection orally at the Final Approval Hearing, regardless of whether they have submitted a Notice
2 of Objection. (Ibid).

3 **X. CONCLUSION**

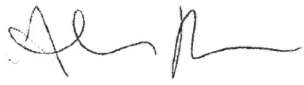
4 For all of the foregoing reasons, Plaintiff respectfully requests that this Court grant Plaintiff's
5 Motion for Preliminary Approval of Class Action and PAGA Settlement and the relief requested herein.

6 Respectfully submitted,

7 Dated: January 9, 2026

BLACKSTONE LAW, APC

8
9 By:



Alexandra Rose
Attorneys for Plaintiff Jose Moreno