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Attorneys for Plaintiff ELISA ALVAREZ

SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR THE COUNTY OF SAN LUIS OBISPO

ELISA ALVAREZ, an individual, on behalf of
herself and on behalf of all persons similarly
situated,

Plaintiff,

v.

SLO ARCHES, INC., a California Corporation;
IVERNIA, INCORPORATED, a California
Corporation; GOLDEN SENECA, INC., a
California Corporation; and DOES 1-50,
Inclusive,

Defendants.

Case No. 21CV-0533

**DECLARATION OF JEAN-CLAUDE
LAPUYADE, ESQ. IN SUPPORT OF
PLAINTIFF'S MOTION FOR FINAL
APPROVAL OF CLASS ACTION AND PAGA
SETTLEMENT**

Date: December 7, 2023

Time: 9:00 a.m.

Judge: Hon. Rita C. Federman

Dept.: D-2

1 I, Jean-Claude Lapuyade, Esq., declare as follows:

2 1. I am over eighteen years of age and if called as a witness, I could and would competently
3 testify to the following facts from my own personal knowledge. I am an attorney-at-law and the owner
4 of the law firm of JCL LAW FIRM, APC (hereinafter "Class Counsel"). I am counsel of record for
5 Plaintiff ELISA ALVAREZ (hereafter "Plaintiff" or "Class Representative") in this wage and hour class
6 action filed against Defendants SLO ARCHES, INC., a California Corporation; IVERNIA,
7 INCORPORATED, a California Corporation; and GOLDEN SENECA, INC., a California Corporation
8 (hereinafter, "Defendants").

9 2. This declaration is being submitted in support of Plaintiff's Motion for Final Approval of
10 Class Action and PAGA Action Settlement.

11 3. Attached hereto as **Exhibit "1"** is a true and correct copy of the fully executed Stipulation
12 of Settlement of Class and PAGA Action Claims and Release of Claims (hereinafter "Settlement
13 Agreement" and/or "Agreement"). Attached thereto as **Exhibit A** is a true and correct copy of the
14 Notice of Settlement of Class and Representative Action ("Class Notice").

15 4. Attached hereto as **Exhibit "2"** is a true and correct copy of the conformed July 28, 2023,
16 Preliminary Approval Order.

17 5. Attached hereto as **Exhibit "3"** is a true and correct copy of the June 20, 2023, proof of
18 filing the proposed Settlement with the LWDA.

19 6. Attached hereto as **Exhibit "4"** is true and correct copy of the November 9, 2023, proof
20 of filing this motion seeking final approval of this Settlement with the LWDA.

21 7. Attached hereto as **Exhibit "5"** is a true and correct copy of the JCL Law Firm, APC's
22 "Firm Resume" detailing the credentials and a summary of cases on which Counsel has been appointed
23 Class Counsel.

24 **I. INTRODUCTION**

25 8. An objective evaluation of the proposed settlement of Seven Hundred Fifty Thousand
26 Dollars and Zero Cents (\$750,000.00) ("Gross Settlement Amount") confirms that the relief negotiated
27 on behalf of the Settlement Class Members is fair, reasonable, and valuable. The Settlement is a product

1 of informed, adversarial, and non-collusive negotiations, between experienced counsel, and presided
2 over by mediator Steven J. Rottman, Esq. After deducting any Court-approved attorneys' fees and costs,
3 class representative Class Representative Service Award, administration costs, and the payments to the
4 Labor & Workforce Development Agency ("LWDA"), the Net Settlement Amount shall be distributed
5 to Settlement Class Members based on the number of Workweeks they were employed by Defendants
6 during the Class Period. *As of the date of filing this motion, the Class greeted news of the Settlement*
7 *with unanimous approval, as 100% of the Class Members have chosen to participate in the*
8 *Settlement.*¹ There are zero objections and zero opt-outs to the Settlement. The 100% approval by the
9 Class evidences the clear fairness and reasonableness of the Settlement.

10 9. This Settlement provides substantial recovery for Defendants' alleged wage and hour
11 violations. Plaintiff estimates that the Settlement, if approved, would result in a Net Settlement Amount
12 of approximately \$417,200.00. This will result in an average Individual Settlement Payment of **\$662.22**
13 and high payment of **\$2,489.17**.

14 10. The relief offered by the Settlement is immediate and is particularly impressive when
15 viewed against the difficulties encountered by plaintiff pursuing similar wage and hour cases (*see infra*).
16 Indeed, by settling now rather than proceeding to trial, the Class will not have to wait (possibly years)
17 for relief, nor will they have to bear the risks associated with prolonged and uncertain litigation.

18 11. As discussed below, the proposed Settlement satisfies all criteria for final settlement
19 approval under California law and falls well within the range of reasonableness. Accordingly, the Class
20 Representative and Class Counsel respectfully request this Court grant final approval of the Agreement
21 and, entry of the proposed Final Approval Order and Judgment.

22 II. OVERVIEW OF THE LITIGATION

23 12. Defendants operate McDonald's restaurants in San Luis Obispo, where Plaintiff worked.
24 Plaintiff worked for Defendants in California from March of 2017 to March of 2019. At all times during
25

26 ¹ As of the date of filing this Motion, 62 notices were deemed undeliverable. Declaration of Cassandra Polites (ILYM Dec.),
27 filed concurrently herewith, at ¶ 11. The deadline for Class Members to opt-out or object is November 27, 2023. ILYM
28 Dec., ¶ 12. Class Counsel will provide an updated declaration from the administrator following the expiration of the response
deadline.

1 her employment, Plaintiff was denied legally compliant meal and rest periods, and minimum wages and
2 overtime compensation. Throughout her employment, Plaintiff was subject to Defendants' written
3 policies and procedures contained in, among other documents, the Employee Handbook.

4 13. Generally, Plaintiff claims that Defendants failed to provide compliant meal and rest
5 periods, failed to pay for all time worked, and failed to pay all wages upon separation. Defendants
6 forcefully deny liability for each of Plaintiff's claims. Further, Defendants assert that they fully
7 complied with all applicable employment laws and raised several other significant defenses to
8 Plaintiff's claims, including but not limited to, asserting that they maintained facially compliant meal
9 and rest period policies, paid their employees for all hours worked, and timely paid all wages due upon
10 separation of employment.

11 14. On September 16, 2021, Plaintiff filed a class action complaint in the San Luis Obispo
12 County Superior Court, Case Number 21CV-0533 ("Action"). The Action alleged eight causes of
13 action against Defendants for: (1) Unfair Competition in Violation of California Business and
14 Professions Code §§ 17200; (2) Failure to Pay Overtime Wages in Violation of California Labor Code
15 §§ 510 *et seq.*; (3) Failure to Pay Minimum Wages in Violation of California Labor Code §§ 1194, 1197
16 and 1197.1 (4) Failure to Provide Required Meal Periods in Violation of Cal. Lab. Code §§ 226.7 and
17 512; (5) Failure to Provide Required Rest Periods in Violation of Cal. Lab. Code §§ 226.7 and 512; (6)
18 Failure to Reimburse Employees for Required Expenses in Violation of Cal. Lab. Code § 2802; (7)
19 Failure to Provide Accurate Itemized Wage Statements in Violation of Cal. Lab. Code §226; and (8)
20 Failure to Provide Wages When Due in Violation of Cal. Lab. Code §§ 201, 202 and 203.

21 15. As part of the Settlement, on December 16, 2022, Plaintiff filed a Notice of Violations
22 ("PAGA Notice") with the Labor and Workforce Development Agency ("LWDA") and served the same
23 on Defendants. Plaintiff's PAGA Notice set forth the facts and theories supporting Defendants' alleged
24 violations of various California Labor Code and applicable Industrial Welfare Commissions ("IWC")
25 Wage Order and of her intent to pursue claims under the California Labor Code Private Attorneys
26 General Act, Cal. Lab. Code sections 2698, *et seq.* ("PAGA").
27
28

16. Thereafter, on July 18, 2023, Plaintiff filed a First Amended Complaint adding a ninth cause of action for Violation of the Private Attorneys General Act [Labor Code §§ 2698 *et seq.*].

17. Plaintiff and Defendants agreed to mediate the Action with Steven J. Rottman, Esq., an experienced wage and hour mediator. In anticipation of mediation, the Parties agreed to stay formal discovery and continue deadlines for class certification in the Action. To prepare for mediation, Defendants agreed to informally produce documents and data points subject to the mediation privilege, including but not limited to, a sample of the Class Members' time and payroll records. As discussed *infra*, Plaintiff's counsel retained the forensic consultants at Berger Consulting Group ("BCG") to analyze Defendants' time and payroll data.

18. On October 17, 2022, the Parties participated in a full day mediation with Mr. Rottman. After arms-length negotiations and bargaining, the Parties reached an agreement to settle and signed a Memorandum of Understanding. Subsequently, the Parties drafted and negotiated a long-form settlement agreement, which is the subject of the instant motion. The Parties now seek approval of the entire Agreement.

19. The Court preliminarily approved an initial Gross Settlement Amount of Seven Hundred Fifty Thousand Dollars and Zero Cents (\$750,000.00) based on a total of an estimated 32,348 workweeks that the Class were employed during the Class Period. During the initial notice period, Defendants discovered that they had under-reported the number of workweeks, and that the actual number of workweeks was 38,783. The Agreement contains a provision allowing Defendants to elect whether to pay additional funds towards the settlement based on the increased number of workweeks, or to cut off the end date for the Class Period on the date the number of workweeks reached 32,348. Agreement at ¶ III(A)(2). Defendants elected to cut off the end date for the Class Period on the date the number of workweeks reached 32,348, which was May 28, 2021. As such, the Class Period (and release period) for the Settlement is from September 16, 2017 to May 28, 2021. The Gross Settlement Amount shall be used: (1) to satisfy Individual Settlement Payments; (2) to satisfy the Class Representative Service Award; (3) to satisfy the Class Counsel Award; (4) to satisfy the PAGA Settlement; and (5) to satisfy the Settlement Administration Costs incurred in this action.

20. As explained in further detail below, the Settlement is fair, adequate, and reasonable to the class and should be granted final approval. This is evidenced by the unanimous approval of the Settlement by the Class, the absence of any objections to the Settlement and the average Individual Settlement Payment of \$662.22.

III. THE SETTLEMENT IS FAIR, REASONABLE AND ADEQUATE

A. Adequate Investigation

21. Months before filing the Action, Class Counsel began its pre-filing investigation into Plaintiff's complaints. Class Counsel's investigation started with several lengthy interviews with Plaintiff. As part of the interview process, Plaintiff produced documents related to her employment, including correspondence with Defendants, and time and payroll records. At the conclusion of the interviews, Class Counsel determined that Plaintiff's complaints justified further inquiry.

22. Class Counsel subsequently requested Plaintiff's employee files from Defendants. In response, Defendants produced hundreds of pages of documents, including but not limited to, copies of Plaintiff's hiring documents, payroll and time records, various policies and separation documents. Class Counsel reviewed and analyzed the documents and determined the documents appeared to substantiate Plaintiff's claim that Defendants failed to, among other things, pay for all time worked and to provide compliant meal and rest periods.

23. Considering the many anticipated factual disputes, the Parties agreed that it would be in the best interest of both Parties to mediate the matter. To prepare for mediation, Class Counsel requested documents and data points to further evaluate Plaintiff's claims and Defendants' liability exposure to the Class Members. In response to Class Counsel's request, Defendants produced the time records for the Class Members, aggregate workweek and pay period data for the Class Members, average hourly rate for the Class Members, and copies of applicable handbooks, policies, and agreements containing, *inter alia*, compensation, timekeeping and meal and rest period policies. In total, Defendants produced nearly 1,000 pages of pertinent policy documents and records and more 200,000 unique cells of time and payroll data for Class Counsel to analyze.

24. Class Counsel then retained the statisticians and economists at BCG to evaluate the Class Members' payroll and time data. BCG provides data analysis and damage modeling consulting services specializing in wage and hour class actions. BCG's team of financial and data analysts are credentialed and experienced experts in their fields and have provided their expertise on thousands of cases. Stated differently, the BCG is uniquely qualified to analyze Defendants' time and payroll data.

25. BCG analyzed Defendants' time and payroll data to assist in the development of various damage models. Among other things, BCG determined the approximate number of work shifts, workweeks, pay periods, average length of shift and average number of hours worked per week and pay periods during the Class Period. BCG also estimated the number of missed, late or truncated meal and rest periods, the amount of paid missed meal period premiums paid during the Class Period, the amount of underpayment of overtime, premiums, and sick time resulting from miscalculation of the regular rate of pay, and the amount of off-the-clock work performed by the Class Members during the Class Period.

26. In advance of mediation, Class Counsel created a damage model predicated on the BCG analysis. Class Counsel's model calculated Defendants' potential liability exposure while accounting for the uncertainties of continued litigation. This damage model informed and guided Class Counsel during the mediated settlement discussions.

27. Overall, Class Counsel thoroughly investigated the claims at issue, including: (1) determining Plaintiff's suitability as a putative class representative through interviews, background investigations, and analysis of her employment files; (2) evaluating all of Plaintiff's potential claims; (3) researching similar wage and hour class actions as to the claims brought, the nature of the positions, and the type of employer; (4) analyzing the Class's time and payroll records; (5) analyzing Defendants' labor policies and practices; (6) researching settlements in similar cases as well as outstanding issues which could affect settlement approval; (7) evaluating Plaintiff's claims and estimating Defendants' liability for purposes of settlement; (8) drafting the mediation brief; and (9) participating in the mediation. This investigation allowed Class Counsel to fully assess the nature and magnitude of the claims being settled, as well as the impediments to recovery, such as to make an independent assessment of the reasonableness of the terms to which the Parties have agreed.

1 28. Class Counsel only agreed to enter the Settlement following this thorough investigation
2 and evaluation of Plaintiff's claims. Based on her investigation of the facts, applicable law, and
3 marketplace for similar settlements, and considering the risk of significant delay, uncertainty associated
4 with litigation, and the various defenses asserted by Defendants, Class Counsel firmly believes this
5 Agreement to be in the best interests of the Class Members. Because the Settlement's terms are fair,
6 reasonable, and adequate, the Court should grant final approval of the Settlement.

7 **B. The Parties Settled Following Mediation**

8 29. On October 17, 2022, the Parties conducted a full day mediation with Steven J. Rottman,
9 Esq., an experienced wage and hour mediator. Mediator Rottman helped to manage the Parties'
10 expectations and provided a useful, neutral analysis of the issues and risks to both sides. The Parties
11 made substantial progress with Mediator Rottman's assistance. Namely, the Parties exchanged
12 information regarding their respective claims and defenses, including their respective evaluations of the
13 damages. After more than eight hours of arm's length and adversarial negotiations, the mediation
14 concluded with a settlement. The amount of the Class Representative Service Award was not negotiated
15 until after the parties agreed to the Gross Settlement Amount and many other terms.

16 **C. Class Counsel is Experienced in Similar Litigation**

17 30. Plaintiff is represented by competent and experienced counsel to pursue her claims. I
18 established the JCL Law Firm, APC ("Firm"), in 2010. The Firm is a boutique plaintiff firm representing
19 aggrieved employees and consumers throughout California in wage and hour class actions and complex
20 multi-party product liability matters. The Firm currently consists of myself, Jean-Claude Lapuyade,
21 Esq. (Partner/Owner), Sydney Castillo-Johnson, Esq. (Associate Attorney), Monnett De La Torre, Esq.
22 (Associate Attorney), Tesla Stone (Paralegal), Jennifer Heming (Paralegal), Laura Gonzalez Mendez
23 (Paralegal), Sara Christensen (Legal Assistant), and Madelyn Uchiyama (Legal Assistant).

24 31. I am qualified to represent the Plaintiff, the Class Members, the State of California, and
25 the Aggrieved Employees. I have extensive experience with wage and hour class and representative
26 actions litigation like this matter, and employment matters in general. Additionally, I have extensive
27 complex mass tort litigation experience and complex construction product liability experience. I can,

1 will and have adequately represented the interests of the Plaintiff, the Class Members, the State of
2 California, and the Aggrieved Employees throughout this action.

3 32. I have represented consumers and employees in California class actions, and complex
4 multi-party product liability actions exclusively for more than 14 years. I have been in practice as a
5 plaintiffs' civil litigation attorney since April 2007.

6 33. I am qualified to represent the Plaintiff, the State of California, and the Aggrieved
7 Employees. I have extensive experience with wage and hour class and representative actions litigation
8 like this matter, and employment matters in general. My credentials are reflected in the JCL Law Firm,
9 APC, Firm Resume, a true and correct copy of which is attached hereto as **Exhibit "5."** Some of the
10 major cases the Firm has undertaken are also set forth in **Exhibit "5."**

11 34. Since 2010, I have successfully tried two (2) cases to conclusion, each resulting in a
12 favorable verdict for the firm's clients.

13 35. Finally, I have published appellate experience in *William Blanchette et al., v. The*
14 *Superior Court of Imperial County, (GHA Enterprises)*, 8 Cal.App.5th 521.

15 36. Consequently, I have been named to the prestigious Thomson Reuters, Super Lawyers,
16 Rising Star in 2015, 2016, 2017 and, again, in 2018. According to Thomson Reuters, the Super Lawyers,
17 Rising Star list recognizes the top 2.5% of eligible attorneys. (see
18 https://www.superlawyers.com/about/selection_process.html.)

19 **D. Strength of Plaintiff's Case**

20 37. Here, the Settlement is clearly within the "ballpark" of reasonableness because the
21 Settlement provides a sizeable recovery to the Settlement Class Member and need not necessarily obtain
22 100 percent of the damages sought to be fair, reasonable, and ultimately, meaningful. *Wershba*, 91
23 Cal.App.4th at 250. While the Gross Settlement Amount of Seven Hundred Fifty Thousand Dollars
24 and Zero Cents (\$750,000.00) represents a discount on Defendants' potential exposure if Plaintiff
25 established liability on all claims and was awarded the full amount of the estimated damages at trial,
26 this compromise is reasonable because (1) the formidable defenses raised by Defendants presented a
27 risk that could award significantly less in damages than Plaintiff's estimate and (2) any theoretical post-

1 trial recovery may require many more years of litigation, resulting in added fees and costs without any
2 guarantee of recovery for the Class.

3 38. As detailed at the time of preliminary approval, the Gross Settlement Amount is fair and
4 reasonable considering the nature and magnitude of the claims being settled and the various potential
5 impediments to recovery. Class Counsel developed varied economic damages models measuring
6 Defendants' liability exposure while accounting for the uncertainties of continued litigation. The
7 various models were informed by Class Counsel's investigation, including the analysis of a significant
8 sample of time and payroll data. Detailed in greater depth during the preliminary approval process,
9 Class Counsel projected a range of Defendants' liability exposure for the claims asserted on behalf of
10 the Class. The Gross Settlement Amount represents approximately 18.15% of Defendants' *class-wide*
11 liability exposure as estimated by Class Counsel at the time of mediation.

12 39. In *Stovall-Gusman v. W.W. Granger, Inc.*, 2015 U.S. Dist. LEXIS 78671, at * 12, the
13 District Court for the Northern District of California granted final approval in a wage and hour
14 misclassification action and found that the Gross Settlement Amount representing 10% of the estimated
15 trial award was within the "range of reasonableness." In *Dunleavy v. Nadler (In re Mego Fin. Corp.*
16 *Sec. Litig.)* (9th Cir. 2000) 213 F.3d 454, 459, the Ninth Circuit approved a settlement representing
17 "roughly one-sixth of the potential recovery." Given the amount of the settlement here as compared to
18 potential value of the claims, particularly the strength of Plaintiff's action, the settlement is clearly fair
19 and reasonable.²

20 40. The calculation methodology used to compensate the Settlement Class Members for the
21 alleged damages was negotiated at the time of the Settlement. More importantly, the methodology is
22 fair, objective, and impartial. The calculation methodology is based on the number of Workweeks each

23 ² See also *Viceral v. Mistras Grp., Inc.* (N.D. Cal. Oct. 11, 2016, No. 15-CV-02198) 2016 WL 5907869, at *3, 7 (approving
24 a California wage and hour settlement where the class received 11.6% of the estimated total liability, or approximately \$29
25 per work week); *Leverage v. Traeger Pellet Grills, LLC* (N.D. Cal. June 28, 2017, No. 16-CV-00784) 2017 WL 2797811,
26 at *7 (Approving a California wage and hour settlement where the class received 18% of the estimated total liability); see
27 also *In re Newbridge Networks Sec. Litig.* (D.D.C. Oct. 23, 1998) 1998 WL 765724, at *2 ("Courts have not identified a
28 precise numerical range within which a settlement must fall in order to be deemed reasonable; but an agreement that secures
roughly six to twelve percent of a potential trial recovery, while preventing further expenditures and delays and eliminating
the risk that no recovery at all will be won, seems to be within the targeted range of reasonableness.").

1 Settlement Class Member was employed for Defendants during the Class Period. The number of
2 Workweeks for the Settlement Class Member is established through Defendants' data and documented
3 compensation records. The Settlement Administrator is responsible for calculating the Individual
4 Settlement Payments by multiplying the Workweek Value for each Settlement Class Member by the
5 Net Settlement Amount. The Settlement Administrator will calculate the payment ratio by dividing the
6 total Workweeks for all Class Members by the respective Workweeks for each Class Member.
7 Agreement, ¶ III(M)(1). This process establishes an objectively fair and reasonable procedure to
8 compensate the Class.

9 41. The settlement also provides significant relief to the Settlement Class Members. After
10 deductions for the Class Counsel Award, Settlement Administration Costs, the PAGA Settlement, and
11 the Class Representative Service Award, the Net Settlement Amount is currently estimated to be
12 \$417,200.00. The average settlement payment is approximately \$662.22, and the highest is
13 approximately \$2,489.17. This average net recovery is on par with many wage and hour class action
14 settlements approved by California state and federal courts. *See, e.g., Palencia v. 99 Cents Only Stores*,
15 No. 34-2010-00079619 (Sacramento County Super. Ct) (average net recovery of approximately \$80);
16 *Ressler v. Federated Department Stores, Inc.*, Case No. BC335018 (L.A. County Super. Ct.) (average
17 net recovery of approximately \$90); *Doty v. Costco Wholesale Corp.*, Case No. CV05-3241 FMC-JWJx
18 (C.D. Cal. May 14, 2007) (average net recovery of approximately \$65); *Sorenson v. PetSmart, Inc.*,
19 Case No. 2:06-CV-02674-JAM-DAD (ED. Cal.) (average net recovery of approximately \$60); *Lim v.*
20 *Victoria's Secret Stores, Inc.*, Case No. 04CC00213 (Orange County Super. Ct.) (average net recovery
21 of approximately \$35); and *Gomez v. Amadeus Salon, Inc.*, Case No. BC392297 (L.A. Super. Ct.)
22 (average net recovery of approximately \$20). Additionally, Defendants must separately fund their share
23 of any employer side payroll taxes. Agreement, ¶ III(A)(1).

24 42. Clearly the goal of this litigation to obtain redress for the class has been met by this
25 Settlement. Given the amount of the Settlement, the risks of litigation and the arm's length negotiations
26 between experienced counsel, the settlement is fair and reasonable, and is therefore entitled to final
27 approval.

1 **E. Risks of Continued Litigation**

2 43. Although Class Counsel believes strongly in Plaintiff's claims, it would be misguided to
3 ignore the asserted defenses. If successful on any one of its defenses, Defendants could defend the
4 action or, at least, drastically undercut Plaintiff's claims. With respect to the most immediate challenge,
5 i.e., class certification, the risks attendant to the certification of similar wage and hour claims are
6 demonstrated by *Magadia v. Wal-Mart Associates, Inc.* (9th Cir. 2021) 999 F.3d 668. There, the Ninth
7 Circuit, vacated reversed and remanded a \$110 million judgement against an employer for, *inter alia*,
8 inaccurately paying overtime, and directed the trial court to enter judgement in favor of the employer.
9 *See also O'Conner v. Uber Technologies, Inc.* (9th Cir. 2018) 904 F.3d 1087 (decertification of wage
10 and hour misclassification action); *see also Duran v. U.S. Bank National Association* (2014) 59 Cal.4th
11 1, 31 (reversing a trial verdict in favor plaintiffs in a wage and hour class action)); *Tien v. Tenet*
12 *Healthcare Corp.* (2012) 209 Cal.App.4th 1077, 1088 ("[T]he reason, if any, that employees might not
13 take their breaks were predominantly individualized questions of fact not susceptible to class
14 treatment."); *Ali v. U.S.A. Cab Ltd.* (2009) 176 Cal.App.4th 1333, 1341 (affirming denial of certification
15 because employees' declarations attesting to having taken meal and rest breaks demonstrated that
16 individualized inquiries were required to show harm); *Campbell v. Best Buy Stores, L.P.*, (C.D. Cal.
17 2013) 2013 WL 5302217 at *11-13 (following *Brinker* and denying certification of proposed off-the-
18 clock and rest and meal break classes due to lack of uniform policy); *Brown v. Fed Express Corp.* (C.D.
19 Cal. 2008) 249 F.R.D. 580, 587-88 (denying certification of driver meal and rest period claims based
20 on the predominance of individual issues). Collectively, the examples cited above illustrate the
21 uncertain nature of class litigation. Consequently, the uncertainty of obtaining certification through a
22 contested motion is a significant risk factor favoring settlement.

23 44. Although Plaintiff is confident about the strength of her claims, Plaintiff nevertheless
24 recognizes that Defendants have factual and legal defenses that, if successful, would potentially defeat
25 or impair the value of the lawsuit. Legally, the fast-changing landscape of wage and hour litigation can
26 drastically affect the value of Plaintiff's claims and, in fact, already has.

1 45. As demonstrated by the decisions in *Magadia, supra*, 999 F.3d 668, *O'Conner v. Uber*
2 *Technologies* (N.D. Cal. 2018) 82 F.Supp.3d 1133 and *Duran v. U.S. Bank National Assn.* (2014) 59
3 Cal.4th 1, the complexities and duration of further litigation cannot be overstated. There is little doubt
4 that if Defendants faced a large adverse judgement, Defendants undoubtedly have the resources to post
5 a bond and appeal. A post-judgment appeal by Defendants would have required many more years to
6 resolve, assuming the judgment was affirmed. If the judgment was not affirmed in total, then the case
7 could have dragged on for years after the appeal. The benefits of a guaranteed recovery today outweigh
8 an uncertain result three or more years in the future.

9 46. Class Representative and Class Counsel recognize the expense and length of a trial
10 against Defendants through possible appeals which could take another three years. In arriving at their
11 informed belief that the present settlement is fair and adequate, Class Counsel accounted for the
12 uncertain outcome and risk inherent in complex actions such as this one. Class Counsel is also mindful
13 of and recognize the inherent problems of proof under, and alleged defenses to, the claims asserted in
14 the litigation. Moreover, post-trial motions and appeals would have been inevitable. Costs would have
15 increased, and recovery would have been delayed if not denied, thereby reducing the benefits of an
16 ultimate victory. Class Representative and Class Counsel believe that the Settlement confers substantial
17 monetary and non-monetary benefits upon the Class. Based upon their evaluation, Class Representative
18 and Class Counsel have determined that the Settlement set forth in the Agreement is in the best interest
19 of the Class, is fair, reasonable, and adequate, and should be granted final approval.

20 **IV. THE PENALTIES UNDER PAGA ARE REASONABLE**

21 47. Here, Plaintiff and Defendants negotiated a good faith PAGA Settlement in the amount
22 of \$37,500 which is 5% of the Gross Settlement Amount. As illustrated above, this amount falls well
23 within the range of approved PAGA settlements. Seventy-Five percent (75%) of the PAGA Settlement
24 (\$28,125.00) will be paid to the LWDA with the remaining twenty-five percent (25%) of the PAGA
25 Settlement (\$9,375.00) to be distributed to the Aggrieved Employees, which is within the range of
26 PAGA penalties approved by courts and should be approved.

EXHIBIT 1

JCL LAW FIRM, APC

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ATTORNEYS FOR PLAINTIFF

SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR THE COUNTY OF SAN LUIS OBISPO

ELISA ALVAREZ, an individual, on behalf
of herself and on behalf of all persons
similarly situated,

PLAINTIFF,

vs.

SLO ARCHES, INC., a California
Corporation; IVERNIA, INCORPORATED,
a California Corporation; GOLDEN
SENECA, INC., a California Corporation;
and DOES 1 through 50, Inclusive;

DEFENDANTS.

Case No. 21CV-0533

[Action Filed: September 16, 2021]

**STIPULATION OF SETTLEMENT OF
CLASS AND PAGA ACTION CLAIMS
AND RELEASE OF CLAIMS**

Judge: Honorable Rita Federman
Dept.: 2

This Stipulation of Settlement of Class and PAGA Action Claims and Release of Claims is entered into by and between plaintiff ELISA ALVAREZ (hereinafter “Plaintiff”), individually and on behalf of the putative class and the State of California as a private attorney general, and defendants SLO ARCHES, INC., IVERNIA, INCORPORATED and GOLDEN SENECA, INC. (hereinafter, collectively, “Defendants”):

I. DEFINITIONS

- A. “Action” shall mean the putative class action lawsuit designated *Alvarez v. SLO Arches, Inc., et al.*, San Luis Obispo County Superior Court, Case No. 21CV-0533, filed September 16, 2021 (hereinafter “the Action”).
- B. “Aggrieved Employees” shall mean all non-exempt employees who are or previously were employed by Defendants in California during the period of September 16, 2020 to October 17, 2022.
- C. “Agreement” or “Settlement Agreement” means this Stipulation of Settlement of Class and PAGA Action Claims and Release of Claims.
- D. “Class Counsel” shall mean Jean-Claude Lapuyade, Esq. of JCL Law Firm, APC and Shani Zakay, Esq. of Zakay Law Group, APLC.
- E. “Class Counsel Award” means the award of fees and expenses that the Court authorizes to be paid to Class Counsel for the services they have rendered to Plaintiff and the Class in the Actions, consisting of attorneys’ fees currently not to exceed one-third of the Gross Settlement Amount currently estimated to be \$250,000.00 out of \$750,000.00 plus costs and expenses in an amount not to exceed \$20,000. Class Counsel’s award for attorneys’ fees will be divided equally between Class Counsel (50% to JCL Law Firm, APC and 50% to Zakay Law Group, APLC).
- F. “Class Data” means information regarding Class Members that Defendants will in good faith compile from its records and provide to the Settlement Administrator. It shall be formatted as a Microsoft Excel spreadsheet and shall include: each Class Member’s full name; last known address; Social Security Number; start dates and end dates of employment.

- 1 G. "Class Members" or the "Class" means all non-exempt employees who are or
2 previously were employed by Defendants and performed work in California during
3 the period of September 16, 2017 to October 17, 2022.
- 4 H. "Class Period" means the period between September 16, 2017 to October 17, 2022.
- 5 I. "Class Representative Service Award" means the amount that the Court authorizes to
6 be paid to the Class Representative, in addition to her Individual Settlement Payment
7 and her pro-rata share of the PAGA Settlement, in recognition of her efforts and risks
8 in assisting with the prosecution of the Actions and in exchange for executing a
9 General Release of Defendants.
- 10 J. "Class Representative" shall mean plaintiff ELISA ALVAREZ.
- 11 K. "Court" means the Superior Court for the State of California, County of San Luis
12 Obispo currently presiding over the Action.
- 13 L. "Defendants" shall mean SLO Arches, Inc., Ivernia, Incorporated and Golden Seneca,
14 Inc.
- 15 M. "Defendants' Counsel" shall mean Efthalia S. Rofos, Esq. of Lewis Brisbois Bisgaard
16 & Smith LLP.
- 17 N. "Effective Date" means the latest of the following dates: (i) sixty-one (61) calendar
18 days following the date the Court enters an order granting Final Approval of the
19 Settlement, assuming no appeal, objection, or request to intervene is filed, or (ii) if a
20 timely appeal, objection, or request to intervene is filed, the date of final resolution of
21 that appeal, objection, or intervening action (including any requests for rehearing
22 and/or petitions for writs of certiorari) resulting in final judicial approval of the
23 Settlement.
- 24 O. "Funding Date" shall mean ten (10) calendar days after the Effective Date and is the
25 date Defendants transfer the Gross Settlement Amount into the QSF in accord with
26 the terms of this Agreement.
- 27 P. "Gross Settlement Amount" means Seven Hundred Fifty Thousand Dollars
28 (\$750,000.00) that Defendants must pay into the QSF in connection with this

Settlement, inclusive of the sum of the Individual Settlement Payments, the Class Representative Service Award, the Class Counsel Award, PAGA Settlement and the Settlement Administration Costs and *exclusive* of the employer's share of payroll tax, if any, triggered by any payment under this Settlement.

Q. "Individual Settlement Payment" means the amount payable from the Net Settlement Amount to each Settlement Class Member and excludes any amounts distributed to Aggrieved Employees pursuant to PAGA.

R. "Net Settlement Amount" or "NSA" means the Gross Settlement Amount, less Class Counsel Award, Class Representative Service Award, PAGA Settlement, and Settlement Administration Costs.

S. "Notice Packet" means the Class Notice to be provided to the Class Members by the Settlement Administrator in the form set forth as Exhibit A to this Agreement (other than formatting changes to facilitate printing by the Settlement Administrator).

T. "PAGA" means the California Labor Code Private Attorneys General Act of 2004, Labor Code § 2698 *et seq.*

U. "PAGA Payment Ratio" means the respective pay periods during the PAGA Period for each Aggrieved Employee divided by the sum total of the pay periods for all Aggrieved Employees during the PAGA Period.

V. "PAGA Pay Periods", for purposes of calculating the distribution of the Aggrieved Employee Payment, as defined herein, means the number of pay periods of employment during the PAGA Period that each Aggrieved Employee worked in California.

W. "PAGA Period" means the period between September 16, 2020 to October 17, 2022.

X. "PAGA Settlement" shall mean Thirty-Seven Thousand Five Hundred Dollars (\$37,500.00) to be allocated from the Gross Settlement Amount, with 25% of the payment going to the Aggrieved Employees and 75% of the payment going to the Labor and Workforce Development Agency ("LWDA"). The amount of the PAGA Settlement Payment is subject to Court approval pursuant to California Labor Code

1 section 2699(l). Any reallocation of the Gross Settlement Amount to increase the
2 PAGA Settlement Payment will not constitute grounds by either party to void this
3 Agreement, so long as the Gross Settlement Amount remains the same.

4 Y. "Parties" means Plaintiff and Defendants, collectively, and "Party" shall mean either
5 Plaintiff or Defendants, individually.

6 Z. "Class Payment Ratio" means the respective Workweeks for each Class Member
7 divided by the sum total Workweeks for all Class Members.

8 AA. "Plaintiff" shall mean ELISA ALVAREZ.

9 BB. "QSF" means the Qualified Settlement Fund established, designated and maintained
10 by the Settlement Administrator to fund the Gross Settlement Amount.

11 CC. "Released Class Claims" means all class claims alleged in the operative First
12 Amended Complaint which occurred during the Class Period, and expressly
13 excluding all other claims, including claims for vested benefits, wrongful termination,
14 unemployment insurance, disability, social security, workers' compensation, and
15 class claims outside of the Class Period.

16 DD. "Released PAGA Claims" means all PAGA claims alleged in the operative First
17 Amended Complaint and Plaintiff's PAGA notice to the LWDA which occurred
18 during the PAGA Period, and expressly excluding all other claims, including claims
19 for vested benefits, wrongful termination, unemployment insurance, disability, social
20 security, workers' compensation, and PAGA claims outside of the PAGA Period.

21 EE. "Released Parties" shall mean Defendants and any and all of its respective owners,
22 officers, directors, partners, shareholders, employees, agents, affiliates, subsidiaries,
23 successors, assigns, executors, trustees, fiduciaries, administrators, beneficiaries,
24 business managers, contractors, insurers, reinsurers, attorneys, employees, past and
25 present and/or legal representatives.

26 FF. "Response Deadline" means the date forty-five (45) days after the Settlement
27 Administrator mails Notice Packets to Class Members and the last date on which
28 Class Members may submit requests for exclusion or objections to the Settlement.

- 1 GG. "Settlement" means the disposition of the Actions pursuant to this Agreement.
- 2 HH. "Settlement Administrator" means ILYM Group Inc., 14771 Plaza Drive, Ste. L,
3 Tustin, CA 92780; Tel: 1-888-250-6810; Fax: 1-888-845-6185. The Settlement
4 Administrator establishes, designates and maintains, as a QSF under Internal Revenue
5 Code section 468B and Treasury Regulation section 1.468B-1, into which the amount
6 of the Gross Settlement Amount is deposited for the purpose of resolving the claims
7 of Settlement Class Members. The Settlement Administrator shall maintain the funds
8 until distribution in an account(s) segregated from the assets of Defendants and any
9 person related to Defendants. *All accrued interest shall be paid and distributed to*
10 *the Settlement Class Members as part of their respective Individual Settlement*
11 *Payment.*
- 12 II. "Settlement Class Members" or "Settlement Class" means all Class Members who
13 have not submitted a timely and valid request for exclusion as provided in this
14 Agreement.
- 15 JJ. "Workweeks", for purposes of calculating the distribution of the Net Settlement
16 Amount, means the number of weeks of employment during the Class Period that
17 each Class Member was employed by Defendants in California, excluding weeks
18 during which the Class Member did not perform any work for Defendants.

19 **II. RECITALS**

- 20 A. On September 16, 2021, Plaintiff filed the Class Action lawsuit for:
- 21 1. Unfair Competition in Violation of Bus. and Prof. Code §§ 17200, *et seq*;
- 22 2. Failure to pay overtime wages in violation of Cal. Lab. Code §§ 510, *et seq*.
- 23 3. Failure to pay minimum wages in violation of Cal. Lab. Code §§ 1194, 1197
24 & 1197.1;
- 25 4. Failure to provide required meal periods in violation of Cal. Lab. Code §§
26 226.7 and 512 and the applicable IWC wage order;
- 27 5. Failure to provide required rest periods in violation of Cal. Lab. Code §§ 226.7
28 and 512 and the applicable IWC wage order;

6. Failure to reimburse Employees for required expenses in violation of Cal. Lab. Code § 2802;

7. Failure to provide accurate itemized wage statements in violation of Cal. Lab. Code § 226;

8. Failure to pay wages when due in violation of Cal. Lab. Code §§ 201, 202 and 203.

B. On December 16, 2022, Plaintiff filed a Notice of Violations with the Labor and Workforce Development Agency (LWDA) and served the same on Defendants.

C. Prior to the filing of the Preliminary Approval Motion, Plaintiff filed the operative First Amended Complaint to include an additional cause of action for Violations of the Private Attorneys General Act [Labor Code §§ 2698, *et seq.*].

D. The Class Representative believes she has meritorious claims based on alleged violations of the California Labor Code, and the Industrial Wage Commission Orders, and that class certification is appropriate because the prerequisites for class certification can be satisfied in the Action, and this action is manageable as a PAGA representative action.

E. Defendants deny any liability or wrongdoing of any kind associated with the claims alleged in the Action, dispute any wages, damages and penalties claimed by the Class Representative are owed, and further contend that, for any purpose other than this settlement, the Action is not appropriate for class or representative action treatment. Defendants contend, among other things, that at all times they complied with the California Labor Code and the Industrial Wage Commission Orders.

F. The Class Representative is represented by Class Counsel. Class Counsel conducted an investigation into the facts relevant to the Action, including conducting an independent investigation as to the allegations, reviewing documents and information exchanged through informal discovery, and reviewing documents and information provided by Defendants pursuant to informal requests for information to prepare for mediation. Defendants produced for the purpose of settlement negotiations certain

1 employment data, including payroll and timekeeping records, concerning the
2 Settlement Class, which Class Counsel reviewed and analyzed. Based on their own
3 independent investigation and evaluation, Class Counsel are of the opinion that the
4 Settlement with Defendants is fair, reasonable and adequate, and is in the best interest
5 of the Settlement Class in light of all known facts and circumstances, including the
6 risks of significant delay, defenses asserted by Defendants, uncertainties regarding
7 class certification, and numerous potential appellate issues. Although it denies any
8 liability, Defendants are agreeing to this Settlement solely to avoid the
9 inconveniences and cost of further litigation. The Parties and their counsel have
10 agreed to settle the claims on the terms set forth in this Agreement.

11 G. On October 17, 2022, the Parties participated in mediation presided over by Steven
12 Rottman, Esq., a mediator of wage and hour class actions. The mediation concluded
13 with a settlement, which was subsequently memorialized in the form of a
14 Memorandum of Understanding (“MOU”).

15 H. This Agreement replaces and supersedes the MOU and any other agreements,
16 understandings, or representations between the Parties. This Agreement represents a
17 compromise and settlement of highly disputed claims. Nothing in this Agreement is
18 intended or will be construed as an admission by Defendants that the claims in the
19 Actions of Plaintiff or the Class Members have merit or that Defendants bear any
20 liability to Plaintiff or the Class on those claims or any other claims, or as an
21 admission by Plaintiff that Defendants’ defenses in the Actions have merit.

22 I. The Parties believe that the Settlement is fair, reasonable and adequate. The
23 Settlement was arrived at through arm’s-length negotiations, taking into account all
24 relevant factors. The Parties recognize the uncertainty, risk, expense and delay
25 attendant to continuing the Action through trial and any appeal. Accordingly, the
26 Parties desire to fully, finally, and forever settle, compromise and discharge all
27 disputes and claims arising from or relating to the Action.
28

1 J. The Parties agree to certification of the Class for purposes of this Settlement only. If
2 for any reason the settlement does not become effective, Defendants reserve the right
3 to contest certification of any class for any reason and reserves all available defenses
4 to the claims in the Action.

5 Based on these Recitals that are a part of this Agreement, the Parties agree as follows:

6 **III. TERMS OF AGREEMENT**

7 A. Settlement Consideration and Settlement Payments by Defendants.

8 1. Settlement Consideration. In full and complete settlement of the Actions, and
9 in exchange for the releases set forth below, Defendants and the Released
10 Parties will pay the sum of the Individual Settlement Payment, the Class
11 Representative Service Award, the Class Counsel Award, PAGA Settlement,
12 and the Settlement Administration Costs, as specified in this Agreement, equal
13 to the Gross Settlement Amount of Seven Hundred Fifty Thousand Dollars
14 (\$750,000.00). The Parties agree that this is a non-reversionary Settlement and
15 that no portion of the Gross Settlement Amount shall revert to Defendants.
16 Other than the Defendants' share of employer payroll taxes, and as provided
17 for in ¶ III.A.2. below, Defendants shall not be required to pay more than the
18 Gross Settlement Amount.

19 2. Class Size. At the time of mediation, Defendants estimated that the Settlement
20 Class was comprised of 711 individuals who collectively worked
21 approximately 32,348 Workweeks ("Projected Workweeks") during the Class
22 Period. In regard hereto, Defendants will provide a declaration under penalty
23 of perjury before the deadline for the filing of the motion for preliminary
24 approval of the settlement, confirming the number of Class Members and
25 workweeks they worked during the applicable Class Period. If the workweek
26 number increases by more than 10% of the estimate stated herein, then
27 Defendants, at its option, can either choose to: (1) cut off the end date for the
28 class release as of the date on which the number of work weeks reaches 32,348

or (2) increase the Settlement Amount proportionally for the number of workweeks over 110% of 32,348 (35,583).

3. Settlement Payment. Defendants and the Released Parties, and each of them, are jointly and severally liable for payment of the Gross Settlement Amount. Defendants shall pay the Gross Settlement Amount to the Settlement Administrator on the Funding Date.

4. Defendants' Share of Payroll Taxes. Defendants' share of employer side payroll taxes is in addition to the Gross Settlement Amount and shall be paid together with the Gross Settlement Amount.

B. Release by Settlement Class Members. As of the Funding Date, in exchange for the consideration set forth in this Agreement, Plaintiff and the Settlement Class Members release the Released Parties from the Released Class Claims for the Class Period.

C. Release by the Aggrieved Employees. As of the Funding Date, in exchange for the consideration set forth in this Agreement, the Plaintiff, the LWDA and the State of California release the Released Parties from the Released PAGA Claims for the PAGA Period.

D. General Release by Plaintiff. As of the Funding Date, for the consideration set forth in this Agreement, Plaintiff waives, releases, acquits and forever discharges the Released Parties from any and all claims, whether known or unknown, which exist or may exist on her behalf as of the date of this Agreement, including but not limited to any and all tort claims, contract claims, wage claims, wrongful termination claims, disability claims, benefit claims, public policy claims, retaliation claims, statutory claims, personal injury claims, emotional distress claims, invasion of privacy claims, defamation claims, fraud claims, quantum meruit claims, and any and all claims arising under any federal, state or other governmental statute, law, regulation or ordinance, including, but not limited to claims for violation of the Fair Labor Standards Act, the California Labor Code, the Wage Orders of California's Industrial Welfare Commission, other state wage and hour laws, the Americans with Disabilities Act, the

1 Age Discrimination in Employment Act (ADEA), the Employee Retirement Income
2 Security Act, Title VII of the Civil Rights Act of 1964, the California Fair Employment
3 and Housing Act, the California Family Rights Act, the Family Medical Leave Act,
4 California's Whistleblower Protection Act, California Business & Professions Code
5 Section 17200 et seq., and any and all claims arising under any federal, state or other
6 governmental statute, law, regulation or ordinance. Plaintiff also waives and
7 relinquishes any and all claims, rights or benefits that she may have under California
8 Civil Code § 1542, which provides as follows:

9 ***A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE***
10 ***CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO***
11 ***EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE***
12 ***RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE***
13 ***MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR***
14 ***OR RELEASED PARTY.***

15 Thus, notwithstanding the provisions of section 1542, and to implement a full and
16 complete release and discharge of the Released Parties, Plaintiff expressly
17 acknowledges this Settlement Agreement is intended to include in its effect, without
18 limitation, all claims Plaintiff does not know or suspect to exist in Plaintiff's favor at
19 the time of signing this Settlement Agreement, and that this Settlement Agreement
20 contemplates the extinguishment of any such claims. Plaintiff warrants that Plaintiff
21 has read this Settlement Agreement, including this waiver of California Civil Code
22 section 1542, and that Plaintiff has consulted with or had the opportunity to consult
23 with counsel of Plaintiff's choosing about this Settlement Agreement and specifically
24 about the waiver of section 1542, and that Plaintiff understands this Settlement
25 Agreement and the section 1542 waiver, and so Plaintiff freely and knowingly enters
26 into this Settlement Agreement. Plaintiff further acknowledges that Plaintiff later may
27 discover facts different from or in addition to those Plaintiff now knows or believes to
28 be true regarding the matters released or described in this Settlement Agreement, and

1 even so Plaintiff agrees that the releases and agreements contained in this Settlement
2 Agreement shall remain effective in all respects notwithstanding any later discovery of
3 any different or additional facts. Plaintiff expressly assumes any and all risk of any
4 mistake in connection with the true facts involved in the matters, disputes, or
5 controversies released or described in this Settlement Agreement or with regard to any
6 facts now unknown to Plaintiff relating thereto.

7 E. Conditions Precedent: This Settlement will become final and effective only upon the
8 occurrence of all of the following events:

- 9 1. The Court enters an order granting preliminary approval of the Settlement;
- 10 2. The Court enters an order granting final approval of the Settlement and a Final
11 Judgment;
- 12 3. If an objector appears at the final approval hearing, the time for appeal of the
13 Final Judgment and Order Granting Final Approval of Class Action
14 Settlement expires; or, if an appeal is timely filed, there is a final resolution of
15 any appeal from the Judgment and Order Granting Final Approval of Class
16 Action Settlement; and
- 17 4. Defendants fully fund the Gross Settlement Amount.

18 F. Nullification of Settlement Agreement. In the event that this Settlement Agreement is
19 not preliminarily or finally approved by the Court, fails to become effective, or is
20 reversed, withdrawn or modified by the Court, or in any way prevents or prohibits
21 Defendants from obtaining a complete resolution of the Released Claims, or if
22 Defendants fail to fully fund the Gross Settlement Amount:

- 23 1. This Settlement Agreement shall be void *ab initio* and of no force or effect,
24 and shall not be admissible in any judicial, administrative or arbitral
25 proceeding for any purpose or with respect to any issue, substantive or
26 procedural;
- 27 2. The conditional class certification (obtained for any purpose) shall be void *ab*
28 *initio* and of no force or effect, and shall not be admissible in any judicial,

1 administrative or arbitral proceeding for any purpose or with respect to any
2 issue, substantive or procedural; and

3 3. None of the Parties to this Settlement will be deemed to have waived any
4 claims, objections, defenses or arguments in the Actions, including with
5 respect to the issue of class certification.

6 4. If Defendants fail to fully fund the Gross Settlement Amount, Defendants
7 shall bear the sole responsibility for any cost to issue or reissue any curative
8 notice to the Settlement Class Members and all Settlement Administration
9 Costs incurred to the date of nullification.

10 G. Certification of the Settlement Class. The Parties stipulate to conditional class
11 certification of the Class for the Class Period for purposes of this settlement only. In
12 the event that this Settlement is not approved by the Court, fails to become effective,
13 or is reversed, withdrawn or modified by the Court, or in any way prevents or prohibits
14 Defendants from obtaining a complete resolution of the Released Claims, the
15 conditional class certification (obtained for any purpose) shall be void *ab initio* and of
16 no force or effect, and shall not be admissible in any judicial, administrative or arbitral
17 proceeding for any purpose or with respect to any issue, substantive or procedural.

18 H. Tax Liability. The Parties make no representations as to the tax treatment or legal
19 effect of the payments called for, and Class Members and/or Aggrieved Employees are
20 not relying on any statement or representation by the Parties in this regard. Class
21 Members and/or Aggrieved Employees understand and agree that they will be
22 responsible for the payment of any taxes and penalties assessed on the Individual
23 Settlement Payments and/or Aggrieved Employees' individual shares of the PAGA
24 Settlement described and will be solely responsible for any penalties or other
25 obligations resulting from their personal tax reporting of Individual Settlement
26 Payments and/or Aggrieved Employees' individual shares of the PAGA Settlement.

27 I. Circular 230 Disclaimer. Each Party to this Agreement (for purposes of this section,
28 the "acknowledging party" and each Party to this Agreement other than the

acknowledging party, an “other party”) acknowledges and agrees that: (1) no provision of this Agreement, and no written communication or disclosure between or among the Parties or their attorneys and other advisers, is or was intended to be, nor shall any such communication or disclosure constitute or be construed or be relied upon as, tax advice within the meaning of United States Treasury Department circular 230 (31 CFR part 10, as amended); (2) the acknowledging party (a) has relied exclusively upon his, her or its own, independent legal and tax counsel for advice (including tax advice) in connection with this Agreement, (b) has not entered into this Agreement based upon the recommendation of any other Party or any attorney or advisor to any other Party, and (c) is not entitled to rely upon any communication or disclosure by any attorney or adviser to any other party to avoid any tax penalty that may be imposed on the acknowledging party, and (3) no attorney or adviser to any other Party has imposed any limitation that protects the confidentiality of any such attorney’s or adviser’s tax strategies (regardless of whether such limitation is legally binding) upon disclosure by the acknowledging party of the tax treatment or tax structure of any transaction, including any transaction contemplated by this Agreement.

J. Preliminary Approval Motion. At the earliest practicable time, but no later than sixteen (16) court days prior to the hearing on the Motion for Order Granting Preliminary Approval, Plaintiff shall file with the Court a Motion for Order Granting Preliminary Approval and supporting papers, which shall include this Settlement Agreement. Plaintiff will provide Defendants with a draft of the Motion at least 3 business days prior to the filing of the Motion to give Defendants an opportunity to propose changes or additions to the Motion.

K. Settlement Administrator. The Settlement Administrator shall be responsible for: establishing and administering the QSF; calculating, processing and mailing payments to the Class Representative, Class Counsel, LWDA and Class Members; printing and mailing the Notice Packets to the Class Members as directed by the Court; receiving and reporting the objections and requests for exclusion; calculating, deducting and

1 remitting all legally required taxes from Individual Settlement Payments and
2 distributing tax forms for the Wage Portion and Non-Wage Portion of the Individual
3 Settlement Payments and/or Aggrieved Employees' individual shares of the PAGA
4 Settlement; processing and mailing tax payments to the appropriate state and federal
5 taxing authorities; providing declaration(s) as necessary in support of preliminary
6 and/or final approval of this Settlement; and other tasks as the Parties mutually agree
7 or the Court orders the Settlement Administrator to perform. The Settlement
8 Administrator shall keep the Parties timely apprised of the performance of all
9 Settlement Administrator responsibilities by among other things, sending a weekly
10 status report to the Parties' counsel stating the date of the mailing, the of number of
11 Elections Not to Participate in Settlement it receives (including the numbers of valid
12 and deficient), and number of objections received.

13 L. Notice Procedure.

14 1. Class Data. No later than ten (10) business days after the Preliminary
15 Approval Date, Defendants shall provide the Settlement Administrator with
16 the Class Data for purposes of preparing and mailing Notice Packets to the
17 Class Members.

18 2. Notice Packets.

19 a) The Notice Packet shall contain the Notice of Class Action Settlement
20 in a form substantially similar to the form attached as Exhibit A. The
21 Notice of Class Action Settlement shall inform Class Members and
22 Aggrieved Employees that they need not do anything in order to
23 receive an Individual Settlement Payment and/or Aggrieved
24 Employees' individual shares of the PAGA Settlement and to keep the
25 Settlement Administrator apprised of their current mailing address, to
26 which the Individual Settlement Payments and/or Aggrieved
27 Employees' individual shares of the PAGA Settlement will be mailed
28 following the Funding Date. The Notice of Class Action Settlement

1 shall set forth the release to be given by all members of the Class who
2 do not request to be excluded from the Settlement Class and/or
3 Aggrieved Employees' in exchange for an Individual Settlement
4 Payment and/or Aggrieved Employees' individual shares of the PAGA
5 Settlement, the number of Workweeks worked by each Class Member
6 during the Class Period and PAGA Period, if any, and the estimated
7 amount of their Individual Settlement Payment if they do not request
8 to be excluded from the Settlement and each Aggrieved Employee's
9 share of the PAGA Settlement, if any. The Settlement Administrator
10 shall use the Class Data to determine Class Members' Workweeks and
11 PAGA Workweeks. The Notice will also advise the Aggrieved
12 Employees that they will release the Released PAGA Claims and will
13 receive their share of the PAGA Settlement regardless of whether they
14 request to be excluded from the Settlement.

15 b) The Notice Packet's mailing envelope shall include the following
16 language: "IMPORTANT LEGAL DOCUMENT- YOU MAY BE
17 ENTITLED TO PARTICIPATE IN A CLASS ACTION
18 SETTLEMENT; A PROMPT REPLY TO CORRECT YOUR
19 ADDRESS IS REQUIRED AS EXPLAINED IN THE ENCLOSED
20 NOTICE."

21 3. Notice by First Class U.S. Mail. Upon receipt of the Class Data, the
22 Settlement Administrator will perform a search based on the National Change
23 of Address Database to update and correct any known or identifiable address
24 changes. No later than fourteen (14) calendar days after receiving the Class
25 Data from Defendants, the Settlement Administrator shall mail of the Notice
26 Packet to all Class Members via regular First-Class U.S. Mail. The Settlement
27 Administrator shall exercise its best judgment to determine the current mailing
28 address for each Class Member. The address identified by the Settlement

1 Administrator as the current mailing address shall be presumed to be the best
2 mailing address for each Class Member.

3 4. Undeliverable Notices. Any Notice Packets returned to the Settlement
4 Administrator as non-delivered on or before the Response Deadline shall be
5 re-mailed to any forwarding address provided. If no forwarding address is
6 provided, the Settlement Administrator shall promptly attempt to determine a
7 correct address by lawful use of skip-tracing, or other search using the name,
8 address and/or Social Security number of the Class Member involved, and
9 shall then perform a re-mailing, if another mailing address is identified by the
10 Settlement Administrator. In addition, if any Notice Packets, which are
11 addressed to Class Members who are currently employed by Defendants, are
12 returned to the Settlement Administrator as non-delivered and no forwarding
13 address is provided, the Settlement Administrator shall notify Defendants.
14 Defendants will request that the currently employed Class Member provide a
15 corrected address, and transmit to the Administrator any corrected address
16 provided by the Class Member. Class Members who received a re-mailed
17 Notice Packet shall have their Response Deadline extended fifteen (15) days
18 from the original Response Deadline.

19 5. Disputes Regarding Individual Settlement Payments. Class Members will
20 have the opportunity, should they disagree with Defendants' records regarding
21 the start and end dates of employment to provide documentation and/or an
22 explanation to show contrary dates. If there is a dispute, the Settlement
23 Administrator will consult with the Parties to determine whether an
24 adjustment is warranted. The Settlement Administrator shall determine the
25 eligibility for, and the amounts of, any Individual Settlement Payments under
26 the terms of this Agreement. The Settlement Administrator's determination
27 of the eligibility for and amount of any Individual Settlement Payment shall
28 be binding upon the Class Member and the Parties.

- 1 6. Disputes Regarding Administration of Settlement. Any disputes not resolved
2 by the Settlement Administrator concerning the administration of the
3 Settlement will be resolved by the Court under the laws of the State of
4 California. Before any such involvement of the Court, counsel for the Parties
5 will confer in good faith to resolve the disputes without the necessity of
6 involving the Court.
- 7 7. Exclusions. The Notice of Class Action Settlement contained in the Notice
8 Packet shall state that Class Members who wish to exclude themselves from
9 the Settlement must submit a written request for exclusion by the Response
10 Deadline. The written request for exclusion must state that the Class Member
11 wishes to exclude himself or herself from the Settlement and (1) must contain
12 the name, address, and the last four digits of the Social Security number of the
13 person requesting exclusion; (2) must be signed by the Class Member; (3)
14 must be postmarked or fax stamped by the Response Deadline and returned to
15 the Settlement Administrator at the specified address or fax telephone number;
16 and (4) contain a typewritten or handwritten notice stating in substance: “I
17 wish to opt out of the settlement of the class action lawsuit entitled *Alvarez v.*
18 *SLO Arches, Inc., et al.*, current pending in San Luis Obispo County Superior
19 Court, Case No. 21CV-0533. I understand that by requesting to be excluded
20 from the settlement, I will receive no money from the Settlement described in
21 this Notice.” The request for exclusion will not be valid if it is not timely
22 submitted, if it is not signed by the Class Member, or if it does not contain the
23 name and address and last four digits of the Social Security number of the
24 Class Member. The date of the postmark on the mailing envelope or fax stamp
25 on the request for exclusion shall be the exclusive means used to determine
26 whether the request for exclusion was timely submitted. Any Class Member
27 who requests to be excluded from the Settlement Class will not be entitled to
28 an Individual Settlement Payment and will not be otherwise bound by the

1 terms of the Settlement or have any right to object, appeal or comment
2 thereon. However, any Class Member that submits a timely request for
3 exclusion that is also a member of the Aggrieved Employees will still receive
4 his/her pro rata share of the PAGA Settlement, as specified below, and in
5 consideration, will be bound by the Release by the Aggrieved Employees as
6 set forth herein. Settlement Class Members who fail to submit a valid and
7 timely written request for exclusion on or before the Response Deadline shall
8 be bound by all terms of the Settlement and any final judgment entered in this
9 Action if the Settlement is approved by the Court. No later than fourteen (14)
10 calendar days after the Response Deadline, the Settlement Administrator shall
11 provide counsel for the Parties with a final list of the Class Members who have
12 timely submitted written requests for exclusion. At no time shall any of the
13 Parties or their counsel seek to solicit or otherwise encourage members of the
14 Class to submit requests for exclusion from the Settlement.

- 15 8. Objections. The Notice of Class Action Settlement contained in the Notice
16 Packet shall state that Class Members who wish to object to the Settlement
17 may submit to the Settlement Administrator a written statement of objection
18 (“Notice of Objection”) by the Response Deadline. The postmark date of
19 mailing shall be deemed the exclusive means for determining that a Notice of
20 Objection was served timely. The written Notice of Objection must be signed
21 by the Settlement Class Member and state: (1) the case name and number; (2)
22 the name of the Settlement Class Member; (3) the address of the Settlement
23 Class Member; (4) the last four digits of the Settlement Class Member’s Social
24 Security number; (4) the basis for the objection; and (5) if the Settlement Class
25 Member intends to appear at the Final Approval/Settlement Fairness Hearing.
26 Class Members who fail to make objections in writing in the manner specified
27 above may still make their objections orally at the Final Approval/Settlement
28 Fairness Hearing with the Court’s permission. Settlement Class Members will

1 have a right to appear at the Final Approval/Settlement Fairness Hearing to
2 have their objections heard by the Court. At no time shall any of the Parties
3 or their counsel seek to solicit or otherwise encourage Class Members to file
4 or serve written objections to the Settlement or appeal from the Order and
5 Final Judgment. Class Members who submit a written request for exclusion
6 may not object to the Settlement. Class Members may not object to the PAGA
7 Settlement.

8 M. Funding and Allocation of the Gross Settlement Amount. Defendants are required to
9 pay the Gross Settlement Amount on or before the Funding Date, plus any employer's
10 share of payroll taxes as mandated by law within the time specified herein.

11 1. Individual Settlement Payments. Individual Settlement Payments shall be
12 paid from the Net Settlement Amount and shall be paid pursuant to the
13 formula set forth herein. Using the Class Data, the Settlement Administrator
14 shall add up the total number of Workweeks for all Class Members. The
15 respective Workweeks for each Class Member will be divided by the total
16 Workweeks for all Class Members, resulting in the Payment Ratio for each
17 Class Member. Each Class Member's Payment Ratio will then be multiplied
18 by the Net Settlement Amount to calculate each Class Member's estimated
19 Individual Settlement Payments. Each Individual Settlement Payment will be
20 reduced by any legally mandated employee tax withholdings (e.g., employee
21 payroll taxes, etc.). Individual Settlement Payments for Class Members who
22 submit valid and timely requests for exclusion will be redistributed to
23 Settlement Class Members who do not submit valid and timely requests for
24 exclusion on a pro rata basis based on their respective Payment Ratios.

25 2. Calculation of Individual Payments to the Aggrieved Employees. Using the
26 Class Data, the Settlement Administrator shall add up the total number of
27 PAGA pay periods for all Aggrieved Employees during the PAGA Period.
28 The respective PAGA pay periods for each Aggrieved Employee will be

1 divided by the total PAGA pay periods for all Aggrieved Employees, resulting
2 in the “PAGA Payment Ratio” for each Aggrieved Employee. Each
3 Aggrieved Employee’s PAGA Payment Ratio will then be multiplied by the
4 Aggrieved Employee Payment to calculate each Aggrieved Employee’s
5 estimated share of the PAGA Settlement.

6 3. Allocation of Individual Settlement Payments. For tax purposes, Individual
7 Settlement Payments shall be allocated and treated as follows: 15% as wages
8 (“Wage Portion”); 85% as penalties and interest (“Non-Wage Portion”). The
9 Wage Portion is subject to wage withholdings and shall be reported on IRS
10 Form W-2. The Non-Wage Portion shall not be subject to wage withholdings
11 and shall be reported on IRS Form 1099 issued by the Settlement
12 Administrator.

13 4. Allocation of Aggrieved Employee Payments. For tax purposes, Aggrieved
14 Employee Settlement Payments shall be allocated and treated as 100%
15 penalties and shall be reported on IRS Form 1099.

16 5. No Credit Toward Benefit Plans. The Individual Settlement Payments and
17 individual shares of the PAGA Settlement made to Settlement Class Members
18 and/or Aggrieved Employees under this Settlement Agreement, as well as any
19 other payments made pursuant to this Settlement Agreement, will not be
20 utilized to calculate any additional benefits under any benefit plans to which
21 any Class Members may be eligible, including, but not limited to profit-
22 sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans,
23 sick leave plans, PTO plans, and any other benefit plan. Rather, it is the
24 Parties’ intention that this Settlement Agreement will not affect any rights,
25 contributions, or amounts to which any Class Members may be entitled under
26 any benefit plans.

27 6. All monies received by Settlement Class Members under the Settlement which
28 are attributable to wages shall constitute income to such Settlement Class

Members solely in the year in which such monies actually are received by the Settlement Class Members. It is the intent of the Parties that Individual Settlement Payments and individual shares of the PAGA Settlement provided for in this Settlement agreement are the sole payments to be made by Defendants to Settlement Class Members and/or Aggrieved Employees in connection with this Settlement Agreement, with the exception of Plaintiff, and that the Settlement Class Members and/or Aggrieved Employees are not entitled to any new or additional compensation or benefits as a result of having received the Individual Settlement Payments and/or their shares of the PAGA Settlement.

7. Mailing. Individual Settlement Payments and Aggrieved Employee Payments shall be mailed by regular First-Class U.S. Mail to Settlement Class Members' and/or Aggrieved Employees last known mailing address no later than fifteen (15) calendar days after the Funding Date.

8. Expiration. Any checks issued to Settlement Class Members and Aggrieved Employees shall remain valid and negotiable for one hundred and eighty (180) days from the date of their issuance. If a Settlement Class Member and/or Aggrieved Employee does not cash his or her settlement check within 90 days, the Settlement Administrator will send a letter to such persons, advising that the check will expire after the 180th day, and invite that Settlement Class Member and/or Aggrieved Employee to request reissuance in the event the check was destroyed, lost or misplaced. In the event an Individual Settlement Payment and/or Aggrieved Employee's individual share of the PAGA Settlement check has not been cashed within one hundred and eighty (180) days, all funds represented by such uncashed checks, plus any interest accrued thereon, shall be transmitted to the Controller of the State of California to be held pursuant to the Unclaimed Property Law, California Civil Code §§ 1500 *et seq.*, in the names and corresponding amounts associated with each Class Member and/or Aggrieved Employee whose check(s) are cancelled.

- 1 9. Class Representative Service Award. In addition to the Individual Settlement
2 Payment and her individual share of the PAGA Settlement to be paid to
3 Plaintiff, Plaintiff will apply to the Court for an award of not more than
4 \$10,000, as the Class Representative Service Award. Defendants do not
5 presently intend to oppose a Class Representative Service Award of not more
6 than \$10,000 for Plaintiff. The Settlement Administrator shall pay the Class
7 Representative Service Award, either in the amount stated herein if approved
8 by the Court or some other amount as approved by the Court, to Plaintiff from
9 the Gross Settlement Amount no later than fifteen (15) calendar days after the
10 Funding Date. Any portion of the requested Class Representative Service
11 Award that are not awarded to the Class Representative shall be part of the
12 Net Settlement Amount and shall be distributed to Settlement Class Members
13 as provided in this Agreement. The Settlement Administrator shall issue an
14 IRS Form 1099 — MISC to Plaintiff for her Class Representative Service
15 Award. Plaintiff shall be solely and legally responsible to pay any and all
16 applicable taxes on her Class Representative Service Award and shall hold
17 harmless the Released Parties from any claim or liability for taxes, penalties,
18 or interest arising as a result of the Class Representative Service Award. The
19 Class Representative Service Award shall be in addition to Plaintiff's
20 Individual Settlement Payment as a Settlement Class Member. Approval of
21 this Settlement shall not be conditioned on Court approval of the requested
22 amount of the Class Representative Service Award. In the event that the Court
23 reduces or does not approve the requested Class Representative Service
24 Award, Plaintiff shall not have the right to revoke the Settlement, and it will
25 remain binding.
- 26 10. Class Counsel Award. Defendants understand a motion by Class Counsel for
27 attorneys' fees not to exceed one-third of the Gross Settlement Amount
28 currently estimated to be Two Hundred Fifty Thousand Dollars (\$250,000.00)

1 plus costs and expenses supported by declaration not to exceed Twenty
2 Thousand Dollars (\$20,000.00), from the Gross Settlement Amount will be
3 filed. Any portion of the requested Class Counsel Award that is not awarded
4 to Class Counsel shall be part of the Net Settlement Amount and shall be
5 distributed to Settlement Class Members as provided in this Agreement. The
6 Settlement Administrator shall allocate and pay the Class Counsel Award to
7 Class Counsel from the Gross Settlement Amount no later than fifteen (15)
8 calendar days after the Funding Date. Class Counsel shall be solely and
9 legally responsible to pay all applicable taxes on the payment made pursuant
10 to this paragraph. The Settlement Administrator shall issue an IRS Form 1099
11 — MISC to Class Counsel for the payments made pursuant to this paragraph.
12 In the event that the Court reduces or does not approve the requested Class
13 Counsel Award, Plaintiff and Class Counsel shall not have the right to revoke
14 the Settlement, or to appeal such order, and the Settlement will remain
15 binding.

- 16 11. PAGA Settlement. Thirty-Seven Thousand Five Hundred Dollars
17 (\$37,500.00) shall be allocated from the Gross Settlement Amount for
18 settlement of claims for civil penalties under the Private Attorneys General
19 Act of 2004 (“PAGA Settlement”). The Settlement Administrator shall pay
20 seventy-five percent (75%) of the PAGA Settlement (\$28,125.00) to the
21 California Labor and Workforce Development Agency no later than fifteen
22 (15) calendar days after the Funding Date (hereinafter “LWDA Payment”).
23 Twenty-five percent (25%) of the PAGA Settlement (\$9,375.00) will be
24 distributed to the Aggrieved Employees as described in this Agreement
25 (hereinafter “Aggrieved Employee Payment”). For purposes of distributing
26 the PAGA Settlement to the Aggrieved Employees, each Aggrieved
27 Employee shall receive their pro-rata share of the Aggrieved Employee
28 Payment using the PAGA Payment Ratio as defined above.

12. Settlement Administration Costs. The Settlement Administrator shall be paid for the costs of administration of the Settlement from the Gross Settlement Amount. The estimate of the Settlement Administration Costs is \$16,000. The Settlement Administrator shall be paid the Settlement Administration Costs no later than fifteen (15) calendar days after the Funding Date.

N. Final Approval Motion. Class Counsel and Plaintiff shall use best efforts to file with the Court a Motion for Order Granting Final Approval and Entering Judgment, within twenty-eight (28) days following the expiration of the Response Deadline, which motion shall request final approval of the Settlement and a determination of the amounts payable for the Class Representative Service Award, the Class Counsel Award, the PAGA Settlement, and the Settlement Administration Costs. Plaintiff will provide Defendants with a draft of the Motion at least 3 business days prior to the filing of the Motion to give Defendants an opportunity to propose changes or additions to the Motion

1. Declaration by Settlement Administrator. No later than fourteen (14) days after the Response Deadline, the Settlement Administrator shall submit a declaration in support of Plaintiff's motion for final approval of this Settlement detailing the number of Notice Packets mailed and re-mailed to Class Members, the number of undeliverable Notice Packets, the number of timely requests for exclusion, the number of objections received, the amount of the average Individual Settlement Payment and highest Individual Settlement Payment, the Settlement Administration Costs, and any other information as the Parties mutually agree or the Court orders the Settlement Administrator to provide.

2. Final Approval Order and Judgment. Class Counsel shall present an Order Granting Final Approval of Class Action Settlement to the Court for its approval, and Judgment thereon, at the time Class Counsel files the Motion for Final Approval.

- 1 N. Review of Motions for Preliminary and Final Approval. Class Counsel will provide
2 an opportunity for Counsel for Defendants to review the Motions for Preliminary and
3 Final Approval, including the Order Granting Final Approval of Class Action
4 Settlement, and Judgment at least three (3) days before filing with the Court. The
5 Parties and their counsel will cooperate with each other and use their best efforts to
6 affect the Court's approval of the Motions for Preliminary and Final Approval of the
7 Settlement, and entry of Judgment.
- 8 O. Cooperation. The Parties and their counsel will cooperate with each other and use
9 their best efforts to implement the Settlement.
- 10 P. Interim Stay of Proceedings. The Parties agree to stay all proceedings in the Actions,
11 except such proceedings necessary to implement and complete the Settlement, pending
12 the Final Approval/Settlement Fairness Hearing to be conducted by the Court.
- 13 Q. Amendment or Modification. This Agreement may be amended or modified only by
14 a written instrument signed by counsel for all Parties or their successors-in-interest.
- 15 R. Entire Agreement. This Agreement and any attached Exhibit constitute the entire
16 Agreement among these Parties, and no oral or written representations, warranties or
17 inducements have been made to any Party concerning this Agreement or its Exhibit
18 other than the representations, warranties and covenants contained and memorialized
19 in this Agreement and its Exhibit.
- 20 S. Authorization to Enter into Settlement Agreement. Counsel for all Parties warrant and
21 represent they are expressly authorized by the Parties whom they represent to negotiate
22 this Agreement and to take all appropriate Action required or permitted to be taken by
23 such Parties pursuant to this Agreement to effectuate its terms, and to execute any other
24 documents required to effectuate the terms of this Agreement. The persons signing
25 this Agreement on behalf of Defendants represents and warrants that he/she is
26 authorized to sign this Agreement on behalf of Defendants. Plaintiff represents and
27 warrants that she is authorized to sign this Agreement and that she has not assigned
28 any claim, or part of a claim, covered by this Settlement to a third-party.

- 1 T. No Public Comment: The Parties and their counsel agree that they will not issue any
2 press releases, initiate any contact with the press, respond to any press inquiry, or have
3 any communication with the press about the fact, amount or terms of the Settlement
4 Agreement. Class Counsel further agrees not to use the Settlement Agreement or any
5 of its terms for any marketing or promotional purposes. Nothing herein will restrict
6 Class Counsel from including publicly available information regarding this settlement
7 in future judicial submissions regarding Class Counsel's qualifications and experience.
8 Further, Class Counsel will not include, reference or use the Settlement Agreement for
9 any marketing or promotional purposes, either before or after the Motion for
10 Preliminary Approval is filed.
- 11 U. Binding on Successors and Assigns. This Agreement shall be binding upon, and inure
12 to the benefit of, the successors or assigns of the Parties, as previously defined.
- 13 V. California Law Governs. All terms of this Agreement and the Exhibit and any disputes
14 shall be governed by and interpreted according to the laws of the State of California.
- 15 W. Counterparts. This Agreement may be executed in one or more counterparts. All
16 executed counterparts and each of them shall be deemed to be one and the same
17 instrument provided that counsel for the Parties to this Agreement shall exchange
18 among themselves copies or originals of the signed counterparts.
- 19 X. This Settlement Is Fair, Adequate and Reasonable. The Parties believe this Settlement
20 is a fair, adequate and reasonable settlement of this Action and have arrived at this
21 Settlement after extensive arms-length negotiations, taking into account all relevant
22 factors, present and potential.
- 23 Y. Jurisdiction of the Court. The Parties agree that the Court shall retain jurisdiction with
24 respect to the interpretation, implementation and enforcement of the terms of this
25 Agreement and all orders and judgments entered in connection therewith, and the
26 Parties and their counsel submit to the jurisdiction of the Court for purposes of
27 interpreting, implementing and enforcing the settlement and all orders and judgments
28 entered in connection with this Agreement.

1 Z. Invalidity of Any Provision. Before declaring any provision of this Agreement invalid,
2 the Parties shall first seek court intervention to construe the provisions valid to the
3 fullest extent possible consistent with applicable precedents so as to define all
4 provisions of this Agreement valid and enforceable.

5 AA. No Unalleged Claims. Plaintiff and Class Counsel represent that they do not currently
6 intend to pursue any claims against the Released Parties, including, but not limited to,
7 any and all claims relating to or arising from Plaintiff's employment with Defendants,
8 regardless of whether Class Counsel is currently aware of any facts or legal theories
9 upon which any claims or causes of action could be brought against Released Parties,
10 including those facts or legal theories alleged in the operative complaint in this Action.
11 The Parties further acknowledge, understand and agree that this representation is
12 essential to the Agreement and that this Agreement would not have been entered into
13 were it not for this representation.

14 BB. Stipulation to Class Certification. The Parties agree to stipulate to class certification
15 for purposes of this settlement only.

16 CC. No Admissions by the Parties. Plaintiff has claimed and continues to claim that the
17 Released Claims have merit and give rise to liability on the part of Defendants.
18 Defendants claim that the Released Claims have no merit and do not give rise to
19 liability. This Agreement is a compromise of disputed claims. Nothing contained in
20 this Agreement and no documents referred to and no action taken to carry out this
21 Agreement may be construed or used as an admission by or against the Defendants or
22 Plaintiff or Class Counsel as to the merits or lack thereof of the claims asserted. Other
23 than as may be specifically set forth herein, each Party shall be responsible for and
24 shall bear its/his own attorney's fees and costs.

25 IT IS SO AGREED, FORM AND CONTENT, BY PLAINTIFF:

26
27 DATED: Mar 6, 2023

Elisa Alvarez
Elisa Alvarez (Mar 6, 2023 11:55 PST)

ELISA ALVAREZ

1 IT IS SO AGREED, FORM AND CONTENT, BY DEFENDANTS:

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3 DATED: 3/2/2023

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IT IS SO AGREED AS TO FORM BY COUNSEL:

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DATED: _____

Keith Handley

SLO ARCHES, INC.
IVERNIA, INCORPORATED
GOLDEN SENECA, INC.

Keith Handley
Printed Name

O/O
Title

JCL LAW FIRM, A.P.C.

By: _____

Attorneys for Plaintiff and the Settlement Class
Members

DATED: _____

ZAKAY LAW GROUP, APLC

By: _____

Attorneys for Plaintiff and the Settlement Class
Members

DATED: _____

THALIA S. ROFOS
LEWIS BRISBOIS BISGAARD & SMITH LLP

By: _____

Attorneys for Defendants

1 IT IS SO AGREED, FORM AND CONTENT, BY DEFENDANTS:

2
3 DATED: _____

SLO ARCHES, INC.
IVERNIA, INCORPORATED
GOLDEN SENECA, INC.

6
7 _____
Printed Name

8 _____
9 Title

10
11 IT IS SO AGREED AS TO FORM BY COUNSEL:

12
13 DATED: March 6, 2023

JCL LAW FIRM, A.P.C.

14
15 By:  _____

16 Attorneys for Plaintiff and the Settlement Class
17 Members

18
19 DATED: March 6, 2023

ZAKAY LAW GROUP, APLC

20
21 By:  _____

22 Attorneys for Plaintiff and the Settlement Class
23 Members

24 DATED: March 8, 2023

THALIA S. ROFOS
LEWIS BRISBOIS BISGAARD & SMITH LLP

25
26 By:  _____

27 Attorneys for Defendants
28

EXHIBIT “A”

**NOTICE OF PENDENCY OF CLASS ACTION SETTLEMENT
AND FINAL HEARING DATE**

(Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533)

YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR DO NOT ACT. PLEASE READ THIS NOTICE CAREFULLY.

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:	
Do Nothing and Receive a Payment	To receive a cash payment from the Settlement, you do not have to do anything. Your estimated Settlement Share is: \$1.84. See the explanation below. After final approval by the Court, the payment will be mailed to you at the same address as this notice. If your address has changed, please notify the Settlement Administrator as explained below. In exchange for the settlement payment, you will release claims against the Defendants as detailed below.
Exclude Yourself	If you wish to exclude yourself from the Settlement, you must send a written request for exclusion to the Settlement Administrator as provided below. If you request exclusion, you will receive no money from the Settlement . Instructions are set forth below.
Object	You may write to the Court about why you believe the settlement should not be approved. Directions are provided below.

1. Why did I get this Notice?

A proposed class action settlement (the “Settlement”) of this lawsuit pending in the Superior Court for the State of California, County of San Luis Obispo (the “Court”) has been reached between Plaintiff Elisa Alvarez (“Plaintiff”) and Defendants SLO Arches, Inc., Ivernia, Incorporated and Golden Seneca, Inc. (“Defendants”). The Court has granted preliminary approval of the Settlement. **You may be entitled to receive money from this Settlement.**

You have received this Class Notice because you have been identified as a member of the Class, which is defined as:

All non-exempt employees who are or previously were employed by Defendants and performed work in California during the period of September 16, 2017 to October 17, 2022.

The “Class Period” is the period of time running from September 16, 2017 to October 17, 2022.

This Class Notice explains the lawsuit, the Settlement, and your legal rights. It is important that you read this Notice carefully as your rights may be affected by the Settlement.

2. What is this class action lawsuit about?

On September 16, 2021, Plaintiff filed a Complaint against Defendants in the Superior Court of the State of California, County of San Luis Obispo. Plaintiff asserted claims that Defendants: (1) Violated California Business and Professions Code § 17200 *et seq.*; (2) Failed to pay overtime wages in violation of California Labor Code §§ 510, *et seq.*; (3) Failed to pay minimum wages in violation of California Labor Code §§ 1194, 1197, and 1197.1; (4) Failed to provide required meal periods in violation of California Labor Code §§ 226.7 & 512, and the applicable IWC Wage Order; (5) Failed to provide required rest periods in violation of California Labor Code §§ 226.7 & 512, and the applicable IWC Wage Order; (6) Failed to reimburse for required business expenses in violation of California Labor Code § 2802; (7) Failed to provide accurate and complete itemized wage statements in violation of California Labor Code § 226; (8) Failed to pay wages when due in violation of Cal. Lab. Code §§ 201, 202 and 203. On July 18, 2023, Plaintiff filed the operative First Amended Complaint to include a ninth cause of action for Violations of the Private Attorney General Act at Labor Code Section 2698, *et seq.*

Defendants deny and dispute all claims asserted in the Actions and maintain that they have complied fully with state and federal law. Specifically, Defendants contended (and continues to contend) that the Action could not properly be maintained as a class action; that Defendants properly paid members of the class all wages and overtime that was due; that Defendants provided members of the class

with all legally required meal breaks and rest breaks; that Defendants paid any members of the class all wages due them at the time of their terminations; that Defendants provided accurate, itemized wage statements to members of the class; that Defendants did not violate California Business and Professions Code section 17200 *et seq.*; and that Defendants are not liable for any of the penalties claimed or that could be claimed in the Actions.

On October 17, 2022, the Parties participated in an all-day mediation with Steven Rottman, Esq., a mediator of wage and hour class actions. At the conclusion of the full-day mediation, the Parties reached an agreement for settlement. The Court granted preliminary approval of the Settlement on July 28, 2023. At that time, the Court also preliminarily approved the Plaintiff to serve as the Class Representative, and the law firms of JCL Law Firm, APC and Zakay Law Group, APC to serve as Class Counsel.

3. What are the terms of the Settlement?

Gross Settlement Amount. Defendants have agreed to pay an “all in” amount of Seven Hundred Fifty Thousand Dollars (\$750,000) (the “Gross Settlement Amount”) to fund the settlement. The Gross Settlement Amount includes the payment of all Settlement Shares to Participating Class Members, Class Counsel’s attorneys’ fees and costs, Settlement Administration Expenses, the LWDA Payment, PAGA Shares, and the Class Representative Service Payment to the Plaintiff.

After the Judgment becomes Final, Defendants will pay the Gross Settlement Amount by depositing the money with the Settlement Administrator. “Final” means the date the Judgment is no longer subject to appeal, or if an appeal is filed, the date the appeal process is completed and the Judgment is affirmed.

Amounts to be Paid from the Gross Settlement Amount. The Settlement provides for certain payments to be made from the Gross Settlement Amount, which will be subject to final Court approval, and which will be deducted from the Gross Settlement Amount before settlement payments are made to Class Members, as follows:

- **Settlement Administration Expenses.** Payment to the Settlement Administrator, estimated not to exceed \$16,000 for expenses, including expenses of sending this Notice, processing opt outs, and distributing settlement payments.
- **Attorneys’ Fees and Costs.** Payment to Class Counsel of an award of a Class Counsel Fees Payment of no more than 1/3 of the Gross Settlement Amount (currently \$250,000.00) and a Class Counsel Litigation Expenses Payment of not more than \$20,000 for all expenses incurred as documented in Class Counsel’s billing records, both subject to Court approval. Class Counsel have been prosecuting the Actions on behalf of Plaintiff and the Class on a contingency fee basis (that is, without being paid any money to date) and have been paying all litigation costs and expenses.
- **Class Representative Service Payment.** Class Representative Service Payment of up to Ten Thousand Dollars (\$10,000) to Plaintiff, or such lesser amount as may be approved by the Court, to compensate her for services on behalf of the Class in initiating and prosecuting the Action, and for the risks she undertook.
- **PAGA Payment.** A payment of \$37,500.00 relating to Plaintiff’s claim under the Private Attorneys General Act (“PAGA”), \$28,125.00 of which will be paid to the State of California’s Labor and Workforce Development Agency (“LWDA”) and the remaining \$9,375.00 will be distributed to PAGA Employees as part of the Net PAGA Amount.
- **Calculation of Payments to Participating Class Members.** After all the above payments of the court-approved Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, the Class Representative Service Payments, the LWDA Payment, and the Settlement Administration Expenses are deducted from the Gross Settlement Amount, the remaining portion, called the “Net Settlement Amount,” shall be distributed to class members who do **not** request exclusion (“Participating Class Members”). The Settlement Share for each Participating Class Member will be calculated by dividing the Net Settlement Amount by the total number of workweeks for all Participating Class Members that occurred during the Class Period and multiplying the result by each individual Participating Class Member’s workweeks that occurred during the Class Period. A “workweek” is defined as a normal seven-day week of work during the Class Period in which, according to Defendants’ records, a member of the class worked at least one-day during any such workweek.
- **Calculation of PAGA Penalties Payments to PAGA Employees.** The Net PAGA Amount shall be distributed to PAGA Employees irrespective of whether they exclude themselves or opt-out. The Net PAGA Amount will be divided by the total number of pay periods worked by all PAGA Employees during the PAGA Period, and then taking that number and multiplying it by the number of pay periods worked by each respective PAGA Employee during the PAGA Period. “PAGA Employees” means all non-exempt employee who are or previously were employed by Defendants in California during the PAGA Period. The PAGA Period means the period between September 16, 2020 to October 17, 2022.

If the Settlement is approved by the Court, you will automatically be mailed a check for your Settlement Share to the same address as this Class Notice. You do not have to do anything to receive a payment. If your address has changed, you must contact the Settlement Administrator to inform them of your correct address to insure you receive your payment.

Tax Matters. Fifteen percent (15%) of each Settlement Share is allocated to wages. Taxes are withheld from this amount, and each Participating Class Member will be issued an Internal Revenue Service Form W-2 for such payment. Eighty-five percent (85%) of each Settlement Share is allocated to interest, penalties and other non-wage payments, and no taxes will be withheld, and each Participating Class Member will be issued an Internal Revenue Service Form 1099 for such payment. In addition, no taxes will be withheld from the PAGA Penalties paid to PAGA Employees, and each PAGA Employee will be issued an Internal Revenue Service Form 1099 for such payment. Neither Class Counsel nor Defendants' counsel intend anything contained in this Settlement to constitute advice regarding taxes or taxability. You may wish to consult a tax advisor concerning the tax consequences of the payments received under the Settlement.

No Credit Toward Benefit Plans. The Individual Settlement Payments and individual shares of the PAGA Settlement made to Settlement Class Members and/or Aggrieved Employees under this Settlement Agreement, as well as any other payments made pursuant to this Settlement Agreement, will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any Class Members may be entitled under any benefit plans.

Conditions of Settlement. This Settlement is conditioned upon the Court entering an order granting final approval of the Settlement and entering judgment.

4. What Do I Release Under the Settlement?

Released Claims. Upon entry of final judgment and funding in full of the Gross Settlement Amount by Defendants, Plaintiff and the Participating Class Members shall release all Released Class Claims that occurred during the Class Period as to the Released Parties. Released Class Claims means all class claims alleged in the operative First Amended Complaint which occurred during the Class Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and class claims outside of the Class Period. The Released PAGA Claims shall be released as follows. As of the Settlement Effective Date and upon funding in full of the Gross Settlement Amount by Defendants, all Aggrieved Employees shall release all Released PAGA Claims, irrespective of whether they opted-out of the class settlement, and will be bound by this PAGA Release (the "PAGA Release"). "Released PAGA Claims" means all PAGA claims alleged in the operative First Amended Complaint and Plaintiff's PAGA notice to the LWDA which occurred during the PAGA Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and PAGA claims outside of the PAGA Period.

This means that, if you do not timely and formally exclude yourself from the settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendants about the legal issues resolved by this Settlement. It also means that all of the Court's orders in this Action will apply to you and legally bind you.

5. How much will my payment be?

Defendants' records reflect that you have 0.14 Workweeks worked during the Class Period (September 16, 2017 to October 17, 2022).

Based on this information, your estimated Settlement Share is \$1.84.

Defendants' records reflect that you have 0.00 pay periods worked during the PAGA Period (September 16, 2020 to October 17, 2022).

Based on this information, your estimated PAGA Payment Share is \$0.00.

If you wish to challenge the information set forth above, then you must submit a written, signed dispute challenging the information along with supporting documents, to the Settlement Administrator at the address provided in this Notice no later than November 27, 2023.

6. How can I get a payment?

To get money from the settlement, you do not have to do anything. A check for your settlement payment will be mailed automatically to the same address as this Notice. If your address is incorrect or has changed, you must notify the Settlement Administrator. The Settlement Administrator is: ILYM Group Inc.

The Court will hold a hearing on December 7, 2023 to decide whether to finally approve the Settlement. If the Court approves the Settlement and there are no objections or appeals, payments will be mailed within a few months after this hearing. If there are objections or appeals, resolving them can take time, perhaps more than a year. Please be patient. After entry of the Judgment, the Settlement Administrator will provide notice of the final judgment to the Class Members by posting a copy of the Judgment on the administrator's website at <https://ilymgroup.com/SLOArches>.

7. What if I don't want to be a part of the Settlement?

If you do not wish to participate in the Settlement, you may exclude yourself from the Settlement or "opt out." **If you opt out, you will receive NO money from the Settlement, and you will not be bound by its terms, except as provided as follows.** Irrespective of whether you exclude yourself from the Settlement or "opt out," you will be bound by the PAGA Release, you will be deemed to have released the Released PAGA Claims, and you will receive a share of the Net PAGA Amount.

To opt out, you must submit to the Settlement Administrator, by First Class Mail, a written, signed and dated request for exclusion postmarked no later than November 27, 2023. The address for the Settlement Administrator is ILYM Group Inc., 14771 Plaza Drive, Ste. L, Tustin, CA 92780. The request for exclusion must state in substance that the Class Member has read the Class Notice and wishes to opt out of the class action settlement. The request for exclusion must contain your name, address, signature and the last four digits of your Social Security Number for verification purposes. The request for exclusion must be signed by you. No other person may opt out for a member of the Class.

Written requests for exclusion that are postmarked after November 27, 2023, or are incomplete or unsigned will be rejected, and those Class Members will remain bound by the Settlement and the release described above.

8. How do I tell the Court that I would like to challenge the Settlement?

Any Class Member who has not opted out and believes that the Settlement should not be finally approved by the Court for any reason, may object to the proposed Settlement. Objections may be in writing and state the Class Member's name, current address, telephone number, and describe why you believe the Settlement is unfair and whether you intend to appear at the final approval hearing. All written objections or other correspondence must also state the name and number of the case, which is ***Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533***. You may also object without submitting a written objection by appearing at the final approval hearing scheduled as described in Section 9 below.

To object to the Settlement, you cannot opt out. If the Court approves the Settlement, you will be bound by the terms of the Settlement in the same way as Class Members who do not object. Any Class Member who does not object in the manner provided in this Class Notice shall have waived any objection to the Settlement, whether by appeal or otherwise.

Written objections must be delivered or mailed to the Settlement Administrator no later than November 27, 2023. The address for the Settlement Administrator is ILYM Group, Inc. P.O. Box 2031 Tustin, CA 92781.

The addresses for the Parties' counsel are as follows:

Class Counsel:

Jean-Claude Lapuyade, Esq.
JCL Law Firm, APC
5440 Morehouse Drive, Suite 3600 San
Diego, CA 92121
Tel.: (619) 599-8292
Fax: (619) 599-2891
E-Mail: jlapuyade@jcl-lawfirm.com

Class Counsel:

Shani O. Zakay, Esq.
Zakay Law Group, APLC
5440 Morehouse Drive, Suite 3600 San
Diego, CA 92121
Tel.: (619) 599-8292
Fax: (619) 599-8291
Email: shani@zakaylaw.com

Counsel for Defendants:

Efthalia S. Rofos, Esq.
O'Hagan Meyer
4695 MacArthur Court Suite 900
Newport Beach, CA 92660
Tel.: (949) 849-5005
E-Mail: trofos@ohaganmeyer.com

9. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Final Approval Hearing at 09:00 AM on December 7, 2023, at the San Luis Obispo County Superior Court, Department 2, located at 1050 Monterey Street, San Luis Obispo, CA 93408 before Judge Rita Federman. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate. The purpose of this hearing is for the Court to determine whether to grant final approval to the Settlement. If there are objections, the Court will consider them. The Court will listen to people who have made a timely written request to speak at the hearing or who appear at the hearing to object. This hearing may be rescheduled by the

Court without further notice to you. **You are not required to attend** the Final Approval Hearing, although any Class Member is welcome to attend the hearing.

10. How do I get more information about the Settlement?

You may call the Settlement Administrator at (888) 250-6810 or write to ***Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533***, Settlement Administrator, c/o ILYM Group, Inc. P.O. Box 2031 Tustin, CA 92781.

This notice summarizes the proposed settlement. More details are in the Settlement Agreement. You may receive a copy of the Settlement Agreement, the Final Judgment or other Settlement documents by writing to JCL Law firm, APC, 5440 Morehouse Drive, Suite 3600, San Diego, CA 92121 or by visiting the website listed in this notice.

PLEASE DO NOT CALL THE COURT ABOUT THIS NOTICE.

IMPORTANT:

- You must inform the Settlement Administrator of any change of address to ensure receipt of your settlement payment.
- Settlement checks will be null and void 180 days after issuance if not deposited or cashed. In such event, the Settlement Administrator shall pay all funds from such uncashed checks to the State of California's Unclaimed Property Fund. If your check is lost or misplaced, you should contact the Settlement Administrator immediately to request a replacement.

**AVISO DE PENDENCIA DE ACUERDO DE ACCIÓN DE CLASE
Y FECHA DE AUDIENCIA FINAL**

(Alvarez c/ SLO Arches, et al., Caso del Tribunal Superior del Condado de San Luis Obispo No. 21CV-0533)

**SUS DERECHOS PODRÁN VERSE AFECTADOS TANTO SI ACTÚA COMO SI NO. POR FAVOR LEA ESTE AVISO
DETENIDAMENTE.**

RESUMEN DE SUS DERECHOS Y OPCIONES LEGALES EN ESTE ACUERDO:	
No hacer nada y recibir un pago	No tiene que hacer nada para recibir un pago en efectivo del Acuerdo. Su parte estimada del Acuerdo es: \$1.84. Vea la explicación a continuación. Después de la aprobación final por parte del Tribunal, se le enviará el pago por correo a la misma dirección que este aviso. Si su dirección ha cambiado, notifique al Administrador del Acuerdo como se explica a continuación. A cambio del pago del acuerdo, usted liberará las reclamaciones contra los Demandados como se detalla a continuación.
Excluirse	Si desea excluirse del Acuerdo, debe enviar una solicitud por escrito de exclusión al Administrador del Acuerdo como se indica a continuación. Si solicita la exclusión, no recibirá dinero del Acuerdo. Las instrucciones se establecen a continuación.
Objetar	Puede escribir al Tribunal sobre por qué cree que el acuerdo no debe aprobarse. Las instrucciones se proporcionan a continuación.

1. ¿Por qué he recibido este Aviso?

Se ha llegado a un acuerdo de acción de clase propuesto (el "Acuerdo") de esta demanda pendiente en el Tribunal Superior del Estado de California, Condado de San Luis Obispo (el "Tribunal") se ha llegado a un acuerdo entre la Demandante Elisa Alvarez ("Demandante") y los Demandados SLO Arches, Inc., Ivernía, Incorporated y Golden Seneca, Inc. ("Demandados"). El Tribunal ha concedido la aprobación preliminar del Acuerdo. **Puede tener derecho a recibir dinero de este Acuerdo.**

Usted ha recibido este Aviso de Acción de Clase porque ha sido identificado como miembro de la Clase, que se define como:

Todos los empleados no exentos que son o anteriormente fueron empleados por los Demandados y realizaron trabajos en California durante el período del 16 de septiembre de 2017 al 17 de octubre de 2022.

El "Período de Clase" es el período de tiempo que va desde el 16 de septiembre de 2017 hasta el 17 de octubre de 2022.

En este Aviso de Clase explica la demanda, el Acuerdo y sus derechos legales. Es importante que lea atentamente este Aviso, ya que sus derechos pueden verse afectados por el Acuerdo.

2. ¿De qué trata esta demanda de acción de clase?

El 16 de septiembre de 2021, la Demandante presentó una Demanda contra los Demandados en el Tribunal Superior del Estado de California, Condado de San Luis Obispo. El Demandante afirmó que los Demandados: (1) Violaron el Código de Negocios y Profesiones de California § 17200 *et seq.*; (2) No pagaron los salarios de horas extras en violación del Código Laboral de California §§ 510, *et seq.*; (3) No pagaron los salarios mínimos en violación del Código Laboral de California §§ 1194, 1197 y 1197.1; (4) No proporcionaron los períodos de comida requeridos en violación del Código Laboral de California §§ 226.7 y 512, y la Orden de Salarios IWC aplicable; (5) No proporcionaron los períodos de descanso requeridos en violación del Código Laboral de California §§ 226.7 y 512, y la Orden de Salarios IWC aplicable; (6) No reembolsaron los gastos comerciales requeridos en violación del Código Laboral de California § 2802; (7) No proporcionó declaraciones de salarios detalladas precisas y completas en violación del Código Laboral de California § 226; (8) No pagó los salarios a su vencimiento en violación del Código Laboral de California §§ 201, 202 y 203. El 18 de julio de 2023, la Demandante presentó la Primera Demanda Enmendada operativa para incluir una novena causa de acción por Violaciones de la Ley del Fiscal General Privado en la Sección 2698 *et seq.* del Código Laboral.

Los Demandados niegan y disputan todas las reclamaciones afirmadas en las Acciones y mantienen que han cumplido plenamente con las leyes estatales y federales. Específicamente, los Demandados sostuvieron (y continúan sosteniendo) que la Acción no podía mantenerse adecuadamente como una acción de clase; que los Demandados pagaron adecuadamente a los miembros de la clase todos los salarios y horas extras que debían; que los Demandados proporcionaron a los miembros de la clase todos los descansos y descansos para comer legalmente requeridos; que los Demandados pagaron a los miembros de la clase todos los salarios que se les debían en el momento de su despido; que los Demandados proporcionaron declaraciones salariales precisas y detalladas a los miembros de la clase; que los Demandados no violaron la sección 17200 *et seq.* del Código de Negocios y Profesiones de California; y que los Demandados no son responsables de ninguna de las sanciones reclamadas o que podrían reclamarse en las Acciones.

El 17 de octubre de 2022, las Partes participaron en una mediación de todo el día con Steven Rottman, Abg., un mediador de demandas de acción de clase de salarios y horas. Al concluir la mediación de un día completo, las Partes llegaron a un acuerdo para la solución. El Tribunal otorgó la aprobación preliminar del Acuerdo el 28 de julio de 2023. En ese momento, el Tribunal también aprobó preliminarmente al Demandante para que actuara como Representante de la Clase, y a los bufetes de abogados de JCL Law Firm, APC y Zakay Law Group, APC para que actuaran como Abogados de la Clase.

3. ¿Cuáles son los términos del Acuerdo?

Importe Bruto del Acuerdo. Los Demandados han acordado pagar una cantidad "total" de Setecientos Cincuenta Mil Dólares (\$750,000) (la "Cantidad Bruta del Acuerdo") para financiar el acuerdo. El Monto Bruto del Acuerdo incluye el pago de todas las Partes del Acuerdo a los Miembros de la Clase Participantes, los honorarios y costos de los abogados de la Clase, los Gastos de Administración del Acuerdo, el Pago de la LWDA, las Acciones PAGA y el Pago del Servicio del Representante de la Clase a la Demandante.

Después de que la Sentencia se convierta en Final, los Demandados pagarán el Monto Bruto del Acuerdo depositando el dinero con el Administrador del Acuerdo. "Final" significa la fecha en que la Sentencia ya no está sujeta a apelación, o si se presenta una apelación, la fecha en que se completa el proceso de apelación y se confirma la Sentencia.

Montos a Pagar del Monto Bruto de la Liquidación. El Acuerdo establece que ciertos pagos se realizarán del Monto Máximo del Acuerdo, que estará sujeto a la aprobación final del Tribunal, y que se deducirá del MMA antes de que se realicen los pagos del acuerdo a los Miembros de la Clase, de la siguiente manera:

- Gastos de Administración del Acuerdo. Pago al Administrador del Acuerdo, estimado en no más de \$16,000 para gastos, incluidos los gastos del envío de este Aviso, el procesamiento de las opciones de exclusión y la distribución de los pagos del Acuerdo.
- Honorarios y Costos de Abogados. Pago a los Abogados de la Clase de una adjudicación de un Pago de Honorarios de los Abogados de la Clase de no más de 1/3 del Monto Bruto del Acuerdo (actualmente \$250,000.00) y un Pago de Gastos de Litigio de los Abogados de la Clase de no más de \$20,000 por todos los gastos incurridos como se documenta en los registros de facturación de los Abogados de la Clase, ambos sujetos a la aprobación del Tribunal. Los Abogados de la Clase han procesado la Acción en nombre de los Miembros de la Clase en base de honorarios de contingencia (es decir, sin que se les haya pagado nada hasta la fecha) y han pagado todos los costos y gastos de litigio.
- Pago del Servicio del Representante de la Clase. Pago de Servicios del Representante de la Clase por un monto que no exceda los Diez Mil Dólares (\$10,000) a la Demandante, o cualquier cantidad menor que pueda ser aprobada por el Tribunal, para compensarla por los servicios en nombre de la Clase al iniciar y procesar la Acción, y por los riesgos que asumió.
- Pago PAGA. Un pago de \$37,500.00 relacionado con la reclamación de la Demandante bajo la Ley de Fiscales Generales Privados ("PAGA"), \$28,125.00 de los cuales se pagarán a la Agencia de Desarrollo Laboral y de la Fuerza Laboral ("LWDA") del Estado de California y los \$9,375.00 restantes se distribuirán a los Empleados PAGA como parte del Monto Neto PAGA.
- Cálculo de los Pagos a los Miembros de la Clase del Acuerdo. Después de que todos los pagos anteriores del Pago de Honorarios de los Abogados de la Clase aprobado por el tribunal, el Pago de Gastos de Litigio de los Abogados de la Clase, los Pagos de Servicios de los Representantes de la Clase, el Pago de LWDA y los Gastos de Administración del Acuerdo se deduzcan del Monto Bruto del Acuerdo, la porción restante, llamada "Monto Neto del Acuerdo", se distribuirá a los miembros de la clase que **no** soliciten la exclusión ("Miembros de la Clase Participantes"). La Participación del Acuerdo para cada Miembro de la Clase Participante se calculará dividiendo el Importe Neto del Acuerdo por el número total de semanas laborales para todos los Miembros de la Clase Participantes que ocurrieron durante el Período de la Clase y multiplicando el resultado por las semanas laborales de cada Miembro de la Clase Participante que ocurrieron durante el Período de la Clase. Una "semana laboral" se define como una semana laboral normal de siete días durante el Período de la Clase en la que, de acuerdo con los registros de los Demandados, un miembro de la clase trabajó al menos un día durante dicha semana laboral.

- Cálculo de Pagos de Penalizaciones PAGA a Empleados Agraviados. El Monto Neto PAGA se distribuirá a los Empleados Agraviados independientemente de si se excluyen o se excluyen del Acuerdo. El Monto Neto PAGA se dividirá por el número total de Semanas de Trabajo trabajadas por todos los Empleados Agraviados durante el Período PAGA, y luego tomará ese número y lo multiplicará por el número de Semanas de Trabajo trabajadas por cada Empleado Agraviado respectivo durante el Período PAGA. "Empleados PAGA" significa todos los empleados no exentos que son o previamente fueron empleados por los Demandados en California durante el Período PAGA. El Período PAGA significa el período comprendido entre el 16 de septiembre de 2020 y el 17 de octubre de 2022.

Si el Acuerdo es aprobado por el Tribunal, se le enviará automáticamente un cheque por su Pago Individual del Acuerdo a la misma dirección que este Aviso de Clase. No tiene que hacer nada para recibir un pago. Si su dirección ha cambiado, debe comunicarse con el Administrador del Acuerdo para informarle de su dirección correcta para asegurarse de recibir su pago.

Asuntos Fiscales. El quince por ciento (15%) de cada Parte del Acuerdo se asigna a los salarios. Los impuestos se retendrán de esta cantidad, y a cada Miembro de la Clase Participante se le emitirá un Formulario W-2 del Servicio de Impuestos Internos para dicho pago. El ochenta y cinco por ciento (85%) de cada Acción del Acuerdo se asigna a intereses, multas y otros pagos no salariales, y no se retendrán impuestos, y a cada Miembro de la Clase Participante se le emitirá un Formulario 1099 del Servicio de Impuestos Internos para dicho pago. Además, no se retendrán impuestos de las Penalizaciones PAGA pagadas a los Empleados PAGA, y a cada Empleado PAGA se le emitirá un Formulario 1099 del Servicio de Impuestos Internos para dicho pago. Ni el Abogado de la Clase ni el abogado de los Demandados tienen la intención de que nada de lo contenido en este Acuerdo constituya asesoramiento con respecto a los impuestos o la imposibilidad. Es posible que desee consultar a un asesor fiscal sobre las consecuencias fiscales de los pagos recibidos en virtud del Acuerdo.

Sin Crédito para Planes de Beneficios. Los Pagos Individuales del Acuerdo y las acciones individuales del Acuerdo PAGA hechas a los Miembros de la Clase del Acuerdo y/o a los Empleados Agraviados en virtud de este Acuerdo de Liquidación, así como cualquier otro pago realizado de conformidad con este Acuerdo de Liquidación, no se utilizarán para calcular ningún beneficio adicional bajo ningún plan de beneficios al que cualquier Miembro de la Clase pueda ser elegible, incluidos, entre otros, planes de participación en las ganancias, planes de bonificación, planes 401(k), planes de compra de acciones, planes de vacaciones, planes de licencia por enfermedad, planes de PTO y cualquier otro plan de beneficios. Por el contrario, es la intención de las Partes que este Acuerdo de Conciliación no afecte ningún derecho, contribución o monto al que cualquier Miembro de la Clase pueda tener derecho en virtud de cualquier plan de beneficios.

Condiciones del Acuerdo. Este Acuerdo está condicionado a que el Tribunal emita una orden que otorgue la aprobación final del Acuerdo y emita un fallo.

4. ¿Qué libero en virtud del Acuerdo?

Reclamaciones Liberadas. Tras la entrada de la sentencia final y la financiación en su totalidad del Monto Bruto del Acuerdo por parte de los Demandados, la Demandante y los Miembros de la Clase Participantes liberarán todas las Reclamaciones de la Clase Liberadas que ocurrieron durante el Período de la Clase en cuanto a las Partes Liberadas. Reclamaciones de la Clase Liberadas significa todas las reclamaciones de la clase alegadas en la Primera Demanda Enmendada operativa que ocurrieron durante el Período de la Clase, y excluyendo expresamente todas las demás reclamaciones, incluidas las reclamaciones por beneficios adquiridos, despido injustificado, seguro de desempleo, discapacidad, seguridad social, compensación para trabajadores y reclamaciones de la clase fuera del Período de la Clase. Las Reclamaciones Liberadas PAGA se liberarán de la siguiente manera. A partir de la Fecha de Entrada en Vigencia del Acuerdo y tras la financiación en su totalidad del Monto Bruto del Acuerdo por parte de los Demandados, todos los Empleados Agraviados liberarán todas las Reclamaciones Liberadas PAGA, independientemente de si optaron por no participar en el acuerdo de clase, y estarán sujetos a esta Liberación PAGA (la "Liberación PAGA"). "Reclamaciones Liberadas PAGA" significa todas las reclamaciones PAGA alegadas en la Primera Demanda Enmendada Operativa y el aviso PAGA de la Demandante a la LWDA que ocurrieron durante el Período PAGA y excluyendo expresamente todas las demás reclamaciones, incluidas las reclamaciones por beneficios adquiridos, despido injustificado, seguro de desempleo, discapacidad, seguridad social, compensación para trabajadores y reclamaciones PAGA fuera del Período PAGA.

Esto significa que, si no se excluye oportuna y formalmente del acuerdo, no puede demandar, continuar demandando o ser parte de cualquier otra demanda contra los Demandados sobre los problemas legales resueltos por este Acuerdo. Esto también quiere decir que se le aplicarán todas las órdenes que dicte el Tribunal y quedará jurídicamente obligado a ellas.

5. ¿De cuánto será mi pago?

Los registros de los Demandados reflejan que ha trabajado 0.14 Semanas Laborales durante el Período de Clase (del 16 de septiembre de 2017 al 17 de octubre de 2022).

Con base en esta información, su Parte del Acuerdo estimada es \$1.84

Los registros de los Demandados reflejan que tiene 0.00 períodos de pago trabajados durante el Período PAGA (del 16 de septiembre de 2020 al 17 de octubre de 2022).

Según esta información, su pago individual PAGA estimado es de \$0.00.

Si desea impugnar la información establecida anteriormente, debe presentar una disputa por escrito y firmada que impugne la información junto con los documentos de respaldo, al Administrador del Acuerdo en la dirección proporcionada en este Aviso a más tardar el 27 de noviembre de 2023.

6. ¿Cómo y cuándo puedo obtener un pago?

Para obtener dinero del acuerdo, no tiene que hacer nada. Un cheque por su pago del acuerdo se enviará automáticamente a la misma dirección que este Aviso. Si su dirección es incorrecta o ha cambiado, debe notificar al Administrador del Acuerdo. El Administrador del Acuerdo es: ILYM Group Inc.

El Tribunal celebrará una audiencia el 7 de diciembre de 2023 para decidir si aprueba finalmente el Acuerdo. Si el Tribunal aprueba el Acuerdo y no hay objeciones o apelaciones, los pagos se enviarán por correo dentro de unos meses después de esta audiencia. Si hay objeciones o apelaciones, resolverlas puede llevar tiempo, tal vez más de un año. Por favor, sea paciente. Después de la entrada de la Sentencia, el Administrador del Acuerdo notificará la sentencia final a los Miembros de la Clase publicando una copia de la Sentencia en el sitio web del administrador en <https://ilymgroup.com/SLOArches>.

7. ¿Qué pasa si no quiero ser parte del Acuerdo?

Si no desea participar en el Acuerdo, puede excluirse del Acuerdo u "optar por no participar". **Si opta por no participar, NO recibirá dinero del Acuerdo y no estará sujeto a sus términos, excepto de la siguiente manera.** Independientemente de si se excluye del Acuerdo u "opta por no participar", estará sujeto a la Liberación PAGA, se considerará que ha liberado las Reclamaciones PAGA Liberadas, y recibirá una parte del Monto Neto PAGA.

Para optar por no participar, debe enviar al Administrador del Acuerdo, por correo de primera clase, una solicitud de exclusión escrita, firmada y fechada con matasellos a más tardar el 27 de noviembre de 2023. La dirección del Administrador del Acuerdo es ILYM Group Inc., 14771 Plaza Drive, Ste. L, Tustin, CA 92780. La solicitud de exclusión debe indicar en esencia que el Miembro de la Clase ha leído el Aviso de la Clase y desea optar por no participar en el acuerdo de acción de clase. La solicitud de exclusión debe contener su nombre, dirección, número de teléfono, firma y los últimos cuatro dígitos de su número de Seguro Social para fines de verificación. La solicitud de exclusión debe ser firmada por usted. Ninguna otra persona puede optar por no participar en nombre de un miembro de la Clase.

Las solicitudes de exclusión por escrito que tengan matasellos después del 27 de noviembre de 2023, o que estén incompletas o sin firmar serán rechazadas, y esos Miembros de la Clase seguirán sujetos al Acuerdo y a la liberación descrita anteriormente.

8. ¿Cómo le digo al Tribunal que me gustaría objetar el Acuerdo?

Cualquier Miembro de la Clase que no haya optado por no participar y crea que el Acuerdo no debe ser finalmente aprobado por el Tribunal por ningún motivo, puede objetar el Acuerdo propuesto. Las objeciones pueden ser por escrito e indicar el nombre del Miembro de la Clase, la dirección actual, el número de teléfono y describir por qué cree que el Acuerdo es injusto y si tiene la intención de comparecer en la audiencia de aprobación final. Todas las objeciones escritas u otra correspondencia también deben indicar el nombre y el número del caso, que es *Álvarez c/ SLO Arches, et al., Caso de la Corte Superior del Condado de San Luis Obispo No. 21CV-0533*. También puede objetar sin presentar una objeción por escrito compareciendo en la audiencia de aprobación final programada como se describe en la Sección 9 a continuación.

Para objetar el Acuerdo, no puede optar por no participar. Si el Tribunal aprueba el Acuerdo, usted estará obligado por los términos del Acuerdo de la misma manera que los Miembros de la Clase del Acuerdo que no objetan. Cualquier Miembro de la Clase Participante que no se oponga a tiempo de la manera provista anteriormente habrá renunciado a cualquier objeción al Acuerdo, ya sea mediante apelación o de otro modo.

Las objeciones por escrito deben entregarse o enviarse por correo al Administrador del Acuerdo a más tardar el 27 de noviembre de 2023. La dirección del Administrador del Acuerdo es ILYM Group, Inc. Apartado Postal 2031 Tustin, CA 92781.

Las direcciones de los abogados de las Partes son las siguientes:

Abogados de la Clase:

Abogados de la Clase:

Abogados de los Demandados:

Jean-Claude Lapuyade, Abg.
JCL Law Firm, APC
5440 Morehouse Drive, Suite 3600 San
Diego, CA 92121
Tel.: (619) 599-8292
Fax: (619) 599-2891
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Correo electrónico: shani@zakaylaw.com

Efthalia S. Rofos, Abg.
O'Hagan Meyer
4695 MacArthur Court Suite 900
Newport Beach, CA 92660
Tel.: (949) 849-5005
E-Mail: trofos@ohaganmeyer.com

9. ¿Cuándo y dónde decidirá el Tribunal si aprueba el Acuerdo?

El Tribunal celebrará una Audiencia de Aprobación Final a las 09:00 AM el 7 de diciembre de 2023, en el Tribunal Superior del Condado de San Luis Obispo, Departamento 2, ubicado en 1050 Monterey Street, San Luis Obispo, CA 93408 ante la Jueza Rita Federman. En esta audiencia, el Tribunal considerará si el acuerdo es justo, razonable y adecuado. El propósito de esta audiencia es que el Tribunal determine si otorga la aprobación final al Acuerdo. Si hay objeciones, el Tribunal las tendrá en cuenta. El Tribunal escuchará a las personas que hayan hecho una solicitud por escrito oportuna para hablar en la audiencia o que comparezcan en la audiencia para objetar. Esta audiencia puede ser reprogramada por el Tribunal sin previo aviso. **No es necesario que asista a la Audiencia de Aprobación Final**, aunque cualquier Miembro de la Clase Participante puede asistir a la audiencia.

10. ¿Cómo obtengo más información sobre el Acuerdo?

Puede llamar al Administrador del Acuerdo al (888) 250-6810 o escribir a **Alvarez c/ SLO Arches, et al., Caso No. 21CV-0533 del Tribunal Superior del Condado de San Luis Obispo**, Administrador del Acuerdo, c/o ILYM Group, Inc. Apartado Postal 2031 Tustin, CA 92781.

Este aviso resume el acuerdo propuesto. Se puede obtener más información en el Acuerdo de Conciliación. Puede recibir una copia del Acuerdo de Conciliación, la Sentencia Final u otros documentos del Acuerdo escribiendo a JCL Law Firm, APC, 5440 Morehouse Drive, Suite 3600, San Diego, CA 92121 o visitando el sitio web que figura en este aviso.

POR FAVOR NO LLAME NI ESCRIBA AL TRIBUNAL ACERCA DE ESTA NOTIFICACIÓN.

IMPORTANTE:

- Debe informar al Administrador del Acuerdo de cualquier cambio de dirección para garantizar la recepción de su pago del acuerdo.
- Los cheques de liquidación serán nulos 180 días después de su emisión si no se depositan o cobran. En tal caso, el Administrador del Acuerdo pagará todos los fondos de dichos cheques no cobrados al Fondo de Propiedad No Reclamada del Estado de California. Si su cheque se pierde o se extravía, debe comunicarse con el Administrador del Acuerdo de inmediato para solicitar un reemplazo.

**NOTICE OF PENDENCY OF CLASS ACTION SETTLEMENT
AND FINAL HEARING DATE**

(Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533)

YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR DO NOT ACT. PLEASE READ THIS NOTICE CAREFULLY.

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:	
Do Nothing and Receive a Payment	To receive a cash payment from the Settlement, you do not have to do anything. Your estimated Settlement Share is: \$1.84. See the explanation below. After final approval by the Court, the payment will be mailed to you at the same address as this notice. If your address has changed, please notify the Settlement Administrator as explained below. In exchange for the settlement payment, you will release claims against the Defendants as detailed below.
Exclude Yourself	If you wish to exclude yourself from the Settlement, you must send a written request for exclusion to the Settlement Administrator as provided below. If you request exclusion, you will receive no money from the Settlement . Instructions are set forth below.
Object	You may write to the Court about why you believe the settlement should not be approved. Directions are provided below.

1. Why did I get this Notice?

A proposed class action settlement (the “Settlement”) of this lawsuit pending in the Superior Court for the State of California, County of San Luis Obispo (the “Court”) has been reached between Plaintiff Elisa Alvarez (“Plaintiff”) and Defendants SLO Arches, Inc., Ivernia, Incorporated and Golden Seneca, Inc. (“Defendants”). The Court has granted preliminary approval of the Settlement. **You may be entitled to receive money from this Settlement.**

You have received this Class Notice because you have been identified as a member of the Class, which is defined as:

All non-exempt employees who are or previously were employed by Defendants and performed work in California during the period of September 16, 2017 to October 17, 2022.

The “Class Period” is the period of time running from September 16, 2017 to October 17, 2022.

This Class Notice explains the lawsuit, the Settlement, and your legal rights. It is important that you read this Notice carefully as your rights may be affected by the Settlement.

2. What is this class action lawsuit about?

On September 16, 2021, Plaintiff filed a Complaint against Defendants in the Superior Court of the State of California, County of San Luis Obispo. Plaintiff asserted claims that Defendants: (1) Violated California Business and Professions Code § 17200 *et seq.*; (2) Failed to pay overtime wages in violation of California Labor Code §§ 510, *et seq.*; (3) Failed to pay minimum wages in violation of California Labor Code §§ 1194, 1197, and 1197.1; (4) Failed to provide required meal periods in violation of California Labor Code §§ 226.7 & 512, and the applicable IWC Wage Order; (5) Failed to provide required rest periods in violation of California Labor Code §§ 226.7 & 512, and the applicable IWC Wage Order; (6) Failed to reimburse for required business expenses in violation of California Labor Code § 2802; (7) Failed to provide accurate and complete itemized wage statements in violation of California Labor Code § 226; (8) Failed to pay wages when due in violation of Cal. Lab. Code §§ 201, 202 and 203. On July 18, 2023, Plaintiff filed the operative First Amended Complaint to include a ninth cause of action for Violations of the Private Attorney General Act at Labor Code Section 2698, *et seq.*

Defendants deny and dispute all claims asserted in the Actions and maintain that they have complied fully with state and federal law. Specifically, Defendants contended (and continues to contend) that the Action could not properly be maintained as a class action; that Defendants properly paid members of the class all wages and overtime that was due; that Defendants provided members of the class

with all legally required meal breaks and rest breaks; that Defendants paid any members of the class all wages due them at the time of their terminations; that Defendants provided accurate, itemized wage statements to members of the class; that Defendants did not violate California Business and Professions Code section 17200 *et seq.*; and that Defendants are not liable for any of the penalties claimed or that could be claimed in the Actions.

On October 17, 2022, the Parties participated in an all-day mediation with Steven Rottman, Esq., a mediator of wage and hour class actions. At the conclusion of the full-day mediation, the Parties reached an agreement for settlement. The Court granted preliminary approval of the Settlement on July 28, 2023. At that time, the Court also preliminarily approved the Plaintiff to serve as the Class Representative, and the law firms of JCL Law Firm, APC and Zakay Law Group, APC to serve as Class Counsel.

3. What are the terms of the Settlement?

Gross Settlement Amount. Defendants have agreed to pay an “all in” amount of Seven Hundred Fifty Thousand Dollars (\$750,000) (the “Gross Settlement Amount”) to fund the settlement. The Gross Settlement Amount includes the payment of all Settlement Shares to Participating Class Members, Class Counsel’s attorneys’ fees and costs, Settlement Administration Expenses, the LWDA Payment, PAGA Shares, and the Class Representative Service Payment to the Plaintiff.

After the Judgment becomes Final, Defendants will pay the Gross Settlement Amount by depositing the money with the Settlement Administrator. “Final” means the date the Judgment is no longer subject to appeal, or if an appeal is filed, the date the appeal process is completed and the Judgment is affirmed.

Amounts to be Paid from the Gross Settlement Amount. The Settlement provides for certain payments to be made from the Gross Settlement Amount, which will be subject to final Court approval, and which will be deducted from the Gross Settlement Amount before settlement payments are made to Class Members, as follows:

- **Settlement Administration Expenses.** Payment to the Settlement Administrator, estimated not to exceed \$16,000 for expenses, including expenses of sending this Notice, processing opt outs, and distributing settlement payments.
- **Attorneys’ Fees and Costs.** Payment to Class Counsel of an award of a Class Counsel Fees Payment of no more than 1/3 of the Gross Settlement Amount (currently \$250,000.00) and a Class Counsel Litigation Expenses Payment of not more than \$20,000 for all expenses incurred as documented in Class Counsel’s billing records, both subject to Court approval. Class Counsel have been prosecuting the Actions on behalf of Plaintiff and the Class on a contingency fee basis (that is, without being paid any money to date) and have been paying all litigation costs and expenses.
- **Class Representative Service Payment.** Class Representative Service Payment of up to Ten Thousand Dollars (\$10,000) to Plaintiff, or such lesser amount as may be approved by the Court, to compensate her for services on behalf of the Class in initiating and prosecuting the Action, and for the risks she undertook.
- **PAGA Payment.** A payment of \$37,500.00 relating to Plaintiff’s claim under the Private Attorneys General Act (“PAGA”), \$28,125.00 of which will be paid to the State of California’s Labor and Workforce Development Agency (“LWDA”) and the remaining \$9,375.00 will be distributed to PAGA Employees as part of the Net PAGA Amount.
- **Calculation of Payments to Participating Class Members.** After all the above payments of the court-approved Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, the Class Representative Service Payments, the LWDA Payment, and the Settlement Administration Expenses are deducted from the Gross Settlement Amount, the remaining portion, called the “Net Settlement Amount,” shall be distributed to class members who do **not** request exclusion (“Participating Class Members”). The Settlement Share for each Participating Class Member will be calculated by dividing the Net Settlement Amount by the total number of workweeks for all Participating Class Members that occurred during the Class Period and multiplying the result by each individual Participating Class Member’s workweeks that occurred during the Class Period. A “workweek” is defined as a normal seven-day week of work during the Class Period in which, according to Defendants’ records, a member of the class worked at least one-day during any such workweek.
- **Calculation of PAGA Penalties Payments to PAGA Employees.** The Net PAGA Amount shall be distributed to PAGA Employees irrespective of whether they exclude themselves or opt-out. The Net PAGA Amount will be divided by the total number of pay periods worked by all PAGA Employees during the PAGA Period, and then taking that number and multiplying it by the number of pay periods worked by each respective PAGA Employee during the PAGA Period. “PAGA Employees” means all non-exempt employee who are or previously were employed by Defendants in California during the PAGA Period. The PAGA Period means the period between September 16, 2020 to October 17, 2022.

If the Settlement is approved by the Court, you will automatically be mailed a check for your Settlement Share to the same address as this Class Notice. You do not have to do anything to receive a payment. If your address has changed, you must contact the Settlement Administrator to inform them of your correct address to insure you receive your payment.

Tax Matters. Fifteen percent (15%) of each Settlement Share is allocated to wages. Taxes are withheld from this amount, and each Participating Class Member will be issued an Internal Revenue Service Form W-2 for such payment. Eighty-five percent (85%) of each Settlement Share is allocated to interest, penalties and other non-wage payments, and no taxes will be withheld, and each Participating Class Member will be issued an Internal Revenue Service Form 1099 for such payment. In addition, no taxes will be withheld from the PAGA Penalties paid to PAGA Employees, and each PAGA Employee will be issued an Internal Revenue Service Form 1099 for such payment. Neither Class Counsel nor Defendants' counsel intend anything contained in this Settlement to constitute advice regarding taxes or taxability. You may wish to consult a tax advisor concerning the tax consequences of the payments received under the Settlement.

No Credit Toward Benefit Plans. The Individual Settlement Payments and individual shares of the PAGA Settlement made to Settlement Class Members and/or Aggrieved Employees under this Settlement Agreement, as well as any other payments made pursuant to this Settlement Agreement, will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any Class Members may be entitled under any benefit plans.

Conditions of Settlement. This Settlement is conditioned upon the Court entering an order granting final approval of the Settlement and entering judgment.

4. What Do I Release Under the Settlement?

Released Claims. Upon entry of final judgment and funding in full of the Gross Settlement Amount by Defendants, Plaintiff and the Participating Class Members shall release all Released Class Claims that occurred during the Class Period as to the Released Parties. Released Class Claims means all class claims alleged in the operative First Amended Complaint which occurred during the Class Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and class claims outside of the Class Period. The Released PAGA Claims shall be released as follows. As of the Settlement Effective Date and upon funding in full of the Gross Settlement Amount by Defendants, all Aggrieved Employees shall release all Released PAGA Claims, irrespective of whether they opted-out of the class settlement, and will be bound by this PAGA Release (the "PAGA Release"). "Released PAGA Claims" means all PAGA claims alleged in the operative First Amended Complaint and Plaintiff's PAGA notice to the LWDA which occurred during the PAGA Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and PAGA claims outside of the PAGA Period.

This means that, if you do not timely and formally exclude yourself from the settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendants about the legal issues resolved by this Settlement. It also means that all of the Court's orders in this Action will apply to you and legally bind you.

5. How much will my payment be?

Defendants' records reflect that you have 0.14 Workweeks worked during the Class Period (September 16, 2017 to October 17, 2022).

Based on this information, your estimated Settlement Share is \$1.84.

Defendants' records reflect that you have 0.00 pay periods worked during the PAGA Period (September 16, 2020 to October 17, 2022).

Based on this information, your estimated PAGA Payment Share is \$0.00.

If you wish to challenge the information set forth above, then you must submit a written, signed dispute challenging the information along with supporting documents, to the Settlement Administrator at the address provided in this Notice no later than November 27, 2023.

6. How can I get a payment?

To get money from the settlement, you do not have to do anything. A check for your settlement payment will be mailed automatically to the same address as this Notice. If your address is incorrect or has changed, you must notify the Settlement Administrator. The Settlement Administrator is: ILYM Group Inc.

The Court will hold a hearing on December 7, 2023 to decide whether to finally approve the Settlement. If the Court approves the Settlement and there are no objections or appeals, payments will be mailed within a few months after this hearing. If there are objections or appeals, resolving them can take time, perhaps more than a year. Please be patient. After entry of the Judgment, the Settlement Administrator will provide notice of the final judgment to the Class Members by posting a copy of the Judgment on the administrator's website at <https://ilymgroup.com/SLOArches>.

7. What if I don't want to be a part of the Settlement?

If you do not wish to participate in the Settlement, you may exclude yourself from the Settlement or "opt out." **If you opt out, you will receive NO money from the Settlement, and you will not be bound by its terms, except as provided as follows.** Irrespective of whether you exclude yourself from the Settlement or "opt out," you will be bound by the PAGA Release, you will be deemed to have released the Released PAGA Claims, and you will receive a share of the Net PAGA Amount.

To opt out, you must submit to the Settlement Administrator, by First Class Mail, a written, signed and dated request for exclusion postmarked no later than November 27, 2023. The address for the Settlement Administrator is ILYM Group Inc., 14771 Plaza Drive, Ste. L, Tustin, CA 92780. The request for exclusion must state in substance that the Class Member has read the Class Notice and wishes to opt out of the class action settlement. The request for exclusion must contain your name, address, signature and the last four digits of your Social Security Number for verification purposes. The request for exclusion must be signed by you. No other person may opt out for a member of the Class.

Written requests for exclusion that are postmarked after November 27, 2023, or are incomplete or unsigned will be rejected, and those Class Members will remain bound by the Settlement and the release described above.

8. How do I tell the Court that I would like to challenge the Settlement?

Any Class Member who has not opted out and believes that the Settlement should not be finally approved by the Court for any reason, may object to the proposed Settlement. Objections may be in writing and state the Class Member's name, current address, telephone number, and describe why you believe the Settlement is unfair and whether you intend to appear at the final approval hearing. All written objections or other correspondence must also state the name and number of the case, which is ***Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533***. You may also object without submitting a written objection by appearing at the final approval hearing scheduled as described in Section 9 below.

To object to the Settlement, you cannot opt out. If the Court approves the Settlement, you will be bound by the terms of the Settlement in the same way as Class Members who do not object. Any Class Member who does not object in the manner provided in this Class Notice shall have waived any objection to the Settlement, whether by appeal or otherwise.

Written objections must be delivered or mailed to the Settlement Administrator no later than November 27, 2023. The address for the Settlement Administrator is ILYM Group, Inc. P.O. Box 2031 Tustin, CA 92781.

The addresses for the Parties' counsel are as follows:

Class Counsel:

Jean-Claude Lapuyade, Esq.
JCL Law Firm, APC
5440 Morehouse Drive, Suite 3600 San
Diego, CA 92121
Tel.: (619) 599-8292
Fax: (619) 599-2891
E-Mail: jlapuyade@jcl-lawfirm.com

Class Counsel:

Shani O. Zakay, Esq.
Zakay Law Group, APLC
5440 Morehouse Drive, Suite 3600 San
Diego, CA 92121
Tel.: (619) 599-8292
Fax: (619) 599-8291
Email: shani@zakaylaw.com

Counsel for Defendants:

Efthalia S. Rofos, Esq.
O'Hagan Meyer
4695 MacArthur Court Suite 900
Newport Beach, CA 92660
Tel.: (949) 849-5005
E-Mail: trofos@ohaganmeyer.com

9. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Final Approval Hearing at 09:00 AM on December 7, 2023, at the San Luis Obispo County Superior Court, Department 2, located at 1050 Monterey Street, San Luis Obispo, CA 93408 before Judge Rita Federman. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate. The purpose of this hearing is for the Court to determine whether to grant final approval to the Settlement. If there are objections, the Court will consider them. The Court will listen to people who have made a timely written request to speak at the hearing or who appear at the hearing to object. This hearing may be rescheduled by the

Court without further notice to you. **You are not required to attend** the Final Approval Hearing, although any Class Member is welcome to attend the hearing.

10. How do I get more information about the Settlement?

You may call the Settlement Administrator at (888) 250-6810 or write to *Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533*, Settlement Administrator, c/o ILYM Group, Inc. P.O. Box 2031 Tustin, CA 92781.

This notice summarizes the proposed settlement. More details are in the Settlement Agreement. You may receive a copy of the Settlement Agreement, the Final Judgment or other Settlement documents by writing to JCL Law firm, APC, 5440 Morehouse Drive, Suite 3600, San Diego, CA 92121 or by visiting the website listed in this notice.

PLEASE DO NOT CALL THE COURT ABOUT THIS NOTICE.

IMPORTANT:

- You must inform the Settlement Administrator of any change of address to ensure receipt of your settlement payment.
- Settlement checks will be null and void 180 days after issuance if not deposited or cashed. In such event, the Settlement Administrator shall pay all funds from such uncashed checks to the State of California's Unclaimed Property Fund. If your check is lost or misplaced, you should contact the Settlement Administrator immediately to request a replacement.

**AVISO DE PENDENCIA DE ACUERDO DE ACCIÓN DE CLASE
Y FECHA DE AUDIENCIA FINAL**

(Alvarez c/ SLO Arches, et al., Caso del Tribunal Superior del Condado de San Luis Obispo No. 21CV-0533)

**SUS DERECHOS PODRÁN VERSE AFECTADOS TANTO SI ACTÚA COMO SI NO. POR FAVOR LEA ESTE AVISO
DETENIDAMENTE.**

RESUMEN DE SUS DERECHOS Y OPCIONES LEGALES EN ESTE ACUERDO:	
No hacer nada y recibir un pago	No tiene que hacer nada para recibir un pago en efectivo del Acuerdo. Su parte estimada del Acuerdo es: \$1.84. Vea la explicación a continuación. Después de la aprobación final por parte del Tribunal, se le enviará el pago por correo a la misma dirección que este aviso. Si su dirección ha cambiado, notifique al Administrador del Acuerdo como se explica a continuación. A cambio del pago del acuerdo, usted liberará las reclamaciones contra los Demandados como se detalla a continuación.
Excluirse	Si desea excluirse del Acuerdo, debe enviar una solicitud por escrito de exclusión al Administrador del Acuerdo como se indica a continuación. Si solicita la exclusión, no recibirá dinero del Acuerdo. Las instrucciones se establecen a continuación.
Objetar	Puede escribir al Tribunal sobre por qué cree que el acuerdo no debe aprobarse. Las instrucciones se proporcionan a continuación.

1. ¿Por qué he recibido este Aviso?

Se ha llegado a un acuerdo de acción de clase propuesto (el "Acuerdo") de esta demanda pendiente en el Tribunal Superior del Estado de California, Condado de San Luis Obispo (el "Tribunal") se ha llegado a un acuerdo entre la Demandante Elisa Alvarez ("Demandante") y los Demandados SLO Arches, Inc., Ivernía, Incorporated y Golden Seneca, Inc. ("Demandados"). El Tribunal ha concedido la aprobación preliminar del Acuerdo. **Puede tener derecho a recibir dinero de este Acuerdo.**

Usted ha recibido este Aviso de Acción de Clase porque ha sido identificado como miembro de la Clase, que se define como:

Todos los empleados no exentos que son o anteriormente fueron empleados por los Demandados y realizaron trabajos en California durante el período del 16 de septiembre de 2017 al 17 de octubre de 2022.

El "Período de Clase" es el período de tiempo que va desde el 16 de septiembre de 2017 hasta el 17 de octubre de 2022.

En este Aviso de Clase explica la demanda, el Acuerdo y sus derechos legales. Es importante que lea atentamente este Aviso, ya que sus derechos pueden verse afectados por el Acuerdo.

2. ¿De qué trata esta demanda de acción de clase?

El 16 de septiembre de 2021, la Demandante presentó una Demanda contra los Demandados en el Tribunal Superior del Estado de California, Condado de San Luis Obispo. El Demandante afirmó que los Demandados: (1) Violaron el Código de Negocios y Profesiones de California § 17200 *et seq.*; (2) No pagaron los salarios de horas extras en violación del Código Laboral de California §§ 510, *et seq.*; (3) No pagaron los salarios mínimos en violación del Código Laboral de California §§ 1194, 1197 y 1197.1; (4) No proporcionaron los períodos de comida requeridos en violación del Código Laboral de California §§ 226.7 y 512, y la Orden de Salarios IWC aplicable; (5) No proporcionaron los períodos de descanso requeridos en violación del Código Laboral de California §§ 226.7 y 512, y la Orden de Salarios IWC aplicable; (6) No reembolsaron los gastos comerciales requeridos en violación del Código Laboral de California § 2802; (7) No proporcionó declaraciones de salarios detalladas precisas y completas en violación del Código Laboral de California § 226; (8) No pagó los salarios a su vencimiento en violación del Código Laboral de California §§ 201, 202 y 203. El 18 de julio de 2023, la Demandante presentó la Primera Demanda Enmendada operativa para incluir una novena causa de acción por Violaciones de la Ley del Fiscal General Privado en la Sección 2698 *et seq.* del Código Laboral.

Los Demandados niegan y disputan todas las reclamaciones afirmadas en las Acciones y mantienen que han cumplido plenamente con las leyes estatales y federales. Específicamente, los Demandados sostuvieron (y continúan sosteniendo) que la Acción no podía mantenerse adecuadamente como una acción de clase; que los Demandados pagaron adecuadamente a los miembros de la clase todos los salarios y horas extras que debían; que los Demandados proporcionaron a los miembros de la clase todos los descansos y descansos para comer legalmente requeridos; que los Demandados pagaron a los miembros de la clase todos los salarios que se les debían en el momento de su despido; que los Demandados proporcionaron declaraciones salariales precisas y detalladas a los miembros de la clase; que los Demandados no violaron la sección 17200 *et seq.* del Código de Negocios y Profesiones de California; y que los Demandados no son responsables de ninguna de las sanciones reclamadas o que podrían reclamarse en las Acciones.

El 17 de octubre de 2022, las Partes participaron en una mediación de todo el día con Steven Rottman, Abg., un mediador de demandas de acción de clase de salarios y horas. Al concluir la mediación de un día completo, las Partes llegaron a un acuerdo para la solución. El Tribunal otorgó la aprobación preliminar del Acuerdo el 28 de julio de 2023. En ese momento, el Tribunal también aprobó preliminarmente al Demandante para que actuara como Representante de la Clase, y a los bufetes de abogados de JCL Law Firm, APC y Zakay Law Group, APC para que actuaran como Abogados de la Clase.

3. ¿Cuáles son los términos del Acuerdo?

Importe Bruto del Acuerdo. Los Demandados han acordado pagar una cantidad "total" de Setecientos Cincuenta Mil Dólares (\$750,000) (la "Cantidad Bruta del Acuerdo") para financiar el acuerdo. El Monto Bruto del Acuerdo incluye el pago de todas las Partes del Acuerdo a los Miembros de la Clase Participantes, los honorarios y costos de los abogados de la Clase, los Gastos de Administración del Acuerdo, el Pago de la LWDA, las Acciones PAGA y el Pago del Servicio del Representante de la Clase a la Demandante.

Después de que la Sentencia se convierta en Final, los Demandados pagarán el Monto Bruto del Acuerdo depositando el dinero con el Administrador del Acuerdo. "Final" significa la fecha en que la Sentencia ya no está sujeta a apelación, o si se presenta una apelación, la fecha en que se completa el proceso de apelación y se confirma la Sentencia.

Montos a Pagar del Monto Bruto de la Liquidación. El Acuerdo establece que ciertos pagos se realizarán del Monto Máximo del Acuerdo, que estará sujeto a la aprobación final del Tribunal, y que se deducirá del MMA antes de que se realicen los pagos del acuerdo a los Miembros de la Clase, de la siguiente manera:

- Gastos de Administración del Acuerdo. Pago al Administrador del Acuerdo, estimado en no más de \$16,000 para gastos, incluidos los gastos del envío de este Aviso, el procesamiento de las opciones de exclusión y la distribución de los pagos del Acuerdo.
- Honorarios y Costos de Abogados. Pago a los Abogados de la Clase de una adjudicación de un Pago de Honorarios de los Abogados de la Clase de no más de 1/3 del Monto Bruto del Acuerdo (actualmente \$250,000.00) y un Pago de Gastos de Litigio de los Abogados de la Clase de no más de \$20,000 por todos los gastos incurridos como se documenta en los registros de facturación de los Abogados de la Clase, ambos sujetos a la aprobación del Tribunal. Los Abogados de la Clase han procesado la Acción en nombre de los Miembros de la Clase en base de honorarios de contingencia (es decir, sin que se les haya pagado nada hasta la fecha) y han pagado todos los costos y gastos de litigio.
- Pago del Servicio del Representante de la Clase. Pago de Servicios del Representante de la Clase por un monto que no exceda los Diez Mil Dólares (\$10,000) a la Demandante, o cualquier cantidad menor que pueda ser aprobada por el Tribunal, para compensarla por los servicios en nombre de la Clase al iniciar y procesar la Acción, y por los riesgos que asumió.
- Pago PAGA. Un pago de \$37,500.00 relacionado con la reclamación de la Demandante bajo la Ley de Fiscales Generales Privados ("PAGA"), \$28,125.00 de los cuales se pagarán a la Agencia de Desarrollo Laboral y de la Fuerza Laboral ("LWDA") del Estado de California y los \$9,375.00 restantes se distribuirán a los Empleados PAGA como parte del Monto Neto PAGA.
- Cálculo de los Pagos a los Miembros de la Clase del Acuerdo. Después de que todos los pagos anteriores del Pago de Honorarios de los Abogados de la Clase aprobado por el tribunal, el Pago de Gastos de Litigio de los Abogados de la Clase, los Pagos de Servicios de los Representantes de la Clase, el Pago de LWDA y los Gastos de Administración del Acuerdo se deduzcan del Monto Bruto del Acuerdo, la porción restante, llamada "Monto Neto del Acuerdo", se distribuirá a los miembros de la clase que **no** soliciten la exclusión ("Miembros de la Clase Participantes"). La Participación del Acuerdo para cada Miembro de la Clase Participante se calculará dividiendo el Importe Neto del Acuerdo por el número total de semanas laborales para todos los Miembros de la Clase Participantes que ocurrieron durante el Período de la Clase y multiplicando el resultado por las semanas laborales de cada Miembro de la Clase Participante que ocurrieron durante el Período de la Clase. Una "semana laboral" se define como una semana laboral normal de siete días durante el Período de la Clase en la que, de acuerdo con los registros de los Demandados, un miembro de la clase trabajó al menos un día durante dicha semana laboral.

- Cálculo de Pagos de Penalizaciones PAGA a Empleados Agraviados. El Monto Neto PAGA se distribuirá a los Empleados Agraviados independientemente de si se excluyen o se excluyen del Acuerdo. El Monto Neto PAGA se dividirá por el número total de Semanas de Trabajo trabajadas por todos los Empleados Agraviados durante el Período PAGA, y luego tomará ese número y lo multiplicará por el número de Semanas de Trabajo trabajadas por cada Empleado Agraviado respectivo durante el Período PAGA. "Empleados PAGA" significa todos los empleados no exentos que son o previamente fueron empleados por los Demandados en California durante el Período PAGA. El Período PAGA significa el período comprendido entre el 16 de septiembre de 2020 y el 17 de octubre de 2022.

Si el Acuerdo es aprobado por el Tribunal, se le enviará automáticamente un cheque por su Pago Individual del Acuerdo a la misma dirección que este Aviso de Clase. No tiene que hacer nada para recibir un pago. Si su dirección ha cambiado, debe comunicarse con el Administrador del Acuerdo para informarle de su dirección correcta para asegurarse de recibir su pago.

Asuntos Fiscales. El quince por ciento (15%) de cada Parte del Acuerdo se asigna a los salarios. Los impuestos se retendrán de esta cantidad, y a cada Miembro de la Clase Participante se le emitirá un Formulario W-2 del Servicio de Impuestos Internos para dicho pago. El ochenta y cinco por ciento (85%) de cada Acción del Acuerdo se asigna a intereses, multas y otros pagos no salariales, y no se retendrán impuestos, y a cada Miembro de la Clase Participante se le emitirá un Formulario 1099 del Servicio de Impuestos Internos para dicho pago. Además, no se retendrán impuestos de las Penalizaciones PAGA pagadas a los Empleados PAGA, y a cada Empleado PAGA se le emitirá un Formulario 1099 del Servicio de Impuestos Internos para dicho pago. Ni el Abogado de la Clase ni el abogado de los Demandados tienen la intención de que nada de lo contenido en este Acuerdo constituya asesoramiento con respecto a los impuestos o la imposibilidad. Es posible que desee consultar a un asesor fiscal sobre las consecuencias fiscales de los pagos recibidos en virtud del Acuerdo.

Sin Crédito para Planes de Beneficios. Los Pagos Individuales del Acuerdo y las acciones individuales del Acuerdo PAGA hechas a los Miembros de la Clase del Acuerdo y/o a los Empleados Agraviados en virtud de este Acuerdo de Liquidación, así como cualquier otro pago realizado de conformidad con este Acuerdo de Liquidación, no se utilizarán para calcular ningún beneficio adicional bajo ningún plan de beneficios al que cualquier Miembro de la Clase pueda ser elegible, incluidos, entre otros, planes de participación en las ganancias, planes de bonificación, planes 401(k), planes de compra de acciones, planes de vacaciones, planes de licencia por enfermedad, planes de PTO y cualquier otro plan de beneficios. Por el contrario, es la intención de las Partes que este Acuerdo de Conciliación no afecte ningún derecho, contribución o monto al que cualquier Miembro de la Clase pueda tener derecho en virtud de cualquier plan de beneficios.

Condiciones del Acuerdo. Este Acuerdo está condicionado a que el Tribunal emita una orden que otorgue la aprobación final del Acuerdo y emita un fallo.

4. ¿Qué libero en virtud del Acuerdo?

Reclamaciones Liberadas. Tras la entrada de la sentencia final y la financiación en su totalidad del Monto Bruto del Acuerdo por parte de los Demandados, la Demandante y los Miembros de la Clase Participantes liberarán todas las Reclamaciones de la Clase Liberadas que ocurrieron durante el Período de la Clase en cuanto a las Partes Liberadas. Reclamaciones de la Clase Liberadas significa todas las reclamaciones de la clase alegadas en la Primera Demanda Enmendada operativa que ocurrieron durante el Período de la Clase, y excluyendo expresamente todas las demás reclamaciones, incluidas las reclamaciones por beneficios adquiridos, despido injustificado, seguro de desempleo, discapacidad, seguridad social, compensación para trabajadores y reclamaciones de la clase fuera del Período de la Clase. Las Reclamaciones Liberadas PAGA se liberarán de la siguiente manera. A partir de la Fecha de Entrada en Vigencia del Acuerdo y tras la financiación en su totalidad del Monto Bruto del Acuerdo por parte de los Demandados, todos los Empleados Agraviados liberarán todas las Reclamaciones Liberadas PAGA, independientemente de si optaron por no participar en el acuerdo de clase, y estarán sujetos a esta Liberación PAGA (la "Liberación PAGA"). "Reclamaciones Liberadas PAGA" significa todas las reclamaciones PAGA alegadas en la Primera Demanda Enmendada Operativa y el aviso PAGA de la Demandante a la LWDA que ocurrieron durante el Período PAGA y excluyendo expresamente todas las demás reclamaciones, incluidas las reclamaciones por beneficios adquiridos, despido injustificado, seguro de desempleo, discapacidad, seguridad social, compensación para trabajadores y reclamaciones PAGA fuera del Período PAGA.

Esto significa que, si no se excluye oportuna y formalmente del acuerdo, no puede demandar, continuar demandando o ser parte de cualquier otra demanda contra los Demandados sobre los problemas legales resueltos por este Acuerdo. Esto también quiere decir que se le aplicarán todas las órdenes que dicte el Tribunal y quedará jurídicamente obligado a ellas.

5. ¿De cuánto será mi pago?

Los registros de los Demandados reflejan que ha trabajado 0.14 Semanas Laborales durante el Período de Clase (del 16 de septiembre de 2017 al 17 de octubre de 2022).

Con base en esta información, su Parte del Acuerdo estimada es \$1.84

Los registros de los Demandados reflejan que tiene 0.00 períodos de pago trabajados durante el Período PAGA (del 16 de septiembre de 2020 al 17 de octubre de 2022).

Según esta información, su pago individual PAGA estimado es de \$0.00.

Si desea impugnar la información establecida anteriormente, debe presentar una disputa por escrito y firmada que impugne la información junto con los documentos de respaldo, al Administrador del Acuerdo en la dirección proporcionada en este Aviso a más tardar el 27 de noviembre de 2023.

6. ¿Cómo y cuándo puedo obtener un pago?

Para obtener dinero del acuerdo, no tiene que hacer nada. Un cheque por su pago del acuerdo se enviará automáticamente a la misma dirección que este Aviso. Si su dirección es incorrecta o ha cambiado, debe notificar al Administrador del Acuerdo. El Administrador del Acuerdo es: ILYM Group Inc.

El Tribunal celebrará una audiencia el 7 de diciembre de 2023 para decidir si aprueba finalmente el Acuerdo. Si el Tribunal aprueba el Acuerdo y no hay objeciones o apelaciones, los pagos se enviarán por correo dentro de unos meses después de esta audiencia. Si hay objeciones o apelaciones, resolverlas puede llevar tiempo, tal vez más de un año. Por favor, sea paciente. Después de la entrada de la Sentencia, el Administrador del Acuerdo notificará la sentencia final a los Miembros de la Clase publicando una copia de la Sentencia en el sitio web del administrador en <https://ilymgroup.com/SLOArches>.

7. ¿Qué pasa si no quiero ser parte del Acuerdo?

Si no desea participar en el Acuerdo, puede excluirse del Acuerdo u "optar por no participar". **Si opta por no participar, NO recibirá dinero del Acuerdo y no estará sujeto a sus términos, excepto de la siguiente manera.** Independientemente de si se excluye del Acuerdo u "opta por no participar", estará sujeto a la Liberación PAGA, se considerará que ha liberado las Reclamaciones PAGA Liberadas, y recibirá una parte del Monto Neto PAGA.

Para optar por no participar, debe enviar al Administrador del Acuerdo, por correo de primera clase, una solicitud de exclusión escrita, firmada y fechada con matasellos a más tardar el 27 de noviembre de 2023. La dirección del Administrador del Acuerdo es ILYM Group Inc., 14771 Plaza Drive, Ste. L, Tustin, CA 92780. La solicitud de exclusión debe indicar en esencia que el Miembro de la Clase ha leído el Aviso de la Clase y desea optar por no participar en el acuerdo de acción de clase. La solicitud de exclusión debe contener su nombre, dirección, número de teléfono, firma y los últimos cuatro dígitos de su número de Seguro Social para fines de verificación. La solicitud de exclusión debe ser firmada por usted. Ninguna otra persona puede optar por no participar en nombre de un miembro de la Clase.

Las solicitudes de exclusión por escrito que tengan matasellos después del 27 de noviembre de 2023, o que estén incompletas o sin firmar serán rechazadas, y esos Miembros de la Clase seguirán sujetos al Acuerdo y a la liberación descrita anteriormente.

8. ¿Cómo le digo al Tribunal que me gustaría objetar el Acuerdo?

Cualquier Miembro de la Clase que no haya optado por no participar y crea que el Acuerdo no debe ser finalmente aprobado por el Tribunal por ningún motivo, puede objetar el Acuerdo propuesto. Las objeciones pueden ser por escrito e indicar el nombre del Miembro de la Clase, la dirección actual, el número de teléfono y describir por qué cree que el Acuerdo es injusto y si tiene la intención de comparecer en la audiencia de aprobación final. Todas las objeciones escritas u otra correspondencia también deben indicar el nombre y el número del caso, que es *Álvarez c/ SLO Arches, et al., Caso de la Corte Superior del Condado de San Luis Obispo No. 21CV-0533*. También puede objetar sin presentar una objeción por escrito compareciendo en la audiencia de aprobación final programada como se describe en la Sección 9 a continuación.

Para objetar el Acuerdo, no puede optar por no participar. Si el Tribunal aprueba el Acuerdo, usted estará obligado por los términos del Acuerdo de la misma manera que los Miembros de la Clase del Acuerdo que no objetan. Cualquier Miembro de la Clase Participante que no se oponga a tiempo de la manera provista anteriormente habrá renunciado a cualquier objeción al Acuerdo, ya sea mediante apelación o de otro modo.

Las objeciones por escrito deben entregarse o enviarse por correo al Administrador del Acuerdo a más tardar el 27 de noviembre de 2023. La dirección del Administrador del Acuerdo es ILYM Group, Inc. Apartado Postal 2031 Tustin, CA 92781.

Las direcciones de los abogados de las Partes son las siguientes:

Abogados de la Clase:

Abogados de la Clase:

Abogados de los Demandados:

Jean-Claude Lapuyade, Abg.
JCL Law Firm, APC
5440 Morehouse Drive, Suite 3600 San Diego, CA 92121
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4695 MacArthur Court Suite 900
Newport Beach, CA 92660
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E-Mail: trofos@ohaganmeyer.com

9. ¿Cuándo y dónde decidirá el Tribunal si aprueba el Acuerdo?

El Tribunal celebrará una Audiencia de Aprobación Final a las 09:00 AM el 7 de diciembre de 2023, en el Tribunal Superior del Condado de San Luis Obispo, Departamento 2, ubicado en 1050 Monterey Street, San Luis Obispo, CA 93408 ante la Jueza Rita Federman. En esta audiencia, el Tribunal considerará si el acuerdo es justo, razonable y adecuado. El propósito de esta audiencia es que el Tribunal determine si otorga la aprobación final al Acuerdo. Si hay objeciones, el Tribunal las tendrá en cuenta. El Tribunal escuchará a las personas que hayan hecho una solicitud por escrito oportuna para hablar en la audiencia o que comparezcan en la audiencia para objetar. Esta audiencia puede ser reprogramada por el Tribunal sin previo aviso. **No es necesario que asista a la Audiencia de Aprobación Final**, aunque cualquier Miembro de la Clase Participante puede asistir a la audiencia.

10. ¿Cómo obtengo más información sobre el Acuerdo?

Puede llamar al Administrador del Acuerdo al (888) 250-6810 o escribir a **Alvarez c/ SLO Arches, et al., Caso No. 21CV-0533 del Tribunal Superior del Condado de San Luis Obispo**, Administrador del Acuerdo, c/o ILYM Group, Inc. Apartado Postal 2031 Tustin, CA 92781.

Este aviso resume el acuerdo propuesto. Se puede obtener más información en el Acuerdo de Conciliación. Puede recibir una copia del Acuerdo de Conciliación, la Sentencia Final u otros documentos del Acuerdo escribiendo a JCL Law Firm, APC, 5440 Morehouse Drive, Suite 3600, San Diego, CA 92121 o visitando el sitio web que figura en este aviso.

POR FAVOR NO LLAME NI ESCRIBA AL TRIBUNAL ACERCA DE ESTA NOTIFICACIÓN.

IMPORTANTE:

- Debe informar al Administrador del Acuerdo de cualquier cambio de dirección para garantizar la recepción de su pago del acuerdo.
- Los cheques de liquidación serán nulos 180 días después de su emisión si no se depositan o cobran. En tal caso, el Administrador del Acuerdo pagará todos los fondos de dichos cheques no cobrados al Fondo de Propiedad No Reclamada del Estado de California. Si su cheque se pierde o se extravía, debe comunicarse con el Administrador del Acuerdo de inmediato para solicitar un reemplazo.

EXHIBIT 2

7/20/2023 12:31 PM

ELECTRONICALLY FILED: 07/28/2023
San Luis Obispo Superior Court
By: Wickstrom, Tamara

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Attorneys for Plaintiff ELISA ALVAREZ

SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR THE COUNTY OF SAN LUIS OBISPO

ELISA ALVAREZ, an individual, on behalf of
herself, and on behalf of all persons similarly
situated,

Plaintiffs,

vs.

SLO ARCHES, INC., a California Corporation;
IVERNIA, INCORPORATED, a California
Corporation; GOLDEN SENECA, INC., a
California Corporation; and DOES 1 through
50, Inclusive;

Defendants.

Case No. 21CV-0533

**~~PROPOSED~~ ORDER GRANTING
PLAINTIFF'S MOTION FOR
PRELIMINARY APPROVAL OF CLASS
AND PAGA ACTION SETTLEMENT**

Date: July 13, 2023
Time: 9:00 a.m.

Judge: Hon. Rita Coyne Federman
Dept.: 2

1 This matter having come before the Honorable Judge Rita Coyne Federman of the Superior Court
2 of the State of California, in and for the County of San Luis Obispo, at 9:00 a.m. on July 13, 2023, with
3 Jean-Claude Lapuyade, Esq., of the JCL Law Firm, APC and Shani O. Zakay, Esq. of the Zakay Law
4 Group, APLC as counsel for Plaintiff ELISA ALVAREZ (“Plaintiff”), and Thalia S. Rofos, Esq.,
5 Kelsey Scherr, Esq., and Heather Norton, Esq. of Daugherty Lordan LLP appearing for Defendants
6 SLO ARCHES, INC., a California Corporation; IVERNIA, INCORPORATED, a California
7 Corporation; and GOLDEN SENECA, INC., a California Corporation (hereinafter “Defendants”). The
8 Court, having carefully considered the briefs, argument of counsel and all the matters presented to the
9 Court, and good cause appearing, hereby GRANTS Plaintiff’s Motion for Preliminary Approval of
10 Class Action Settlement.

11 **IT IS HEREBY ORDERED:**

12 1. The Court preliminarily approves the Stipulation of Settlement of Class Action Claims
13 and Release of Claims (“Settlement Agreement” or “Agreement”). This is based on the Court’s
14 determination that the Settlement Agreement is within the range of possible final approval, pursuant to
15 the provisions of Section 382 of the California Code of Civil Procedure and California Rules of Court,
16 rule 3.769.

17 2. This Order incorporates by reference the definitions in the Agreement, and all terms
18 defined therein shall have the same meaning in this Order as set forth in the Agreement.

19 3. Subject to the terms of the Settlement Agreement, the Gross Settlement Amount that
20 Defendants shall pay is Seven Hundred Fifty Thousand Dollars and Zero Cents (\$750,000.00). It
21 appears to the Court on a preliminary basis that the settlement amount and terms are fair, adequate, and
22 reasonable as to all Class Members when balanced against the probable outcome of further litigation
23 relating to certification, liability, and damages issues. It further appears that investigation and research
24 have been conducted such that counsel for the Parties are able to reasonably evaluate their respective
25 positions. It further appears to the Court that settlement at this time will avoid substantial additional
26 costs by all Parties, as well as avoid the delay and risks that would be presented by the further
27 prosecution of the litigation. It further appears that the Settlement has been reached as the result of

1 intensive, serious, and non-collusive arms-length negotiations.

2 4. The Court preliminarily finds that the Settlement appears to be within the range of
3 reasonableness of a settlement that could ultimately be given final approval by this Court. The Court
4 has reviewed the monetary recovery that is being granted as part of the Settlement and preliminarily
5 finds that the monetary settlement awards made available to the Class Members are fair, adequate, and
6 reasonable when balanced against the probable outcome of further litigation relating to certification,
7 liability, and damages issues.

8 5. Plaintiff seeks a Class Counsel Award in the amount of up-to one-third of the Gross
9 Settlement Amount for attorneys' fees, currently estimated at Two Hundred Fifty Thousand Dollars
10 (\$250,000.00), an award of litigation expenses incurred not to exceed Twenty Thousand Dollars
11 (\$20,000), and proposed Class Representative Service Award to the Class Representative, Elisa
12 Alvarez, in the amount of Ten Thousand Dollars (\$10,000). While these awards appear to be within the
13 range of reasonableness, the Court will not approve the Class Counsel Award or Class Representative
14 Service Award until the Final Approval Hearing.

15 6. The Court recognizes that Plaintiff and Defendants stipulate and agree to certification of
16 a class for settlement purposes only. This stipulation will not be deemed admissible in this or any other
17 proceeding should this Settlement not become final. For settlement purposes only, the Court
18 conditionally certifies the following Class:

19 "All non-exempt employees who are or previously were directly employed
20 by Defendants and performed work in California during the period of
21 September 16, 2017 to October 17, 2022."

22 7. The Court concludes that, for settlement purposes only, the Class meets the requirements
23 for certification under section 382 of the California Code of Civil Procedure in that: (a) the Class is
24 ascertainable and so numerous that joinder of all members of the Class Members is impracticable; (b)
25 common questions of law and fact predominate, and there is a well-defined community of interest
26 amongst the Class Members with respect to the subject matter of the litigation; (c) the claims of the
27 Class Representative are typical of the claims of the Class Members; (d) the Class Representative will

1 fairly and adequately protect the interests of the Class Members; (e) a class action is superior to other
2 available methods for the efficient adjudication of this controversy; and (f) Class Counsel are qualified
3 to act as counsel for the Class Representative in her individual capacity and as the representative of the
4 Class Members.

5 8. The Court provisionally appoints Plaintiff Elisa Alvarez as the representative of the
6 Class.

7 9. The Court provisionally appoints Jean-Claude Lapuyade, Esq., of the JCL Law Firm,
8 A.P.C., and Shani O. Zakay, of the Zakay Law Group, APLC, as Class Counsel for the Class Members.

9 10. The Court hereby approves, as to form and content, the Proposed Notice of Pendency of
10 Class Action Settlement and Final Hearing Date (“Class Notice”), a true and correct copy of which is
11 attached hereto as **Exhibit “1.”** The Court finds that both notices appear to fully and accurately inform
12 the Class Members and Aggrieved Employees of all material elements of the proposed Settlement,
13 including right of any Class Member to be excluded from the Class by submitting a written request for
14 exclusion, and of each Class Member’s right and opportunity to object to the Settlement. The Court
15 further finds that the distribution of the notices substantially in the manner and form set forth in the
16 Agreement and this Order meets the requirements of due process, is the most reasonable notice under
17 the circumstances, and shall constitute due and sufficient notice to all persons entitled thereto. The
18 Court orders the mailing of the notices by first class mail, pursuant to the terms set forth in the
19 Agreement.

20 11. The Court hereby appoints ILYM Group Inc., as Settlement Administrator. No later than
21 ten (10) business days after the entry of this order, Defendants shall provide, to the Settlement
22 Administrator the Class Data, including information regarding Class Members that Defendants will in
23 good faith compile from its records, including each Class Member’s full name; last known address;
24 Social Security Number; start dates and end dates of employment. No later than fourteen (14) calendar
25 days after receiving the Class Data from Defendants, the Settlement Administrator shall mail and email
26 the Class Notice to all identified, potential Class Members via regular first-class U.S. Mail and
27 electronic mail using the most current mailing address information available. The Settlement
28

1 Administrator shall also be required to perform all duties set forth in the Agreement.

2 12. Any Class Member may individually choose to opt out of and be excluded from the
3 Settlement as provided in the Class Notice by following the instructions for requesting exclusion from
4 the Settlement of the Released Class Claims that are set forth in the Class Notice and submitting to the
5 Settlement Administrator. All requests for exclusion must be postmarked or received by the Response
6 Deadline which is forty-five (45) calendar days after the date the Class Notice is mailed to the Class
7 Members or, in the case of a re-mailed Class Notice, not more than fifteen (15) calendar days after the
8 original Response Deadline. Any such person who chooses to opt out of and be excluded from the
9 Settlement will not be entitled to an Individual Settlement Payment under the Settlement and will not
10 be bound by the Settlement, or have any right to object, appeal or comment thereon. Class Members
11 who have not requested exclusion shall be bound by all determinations of the Court, the Agreement
12 and Judgment. A request for exclusion may only opt out that particular individual, and any attempt to
13 affect an opt-out of a group, class, or subclass of individuals is not permitted and will be deemed invalid.
14 The Settlement Administrator shall file a declaration concurrently with the filing of the Motion for
15 Final Approval, authenticating a copy of every exclusion form received by the administrator.

16 13. Any Class Member who has not opted out may appear at the final approval hearing and
17 may object or express the Class Member's views regarding the Settlement and may present evidence
18 and file briefs or other papers that may be proper and relevant to the issues to be heard and determined
19 by the Court as provided in the Class Notice. Class Members will have forty-five (45) days from the
20 date the Settlement Administrator mails the Class Notice to postmark their written objections to the
21 Settlement Administrator. The Settlement Administrator shall file a declaration concurrently with the
22 filing of the Motion for Final Approval authenticating a copy of every objection form received by the
23 Settlement Administrator.

24 14. A final approval hearing shall be held before this Court on **December 7, 2023 at 9:00**
25 **AM** in Department 2 of the San Luis Obispo County Superior Court to determine all necessary matters
26 concerning the Settlement, including: whether the proposed settlement of the Action on the terms and
27 conditions provided for in the Agreement is fair, adequate and reasonable and should be finally

1 approved by the Court; whether an Order Granting Final Approval should be entered herein; whether
2 the plan of allocation contained in the Agreement should be approved as fair, adequate and reasonable
3 to the Class; and to finally approve the Class Counsel Award, Class Representative Service Award, and
4 the Settlement Administration Costs. All papers in support of the motion for final approval and the
5 motion for Class Counsel Award and Class Representative Service Award shall be filed with the Court
6 and served on all counsel no later than sixteen (16) court days before the hearing. With respect to
7 Settlement Administration Costs, the papers must only request approval of an amount not to exceed
8 \$16,000. The Settlement Administrator must give notice to any objecting party of any continuance of
9 the hearing of the Motion for Final Approval.

10 15. Neither the Settlement nor any exhibit, document, or instrument delivered thereunder
11 shall be construed as a concession or admission by Defendants in any way, and shall not be used as
12 evidence of, or used against Defendants as, an admission or indication in any way, including with
13 respect to any claim of any liability, wrongdoing, fault or omission by Defendants or with respect to
14 the truth of any allegation asserted by any person. Whether or not the Settlement is finally approved,
15 neither the Settlement, nor any exhibit, document, statement, proceeding or conduct related to the
16 Settlement, nor any reports or accounts thereof, shall in any event be construed as, offered or admitted
17 in evidence as, received as or deemed to be evidence for any purpose adverse to the Defendants,
18 including, but not limited to, evidence of a presumption, concession, indication or admission by
19 Defendants of any liability, fault, wrongdoing, omission, concession or damage.

20 16. In the event the Settlement does not become effective in accordance with the terms of the
21 Agreement, or the Settlement is not finally approved, or is terminated, canceled, or fails to become
22 effective for any reason, this Order shall be rendered null and void and shall be vacated, and the Parties
23 shall revert to their respective positions as of before entering into the Agreement. In such an event, the
24 Court's orders regarding the Settlement, including this Preliminary Approval Order, shall not be used
25 or referred to in litigation for any purpose. Nothing in this paragraph is intended to alter the terms of
26 the Settlement Agreement with respect to the effect of the Settlement Agreement if it is not approved.

27 ///

1 17. The Court reserves the right to adjourn or continue the date of the final approval hearing
2 and all dates provided for in the Agreement without further notice to Class Members and retains
3 jurisdiction to consider all further applications arising out of or connected with the proposed Settlement.
4

5
6 Dated: July 28, 2023


JUDGE OF THE SUPERIOR COURT

EXHIBIT 1

**NOTICE OF PENDENCY OF CLASS ACTION SETTLEMENT
AND FINAL HEARING DATE**

(Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533)

**YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR DO NOT ACT. PLEASE
READ THIS NOTICE CAREFULLY.**

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:	
Do Nothing and Receive a Payment	To receive a cash payment from the Settlement, you do not have to do anything. Your estimated Settlement Share is: \$<<[REDACTED]>>. See the explanation below. After final approval by the Court, the payment will be mailed to you at the same address as this notice. If your address has changed, please notify the Settlement Administrator as explained below. In exchange for the settlement payment, you will release claims against the Defendants as detailed below.
Exclude Yourself	If you wish to exclude yourself from the Settlement, you must send a written request for exclusion to the Settlement Administrator as provided below. If you request exclusion, you will receive no money from the Settlement. Instructions are set forth below.
Object	You may write to the Court about why you believe the settlement should not be approved. Directions are provided below.

1. Why did I get this Notice?

A proposed class action settlement (the “Settlement”) of this lawsuit pending in the Superior Court for the State of California, County of San Luis Obispo (the “Court”) has been reached between Plaintiff Elisa Alvarez (“Plaintiff”) and Defendants SLO Arches, Inc., Ivernia, Incorporated and Golden Seneca, Inc. (“Defendants”). The Court has granted preliminary approval of the Settlement. **You may be entitled to receive money from this Settlement.**

You have received this Class Notice because you have been identified as a member of the Class, which is defined as:

All non-exempt employees who are or previously were employed by Defendants and performed work in California during the period of September 16, 2017 to October 17, 2022.

The “Class Period” is the period of time running from September 16, 2017 to October 17, 2022.

This Class Notice explains the lawsuit, the Settlement, and your legal rights. It is important that you read this Notice carefully as your rights may be affected by the Settlement.

2. What is this class action lawsuit about?

On September 16, 2021, Plaintiff filed a Complaint against Defendants in the Superior Court of the State of California, County of San Luis Obispo. Plaintiff asserted claims that Defendants: (1) Violated California Business and Professions Code § 17200 *et seq.*; (2) Failed to pay overtime wages in violation of California Labor Code §§ 510, *et seq.*; (3) Failed to pay minimum wages in violation of California Labor Code §§ 1194, 1197, and 1197.1; (4) Failed to provide required meal periods in violation of California Labor Code §§ 226.7 & 512, and the applicable IWC Wage Order; (5) Failed to provide required rest periods in violation of California Labor Code §§ 226.7 & 512, and the applicable IWC Wage Order; (6) Failed to reimburse for required business expenses in violation of California Labor Code § 2802; (7) Failed to provide accurate and complete itemized wage statements in violation of California Labor Code § 226; (8) Failed to pay wages when due in violation of Cal. Lab. Code §§ 201, 202 and 203. On _____, Plaintiff filed the operative First Amended Complaint to include a ninth cause of action for Violations of the Private Attorney General Act at Labor Code Section 2698, *et seq.*

Defendants deny and dispute all claims asserted in the Actions and maintain that they have complied fully with state and federal law. Specifically, Defendants contended (and continues to contend) that the Action could not properly be maintained as a class action; that Defendants properly paid members of the class all wages and overtime that was due; that Defendants provided members of the class with all legally required meal breaks and rest breaks; that Defendants paid any members of the class all wages due them at the time of their terminations; that Defendants provided accurate, itemized wage statements to members of the class; that Defendants did not violate California Business and Professions Code section 17200 *et seq.*; and that Defendants are not liable for any of the penalties claimed or that could be claimed in the Actions.

On October 17, 2022, the Parties participated in an all-day mediation with Steven Rottman, Esq., a mediator of wage and hour class actions. At the conclusion of the full-day mediation, the Parties reached an agreement for settlement. The Court granted preliminary approval of the Settlement on <<INSERT PRELIMINARY APPROVAL DATE>>. At that time, the Court also preliminarily approved the Plaintiff to serve as the Class Representative, and the law firms of JCL Law Firm, APC and Zakay Law Group, APC to serve as Class Counsel.

3. What are the terms of the Settlement?

Gross Settlement Amount. Defendants have agreed to pay an “all in” amount of Seven Hundred Fifty Thousand Dollars (\$750,000) (the “Gross Settlement Amount”) to fund the settlement. The Gross Settlement Amount includes the payment of all Settlement Shares to Participating Class Members, Class Counsel’s attorneys’ fees and costs, Settlement Administration Expenses, the LWDA Payment, PAGA Shares, and the Class Representative Service Payment to the Plaintiff.

After the Judgment becomes Final, Defendants will pay the Gross Settlement Amount by depositing the money with the Settlement Administrator. “Final” means the date the Judgment is no longer subject to appeal, or if an appeal is filed, the date the appeal process is completed and the Judgment is affirmed.

Amounts to be Paid from the Gross Settlement Amount. The Settlement provides for certain payments to be made from the Gross Settlement Amount, which will be subject to final Court approval, and which will be deducted from the Gross Settlement Amount before settlement payments are made to Class Members, as follows:

- Settlement Administration Expenses. Payment to the Settlement Administrator, estimated not to exceed \$16,000 for expenses, including expenses of sending this Notice, processing opt outs, and distributing settlement payments.

- Attorneys' Fees and Costs. Payment to Class Counsel of an award of a Class Counsel Fees Payment of no more than 1/3 of the Gross Settlement Amount (currently \$250,000.00) and a Class Counsel Litigation Expenses Payment of not more than \$20,000 for all expenses incurred as documented in Class Counsel's billing records, both subject to Court approval. Class Counsel have been prosecuting the Actions on behalf of Plaintiff and the Class on a contingency fee basis (that is, without being paid any money to date) and have been paying all litigation costs and expenses.
- Class Representative Service Payment. Class Representative Service Payment of up to Ten Thousand Dollars (\$10,000) to Plaintiff, or such lesser amount as may be approved by the Court, to compensate her for services on behalf of the Class in initiating and prosecuting the Action, and for the risks she undertook.
- PAGA Payment. A payment of \$37,500.00 relating to Plaintiff's claim under the Private Attorneys General Act ("PAGA"), \$28,125.00 of which will be paid to the State of California's Labor and Workforce Development Agency ("LWDA") and the remaining \$9,375.00 will be distributed to PAGA Employees as part of the Net PAGA Amount.
- Calculation of Payments to Participating Class Members. After all the above payments of the court-approved Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, the Class Representative Service Payments, the LWDA Payment, and the Settlement Administration Expenses are deducted from the Gross Settlement Amount, the remaining portion, called the "Net Settlement Amount," shall be distributed to class members who do **not** request exclusion ("Participating Class Members"). The Settlement Share for each Participating Class Member will be calculated by dividing the Net Settlement Amount by the total number of workweeks for all Participating Class Members that occurred during the Class Period and multiplying the result by each individual Participating Class Member's workweeks that occurred during the Class Period. A "workweek" is defined as a normal seven-day week of work during the Class Period in which, according to Defendants' records, a member of the class worked at least one-day during any such workweek.
- Calculation of PAGA Penalties Payments to PAGA Employees. The Net PAGA Amount shall be distributed to PAGA Employees irrespective of whether they exclude themselves or opt-out. The Net PAGA Amount will be divided by the total number of pay periods worked by all PAGA Employees during the PAGA Period, and then taking that number and multiplying it by the number of pay periods worked by each respective PAGA Employee during the PAGA Period. "PAGA Employees" means all non-exempt employee who are or previously were employed by Defendants in California during the PAGA Period. The PAGA Period means the period between September 16, 2020 to October 17, 2022.

If the Settlement is approved by the Court, you will automatically be mailed a check for your Settlement Share to the same address as this Class Notice. You do not have to do anything to receive a payment. If your address has changed, you must contact the Settlement Administrator to inform them of your correct address to insure you receive your payment.

Tax Matters. Fifteen percent (15%) of each Settlement Share is allocated to wages. Taxes are withheld from this amount, and each Participating Class Member will be issued an Internal Revenue Service Form W-2 for such payment. Eighty-five percent (85%) of each Settlement Share is allocated to interest, penalties and other non-wage payments, and no taxes will be withheld, and each Participating Class Member will be issued an Internal Revenue Service Form 1099 for such payment. In addition, no taxes will be withheld from the PAGA Penalties paid to PAGA Employees, and each PAGA Employee will be issued an Internal Revenue Service Form 1099 for such payment. Neither Class Counsel nor Defendants' counsel intend anything contained in this Settlement to constitute advice regarding taxes or taxability. You may wish to consult a tax advisor concerning the tax consequences of the payments received under the Settlement.

No Credit Toward Benefit Plans. The Individual Settlement Payments and individual shares of the PAGA Settlement made to Settlement Class Members and/or Aggrieved Employees under this Settlement Agreement, as well as any other payments made pursuant to this Settlement Agreement, will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any Class Members may be entitled under any benefit plans.

Conditions of Settlement. This Settlement is conditioned upon the Court entering an order granting final approval of the Settlement and entering judgment.

4. What Do I Release Under the Settlement?

Released Claims. Upon entry of final judgment and funding in full of the Gross Settlement Amount by Defendants, Plaintiff and the Participating Class Members shall release all Released Class Claims that occurred during the Class Period as to the Released Parties. Released Class Claims means all class claims alleged in the operative First Amended Complaint which occurred during the Class Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and class claims outside of the Class Period. The Released PAGA Claims shall be released as follows. As of the Settlement Effective Date and upon funding in full of the Gross Settlement Amount by Defendants, all Aggrieved Employees shall release all Released PAGA Claims, irrespective of whether they opted-out of the class settlement, and will be bound by this PAGA Release (the "PAGA Release"). "Released PAGA Claims" means all PAGA claims alleged in the operative First Amended Complaint and Plaintiff's PAGA notice to the LWDA which occurred during the PAGA Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and PAGA claims outside of the PAGA Period.

This means that, if you do not timely and formally exclude yourself from the settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendants about the legal issues resolved by this Settlement. It also means that all of the Court's orders in this Action will apply to you and legally bind you.

5. How much will my payment be?

Defendants' records reflect that you have <<____>> Workweeks worked during the Class Period (September 16, 2017 to October 17, 2022).

Based on this information, your estimated Settlement Share is <<____>>.

Defendants' records reflect that you have <<____>> pay periods worked during the PAGA Period (September 16, 2020 to October 17, 2022).

Based on this information, your estimated PAGA Payment Share is <<____>>.

If you wish to challenge the information set forth above, then you must submit a written, signed dispute challenging the information along with supporting documents, to the Settlement Administrator at the address provided in this Notice no later than _____ [forty-five (45) days after the Notice or fifteen (15) days after the re-mailed Notice].

6. How can I get a payment?

To get money from the settlement, you do not have to do anything. A check for your settlement payment will be mailed automatically to the same address as this Notice. If your address is incorrect or has changed, you must notify the Settlement Administrator. The Settlement Administrator is: ILYM Group Inc.

The Court will hold a hearing on _____ to decide whether to finally approve the Settlement. If the Court approves the Settlement and there are no objections or appeals, payments will be mailed within a few months after this hearing. If there are objections or appeals, resolving them can take time, perhaps more than a year. Please be patient. After entry of the Judgment, the Settlement Administrator will provide notice of the final judgment to the Class Members by posting a copy of the Judgment on the administrator's website at www._____.com

7. What if I don't want to be a part of the Settlement?

If you do not wish to participate in the Settlement, you may exclude yourself from the Settlement or "opt out." **If you opt out, you will receive NO money from the Settlement, and you will not be bound by its terms, except as provided as follows.** Irrespective of whether you exclude yourself from the Settlement or "opt out," you will be bound by the PAGA Release, you will be deemed to have released the Released PAGA Claims, and you will receive a share of the Net PAGA Amount.

To opt out, you must submit to the Settlement Administrator, by First Class Mail, a written, signed and dated request for exclusion postmarked no later than _____. The address for the Settlement Administrator is ILYM Group Inc., 14771 Plaza Drive, Ste. L, Tustin, CA 92780. The request for exclusion must state in substance that the Class Member has read the Class Notice and wishes to opt out of the class action settlement. The request for exclusion must contain your name, address, signature and the last four digits of your Social Security Number for verification purposes. The request for exclusion must be signed by you. No other person may opt out for a member of the Class.

Written requests for exclusion that are postmarked after _____, or are incomplete or unsigned will be rejected, and those Class Members will remain bound by the Settlement and the release described above.

8. How do I tell the Court that I would like to challenge the Settlement?

Any Class Member who has not opted out and believes that the Settlement should not be finally approved by the Court for any reason, may object to the proposed Settlement. Objections may be in writing and state the Class Member's name, current address, telephone number, and describe why you believe the Settlement is unfair and whether you intend to appear at the final approval hearing. All written objections or other correspondence must also state the name and number of the case, which is *Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533*. You may also object without submitting a written objection by appearing at the final approval hearing scheduled as described in Section 9 below.

To object to the Settlement, you cannot opt out. If the Court approves the Settlement, you will be bound by the terms of the Settlement in the same way as Class Members who do not object. Any Class Member who does not object in the manner provided in this Class Notice shall have waived any objection to the Settlement, whether by appeal or otherwise.

Written objections must be delivered or mailed to the Settlement Administrator no later than _____. The address for the Settlement Administrator is _____.

The addresses for the Parties' counsel are as follows:

Class Counsel:

Jean-Claude Lapuyade, Esq.
JCL Law Firm, APC

Class Counsel:

Shani O. Zakay, Esq.
Zakay Law Group, APLC

Counsel for Defendants:

Efthalia S. Rofos, Esq.
Lewis Brisbois Bisgaard &

5440 Morehouse Drive, Suite 3600 San Diego, CA 92121 Tel.: (619) 599-8292 Fax: (619) 599-2891 E-Mail: jlapuyade@jcl-lawfirm.com	5440 Morehouse Drive, Suite 3600 San Diego, CA 92121 Tel: (619) 599-8292 Fax: (619) 599-8291 Email: shani@zakaylaw.com	Smith LLP 650 Town Center Drive, Suite 1400 Costa Mesa, CA 92626 Tel.: (714) 545-9200 Fax: (714) 850-1030 E-Mail: Thalia.Rofos@lewisbrisbois.com
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9. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Final Approval Hearing at **00:00 AM/PM on** _____, at the San Luis Obispo County Superior Court, Department 2, located at 1050 Monterey Street, San Luis Obispo, CA 93408 before Judge Rita Federman. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate. The purpose of this hearing is for the Court to determine whether to grant final approval to the Settlement. If there are objections, the Court will consider them. The Court will listen to people who have made a timely written request to speak at the hearing or who appear at the hearing to object. This hearing may be rescheduled by the Court without further notice to you. **You are not required to attend** the Final Approval Hearing, although any Class Member is welcome to attend the hearing.

10. How do I get more information about the Settlement?

You may call the Settlement Administrator at _____ or write to *Alvarez v. SLO Arches, et al., San Luis Obispo County Superior Court Case No. 21CV-0533*, Settlement Administrator, c/o _____.

This notice summarizes the proposed settlement. More details are in the Settlement Agreement. You may receive a copy of the Settlement Agreement, the Final Judgment or other Settlement documents by writing to JCL Law firm, APC, 5440 Morehouse Drive, Suite 3600, San Diego, CA 92121 or by visiting the website listed in this notice.

PLEASE DO NOT CALL THE COURT ABOUT THIS NOTICE.

IMPORTANT:

- You must inform the Settlement Administrator of any change of address to ensure receipt of your settlement payment.
- Settlement checks will be null and void 180 days after issuance if not deposited or cashed. In such event, the Settlement Administrator shall pay all funds from such uncashed checks to the State of California's Unclaimed Property Fund. If your check is lost or misplaced, you should contact the Settlement Administrator immediately to request a replacement.

EXHIBIT 3

From: [DIR PAGA Unit](#)
To: mwatters@jcl-lawfirm.com
Subject: Thank you for your Proposed Settlement Submission
Date: Tuesday, June 20, 2023 5:32:14 PM

06/20/2023 05:31:20 PM

Thank you for your submission to the Labor and Workforce Development Agency.

Item submitted: Proposed Settlement

If you have questions or concerns regarding this submission or your case, please send an email to pagainfo@dir.ca.gov.

DIR PAGA Unit on behalf of
Labor and Workforce Development Agency

Website: http://labor.ca.gov/Private_Attorneys_General_Act.htm

EXHIBIT 4

EXHIBIT 5

JCL LAW FIRM, APC
5440 Morehouse Drive, Suite 3600
San Diego, CA 92121
Tel: (619) 599-8292
Fax: (619) 599-8291

FIRM RESUME

Areas of Practice: Employee Class Actions, Wage and Hour Class Actions, Civil Litigation, and Unlawful Housing Practices.

ATTORNEY BIOGRAPHIES

Jean-Claude Lapuyade, Esq.
California Bar Number 248676
Founding Partner

Biography:

Mr. Lapuyade is a San Diego based labor and employment attorney representing aggrieved California employees and the victims of unlawful housing practices throughout the State of California. Mr. Lapuyade's litigation practice focuses on representing California employees in wage and hour class actions for unpaid wages, missed meal and rest breaks, and misclassification, wrongful termination cases and tenants in unlawful housing class actions. Mr. Lapuyade prides himself on providing his clients with aggressive, attentive and result driven representation through the litigation process, trial and arbitration. Since 2007, Mr. Lapuyade successfully recovered in excess of \$200 million in monetary awards for his clients.

Mr. Lapuyade served as co-editor of the Insurance Column for Consumer Attorneys of San Diego from 2011 to 2014. As editor, Mr. Lapuyade was responsible for publishing monthly articles in the Trial Bar News periodical analyzing complex insurance coverage issues. Mr. Lapuyade is a four-time recipient of the Super Lawyers Rising Star by Thomson Reuters. To be eligible for inclusion in Rising Stars, a candidate must be either 40 years old or younger or in practice for 10 years or less. While up to 5 percent of the lawyers in a state are named to Super Lawyers, no more than 2.5 percent are named to Rising Stars.

Bar Admissions:

- California
- U.S. District Court Southern District of California
- U.S. District Court Central District of California
- U.S. District Court Eastern District of California
- U.S. District Court Northern District of California

Professional Associations:

- California Employment Lawyers Association, 2007 to Present
- Consumer Attorneys of San Diego, 2007 to 2020
- American Bar Association, Section of Litigation, Member, 2007 to 2020
- American Bar Association, Labor & Employment Section, Member, 2007 to 2020
- American Bar Association, Tort, Trial & Insurance Section, Member, 2007 to 2020
- California Bar Association, Labor & Employment Section, Member, 2007 to Present
- California Bar Association, Litigation Section, Member, 2007 to Present

Education:

- **California Western School of Law**
 - J.D. - 2006
 - Honors: Deans Merit Scholarship, California Western School of Law 2005 - 2006
- **University of Arizona**
 - B.A. - 2001
 - Major: History

Honors:

- Super Lawyers Rising Star, Thomson Reuters, 2015 - 2018
- Distinguished Advocate Award for Outstanding Oral Advocacy, 2005 - 2006

Sydney Castillo-Johnson, Esq.
California Bar Number 343881
Associate Attorney

Biography:

Ms. Castillo-Johnson is a San Diego based labor and employment attorney representing California employees throughout the state of California. Ms. Castillo-Johnson's litigation practice focuses on representing current and former employees in wage and hour class actions for unpaid wages, missed meal and rest breaks, misclassification, and wrongful termination.

Ms. Castillo-Johnson volunteered her time at California Western School of Law first as a student intern and later as a student manager with the Community Law Project which offers legal services to the low-income community of San Diego.

Education:

- **California Western School of Law**
 - J.D.- 2022
 - Honors:
 - Dean's Honor List: Spring 2021, Fall 2021
 - Public Service Honor Society Fall 2021
 - 3L Academic Merit Scholarship
 - Dean's Diversity, Service, and Leadership Scholarship
 - Activities: Community Law Project Student Manager
- **Azusa Pacific University**
 - B.A. – 2018
 - Major: Criminal Justice

Monnett De La Torre, Esq.
California Bar Number 272884
Senior Associate

Biography:

Ms. De La Torre is a San Diego and Ventura County based labor and employment attorney representing aggrieved California employees throughout the State of California. Ms. De La Torre's litigation practice focuses on representing California employees in wage and hour class and representative actions for unpaid wages, missed meal and rest breaks, misclassification, penalties, and wrongful termination cases. Ms. De La Torre has extensive experience handling internal workplace investigations. Ms. De La Torre has handled matters before the Department of Fair Employment and Housing, Equal Employment Opportunity Commission and Department of Labor.

Ms. De La Torre is a two-time recipient of the Super Lawyers Rising Star by Thomas Reuters. To be eligible for inclusion in Rising Stars, a candidate must be either 40 years old or younger or in practice for 10 years or less. While up to five percent of the lawyers in a state are named to Super Lawyers, no more than 2.5 percent are named to Rising Stars.

Bar Admissions:

- California
- U.S. District Court Southern District of California

Professional Associations:

- California Employment Lawyers Association, 2022 to Present
- Consumer Attorneys of San Diego, 2010-2015
- American Bar Association, Labor & Employment Section, Member, 2015 to Present
- California Bar Association, Labor & Employment Section, Member, 2015 to Present
- San Diego La Raza Lawyers Association, 2010-2015
- Ventura County Bar Association, 2015-Present
- Women Lawyers of Ventura County, 2015-Present

Education:

- **New England School of Law**
 - J.D.- 2010

- Honors:
 - Dean's List Consecutive years
- **University of California, San Diego**
 - B.A.- 2006
 - Major: Economics
 - Minor: Latin American Studies

Honors:

- Super Lawyers Rising Star, Thomson Reuters, 2021 and 2022
- Pacific Cost Business Times, "Top 40 under 40" Award
- Daily Transcripts "Top Young Attorney: nominee, consecutive years"

REPORTED CASES

- *Blanchette et al., v. Superior Court (GHA Homes)*, 8 Cal.App.5th 521, 2017
- *Chmurny v. State*, 392 Md. 159, 2006

EXAMPLES OF THE JCL LAW FIRM'S
REPRESENTATIVE CLASS ACTION & REPRESENTATIVE CASES

- *Garces, et al. v. DriverDo, LLC*, Los Angeles Superior Court Case No. 19STCV32773 (January 24, 2023) Hon. Carolyn B. Kuhl approved a \$700,000 class action and PAGA settlement for meal and rest period violations. The JCL Law Firm, APC, represented the plaintiff, the State of California, and the Aggrieved Employees.
- *De Jesus v. Guardian Angel Home Care, Inc.*, San Diego Superior Court Case No. 37-2020-00021049-CU-OE-CTL (July 29, 2022) Hon. Ronald F. Frazier approved a \$300,000 class action and PAGA settlement for meal and rest period violations. The JCL Law Firm, APC, represented the plaintiff, the State of California, and the Aggrieved Employees.
- *Kaur v. Aces 2020 I, LLC, et al.*, Contra Costa Superior Court Case No. MSC20-02482 (December 22, 2022) Hon. Edward G. Weil approved a \$585,000 class action and PAGA settlement for meal and rest period violations. The JCL Law Firm, APC, represented the plaintiff, the State of California, and the Aggrieved Employees.
- *Molina v. Hawaiian Airlines, Inc.*, Los Angeles Superior Court Case No. 20STCV28079 (November 23, 2022) Hon. Maren Nelson approved a \$250,000 class action settlement for meal and rest period violations. The JCL Law Firm, APC, represented the Plaintiff.
- *Lang Jr. v. Pathways Community Services LLC*, San Diego Superior Court Case No. 37-2019-00049969-CU-OE-CTL (September 9, 2022) Hon. James A. Mangione approved a \$160,000 class action and PAGA settlement for meal and rest period violations. The JCL Law Firm, APC, represented the plaintiff, the State of California, and the Aggrieved Employees.
- *Martinello v. Century Wilshire, Inc.*, Los Angeles Superior Court Case No. 20STCV32613 (March 1, 2022) Hon. Carolyn Kuhl approved a \$550 billing rate and appointed Jean Claude Lapuyade, Esq. of the JCL Law Firm, APC, as Class Counsel.
- *Wilson v. Spreen Inc. (dba Spreen Honda)*, San Bernardino Superior Court Case No. CIVSB2119857 (April 6, 2022) Hon. David Cohn approved a \$300,000 PAGA action settlement for meal and rest period violations. The JCL Law Firm, APC, represented the plaintiff, the State of California, and the Aggrieved Employees.
- *Ledesma v. TVJ Sons I, Inc.; Porter and Howard, Inc.* Santa Barbara Superior Court Case No. 20CV03573 (November 10, 2021) Hon. Jed Beebe approved a \$350,000 PAGA action settlement for meal and rest period violations. The JCL Law Firm, APC represented the State of California and the Aggrieved Employees.
- *Jacobs v. Rush Media Company, LLC; XLT Management Services, Inc.*, Los Angeles Superior Court Case No. 20STCV32350 (May 2, 2022) Hon. Daniel J. Buckley appointed the JCL Law Firm, APC as Class Counsel and approved a \$330,000 class action settlement for, *inter alia*, independent contractor misclassification.
- *Chavez v. HTL Conrad Domestic, LLC; HTL Conrad Domestic Employer, LLC*, San Diego Superior Court Case No. 37-2020-00016193-CU-OE-CTL (June 9, 2022) Hon. John S. Meyer approved a \$850,000 PAGA action settlement for, *inter alia*, failure to compensate overtime at the regular rate of pay. The JCL Law Firm, APC acted as representative PAGA counsel for the State of California and the Aggrieved Employees.
- *Renteria v. Love's Country Stores of California*, San Bernardino Superior Court Case No. CIVDS20164481 (June 14, 2022) Hon. David Cohn approved a \$2.2 million dollar class action and PAGA action settlement for, *inter alia*, unpaid overtime and sick pay. The JCL Law Firm, APC acted as Class Counsel and as representative PAGA counsel for the State of California.

- *Lopez v. Adidas America, Inc.*, Ventura Superior Court Case No. 56-2021-005498444-CU-OE-VTA (April 4, 2022) Hon. Mark Borrell approved a \$1.5 million dollar class action and PAGA action settlement for, *inter alia*, inaccurate overtime calculations, and appointed the JCL Law Firm, APC as Class Counsel.
- *Felix v. TVI, Inc., d.b.a. Savers*, Santa Clara Superior Court Case No. 21CV376407 (February 18, 2022) Hon. Sunil R. Kulkarni approved a \$1.8 million dollar class action and PAGA action settlement for, *inter alia*, unpaid off-the-clock work. The Court appointed JCL Law Firm, APC as Class Counsel and as representative PAGA counsel for the State of California.
- *Munoz v. AMTCR*, San Bernardino Superior Court Case No. CIV-DS2001914 (August 24, 2021) Hon. David Cohn approved a \$1.8 million dollar class and PAGA settlement for, *inter alia*, inaccurate itemized wage statements. The JCL Law Firm, APC acted as class counsel for the settlement class and as representative PAGA counsel the State of California and the Aggrieved Employees.)
- *Nunes v. Home Depot U.S.A., Inc.*, San Joaquin Superior Court Case No. STK-CV-UOE-2020-0011011 (October 20, 2021 – Hon. Roger Ross approved a \$1.5 million dollar class and PAGA settlement for, *inter alia*, miscalculated meal period premiums and appointed Jean-Claude Lapuyade, Esq. as Class Counsel.)
- *Pillsbury v. T&T Restaurants CA, Inc.*, Del Norte Superior Court Case No. CVUJ-20-1214 (December 14, 2021 – Hon. Darren McElfresh approved \$550 billing rate and appointed Jean-Claude Lapuyade, Esq. as Class Counsel in a wage and hour class action settlement.)
- *Latin v. OneMain General Service Corporation*, Stanislaus County Superior Court Case No. CV-20-002498 (October 22, 2021) Hon. Stacy Speiller approved a PAGA action settlement for \$700,000 wage statement violations. The JCL Law Firm, APC acted as counsel for the plaintiff, the State of California and the Aggrieved Employees.
- *Elias Kaser v. Aviation Consultants, Inc.*, Orange County Superior Court Case No. 30-2021-01191204-CU-OE-CXC (September 22, 2021 – Hon. Peter J. Wilson approved \$550 billing rate in a representative California Labor Code Private Attorney General Act settlement).
- *Rodriguez v. RSI Home Products, Inc.*, San Bernardino Superior Court Case No. CIVDS2023354 (July 30, 2021 – Hon. David Cohn approved a \$1.45 million class and PAGA settlement for, *inter alia*, failure to comply with piece-rate laws, and appointed the JCL Law Firm, APC as Class Counsel)
- *Jer Vang v. Bridge Property Management*, Alameda County Superior Court, Case No RG19047434 (January 8, 2021 – Hon. Winifred Smith approved a class and PAGA settlement for, *inter alia*, unpaid off the clock work. The JCL Law Firm, APC acted as class counsel for the settlement class and as representative PAGA counsel the State of California and the Aggrieved Employees.)
- *Johnson v. Volt Management Corp., Inc. et al.*, Los Angeles County Superior Court, Case No. 19STCV16466 (December 11, 2020 – Hon. Amy Hogue approved a PAGA Settlement negotiated on behalf of the State of California and the aggrieved employees by the JCL Law Firm, APC.)
- *Salazar v. Frontier Auto Sales Inc., dba Frontier Toyota*, Los Angeles Superior Court, Case No. 19STCV20382 (November 24, 2020 – Hon. John P. Doyle appointed the JCL Law Firm, APC as class counsel and approved a class and PAGA settlement for, *inter alia*, missed meal and rest periods.)
- *Villalobos v. Alterra Group, LLC et al.*, Los Angeles County Superior Court, Case No. 19STCV19448 (November 11, 2020 – Hon. Richard Fruin approved a PAGA Settlement for, *inter alia*, unreimbursed business expenses. The JCL Law Firm, APC acted as counsel for the plaintiff, the State of California and the Aggrieved Employees.)
- *Stevens et al., v. Bank of England*, San Diego County Superior Court Case No. 37-2019-00018662-CU-OE-CTL (October 16, 2020 – Hon. John Meyer appointed JCL Law Firm as Class Counsel and granted final approval of wage and hour class action for, *inter alia*, off-the-clock work.)
- *Frazier v. ASA Carlton, Inc.*, San Diego Superior Court Case No. 37-2019-00036147 (October 9, 2020 Hon. Kenneth Medel appointed the JCL Law Firm as Class Counsel and granted final approval of wage and hour class action for off-the-clock work.)
- *Krake et al., v. Central Valley Diner, Inc.*, Placer County Superior Court Case No. SCV0041645 (September 4, 2020 – Hon. Michael Jones appointed JCL Law Firm as class counsel and granted final approval of wage and hour class action for meal period violations.)
- *Conner v. Ascendant Marketing, LLC et al.*, San Diego Superior Court Case No. 37-2019-00026864-CU-OE-CTL (July 24, 2020 Hon. Joel Wohlfeil granted approval of PAGA Settlement for, among other things, off-the-clock work. JCL Law Firm, APC acted as lead counsel.)
- *Guerrero v. Estancia Operations, LLC.*, San Diego Superior Court Case No. 37-2019-00028963 (August 25, 2020 – Hon. Katherine Bacal approved PAGA Settlement for, *inter alia*, missed meal and rest periods. JCL Law Firm, APC, acted as lead counsel.)
- *Moreno v. Dash Lube, et al.*, United States District Court, Southern District of California, Case No. 18cv1922 DMS (AHG) (December 13, 2019 – Granted class certification of Labor Code Section 226 claim and appointed JCL Law Firm, APC, as Class Counsel.)
- *Perkins et al., v. Tahiti Enterprises, Inc., et al.*, Orange County Superior Court Case No. 30-2016-00863095 (October 22, 2019 – Hon. Peter Wilson appointed JCL Law Firm, APC, as Class Counsel and granted final approval for an unlawful housing class action settlement arising out of Civil Code Section 1940.1.)

- *Hill v. Stemak Holdings, Ltd.*, San Diego Superior Court Case No., 37-2018-00000747 (January 28, 2020 – Hon. Kenneth Medel appointed JCL Law Firm, APC as class counsel and granted final approval of wage and hour class action for unpaid overtime.)
- *Shachno et al., v. KT Hotels, LLC, et al.* San Diego Superior Court Case No. 37-2018-00043601 (May 31, 2019 – Hon. Gregory Pollack appointed JCL Law Firm, APC, as Class Counsel and granted preliminary approval for the wage and hour class action settlement involving nearly 900 current and former employees. Final approval scheduled for October 4, 2019)
- *Almanza v. The Express Group, Inc.*, San Bernardino Superior Court Case No. CIVDS1722542 (April 19, 2019 – Hon. David Cohn appointed the JCL Law Firm, APC, as Class Counsel and granted final approval for a wage and hour class action settlement for *inter alia*, missed meal and rest periods and independent contractor misclassification.)
- *Moschetto v. Pillow Global, Inc.* San Diego Superior Court, Case No. 37-2017-00024031-CU-OE-CTL (April 5, 2019 – Hon. John S. Meyer appointed JCL LAW Firm, APC, as Class Counsel and granted final approval for a wage and hour wage class action settlement involving misclassified house cleaners.)
- *Burke v. Friendship Hotel, LLC*, San Diego County Superior Court Case No. 37-2017-00022517-CU-OE-CTL (March 10, 2019 – final approval granted on for an unlawful housing class action arising under Civil Code Section 1940.2)
- *Terrado et al., v. Accredited Debt Relief, LLC*, San Diego County Superior Court Case No. 37-2018-00014181-CU-OE-CTL (February 8, 2019 – Hon. Gregory W. Pollock appointed JCL Law Firm, APC as Class Counsel and granted final approval for a wage and hour class action settlement for meal and rest period violations);
- *Macaspac v. San Antonio Regional Hospital*, San Bernardino Superior Court Case No. CIVDS1512625, (July 27, 2018 – Hon. Thomas S. Garza appointed JCL Law Firm, APC, as Class Counsel and granted final approval for a wage and hour class action settlement involving claims for on duty meal and rest periods.);
- *Summerlin et al. v. Maplebear, Inc. dba Instacart*, Los Angeles Superior Court Case No. BC603030 (2018 - certified and settled wage and hour class action.)
- *Ortega v. Prime Healthcare*, San Diego Superior Court Case No. 37-2014-00011240-CU-OE-CTL (2018 – Approved PAGA only settlement for on duty meal and rest periods)
- *Engstrom v. Bender-Rosenthal, Inc.* San Diego Superior Court Case No. 37-2016-00012373-CU-OE-CTL (2017 - Certified and settled class action for employee misclassification);
- *Crawford v. Outlook Amusements, Inc.*, Los Angeles Superior Court Case No. BC617160 (2017 - Certified and settled wage and hour class action.);
- *Hernandez v. Sunglass Hut Trading, LLC*, San Bernardino Superior Court Case No. CIVDS1505181 (2016 - Certified and settled wage and hour class action);
- *Burns v. Shalom, LLC*, San Diego Superior Court, Case No., 37-2013-00058356-CU-BT-CTL (2015 - certified and settled class action for unlawful housing practices arising out of Civil Code Section 1940.1);